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groundwater and  
surface water over  
the continental**

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# Simulation of groundwater and surface water over the continental US using a hyperresolution, integrated hydrologic model

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## Abstract

Interactions between surface and groundwater systems are well-established theoretically and observationally. While numerical models that solve both surface and subsurface flow equations in a single framework (matrix) are increasingly being applied, computational limitations have restricted their use to local and regional studies. Regional or watershed, scale simulations have been effective tools in understanding hydrologic processes, however there are still many questions, such as the adaptation of water resources to anthropogenic stressors and climate variability, that need to be answered across large spatial extents at high resolution. In response to this “grand challenge” in hydrology, we present the results of a parallel, integrated hydrologic model simulating surface and subsurface flow at high spatial resolution (1 km) over much of continental North America (~ 6 300 000 or 6.3 million km<sup>2</sup>). These simulations provide predictions of hydrologic states and fluxes, namely water table depth and streamflow, at unprecedented scale and resolution. The physically-based modeling approach used here requires limited parameterizations and relies only on more fundamental inputs, such as topography, hydrogeologic properties and climate forcing. Results are compared to observations and provide mechanistic insight into hydrologic process interaction. This study demonstrates both the feasibility of continental scale integrated models and their utility for improving our understanding of large-scale hydrologic systems; the combination of high resolution and large spatial extent facilitates novel analysis of scaling relationships using model outputs.

## 1 Introduction

There is growing evidence of feedbacks between groundwater, surface water and soil moisture that moderate land-atmospheric energy exchanges, and impact weather and climate (Maxwell et al., 2007, 2011; Anyah et al., 2008; Kollet and Maxwell, 2008; Maxwell and Kollet, 2008; Jiang et al., 2009; Rihani et al., 2010; Williams and Maxwell,

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2011; Condon et al., 2013; Taylor et al., 2013). While local observations and remote sensing can now detect changes in the hydrologic cycle from small to very large spatial scales (e.g. Rodell et al., 2009), theoretical approaches to connect and scale hydrologic states and fluxes from point measurements to the continental scales are incomplete.

5 In this work we present integrated modeling as one means to bridge this gap.

Though introduced as a concept in the literature almost half a century ago (Freeze and Harlan, 1969), integrated hydrologic models that solve the surface and subsurface systems simultaneously have only been a reality for about a decade (VanderKwaak and Loague, 2001; Jones et al., 2006; Kollet and Maxwell, 2006). Since their implementation, integrated hydrologic models have been successfully applied to a wide range of watershed-scale studies (see Table 1 in Maxwell et al., 2014) successfully capturing observed surface and subsurface behavior (Qu and Duffy, 2007; Jones et al., 2008; Sudicky et al., 2008; Camporese et al., 2010; Shi et al., 2013), diagnosing stream-aquifer and land-energy interactions (Maxwell et al., 2007; Kollet and Maxwell, 2008; Rihani et al., 2010; Condon et al., 2013; Camporese et al., 2014), and building our understanding of the propagation of perturbations such as land-cover and anthropogenic climate change throughout the hydrologic system (Maxwell and Kollet, 2008; Goderniaux et al., 2009; Sulis et al., 2012; Mikkelsen et al., 2013).

20 Prior to this work, computational demands and data constraints have limited the application of integrated models to regional domains. Advances in parallel solution techniques, numerical solvers, supercomputer hardware and additional data sources have only recently made large-scale, high-resolution simulation of the terrestrial hydrologic cycle technically feasible (Kollet et al., 2010; Maxwell, 2013). As such, existing large scale studies of the subsurface have focused on modeling groundwater independently (Fan et al., 2007, 2013; Miguez-Macho et al., 2007;) and classifying behavior with analytical functions (Gleeson et al., 2011a). Similarly, continental scale modeling of the surface water has utilized tools with simplified groundwater systems that do not capture lateral groundwater flow and model catchments as isolated systems (Maurer et al., 2002; Döll et al., 2012; Xia et al., 2012). Despite the fact that lateral flow of

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( $S_w(h)$  and  $k_r(h)$  respectively). These functions are highly nonlinear and characterize changes in saturation and permeability with pressure.

Overland flow is represented in ParFlow by the two-dimensional kinematic wave equation resulting from application of continuity conditions for pressure and flux (Kollet and Maxwell, 2006):

$$\mathbf{k} \cdot (-\mathbf{K}_s(\mathbf{x})k_r(h) \cdot \nabla(h+z)) = \frac{\partial \|h, 0\|}{\partial t} - \nabla \cdot \|h, 0\| \mathbf{v}_{sw} + \lambda q_r(\mathbf{x}) \quad (3)$$

In this equation  $\mathbf{v}_{sw}$  is the two-dimensional, depth-averaged surface water velocity [ $L T^{-1}$ ] given by Manning's equation;  $h$  is the surface ponding depth [L] the same  $h$  as is shown in Eq. (1). Note that  $\|h, 0\|$  indicates the greater value of the two quantities in Eq. (3). This means that if  $h < 0$  the left hand side of this equation represents vertical fluxes (e.g. in/exfiltration) across the land surface boundary and is equal to  $q_r(\mathbf{x})$  and a general source/sink (e.g. rainfall, ET) rate [ $L T^{-1}$ ] with  $\lambda$  being a constant equal to the vertical grid spacing [ $L^{-1}$ ]. This term is then entirely equivalent to the source/sink term shown in Eq. (1) at the ground surface where  $\mathbf{k}$  is the unit vector in the vertical, again defining positive upward coordinates. If  $h > 0$  then the terms on the right hand side of Eq. (3) are active water that is routed according to surface topography (Kollet and Maxwell, 2006).

The nonlinear, coupled equations of surface and subsurface flow presented above are solved in a fully-implicit manner using a parallel Newton–Krylov approach (Jones and Woodward, 2001; Kollet and Maxwell, 2006; Maxwell, 2013). Utilizing a globally-implicit solution allows interactions between the surface and subsurface flow system to be explicitly resolved. While this yields a very challenging computational problem, ParFlow is able to solve large complex systems by utilizing a multigrid preconditioner (Osei-Kuffuor et al., 2014; Ashby and Falgout, 1996) and taking advantage of highly scaled parallel efficiency out to more than  $1.6 \times 10^4$  processors (Kollet et al., 2010; Maxwell, 2013).

Physically this means that ParFlow solves saturated subsurface flow (i.e. groundwater), unsaturated subsurface flow (i.e. the vadose zone) and surface flow (i.e.

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streamflow) in a continuum approach within a single matrix. Thus, complete non-linear interactions between all system components are simulated without a priori specification of what types of flow occur in any given portion of the grid. Streams form purely based on hydrodynamic principles governed by recharge, topography, hydraulic conductivity and flow parameters, when water is ponded due to either excess infiltration (surface fluxes exceed the infiltration capacity) or excess saturation (subsurface exfiltration to the surface system). Groundwater converges in topographic depressions and unsaturated zones may be shallow or deep depending upon recharge and lateral flows.

The physically based approach used by ParFlow is similar to other integrated hydrologic models such as Hydrogeosphere (Therrien et al., 2012), PIHM (Kumar et al., 2009) and CATHY (Camporese et al., 2010). This is a distinct contrast with more conceptually-based models that may not simulate lateral groundwater flow or may simplify the solution of surface and subsurface flow by defining regions of groundwater or the stream-network, prior to the simulation. In such models, groundwater surface water interactions are often captured as one-way exchanges (i.e. surface water loss to groundwater) or parameterized with simple relationships (i.e. curves to impose the relationship between stream head and baseflow). The integrated approach used by ParFlow eliminates the need for such assumptions and allows the interconnected groundwater surface water systems to evolve dynamically based only on the governing equations and the properties of the physical system. The approach used here requires robust numerical solvers and exploits high-performance computing to achieve high resolution, large extent simulations.

### 3 Domain setup

In this study, the model for the Continental US (CONUS) was constructed using the terrain following grid framework (Maxwell, 2013) for a total thickness of 102 m over 5 model layers. The model was implemented with a lateral resolution of 1 km with  $n_x = 3342$ ,  $n_y = 1888$  and five vertical layers with 0.1, 0.3, 0.6, 1.0 and 100 m







of the complete stream network without specifying the presence and location of rivers in advance, but rather by allowing channelized flow to evolve as a result of explicitly simulated non-linear physical processes.

The insets in Fig. 3 demonstrate multiscale detail ranging from the continental river systems to the first-order headwaters. In Fig. 4, water table depth also varies over five orders of magnitude. Whereas aridity drives large-scale differences in water table depth (Fig. 1d), at smaller scales, lateral surface and subsurface flow processes clearly dominate recharge and subsurface heterogeneity (see insets to Fig. 4). Water tables are deeper in the more arid western regions, and shallower in the more humid eastern regions of the model. However, areas of shallow water table exist along arid river channels and water table depths greater than 10 m exist in more humid regions. Note that this is a pre-development simulation, thus, results do not include any anthropogenic water management features such as groundwater pumping, surface water reservoirs, irrigation or urbanization, although many of these anthropogenic impacts have been implemented into the ParFlow modeling framework (Ferguson and Maxwell, 2011; Condon and Maxwell, 2013, 2014). While anthropogenic impacts are clearly influential on water resources, a baseline simulation allows for a comparison between the altered and unaltered systems.

Figure 5 plots observed and simulated hydraulic head and streamflow for the dataset shown in Fig. 2. Hydraulic head (Fig. 5a) is plotted (as opposed to water table depth) as it is the motivating force for lateral flow in the simulation; it includes both the topography and pressure influences on the final solution. We see a very close agreement between observations and model simulations, though given the large range in hydraulic heads the goodness of fit may be somewhat obscured. Additional metrics and comparisons are explored below. Simulated streamflow (Fig. 5b) also agrees closely with observations. There is some bias, particularly for smaller flows, which also exhibit more scatter than larger flows, and may be due to the 1 km grid resolution employed here. Larger flows are more integrated measures of the system and might be less sensitive to resolution or local heterogeneity in model parameters.

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is also limited by the quality of available input datasets. As noted throughout the discussion, existing datasets are subject to uncertainty and are clearly imperfect. As improved subsurface characterization becomes available this information can be used to better inform models. Though, the magnitudes of states and fluxes may change with improved datasets, the overall trends and responses predicted here are not likely to change. While there are always improvements to be made, these simulations represent a critical first step in understanding coupled surface subsurface hydrologic processes and scaling at large spatial extent.

This study highlights the utility of high performance computing in addressing the grand challenges in hydrological sciences and represents an important advancement in our understanding of hydrologic scaling in continental river basins. By providing an integrated model we open up a useful avenue of research to bridge physical processes across spatial scales in a hydrodynamic, physics-based upscaling framework.

### Code availability

ParFlow is an open-source, modular, parallel integrated hydrologic platform freely available via the GNU LGPL license agreement. ParFlow is developed by a community led by the Colorado School of Mines and F-Z Jülich with contributors from a number of other institutions. Specific versions of ParFlow are archived with complete documentation and may be downloaded<sup>1</sup> or checked-out from a commercially hosted, free SVN repository. The input data and simulations presented here will be made available and may be obtained by contacting the lead author via email.

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<sup>1</sup>[http://inside.mines.edu/~rmaxwell/maxwell\\_software.shtml](http://inside.mines.edu/~rmaxwell/maxwell_software.shtml)

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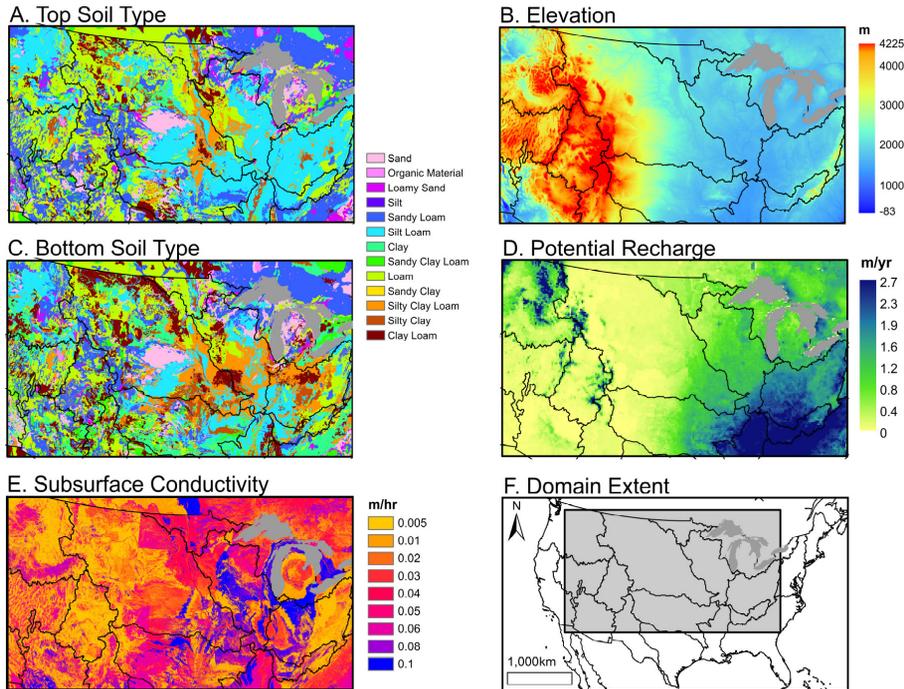
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**Figure 1.** Maps of top soil type **(a)**, elevation (m.a.s.l.) **(b)**, bottom soil type **(c)**, potential recharge,  $P-E$ , ( $\text{m y}^{-1}$ ) **(d)**, saturated hydraulic conductivity ( $\text{m h}^{-1}$ ) **(e)** over the model domain **(f)**.

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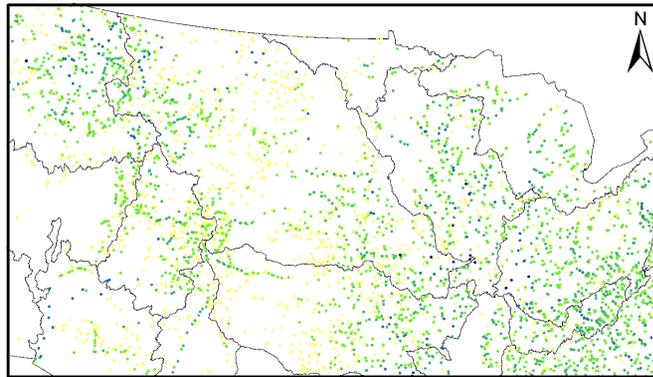
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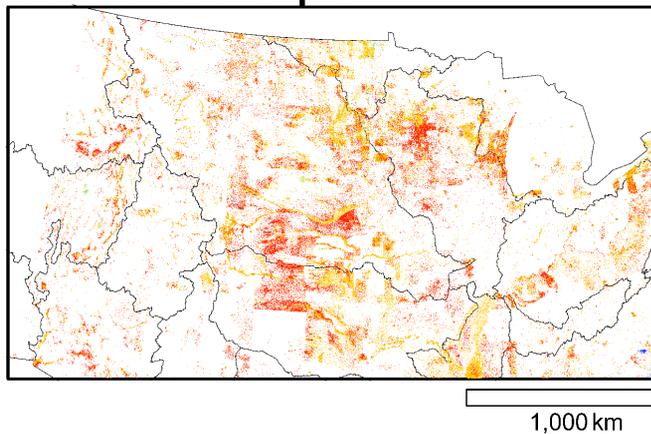
## a. Streamflow



## Flow (CMS)

- 0 - 1
- 1 - 10
- 10 - 100
- 100 - 1,000
- 1,000 - 10,000

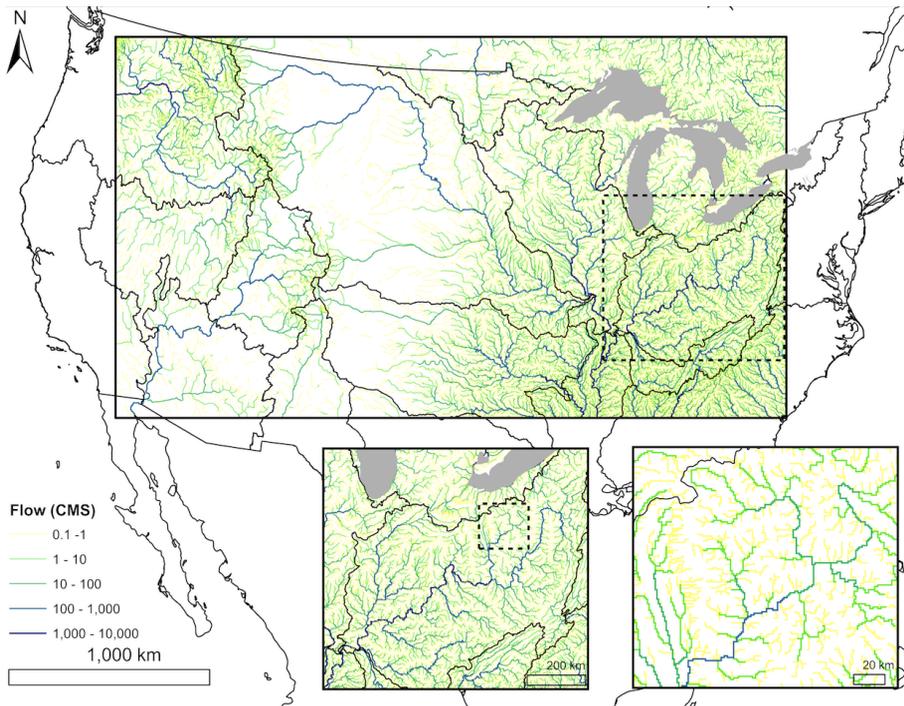
## b. Water Table Depth



## Depth (m)

- 0.00 - 0.01
- 0.01 - 0.1
- 0.1 - 1
- 1 - 10
- 10 - 100

Figure 2. Plot of observed streamflow (a) and observed water table depth (b).



**Figure 3.** Map of simulated surface flow ( $\text{m}^3 \text{s}^{-1}$ ) over the CONUS domain with two insets zooming into the Ohio river basin. Colors represent surface flow in log scale and line widths vary slightly with flow for the first two panels.

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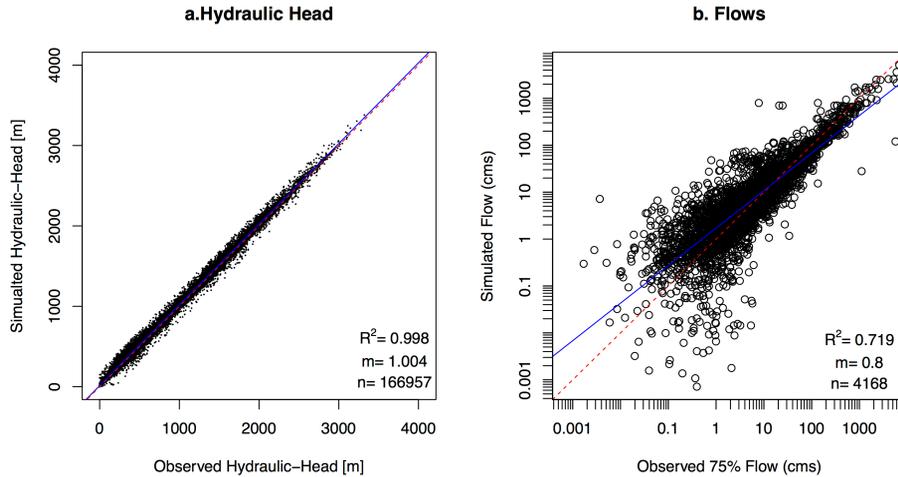
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**Figure 5.** Scatterplots of simulated v. observed hydraulic head **(a)** and surface flow **(b)**.

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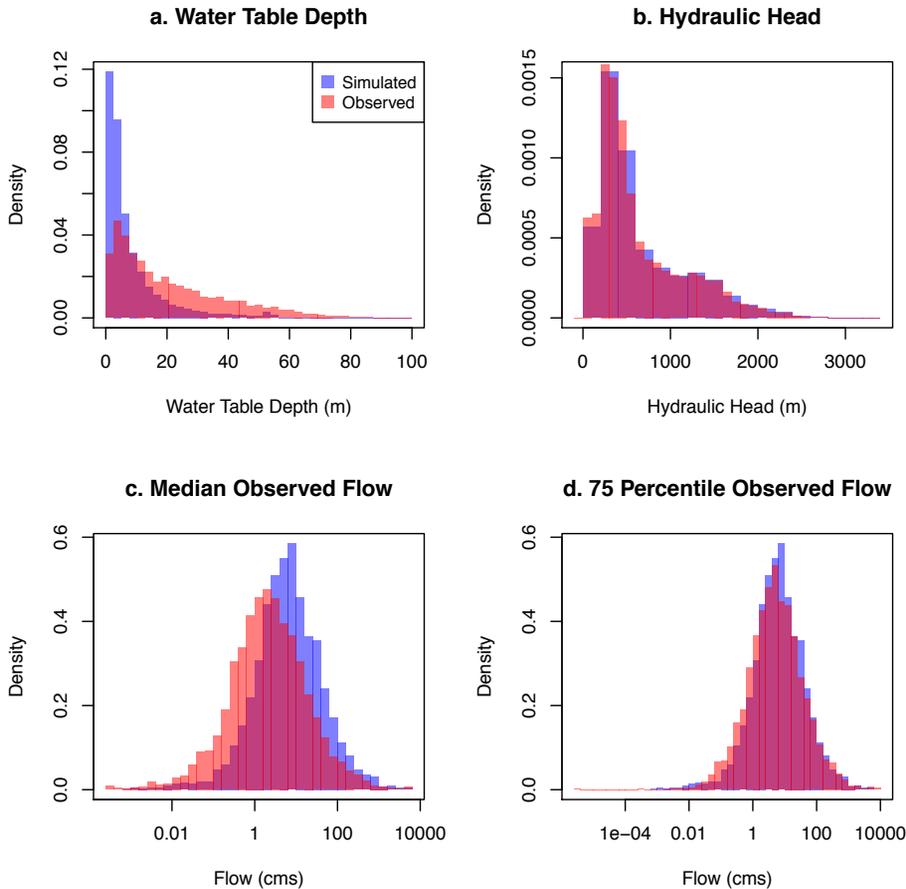
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**Figure 6.** Histograms of simulated and observed water table depth **(a)**, hydraulic head **(b)**, median observed flow **(c)** and 75th percentile observed flow **(d)**.

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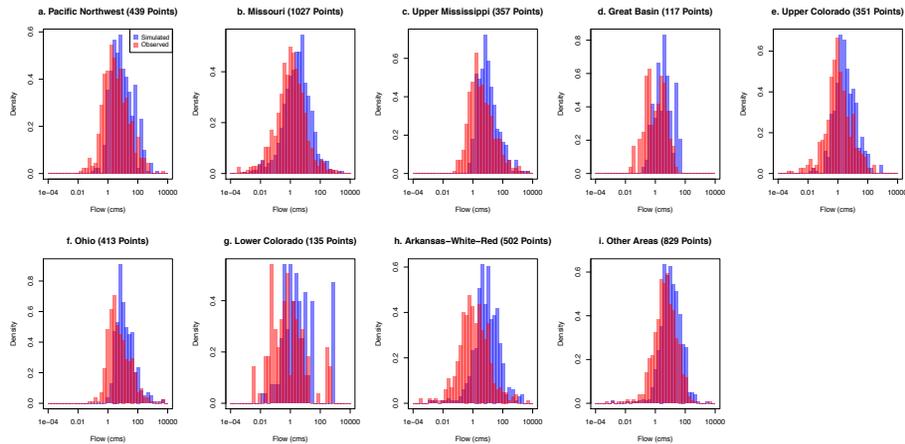
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**Figure 7.** Distributions of observed and simulated streamflow by basin as indicated.

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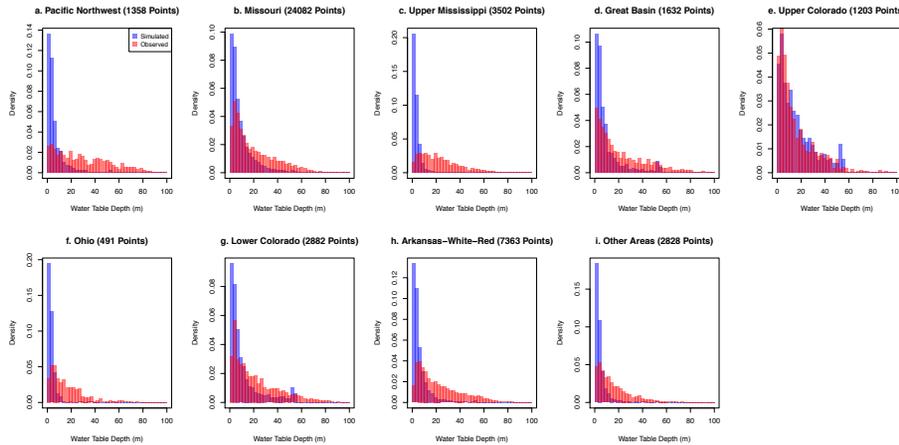
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**Figure 8.** Distributions of observed and simulated water table depth by basin as indicated.

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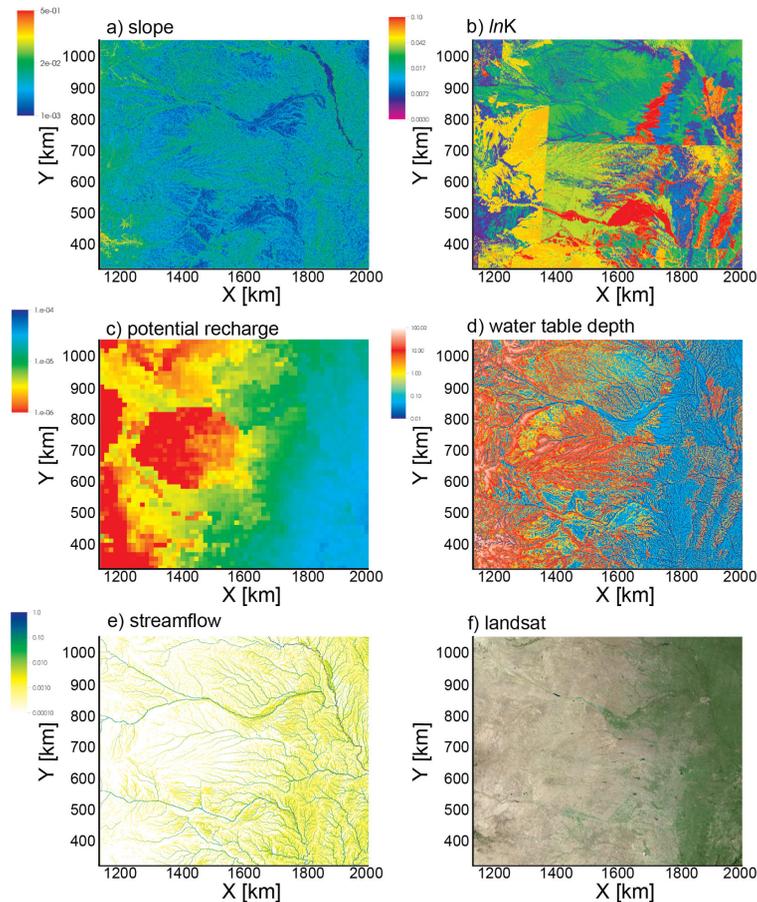
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**Figure 9.** Plots of topographic slope **(a)**, hydraulic conductivity **(b)** potential recharge **(c)**, water table depth **(d)**, streamflow **(e)** and satellite image **(f)** for a region of the model covering the Platte River basin.

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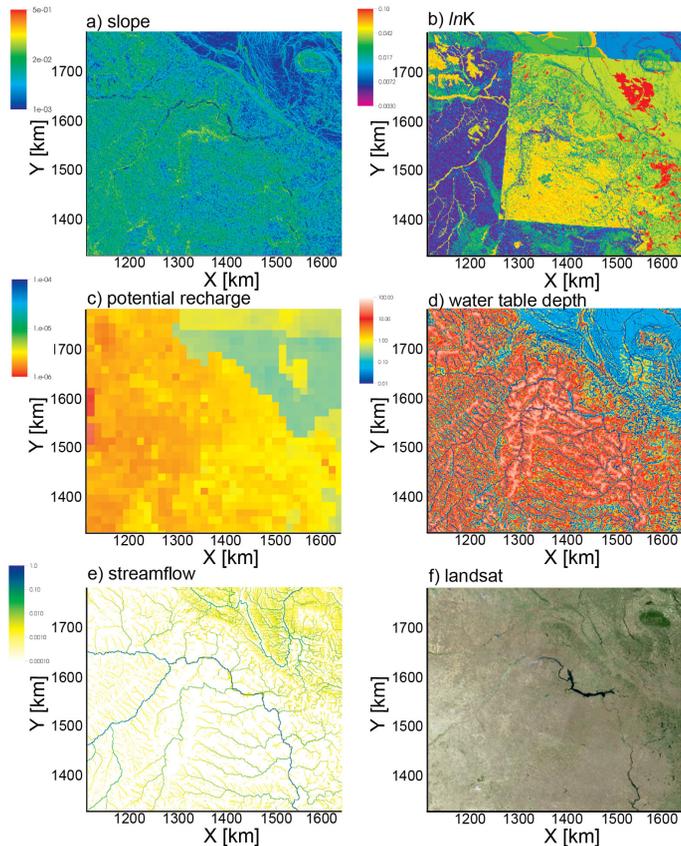
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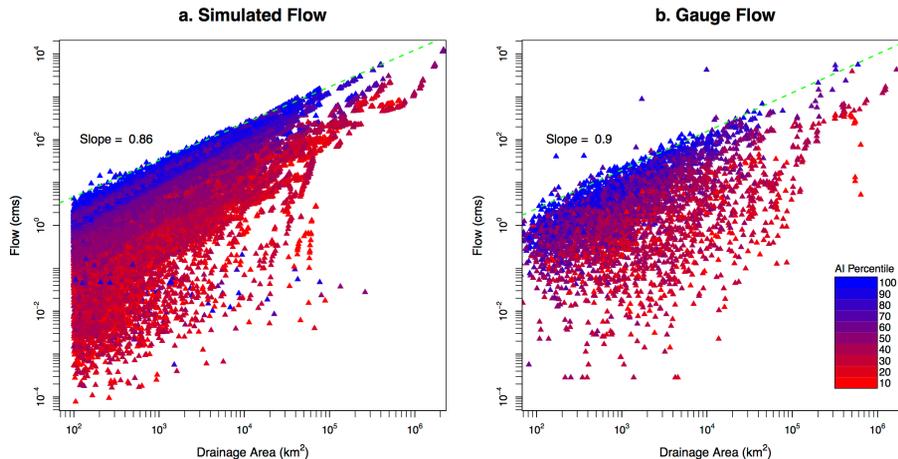




**Figure 10.** Plots of topographic slope **(a)**, hydraulic conductivity **(b)** potential recharge **(c)**, water table depth **(d)**, streamflow **(e)** and satellite image **(f)** for a region of the model covering the Upper Missouri basin.

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**Figure 11.** Plots of scaling relationships for simulated and median observed surface flow. Log-scale plots of surface flow as a function of contributing drainage area derived from the model simulation **(a)** and observations **(b)**. Individual symbols are colored by aridity index (AI) with blue colors being humid and red colors being arid in panels **(a)** and **(b)**.

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