



## Historical (1750 – 2014) anthropogenic emissions of reactive gases and aerosols from the Community Emission Data System (CEDS)

5 Rachel M. Hoesly<sup>1</sup>, Steven J. Smith<sup>1,2</sup>, Leyang Feng<sup>1</sup>, Zbigniew Klimont<sup>3</sup>, Greet Janssens-Maenhout<sup>4</sup>, Tyler Pitkanen<sup>1</sup>, Jonathan J. Seibert<sup>1</sup>, Linh Vu<sup>1</sup>, Robert J. Andres<sup>5</sup>, Ryan M. Bolt<sup>1</sup>, Tami C. Bond<sup>6</sup>, Laura Dawidowski<sup>7</sup>, Nazar Kholod<sup>1</sup>, Jun-ichi Kurokawa<sup>8</sup>, Meng Li<sup>9</sup>, Liang Liu<sup>6</sup>, Zifeng Lu<sup>10</sup>, Maria Cecilia P. Moura<sup>1</sup>, Patrick R. O'Rourke<sup>1</sup>, Qiang Zhang<sup>9</sup>

- 10 <sup>1</sup> Joint Global Change Research Institute, Pacific Northwest National Lab, College Park, MD, 20740 USA  
<sup>2</sup> Department of Atmospheric and Oceanic Science, University of Maryland, College Park, Maryland, 20742 USA  
<sup>3</sup> International Institute for Applied Systems Analysis, Laxenburg, Austria  
<sup>4</sup> European Commission, Joint Research Centre, Directorate Energy, Transport & Climate, Via Fermi 2749, I-21027 ISPRA, Italy  
15 <sup>5</sup> Carbon Dioxide Information Analysis Center, Oak Ridge National Laboratory, Oak Ridge, TN 37831-6290 USA  
<sup>6</sup> Dept. of Civil & Environmental Engineering, University of Illinois at Urbana-Champaign, Urbana, IL, 61801 USA  
<sup>7</sup> Comisión Nacional de Energía Atómica, Buenos Aires, Argentina  
<sup>8</sup> Japan Environmental Sanitation Center, Asia Center for Air Pollution Research, Atmospheric Research Department  
20 <sup>9</sup> Department of Earth System Science, Tsinghua University, Beijing, China  
<sup>10</sup> Energy Systems Division, Argonne National Laboratory, Argonne, IL, USA

Correspondence to: Rachel M. Hoesly ([rachel.hoesly@pnnl.gov](mailto:rachel.hoesly@pnnl.gov)) and Steven J. Smith ([ssmith@pnnl.gov](mailto:ssmith@pnnl.gov))

**Abstract.** We present a new data set of annual historical (1750 - 2014) anthropogenic chemically reactive gases  
25 (CO, CH<sub>4</sub>, NH<sub>3</sub>, NO<sub>x</sub>, SO<sub>2</sub>, NMVOC), carbonaceous aerosols (BC and OC), and CO<sub>2</sub> developed with the  
Community Emissions Database System (CEDS). We improve upon existing inventories with a more consistent and  
reproducible methodology applied to all emissions species, updated emission factors, and recent estimates through  
2014. The data system relies on existing energy consumption data sets and regional and country-specific inventories  
to produce trends over recent decades. All emissions species are consistently estimated using the same activity data  
30 over all time periods. Emissions are provided on an annual basis at the level of country and sector and gridded with  
monthly seasonality. These estimates are comparable to, but generally slightly higher than, existing global  
inventories. Emissions over the most recent years are more uncertain, particularly in low- and middle-income  
regions where country-specific emission inventories are less available. Future work will involve refining and  
updating these emission estimates, estimating emissions uncertainty, and publication of the system as open source  
35 software.

### 1 Introduction

Anthropogenic emissions of reactive gases, aerosols, and aerosol precursor compounds have substantially changed  
atmospheric composition and associated fluxes to land and ocean surfaces. As a result, increased particulate and  
tropospheric ozone concentrations since pre-industrial times have altered radiative balances of the atmosphere,  
40 increased human mortality and morbidity, and impacted terrestrial and aquatic ecosystems. Central to studying these  
effects are historical trends of emissions. Historical emissions data and consistent emissions time series are  
especially important for Earth Systems Models (ESMs) and atmospheric chemistry and transport models, which use  
emissions time series as key model inputs; Integrated Assessment Models (IAMs), which use recent emissions data  
as a starting point for future emissions scenarios; and to inform management decisions.

45 Despite their wide use in research and policy communities, there are a number of limitations to current inventory  
data sets. Emissions data from country and regional specific inventories vary in methodology, level of detail,



sectoral coverage, and consistency over time and space. Existing global inventories do not always provide comprehensive documentation for assumptions and methods and few contain uncertainty estimates.

50 Several global emissions inventories have been used in global research and modeling. Lamarque et al. (2010)  
developed a historical data set for the Coupled Model Intercomparison Project Phase 5 (CMIP5), which includes  
global, gridded estimates of anthropogenic and open burning emissions from 1850 – 2000 at 10 year intervals. This  
data is also used as the historical starting point for the Representative Concentration Pathways (RCP) scenarios (van  
55 Vuuren et al., 2011) and referred to here as the CMIP5 data set (sometimes also as RCP historical data). It was a  
compilation of “best available estimates” from many sources including EDGAR-HYDE (van Aardenne et al., 2001)  
which provides global anthropogenic emissions of CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, NO<sub>x</sub>, NMVOC, SO<sub>2</sub> and NH<sub>3</sub> from 1890 to 1990  
every 10 years at 1 x 1 degree grids; RETRO (Schultz and Sebastian, 2007) which reports global wildland fire  
emissions from 1960 to 2000; and emissions reported by, largely, Organization for Economic Co-operation and  
60 Development (OECD) countries over recent years. While this data set was an improvement upon the country and  
regional specific inventories mentioned above, it lacks uncertainty estimates and reproducibility, has limited  
temporal resolution (10 year estimates to 2000), and does not have consistent methods across emission species.

The Emissions Database for Global Atmospheric Research (EDGAR) is another widely used historical global  
emissions data set. It provides an independent estimate of historical greenhouse gas (GHG) and pollutant emissions  
by country, sector, and spatial grid (0.1 x 0.1 degree) from 1970 – 2010 (Crippa et al., 2016; EC-JRC/PBL, 2016),  
with GHG emission estimates for more recent years. The most recent set of modeling exercises by the Task Force on  
65 Hemispheric Transport of Air Pollutants (HTAP) uses a gridded emissions data set, HTAP v2 (Janssens-Maenhout  
et al., 2015), that merged EDGAR with regional and country-level gridded emissions data for 2008 and 2010. The  
GAINS (Greenhouse gas - Air pollution Interactions and Synergies) model (Amann et al., 2011) has been used to  
produce regional and global emission estimates for several recent years (1990- 2010; in five year intervals) together  
with projections to 2020 and beyond (Amann et al., 2013; Cofala et al., 2007; Klimont et al., 2009). These have been  
70 developed with substantial consultation with national experts, especially for Europe and Asia (Amann et al., 2008,  
2015; Purohit et al., 2010; Sharma et al., 2015; Wang et al., 2014; Zhang et al., 2007; Zhao et al., 2013a). The newly  
developed ECLIPSE emission sets include several extensions and updates in the GAINS model and are also  
available in a gridded form (Klimont et al., 2016) and have been used in a number of recent modeling exercises  
(Eckhardt et al., 2015; IEA, 2016b; Rao et al., 2016; Stohl et al., 2015). While there are many existing inventories of  
75 various scope, coverage, and quality, no existing data set meets all the growing needs of the modeling community.

This paper describes the general methodology and results for an updated global historical emissions data set that has  
been designed to meet the needs of the global atmospheric modeling community and other researchers for consistent  
long-term emission trends. The methodology was designed to produce annual estimates, be similar to country-level  
inventories where available, be complete and plausible, and use a consistent methodology over time with the same  
80 underlying driver data (e.g., fuel consumption). The data set described here provides a sectoral and gridded  
historical inventory of climate-relevant anthropogenic GHGs, reactive gases, and aerosols for use in the Coupled  
Model Intercomparison Project Phase 6 (CMIP6). It does not include agricultural waste burning, which is included  
in van Marle et al. (van Marle et al., 2017). Preindustrial data (CEDS-v2016-06-18), 1750 – 1850, were released in  
June 2016 and CMIP6 historical data in July 2016 (CEDS-v2016-07-26) were released in summer 2016 through the  
85 Earth System Grid Federation (ESGF) system<sup>a</sup> (links provided in Sect. A1) and includes estimate of sulfur dioxide  
(SO<sub>2</sub>), nitrogen oxides (NO<sub>x</sub>), ammonia (NH<sub>3</sub>), carbon monoxide (CO), black carbon (BC), organic carbon (OC),  
and non-methane volatile organic compounds (NMVOC). Carbon dioxide (CO<sub>2</sub>) and methane (CH<sub>4</sub>) will be released

<sup>a</sup> Note that the gridded CEDS historical emissions data had to be reformatted after the May/July initial releases due to a limitation that was later discovered within the ESGF system. The reformatted data were released early Fall 2016.



in the near future. This data set was created using the Community Emissions Database System (CEDS), which will be released as open-source software in summer 2017. Updated information on the system and its pending release can be found at <http://www.globalchange.umd.edu/ceds/>.

An overview of the methodology and data sources are provided in Sect. 2 while further details on the methodology and data sources are included in the Supplementary Information (SI), outlined in Sect. 2.7. Section 3 compares this data set to existing inventories and Sect. 4 details future work involving this data set and system.

## 2 Data and methodology

### 2.1 Methodological overview

CEDS uses existing emissions inventories, emissions factors, and activity/driver data to estimate annual country, sector, and fuel specific emissions over time in several major phases (data system schematic shown in Figure 1): 1) data is collected and processed into a consistent format and timescale (detailed in Sect. 2.2 and throughout paper), 2) default emissions from 1960/1971 (1960 for most OECD countries and 1971 for all others) to 2014 are estimated using driver and emission factor data (Sect. 2.2), 3) default estimates are scaled to match existing emissions inventories where available, complete, and plausible (Sect. 2.4), 4) scaled emissions estimates are extended back to 1750 (Sect. 2.5), 5) estimates are checked and summarized to produce data for analysis and 6) gridded emissions are produced from aggregate estimates using proxy data (Sect. 2.6).

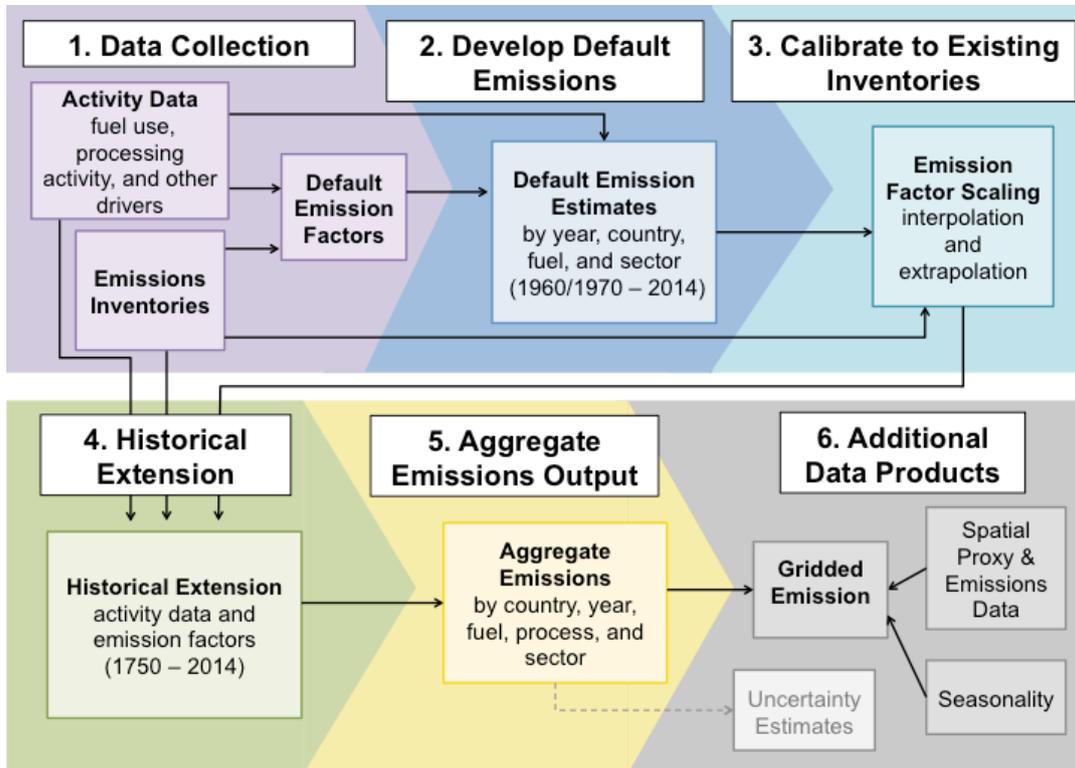


Figure 1: System Summary. Key steps in calculation are: 1) Collect and process activity, emissions factors, and emissions data 2) Develop default emissions estimates 3) Calibrate default estimates to existing inventories 4) Extend present day



**emission to historical time periods 5) Summarize emissions outputs 6) Develop other data produces including gridded emissions and uncertainty estimates.**

110 Rather than producing independent estimates, this methodology relies on matching default estimates to reliable,  
 existing emissions inventories (emission scaling) and extending those values to historical years (historical extension)  
 to produce a consistent historical time series. While previous work (Lamarque et al., 2010) combined different data  
 sets then smoothed over discontinuities, CEDS produces historical trends by extending the individual components  
 (driver data and emissions factors) separately to estimate emission trends. This method captures trends in fuel use,  
 technology, and emissions controls over time. Estimating emissions from drivers and emission factor components  
 115 also allows the system to estimate emissions in recent years, using extrapolated emission factor data and quickly  
 released fuel use data, where energy balance statistics and emission inventories are not yet available.

CEDS estimates emissions for 221 countries (and a global region for international shipping and aircraft), 8 fuels, and  
 55 working sectors, summarized in Table 1. CEDS working sectors (sectors 1A1-1A5) for combustion emissions  
 follow the International Energy Agency (IEA) energy statistics sector definitions (Table A1). The IEA energy  
 120 statistics are annually updated and the most comprehensive global energy statistics available, so this choice allows  
 for maximal use of this data. Non-combustion emissions sectors (sectors 1A1bc and 1B-7) are drawn from EDGAR  
 and generally follow EDGAR definitions (Table A2). Sector names were derived from Intergovernmental Panel on  
 Climate Change (IPCC) reporting categories under the 1996 guidelines and Nomenclature for Reporting (NFR) 14  
 together with a short descriptive name. Note that CEDS data does not include open burning, e.g. forest and  
 125 grassland fires, and agricultural waste burning on fields, which was developed by van Marle et al (2017). Tables  
 providing more detailed information on these mappings, which define the CEDS sectors and fuels, are provided in  
 Sect. A2. We note that, while agriculture sectors include a large variety of activities, in practice in the current CEDS  
 system these sectors largely represent NH<sub>3</sub> and NO<sub>x</sub> emissions from fertilizer application (under 3D\_Soil-emissions)  
 and manure management, due to the focus in the current CEDS system on air-pollutant emissions.

130 In order to produce timely emissions estimates for CMIP6, several CEDS emission sectors in this version of the  
 system aggregate somewhat disparate processes to reduce the need for the development of detailed driver and  
 emission factor information. For example, process emissions from the production of iron and steel, aluminum, and  
 other non-ferrous metals are grouped together as an aggregate as 2C\_Metal-production sector. Similarly, emissions  
 from a variety of processes are reported in 2B\_Chemical-industry. Also, the 1A1bc\_Other-transformation sector  
 135 includes emissions from combustion related activities in energy transformation processes including coal and coke  
 production, charcoal production and petroleum refining, but are combined in one working sector (see Sec 2.3.2).  
 Greater disaggregation for these sectors would improve these estimates, but will require additional effort.

140 The core outputs of the CEDS system are country-level emissions aggregated to the CEDS sector level. Emissions  
 by fuel and by sector are also available within the system for analysis, although these are not released due to data  
 confidentiality issues. Emissions are further aggregated and processed to provide gridded emissions data with  
 monthly seasonality, detailed in Sect. 2.6.

We note that the CEDS system does not reduce the need for more detailed inventory estimates. For example, CEDS  
 does not include a representation of vehicle fleet turnover and emission control degradation or multiple fuel  
 combustion technologies that are included in more detailed inventories. The purpose of this system, as described  
 145 further below, is to build on a combination of global emission estimation frameworks such as GAINS and EDGAR,  
 combined with country-level inventories, to produce reproducible, consistent emissions trends over time, space, and  
 emissions species.



**Table 1 CEDS working sectors and fuels (CEDS v2016-07-26)**

<b>CEDS Working Sectors</b>		
<b>Energy Production</b>		
1A1a_Electricity-public	1A2g_Ind-Comb-other	<b>RCO</b> 1A4a_Commercial-institutional 1A4b_Residential 1A4c_Agriculture-forestry-fishing 1A5_Other-unspecified <b>Agriculture</b> 3B_Manure-management 3D_Soil-emissions 3I_Agriculture-other 3D_Rice-Cultivation 3E_Enteric-fermentation <b>Waste</b> 5A_Solid-waste-disposal 5E_Other-waste-handling 5C_Waste-combustion 5D_Wastewater-handling  6A_Other-in-total 6B_Other-not-in-total
1A1a_Electricity-autoproducer	2A1_Cement-production	
1A1a_Heat-production	2A2_Lime-production	
1A1bc_Other-transformation	2Ax_Other-minerals	
1B1_Fugitive-solid-fuels	2B_Chemical-industry	
1B2_Fugitive-petr-and-gas	2C_Metal-production	
1B2d_Fugitive-other-energy	2D_Other-product-use	
7A_Fossil-fuel-fires	2D_Paint-application	
<b>Industry</b>	2D_Chemical-products-manufacture-processing	
1A2a_Ind-Comb-Iron-steel	2H_Pulp-and-paper-food-beverage-wood	
1A2b_Ind-Comb-Non-ferrous-metals	2D_Degreasing-Cleaning	
1A2c_Ind-Comb-Chemicals	<b>Transportation</b>	
1A2d_Ind-Comb-Pulp-paper	1A3ai_International-aviation	
1A2e_Ind-Comb-Food-tobacco	1A3aii_Domestic-aviation	
1A2f_Ind-Comb-Non-metallic-minerals	1A3b_Road	
1A2g_Ind-Comb-Construction	1A3c_Rail	
1A2g_Ind-Comb-transpequip	1A3di_International-shipping	
1A2g_Ind-Comb-machinery	1A3di_Oil_tanker_loading	
1A2g_Ind-Comb-mining-quarrying	1A3dii_Domestic-navigation	
1A2g_Ind-Comb-wood-products	1A3eii_Other-transp	
1A2g_Ind-Comb-textile-leather		
<b>CEDS Fuels</b>		
Hard Coal	Light Oil	Natural Gas
Brown Coal	Diesel Oil	Biomass
Coal Coke	Heavy Oil	

150 **2.2 Activity data**

Trends of energy consumption and other driver (activity) data are key inputs for estimating emissions. When choosing data to use in this system, priority was given to consistent trends over time rather than detailed data that might only be available for a limited set of countries or time-span.

**2.2.1 Energy data**

155 Energy consumption data is used as drivers for emissions from fuel combustion. Core energy data for 1960 - 2013 are the International Energy Agency (IEA) energy statistics, which provides energy production and consumption estimates by detailed country, fuel, and sector from 1960 – 2013 for most OECD countries and 1971 – 2013 for non-OECD countries (IEA, 2015). While most data sources used in CEDS are open source, CEDS currently requires purchase of this proprietary data set. IEA data is provided at finer fuel and sector level so data is often aggregated to CEDS sectors and fuels. Mapping of IEA products to CEDS fuels is available in Sect. A3. Aggregate data for small countries provided at the region level, such as “Other Africa” or “Other Asia”, are disaggregated to CEDS countries by population. Data for Former Soviet Union (FSU) countries are reported in aggregate before 1990 and are also often discontinuous as reporting protocols are historically inconsistent. For example, a facility in the Soviet Union responsible for both agriculture production and housing families of agriculture workers, may report all its energy consumption in the agricultural energy consumption sector, rather than agriculture and residential sectors; however, this reporting paradigm generally changed after the breakup of the Soviet Union. Using the British Petroleum (BP) Statistical Review of World Energy (BP, 2015), FSU data from 1971 – 2014 were reconstructed by altering both fuel and sector shares of total energy consumption so that Soviet Union energy totals were maintained, but country-sector-fuel energy consumption trends were continuous.

170 IEA energy statistics were extended to 2014 using BP Statistical Review of World Energy (BP, 2015), which provides annual updates of country energy totals by aggregate fuel (oil, gas and coal). BP trends for aggregate fuel consumption from 2013 to 2014 were applied to all CEDS sectors in the corresponding CEDS fuel estimates to extrapolate to 2014 energy estimates by sector and fuel from 2012 IEA values.



175 In a few cases, IEA energy data were adjusted to either smooth over discontinuities or to better match newer  
 information. For international shipping, where a number of studies have concluded that IEA reported consumption is  
 incomplete (Corbett et al., 1999; Endresen et al., 2007; Eyring et al., 2010), we have added additional fuel  
 consumption so that total consumption matches bottom-up estimates from International Maritime Organization  
 (IMO) (2014). For China, fuel consumption appears to be underestimated in national statistics (Guan et al., 2012;  
 180 Liu et al., 2015b), so coal and petroleum consumption were adjusted to match the sum of provincial estimates as  
 used in the MEIC inventory (Multi-resolution Emissions Inventory for China) used to calibrate CEDS emission  
 estimates. Several other changes were made, such as what appears to be spurious brown coal consumption over  
 1971-1984 in the IEA Other Asia region and a spike in agricultural diesel consumption in Canada in 1984.

185 Residential biomass was estimated by merging IEA energy statistics and Fernandes et al. (2007) to produce  
 residential biomass estimates by country and fuel type over 1850 - 2013. Residential biomass data was reconstructed  
 with the assumption that sudden drops in biomass consumption going back in time are due to data gaps, rather than  
 sudden energy consumption changes. Both IEA and Fernandes et al. values were reconstructed to maintain smooth  
 per capita (based on rural population) residential biomass use over time.

Detail on methods and assumption for energy consumption estimates are available in the Data and Assumption  
 Supplement (SI-Text) Sect. 3.

### 190 2.2.2 Population and other data

Consistent historical time trends are prioritized for activity driver data. For non-combustion sectors population is  
 generally used as an activity driver. United Nations (UN) Population data (UN, 2014, 2015) is used for 1950 – 2014,  
 supplemented from 1960 – 2014 with World Bank population statistics (The World Bank, 2016). This series was  
 merged with HYDE historical population data (Klein Goldewijk et al., 2010). More detail is available in SI-Text  
 195 Sect. 2.1. As described below, although we formally use population as an emissions driver, in practice emissions  
 trends from 1970 forward are generally determined by a combination of EDGAR and country level inventories.

In this data version, population is used as the non-combustion emissions driver for all but three sectors. 5C\_Waste-  
 combustion, which includes industrial, municipal, and open waste burning, is driven by pulp and paper  
 consumption, derived from Food and Agriculture Organization of the UN (FAO) Forestry Statistics (FAOSTAT,  
 200 2015). FAO statistics converted to per capita values were smoothed and linearly extrapolated backward in time.  
 1B2\_Fugitive-petr-and-gas, which are fugitive and flaring emissions from production of liquid and gaseous fuels  
 together with oil refining, is driven by a composite variable that combines domestic oil and gas production with  
 refinery inputs, derived from IEA Energy Statistics. This same driver is also used for 1B2d\_Fugitive-other-energy.  
 More detail is available in SI-Text Sect. 2.5.

### 205 2.3 Default estimates

Significant effort is devoted to creating reliable default emissions estimates, including abatement measures, to serve  
 as a starting point for scaling to match country-level inventories (Sect. 2.4) and historical extension back to 1750  
 (Sect. 2.5). While most default estimates do not explicitly appear in the final data set as they are altered to match  
 inventories (Sect. 2.4), some are not altered because inventories are not available for all regions, sectors, and  
 210 species. The method for calculating default emission factors varies by sectors and regions depending on available  
 data.

Default emissions estimates (box 2 in Figure 1), are calculated using 3 types of data (box 1 in Figure 1): activity data  
 (usually energy consumption or population), emissions inventories, and emissions factors, according to Eq. (1).

$$E_{em}^{c,s,f,t} = A^{c,s,f,t} \times EF_{em}^{c,s,f,t}$$



215 (1)

Where E is total emissions, A is activity or driver, EF is emissions factor, em is emission species, c is country, s is sector, f is fuel (where applicable), and t is year.

220 In general, default emissions for fuel combustion (sectors 1A in Table 1) are estimated from emission factors and activity drivers (energy consumption), while estimates of non-combustion emissions (sectors 1B – 7A and 1A1bc) are taken from a relevant inventory and the “implied emissions factor” is inferred from total emissions and activity drivers.

### 2.3.1 Default fuel combustion emissions

225 Combustion sector emissions are estimated from energy consumption estimates (Sect. 2.2), and emissions factors according to Eq. (1). Default emission factors for the combustion of fuels are derived from existing global data sets that detail emissions and energy consumption by sector and fuel, using Eq. (2):

$$EF_{em}^{c,s,f,t} = \frac{E_{em}^{c,s,f,t}}{A^{c,s,f,t}} \quad (2)$$

230 Where EF is default emission factor, E is total emissions as reported by other inventories, A is activity data, measured in energy consumption as reported by inventories, em is emission species, c is country, s is sector, f is fuel (where applicable), and t is year.

235 The main data sets used to derive emission factors are shown in Table 2. Default emission factors for NO<sub>x</sub>, NMVOC and CO are estimated from the global implementation of the GAINS model as released for the Energy Modeling Forum 30 project (<https://emf.stanford.edu/projects/emf-30-short-lived-climate-forcers-air-quality>) (Klimont et al., 2016, 2017; Stohl et al., 2015). BC and OC emission factors from 1850 – 2000 are estimated from the latest version of the Speciated Pollutant Emission Wizard (SPEW) (Bond et al., 2007).

240 Emission factors for CO<sub>2</sub> emissions for coal and natural gas combustion are taken from the Carbon Dioxide Information Analysis Center (CDIAC) (Andres et al., 2012; Boden et al., 1995), and further described in SI-Text Sect. 5.4. The only exception was for coal in China, where a lower oxidation fraction of 0.96 was assumed (Liu et al., 2015b). Because CEDS models liquid fuel emissions by fuel grade (light, medium, heavy), we use fuel-specific emission factors for liquid fuels also described in SI-Text Sect. 5.4.

245 Emission data are aggregated by sector and fuel to match CEDS sectors, while calculated emission factors from more aggregate data sets are applied to multiple CEDS sectors, fuels, or countries. When incomplete time series are available, emission factors are generally assumed constant back to 1970 linearly interpolated between data points, and extended forward to 2014 using trends from GAINS to produce a complete times series of default emission factors. Many of these interpolated and extended values are later scaled to match county inventories (Sect. 2.4).

250 Most of the default emission factors are derived from sources that account for technology efficiencies and mitigation controls over time, but some are estimated directly from fuel properties (e.g., fuel sulfur content for SO<sub>2</sub> emissions). A control percentage is used to adjust the emission factor in these cases. In the data reported here the control percentage is primarily used in SO<sub>2</sub> calculations (see SI-Text Sect. 5.1) where the base emission factor is derived directly from fuel properties; however, this functionality is available when needed for other emission species. In most of these cases emissions are later scaled to match inventory data.



**Table 2 Data Sources used to estimate default emissions factors for fuel combustion and default emissions from non-combustion sectors**

Source Sector	Emission Species	Data Source
Fuel Combustion (1A)	NO <sub>x</sub> , NMVOC, CO	GAINS energy use and emissions (Klimont et al., 2016; Stohl et al., 2015).
	BC, OC	SPEW energy use and emissions (Bond et al., 2007)
	SO <sub>2</sub>	(Europe) GAINS sulfur content and ash retention (Amann et al., 2015; IIASA, 2014a, 2014b). Smith et al. (2011) and additional sources for other regions (SI-Text 5.1)
	NH <sub>3</sub>	US NEI energy use and emissions (US EPA, 2013)
	CO <sub>2</sub>	CDIAC (Boden et al., 2016)
Fugitive Petroleum and Gas (1B)	All	EDGAR emissions(EC-JRC/PBL, 2016), ECLIPSE V5a (Stohl et al., 2015)
Cement (2A1)	CO <sub>2</sub>	CDIAC (Boden et al., 2016)
Agriculture Sectors (3)	All	EDGAR emissions (EC-JRC/PBL, 2016)
Waste Combustion (5C)	All	(Akagi et al., 2011; Andreae and Merlet, 2001; Wiedinmyer et al., 2014) (SI-Text Sect. 6.3)
Waste Water Treatment (5D)	NH <sub>3</sub>	CEDS estimate of NH <sub>3</sub> from human waste (SI-Text Sect. 6.4)
Other Non-Combustion (2A – 7A)	SO <sub>2</sub>	(Smith et al., 2011) and other sources (SI-Text Sect. 6.5)
	Other	EDGAR emissions (EC-JRC/PBL, 2016)

255

### 2.3.2 Default non-combustion emissions

Default non-combustion emissions, are generally taken from existing emissions inventories, primarily EDGAR (EC-JRC/PBL, 2016) and some additional sources for specific sectors detailed in Table 2. Default emissions from sectors not specifically called out in Table 2 or the text below are taken from EDGAR (EC-JRC/PBL, 2016). Other data sources and detailed methods are explained in the SI-Text Sect. 6. For detailed sector definitions refer to Sect. A2.

260

When complete trends of emissions estimates are not available, they are extended in a similar manner as combustion emissions: emission factors are inferred using Eq. (2) and (with few exceptions) using population as an activity driver; emission factors (e.g. per-capita emissions) are linearly interpolated between data points and extended forward and back to 1970 and 2014 to create a complete trend of default emission factors; and default emissions estimates are calculated using Eq. (1).

265

For this data set, all non-combustion sectors (except for 5C\_Waste-combustion) use population as the activity driver since this provides for continuous historical time series where interpolations were needed. In practice, since EDGAR is generally used for default non-combustion data source, we are relying on EDGAR trends by country to extend emissions data beyond years where additional inventory information does not exist (with exceptions as noted in Table 2). Sector uses pulp and paper consumption, detailed in Sect. 2.2; while the waste combustion sector, which incorporates solid waste disposal (incineration) and residential waste combustion, and is the product of combustion, in this system it is methodologically treated as a non-combustion sector.

270



275 We note that, while emissions from sector 1A1bc\_Other\_transformation are also due to fuel combustion, due to the complexity of the processes included, this sector is treated as a non-combustion sector in CEDS in terms of methodology. This means that fuel is not used as an activity driver and that default emissions for this sector are taken from SPEW for BC and OC and EDGAR for other emissions. The major emission processes in this sector include coal coke production, oil refining, and charcoal production. A mass balance calculation for SO<sub>2</sub> and CO<sub>2</sub> focusing on coal transformation was also conducted to assure that these specific emissions were not underestimated, particularly for periods up to the mid 20<sup>th</sup> century (SI-Text Sect. 5.4, 6.5.2, and 8.3.2).

280 During the process of emissions scaling we found that default emissions were sometimes 1-2 orders of magnitude different from emissions reported in national inventories. This is not surprising, since non-combustion emissions can be highly dependent on local conditions, technology performance, and there are also often issues of incompleteness of inventories. In these cases, we implemented a process whereby default non-combustion emissions were taken directly from national inventories, and gap-filled and trended over time using EDGAR estimates. These were largely  
 285 fugitive and flaring emissions (1B) for SO<sub>2</sub>; soil(3D), manure(3B), and waste water(5D) emissions for NH<sub>3</sub>; and non-combustion emissions for NMVOCs, typically associated with solvent use.

#### 2.4 Scaling emissions

290 CEDS uses a “mosaic” strategy to scale default emissions estimates to authoritative country-level inventories when available. The goal of the scaling process is to match CEDS emissions estimates to comparable inventories while retaining the fuel and sector detail of the CEDS estimates. The scaling process modifies CEDS default emissions and emission factors, but activity estimates remain the same.

295 A set of scaling sectors is defined for each inventory so that CEDS and inventory sectors overlap. These sectors are chosen to be broad, even when more inventory detail is available, because it is often unclear if sector definitions and boundaries are comparable between data sets. For example, many inventories do not consistently break out Industry auto-producer electricity from other industrial combustion, so they are combined together for scaling. Additionally, underlying driver data in inventories and CEDS may not match. Scaling detailed sectors that were calculated using different energy consumption estimates would yield unrealistic scaled emission factors at a detailed sector level. One example is off-road emissions; while often estimated in country inventories, energy consumption data at this level is not consistently available from the IEA energy statistics, so these emissions are combined into broader  
 300 sector groupings, depending on the sector categories available in a specific inventory.

The first step in this process is to aggregate CEDS emissions and inventory emissions to common scaling sectors, then scaling factors are calculated with Eq. (3). Scaling factors represent the ratio between CEDS default estimates and scaling inventory estimates by scaling sector and provide a means for matching CEDS default estimates to scaling inventories.

$$SF_{em}^{c,ss,t} = \frac{Inv_{em}^{c,ss,t}}{CEDS_{em}^{c,ss,t}}$$

305 (3)

Where SF is scaling factor, Inv is the inventory emissions estimate, CEDS is the CEDS emissions estimate, em is emission species, c is country, ss is aggregate scaling sector (unique to inventory), and t is year.

310 For each inventory, scaling factors are calculated for years when inventory data is available. Calculated scaling factors are limited to a factor of 100. Scaling factors more than 100 or less than 1/100 may result from discontinuities or misreporting in inventory data; imperfect scaling maps between CEDS sectors, inventory sectors, and scaling sectors; or default CEDS emissions estimates that are drastically different than reported inventories. Many of these cases were resolved by using the detailed inventory data as default emissions data, as noted above in



315 Sect 2.3.2. Where inventory data is not available over a portion of the specified scaling timeframe, remaining scaling factors are extended, interpolated between, and smoothed over to provide a continuous trend. Scaling factors are applied to corresponding CEDS default emissions estimates and default emission factors to produce a set of scaled emissions components (total emissions and emission factors, together with activity drivers, which are not changed), which are used in the historical extension (Sect. 2.5). Using scaling factors retains the sector and fuel level detail of CEDS default emissions estimates, while matching total values to authoritative emissions inventories.

320 We use a sequential methodology in which CEDS values are generally first scaled to EDGAR (EC-JRC/PBL, 2016), then national inventories, where available. Final CEDS results, over the period these inventories were available, match the last inventory scaled. SO<sub>2</sub>, BC, and OC are not scaled to EDGAR values. For all pollutant species other than BC and OC, estimates are then scaled to match country-level emissions estimates. These are available for most of Europe through European Monitoring and Evaluation Programme (EMEP) for European countries post 1980  
 325 (EMEP, 2016); the United Nations Framework Convention on Climate Change (UNFCCC) GHG data for Belarus, Greece and New Zealand (UNFCCC, 2015) post 1990; an updated version of Regional Emissions Inventory in Asia (REAS) for Japan (Kurokawa et al., 2013a); Multi-resolution Emissions Inventory for China (MEIC) for China (Li et al., 2017); and others detailed in Table 3. BC and OC emissions estimates are entirely from default estimates calculated using predominantly SPEW data. While BC inventory estimates were available in a few cases, OC  
 330 estimates were less available, so we have retained the consistent BC and OC estimates from SPEW for all countries.

The scaling process was designed to allow for exceptions when there are known discontinuities in inventory data or when the default scaling options resulted in large discontinuities. For example, Former Soviet Union countries were only scaled to match EDGAR and other inventories after 1992 (where energy data becomes more consistent). Romania, for example, was only scaled to match EDGAR in 1992, 2000, and 2010 to avoid discontinuities. For the  
 335 most part, these exceptions occur for countries with rather limited penetration of control measures or only low efficiency controls as regions with stringent emission standards requiring extensive application of high efficiency controls have typically high quality national inventories, e.g., European Union, North America, and parts of Asia.

Description of the exceptions and assumptions for all scaling inventories, as well as a detailed example of the scaling process is available in SI-Text Sect. 7.

340 **Table 3 Data Sources for Inventory Scaling. All countries scaled to EDGAR, then individual estimates.**

Region/ Country	Years	Data Source
All, where available	1970 - 2008	EDGAR 4.3 (EC-JRC/PBL, 2016)
Europe	1980 - 2012	(EMEP, 2016)
Greece, New Zealand, Belarus	1990 - 2012	(UNFCCC, 2015)
Other Asia	2000 - 2008	REAS 2.1 (Kurokawa et al., 2013a)
Argentina	1990 - 1999, 2001 - 2009, 2011	(Argentina UNFCCC Submission, 2016)
Australia	2000, 2006, 2012	(Australian Department of the Environment, 2016)
China	2008, 2010, 2012	(Li et al., 2017)
Canada	1985 - 2011	(Environment and Climate Change Canada, 2016; Environment Canada, 2013)
Japan	1960 - 2010	Preliminary version of Kurokawa et al., (2013b)
South Korea	1999 - 2012	(South Korea National Institute of Environmental Research, 2016)



Taiwan	2003, 2006, 2010	(TEPA, 2016)
USA	1970, 1975, 1980, 1985, 1990 - 2014	(US EPA, 2016)

## 2.5 Pre-1970 emissions extension

345 Historical emissions and energy data before 1970 generally does not have the same detail as more modern data. In  
 general we extend activity and emission factors back in time separately, with a time and sector specific options to  
 capture changes in technologies, fuel mixes, and activity. This allows for consistent methods across time and  
 sectors, rather than piecing together different sources and smoothing over discontinuities, which was done in  
 previous work (Lamarque et al., 2010). For most emission species and sectors the assumed historical trend in  
 activity data has a large impact on emission trends. Activity for many sectors and fuels, such as fossil liquid and gas  
 350 fuels, are small or zero by 1900. Some cases where emission factors are known to have changed over time have also  
 been incorporated.

### 2.5.1 Pre-1970 activity drivers

355 IEA Energy Statistics, which are the foundation for energy estimates in this data set, go back to 1960 at the earliest.  
 Fossil fuels are extended using CDIAC emissions, SPEW energy data, and assumptions about fuel type and sector  
 splits in 1750, 1850, and 1900, detailed in the SI-Text Sect. 8.1. First total fuel use for three aggregate fossil fuel  
 types, coal, oil, and gas, are estimated over 1750 - 1960/1970 for each country using historical national CO<sub>2</sub>  
 estimates from the Carbon Dioxide Information Analysis Center (CDIAC) (Andres et al., 1999; Boden et al., 2016).

360 For coal only, these extended trends were matched with SPEW estimates of total coal use, which are a composite of  
 UN data (UN, 2016) and Andres et al., (1999). This resulted in a more accurate extension for a number of key  
 countries. SPEW estimates at every 5 years were interpolated to annual values using CDIAC CO<sub>2</sub> time series,  
 resulting in an annual time series. For coal, petroleum and natural gas, aggregate fuel use was disaggregated into  
 specific fuel types (e.g., brown coal, hard coal and coal coke; light, medium, and heavy oil) by smoothly  
 transitioning between fuel splits by aggregate sector from the IEA data to SPEW fuel type splits in earlier time  
 periods. Finally fuel use was disaggregated into sectors in a similar manner, smoothly transitioning between CEDS  
 365 sectoral splits in either 1970 or 1960 to SPEW sectoral splits by 1850. A number of exogenous assumptions about  
 fuel and sector splits over time were also needed in this process. More detail on this method can be found in  
 supplement SI-Text Sect. 8.1.1.

370 While most biomass fuels are consumed in the residential sector, whose estimation was described above (Sect.  
 2.2.1), biomass consumed in other sectors are extended using SPEW energy data and population. 1970 CEDS  
 estimates of biomass used in industrial sectors are merged to SPEW values by 1920. Biomass estimates from 1750 –  
 1850 are estimated by assuming constant per-capita values.

375 Activity drivers for non-combustion sectors in modern years are primarily population estimates. Most historical  
 drivers for non-combustion sectors are also population, while some, shown in Table 4, are extended with other data.  
 These are mostly sectors related to chemicals and solvents that are extended with CO<sub>2</sub> trends from liquid fuel use.  
 Waste combustion is estimated by historical trends for pulp and paper consumption. The driver for sectors 1B2 and  
 1B2d, refinery and natural gas production, is extended using CDIAC CO<sub>2</sub> emissions for liquid and gas fuels.

**Table 4 Historical Driver Extensions for Non-Combustion Sectors**

Non-Combustion Sector	Modern Activity Driver	Historical Extension Trend
1B2_Fugitive-petr-and-gas	Refinery and natural gas production	CDIAC – liquid and gas fuels CO <sub>2</sub>



1B2d_Fugitive-other-energy	Refinery and natural gas production	CDIAC – liquid and gas fuels CO <sub>2</sub>
2B_Chemical-industry	population	CDIAC – liquid fuels CO <sub>2</sub>
2D_Degreasing-Cleaning	population	CDIAC – liquid fuels CO <sub>2</sub>
2D_Paint-application	population	CDIAC – liquid fuels CO <sub>2</sub>
2D3_Chemical-products-manufacture-processing	population	CDIAC – liquid fuels CO <sub>2</sub>
2D3_Other-product-use	population	CDIAC – liquid fuels CO <sub>2</sub>
2L_Other-process-emissions	population	CDIAC – liquid fuels CO <sub>2</sub>
5C_Waste-combustion	Pulp and paper consumption	
7A_Fossil-fuel-fires	population	CDIAC – cumulative solid fuels CO <sub>2</sub>
All Other Process Sectors	population	

### 2.5.2 Pre-1970 emission factors

380 In 1850, the only fuels are coal and biomass used in residential, industrial, rail, and international shipping sectors, and many non-combustion emissions are assumed to be zero. Emission factors are extended back in time by converging to a value in a specified year (often 0 in 1850 or 1900), remaining constant, or following a trend. For some non-combustion emissions we use an emission trend instead of an emission factor trend. Ideally, sector-specific activity drivers would extend to zero, rather than emissions factors; however, we often use population as the activity driver,  
 385 because of the lack of complete, historical trends. Extending the emissions factor (e.g., the per capita value) to zero approximates the decrease to zero in the actual activity.

BC and OC emission factors for combustion sectors were extended back to 1850 by sector and fuel using the SPEW database and held constant before 1850. Combustion emission factors for NO<sub>x</sub>, NMVOC, and CO in 1900 are drawn from a literature review, primarily Winijkul et al (2016). These emission factors were held constant before 1900 and  
 390 linearly interpolated between 1900 and 1970. Additional data sources and details are available in the SI-Text Sect. 8.2.

Many non-combustion emissions were trended back with existing data from the literature. These include trends from SPEW (Bond et al., 2007), CDIAC (Boden et al., 2016), sector specific sources such as SO<sub>2</sub> smelting and pig iron production, and others, detailed in Table 5. Emissions factors for remaining sectors were linearly interpolated to zero in specified years based on a literature review ((Bond et al., 2007; Davidson, 2009; Holland et al., 2005;  
 395 Smith et al., 2011)). Further methods and data sources are found in SI-Text Sect. 8.3.

Emissions from mineral and manure emissions are often inconsistently reported; 3B\_Manure-management and 3D\_Soil-emissions together, so CEDS total estimates should be reliable. However, there might be inconsistencies going back in time. We assume that the dominant trend from 1960 onward is mineral fertilizer, then scaled it globally using Davidson et al. (2009) going back in time.

400 **Table 5 Historical Extension Method and Data Sources for Emission Factors**

Sector	Emission Species	Extension Method	Data Source
All Combustion Sectors	NMVOC, CO, NO <sub>x</sub>	Interpolate to value in 1900	Detailed in SI-Text (Sect. 8.2.1)
All Combustion Sectors	BC, OC	EF trend	SPEW
2Ax_Other-minerals, 2D_Degreasing-Cleaning, 2D_Paint-application, 2D3_Chemical-products-manufacture-processing, 2D3_Other-product-use,	All	Interpolate to zero in specified year [EFs are emissions per capita values]	Detailed in SI-Text (Sect. 8.3.1)



2H_Pulp-and-paper-food-beverage-wood, 2L_Other-process-emissions, 5A_Solid-waste-disposal, 5C_Waste-combustion, 5E_Other-waste-handling, 7A_Fossil-fuel-fires			
5D_Wastewater-handling,	NH <sub>3</sub>	Interpolate to value in specified year	
3B_Manure-management	NH <sub>3</sub> , NO <sub>x</sub>	EF trend Emissions trend	Manure Nitrogen per capita (Holland et al., 2005) See SI-Text (Sect. 8.3.1)
3D_Soil-emissions	NH <sub>3</sub> , NO <sub>x</sub>	EF trend Emissions trend	1961-1970: Emissions trend using total nitrogen (N) fertilizer by country 1860-1960: per-capita emissions scaled by global N fertilizer (Davidson, 2009) See Supplemental Information (Sect. 8.3.1)
1A1a_Electricity-public, 1A1a_Heat-production, 1A2g_Ind-Comb-other, 1A3c_Rail, 1A4a_Commercial-institutional, 1A4b_Residential	SO <sub>2</sub>	EF trend	(Gschwandtner et al., 1986)
1A1bc_Other-transformation	BC, OC	Emissions Trend	Pig iron production (SPEW, USGS, other)
1A1bc_Other-transformation	others	Emissions Trend	Total fossil fuel CO <sub>2</sub> (CDIAC)
2A1_Cement-production, 2A2_Lime-production	-	Emissions Trend	CDIAC Cement CO <sub>2</sub>
2C_Metal-production	SO <sub>2</sub>	Emissions Trend	Smith et al. (2011) Emissions
2C_Metal-production	CO	Emissions Trend	Pig iron production
2C_Metal-production	others	Emissions Trend	CDIAC solid fuel CO <sub>2</sub>

## 2.6 Gridded emissions

Final emissions are gridded to facilitate use in Earth system, climate, and atmospheric chemistry models. Gridded outputs are generated as CF-compliant NetCDF files (<http://cfconventions.org/>). Final emissions are aggregated to 16 intermediate sectors (Table 6) and downscaled to a 0.5 x 0.5 degree grid. Country-aggregate emissions by sector are spatially distributed using normalized spatial proxy distributions for each country, plus global spatial proxies for shipping and aircraft, then combined into global maps. For grid cells that contain more than one country, the proxy spatial distributions are adjusted to be proportional to area fractions of each country occupying that cell. Gridded emissions are aggregated to 9 sectors for final distribution: agriculture, energy, industrial, transportation, residential/commercial/other, solvents, waste, international shipping, and aircraft (more detail in SI-Text Sect 9.1). Emissions are distributed over 12 months using spatially-explicit, sector-specific, monthly fractions, largely from the ECLIPSE project, and converted from mass units (kt) to flux ( $\text{kg m}^{-2}\text{s}^{-1}$ ).

Proxy data used for gridding in most CEDS sectors are primarily gridded emissions from EDGAR v4.2(EC-JRC/PBL, 2012) and HYDE population (Goldewijk et al., 2011). Flaring emissions use a blend of grids from EDGAR and ECLIPSE (Klimont et al., 2016). Road transportation uses the EDGAR 4.3 road transportation grid, which is significantly improved over previous versions (EC-JRC/PBL, 2016), but was only available for 2010, so this is used for all years. When the primary proxy for a specific country/region, sector, and year combination is not available, CEDS uses gridded population from Gridded Population of the World (GPW) (Doxsey-Whitfield et al., 2015) and HYDE as backup proxy. Whenever available, proxy data is from annual gridded data, however proxy grids for sectors other than RCO (residential, commercial, other) and waste are held constant before 1970 and after 2008. Specific proxy data sources are detailed in Table 6. As noted above, these proxy data were used to distribute



emissions spatially within each country such that country totals match the CEDS inventory estimates. More detail on gridding can be found in the SI-Text Sect. 9.

425 The gridded emissions data were assigned seasonal patterns by month and sector, as further described in the SI-Text Section 9.4. For most sectors emissions seasonality was derived from seasonality profiles developed for the ECLIPSE project, except for international shipping (from EDGAR) and aircraft (from Lee et al. (2009), as used in Lamarque et al. 2010).

**Table 6 Proxy Data used for Gridding**

<b>CEDS intermediate gridding sector definition</b>	<b>Proxy Data Source</b>	<b>Years</b>
Residential, Commercial, Other (Residential and Commercial)	HYDE Population (Decadal values, interpolated annually)	1750 - 1899
	EDGAR v4.2 (1970) blended with HYDE Population	1900 - 1969
	EDGAR v4.2 RCORC	1970 - 2008
Residential, Commercial, Other (Other)	HYDE Population (Decadal values, interpolated annually)	1750 - 1899
	EDGAR v4.2 (1970) blended with HYDE Population	1900 - 1969
	EDGAR v4.2 RCOO	1970 - 2008
Agriculture	EDGAR v4.2 AGR	1970 - 2008
Electricity and heat production	EDGAR v4.2 ELEC	1970 - 2008
Fossil Fuel Fires	EDGAR v4.2 FFFI	1970 - 2008
Fuel Production and Transformation	EDGAR v4.2 ETRN	1970 - 2008
Industrial Combustion	EDGAR v4.2 INDC	1970 - 2008
Industrial process and product use	EDGAR v4.2 INPU	1970 - 2008
Road Transportation	EDGAR v4.3 ROAD (2010)	1750 - 2014
Non-road Transportation	EDGAR v4.2 NRTR	1970 - 2008
International Shipping	ECLIPSE + additional data (1990 - 2015)	1990 - 2010
International Shipping (Tanker Loading)	ECLIPSE + additional data (1990 - 2015)	1990 - 2010
Solvents production and application	EDGAR v4.2 SLV	1970 - 2008
Waste	HYDE Population, GPW v3 (modified rural population)	1750 - 2014
Oil and Gas Fugitive/Flaring	ECLIPSE FLR 1990, 2000, 2010 EDGAR v4.2 ETRN (1970 - 2008)	1970 - 2010
Aircraft	CMIP5 (Lamarque et al., 2010; Lee et al., 2009)	1850 - 2008

430 \* Spatial proxy data within each country is held constant before and after the years shown. See Supplement for further details on the gridding proxy data including definitions for the EDGAR gridding codes in this table.

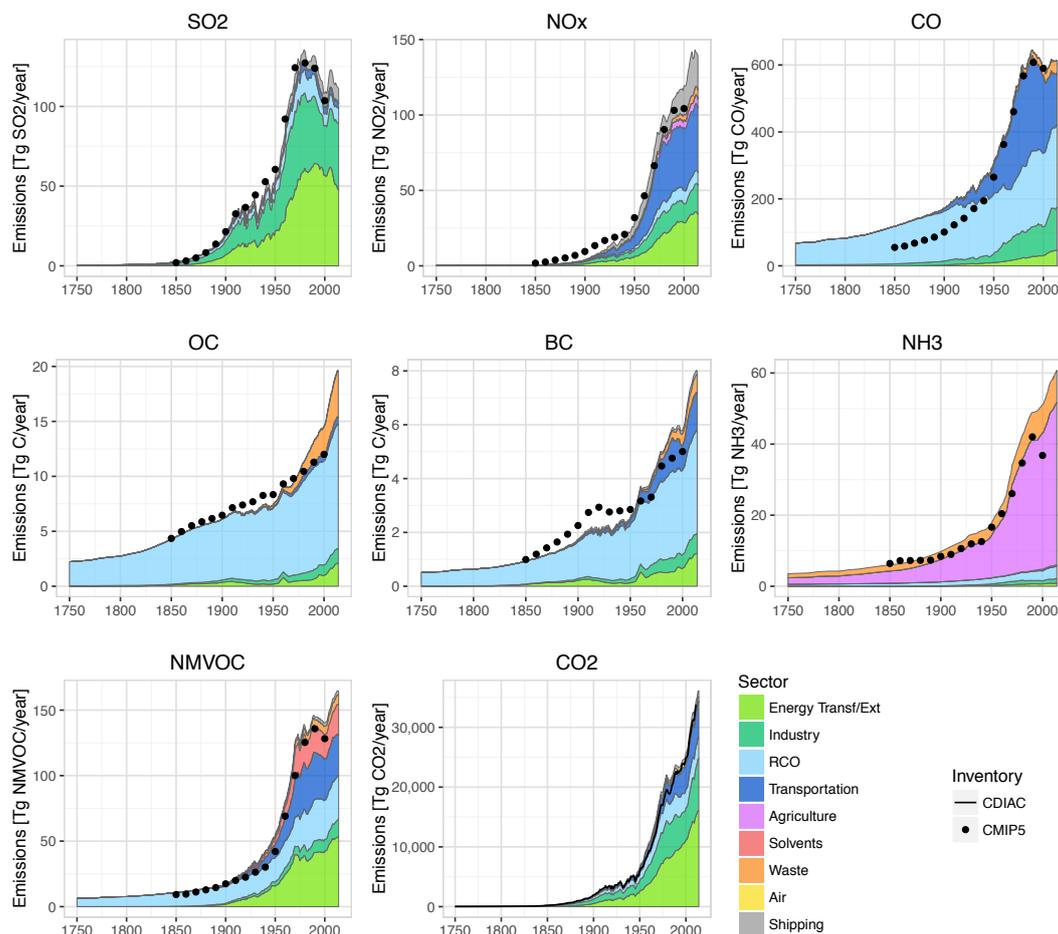
## 2.7 Additional methodological detail

The above sections discuss the general approach to the methodology used in producing this data set, but there are a number of exceptions, details on additional processing and analysis, and data sources that are provided in the Supplemental files.

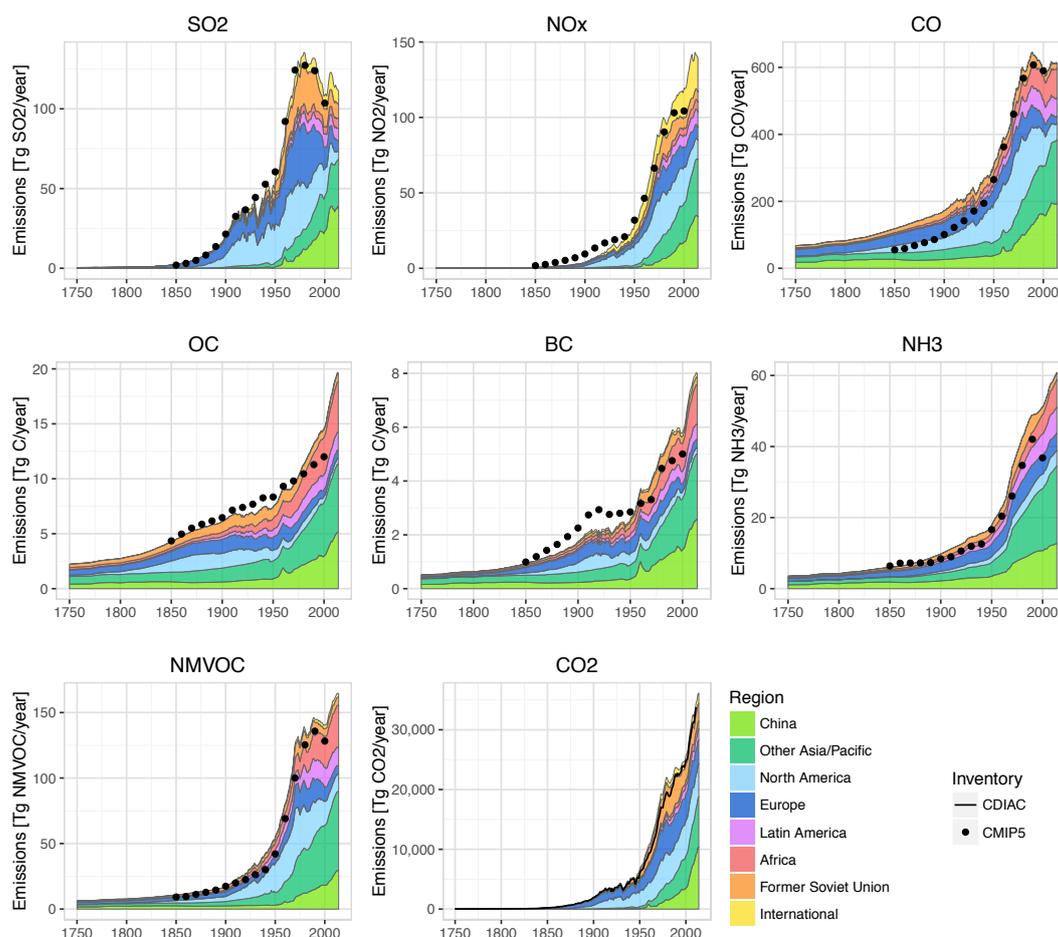


435 **3 Results and discussion**

**3.1 Emissions trends**



440 **Figure 2: CEDS emissions estimates by aggregate sector compared to Lamarque et al. (2010) (dots) and CDIAC (line) for CO<sub>2</sub>. For a like with like comparison, these figures do not include aviation or agricultural waste burning on fields. ‘RCO’ stands for residential, commercial, and other.**



**Figure 3: Emissions estimates by region compared to Lamarque et al. (2010) (dots) and CDIAC (line) for CO<sub>2</sub>. For a like with like comparison, these figures do not include aviation or agricultural waste burning on fields.**

445 Figure 2 and Figure 3 show global emissions over time by aggregate sector and region, respectively, from 1750 – 2014. Definitions of aggregate sectors and regions are shown in Supplemental Figure and Tables, Sect. A. The supplement Sect. B contains line graph versions of these figures, emissions by fuel, and regional versions of Figure 2 and Figure 3.

450 In 1850, the earliest year in which most existing data sets provide estimates, most anthropogenic emissions are dominated by residential sector (cooking and heating) and therefore products of incomplete combustion BC, OC, CO, and NMVOC. In 1850, anthropogenic emissions, as shown in Figure 2 and Figure 3, make up approximately 20 – 30% of total global emissions (grassland and forest burning, estimated by Lamarque et al. (2010)) for BC, OC, NMVOC, and CO but only 3% of global NO<sub>x</sub> emissions.

455 In the late 1800s through mid 20<sup>th</sup> century, global emissions transition to a mix of growing industrial, energy transformation and extraction (abbreviated as "Energy Trans/Ext"), and transportation emissions with a relatively steady global base of residential emissions (primarily biomass and later coal for cooking and heating). The 20<sup>th</sup>



century brought a strong increase in emissions of pollutants associated with the industrial revolution and development of the transport sectors (SO<sub>2</sub>, NO<sub>x</sub>, CO<sub>2</sub>, NMVOC). BC and OC exhibit steadily growing emissions dominated by the residential sector over the century, while other sectors begin to contribute larger shares in 1950. The last few decades increasingly show, even at the global level, the impact of strong growth of Asian economies. The Haber-Bosch invention (ammonia synthesis) about 100 years ago allowed fast growth in agricultural production, stimulating population growth and a consequent explosion of NH<sub>3</sub> emissions (Erisman et al., 2008). Before 1920 global emissions for all species are less than 10% of year 2000 global values.

For several decades after 1950 global emissions grow quickly for all species. SO<sub>2</sub> continues to be dominated by industry and energy transformation and extraction sectors. In the later parts of the century, while Europe and North American SO<sub>2</sub> emissions decline as a result of emission control policies, SO<sub>2</sub> emissions in Asia continue to grow. NH<sub>3</sub> is dominated by the agriculture sectors and NMVOCs by industry and energy transformation and extraction sectors. Transportation emissions have grown steadily and became an important contribution to NO<sub>x</sub>, NMVOC, and CO emissions. Growth in CO emissions over the century is due to transportation emission globally until the 1980s and 90s when North America and Europe introduced catalytic converters. Other regions followed more recently resulting in a declining transport contribution, however, CO emissions in Asia and Africa have continued to rise due to population-driven residential biomass burning. Similarly, while NO<sub>x</sub> from transportation sectors have decreased in recent years, total global NO<sub>x</sub> emissions have increased quickly since 2005 due to industry and energy sectors in all parts of Asia. BC and OC increases since 1950 have been dominated by residential emissions from Africa and Asia but growing fleets of diesel vehicles in the last decades added to the burden of BC emissions.

BC emissions from residential biomass are shown in Figure 4 alongside rural population by region. Other Asia, Africa, and China dominate residential biomass BC emissions, which are regions with the largest rural populations. While residential biomass in most regions follow rural population trends, emissions in Latin America stay flat while its rural population has steadily increased since 1960, and emissions in China flatten more dramatically after 1990 than rural population.

Of the emission species estimated, SO<sub>2</sub> is the most responsive to global events such as war and depressions. SO<sub>2</sub> emissions are primarily from non-residential fuel burning and industrial processes which vary with economic activity, where other species have a base of residential biomass burning or agriculture and waste emissions. In this data set, these emissions remain steady within the backdrop of variable economic conditions, while events such as World Wars or the collapse of the Soviet Union can be seen most clearly in annual SO<sub>2</sub> emissions. We note that the relative constancy of residential and agricultural emissions is, to some extent, a result of a lack of detailed time series data for the drivers of these emissions in earlier periods. Variability for these sectors in earlier years, therefore, might be underestimated.

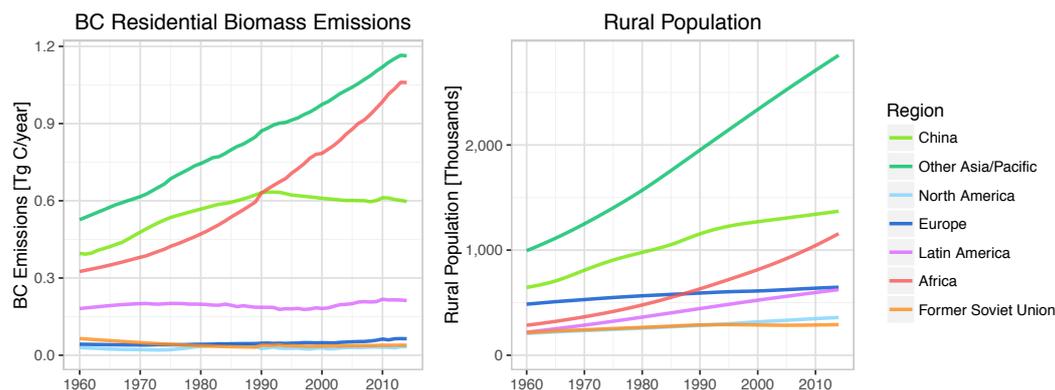


Figure 4: (Left) BC residential biomass emissions by region and (right) rural population by region.

### 495 3.2 Emissions trends in recent years (2000 - 2014)

After 2000, many species of emissions follow similar trends as the late 20<sup>th</sup> Century, as shown in Fig. 5, with further details in the SI-Figures Sect. C, E, and G.

500 BC and OC steadily grow in Africa and Other Asia from residential biomass emissions, which are driven by continued growth of rural populations. While most BC emission growth in China is due to energy transformation, primarily coke production, the residential, transportation, industry and waste sectors all contribute smaller, but similar growth over 2000 – 2014. See Sect. 3.4 for a discussion of uncertainty.

505 NH<sub>3</sub> continues its steady increase mostly due to agriculture in Asia and Africa. Global CO<sub>2</sub> emissions rise due to steadily rising emissions across most sectors in China and Asia and moderately rising emissions in Africa and Latin America, while emissions in North America and Europe flatten or decline after 2007 (largely due to the energy transformation and extraction sectors).

510 Global CO emissions flatten, despite increasing CO emissions in China and Other Asia, and Africa, which is offset by a continuing decrease of transportation CO emissions in North America and Europe. CO emissions in China increase then flatten after 2007, despite continually decreasing transportation CO emissions, which are offset by an increase in industrial emissions. Similarly, after an increase from 2000 – 2005, global SO<sub>2</sub> emissions flatten despite increasing emissions in China and Other Asia due to steadily decreasing emissions in Europe, North America, and the Former Soviet Union. SO<sub>2</sub> emissions from energy transformation in China have declined since 2005 with the onset of emissions controls in power plants, however industrial emissions remained largely uncontrolled and became the dominating SO<sub>2</sub> emissions in China.

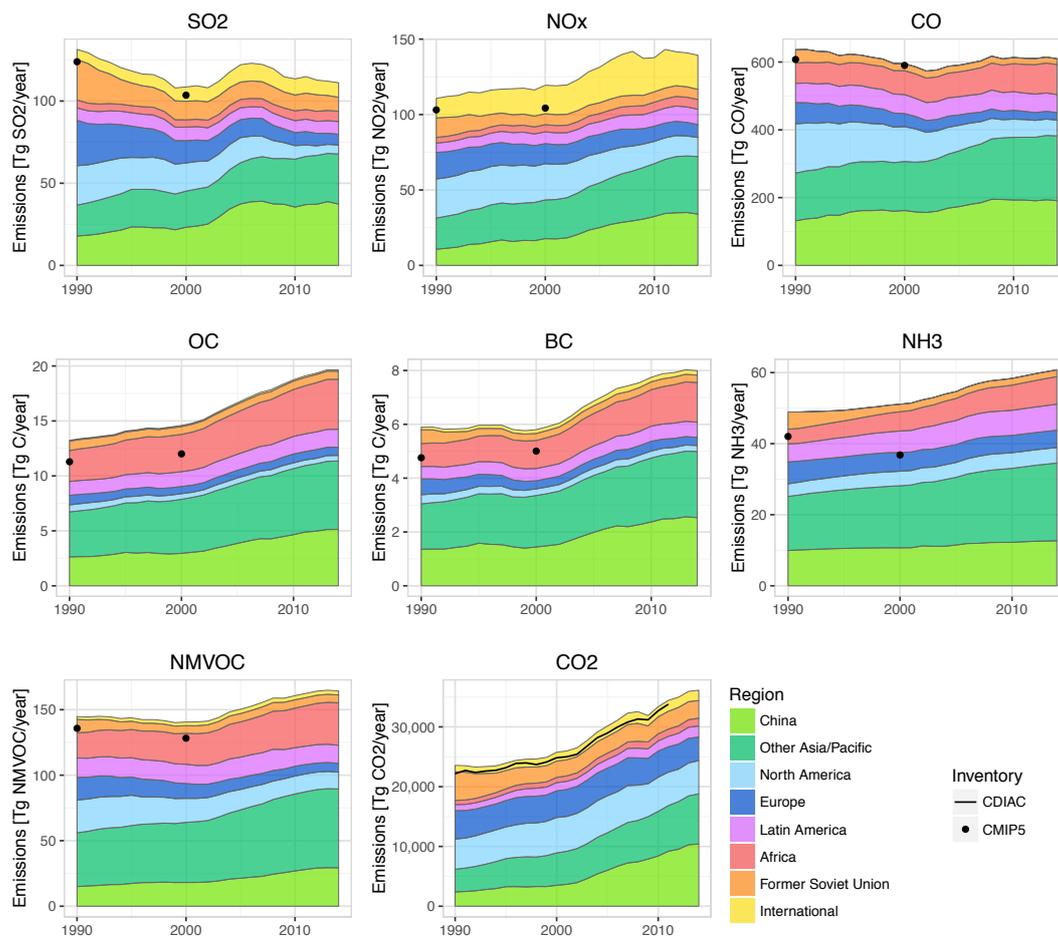
515 Global NO<sub>x</sub> emissions rise then flatten around 2008, with industrial emissions growing steadily after 2000 offset by international shipping emissions grow then decrease after 2007, while global emissions in other sectors stay flat. NO<sub>x</sub> emissions in North America and Europe decline due to transportation and energy transformation, while emissions in China and Other Asia continue to grow, also in the transportation and energy transformation. Growth of NO<sub>x</sub> emissions in Other Asia, almost completely offset reductions in NO<sub>x</sub> emissions in North America from 2000 – 2014. In China, industry continually grows since 2003, transportation began to flatten around 2007, and the energy transformation and extraction sectors began declining in 2011 following the introduction of more stringent emission standards for power plants.

520 Globally NMVOC emissions increase over the period, to varying developments across the regions but in large part due to increases in energy emissions. NMVOC emissions increase in China from solvents, Other Asia from



525 transportation, and Africa from energy transformation; decline in Europe and North America due to transportation and solvents, and stay flat in other regions.

530 As discussed in the Sect. 3.4, trends in recent years are more uncertain as they rely on new activity data and emission factors extended outside inventory scaling years. Some of the notable trends in CEDS emissions estimates in recent years are also from particularly uncertain sources. OC and BC emission estimates have some of the highest degrees of uncertainty in global inventories, and waste sectors in particular are highly uncertain. Additionally, a lot of global growth can be attributed to sectors that, in the CEDS system follow population trends over the most recent few years (e.g. waste, agriculture, and residential biomass); are from inherently uncertain sectors (e.g. waste); or in China where emissions remain uncertain because the accounting of total emissions, emissions factors, fuel properties, and energy use data have been subject to corrections and subsequent debate (Hong et al., 2017; Korsbakken et al., 2016; Liu et al., 2015b; Olivier et al., 2015).



535

**Figure 5: Recent emissions estimates (1990 - 2014) by region compared to Lamarque et al. (2010) (dots) and CDIAC (line) for CO<sub>2</sub>. Shows same data as Figure 3 over a shorter time scale. For like with like comparison, these figures do not include aviation or agricultural waste burning on fields.**



### 3.3 Comparison with other inventories

540 Differences between CEDS emissions and other inventory estimates are described below. The reasons depend on emissions species, but are largely due to updated emissions factors, increased detail in fuel and sector data, and a new estimate of waste emissions (however see Sect. 3.4).

#### 3.3.1 CMIP5 (Lamarque et al., 2010)

545 The emissions data used for CMIP5 (Lamarque et al., 2010) also used a “mosaic” methodology, combining emission estimates from different sources. The CEDS methodology provides a more consistent estimate over time since driver data is used to produce consistent trends. Emissions in earlier years, particularly before 1900, also differ because CEDS differentiates between biomass and coal combustion, which has a large impact on CO and NO<sub>x</sub> emissions. The (Lamarque et al., 2010) estimates for early years were drawn from the EDGAR-HYDE estimates (van Aardenne et al., 2001), which did not distinguish between these fuels. Figures showing comparisons between CMIP5 and  
 550 CEDS globally by sector and for the top 5 emitting CMIP5 regions are shown in Sect. H of the Figures and Tables Supplement.

CEDS global SO<sub>2</sub> estimates are very similar to CMIP5 estimates, as similar methods and data were used to develop both estimates (Smith et al., 2011).

555 CEDS NO<sub>x</sub> emissions are smaller than the CMIP5 estimates until the mid-20<sup>th</sup> century. This is largely because of explicit representation of the lower NO<sub>x</sub> emissions from biomass fuels in early periods, which combusts at lower temperatures as compared to coal. In 1970 CEDS NO<sub>x</sub> emissions begin to diverge from CMIP5 estimates, generally larger due to waste, transportation, and energy sectors. CEDS emissions remain about 10% larger than CMIP5 in 1980 and 1990. Both global estimates increase and start to flatten around 1990. However, CEDS values flatten until 2000 and then increase again, while CMIP5 values decrease from 1990 to 2000.

560 CEDS CO estimates before 1960 are increasingly larger than CMIP5 estimates going back in time, reaching a factor of two by 1850 due to the explicit representation of biomass. In 1900, CEDS estimates are 70% larger than CMIP5, 98% of which is due to RCO emissions. CEDS estimates are slightly larger than CMIP5 post 1960 (8% in 1960 and 1970 and less than 5% from 1980 – 2000).

565 CEDS OC estimates are within 10% but smaller than CMIP5 estimates through 1970, when CEDS estimates quickly increase and become larger (at most 25% larger) than CMIP5 estimates. BC emissions are similar, although CEDS estimates are smaller (sometimes by 25%) than CMIP5 until 1960 when CEDS estimates increase quickly, up to 25% larger than CMIP5 estimates. Differences in BC in the early 20th century are mostly from residential fuel use in the US. In 1910, 98% of the difference between the two inventories is from residential energy use, with 77% of that difference in the USA. US residential biomass consumption in 1949 is estimated using EIA data and propagated  
 570 back in time to merge with Fernandes et al. (2007) used by SPEW in 1920. This US biomass estimates may be lower than those used in CMIP5.

NH<sub>3</sub> and NMVOC emissions are similar to CMIP5 estimates until 1950 when CEDS emissions begin to grow at a faster rate than CMIP5 emissions through 1990 when they are about 20-30% larger. Between 1990 and 2000 CMIP5 estimates show a decrease in emissions while CEDS estimates shows flattening emissions then a steep increase.  
 575 Differences in NH<sub>3</sub> emissions are largely due to steadily increasing agricultural emissions and a larger estimate from wastewater/human waste, which makes up 14% of CEDS NH<sub>3</sub> estimates in recent decades but was largely missing in the RCP estimates. CEDS NMVOC emissions are much larger for global waste, while much smaller for global transportation.

#### 3.3.2 GAINS and EDGAR v4.3

580 CEDS estimates are compared to GAINS and EDGAR v4.3 emissions estimates in Fig. S40, shown in Supplemental Figures and Tables (SI-Figures).



585 Global CEDS emissions estimates are generally comparable to GAINS global emissions. BC, OC, NO<sub>x</sub>, and SO<sub>2</sub> CEDS estimates are within +/- 15% of global GAINS values in 2000, 2005 and 2010. In 2000, BC, and SO<sub>2</sub> CEDS emissions are smaller than GAINS values but are larger than GAINS global values by 2010, while CEDS NO<sub>x</sub> and CO estimates are consistently larger than GAINS values. CEDS OC and NMVOC emissions are 18 – 44% larger than GAINS emissions. One of the key differences is associated with estimates for waste burning which are much higher in CEDS (based on Wiedinmyer et al. (2014)) and have a strong influence on totals, particularly OC and NMVOC. Between 2000 and 2010 global CEDS emissions for all species increase more quickly than the GAINS estimates.

590 CEDS estimates are consistently somewhat larger than EDGAR 4.3 global estimates for all emissions species. CEDS emissions, while slightly larger, follow the same annual trends as EDGAR from 1970 – 2000 or all species but OC. CEDS emissions for OC grow somewhat linearly over the period, while EDGAR estimates stay relatively flat. Sectors driving the differences between CEDS and EDGAR estimates vary by emission species. However, these differences are largely due to waste burning and aggregate sector 1A4, which is dominated by residential emissions, 595 but also includes commercial/institutional, and agriculture/forestry/fishing.

### 3.4 Uncertainty

600 Emission uncertainty estimates in inventories are a critical need, however this is difficult to quantify and most inventories do not include uncertainty estimates. All the components and assumptions used in this analysis are uncertain to varying degrees, which means that uncertainty will vary with time, space, and emission species making quantification of uncertainties challenging.

605 There are some consistent trends in uncertainty estimates by emission species. Uncertainty is generally lowest for CO<sub>2</sub> and SO<sub>2</sub> emissions, which depend primarily on quality of fossil fuel statistical data and fuel properties, e.g. carbon and sulfur content, with straightforward stoichiometric relationships. Global CO<sub>2</sub> and SO<sub>2</sub> uncertainty has been estimated to be in order 8% for CO<sub>2</sub> (Andres et al., 2012) and 8-14% for SO<sub>2</sub> (Smith et al., 2011), for a roughly 5-95% confidence interval. Global uncertainties for these species tend to be relatively low also because fuel properties are not thought to be highly correlated between major emitting regions.

610 Uncertainty in specific countries can be much higher, however. China is a major emitter of both CO<sub>2</sub> and SO<sub>2</sub>, and uncertainties regarding the level of coal consumption (Guan et al., 2012; Liu et al., 2015b) will directly impact emission estimates as well as actual implementation and efficiency of control equipment (Xu et al., 2009, 2009; Zhang et al., 2012). Since China energy consumption uncertainties appear to be largest in sectors with limited emission controls they can have a large impact on SO<sub>2</sub> emissions in particular (Hong et al., 2017). There is also uncertainty regarding the appropriate CO<sub>2</sub> emission factor for coal in China (Liu et al., 2015b; Olivier et al., 2015) as discussed further in the SI-Text Sect. 5.4.

615 Emission factors for other emissions species, such as CO, NO<sub>x</sub>, NMVOC, BC and OC, tend to be dependent on details of the emitting process, and, therefore, have higher uncertainties. This is particularly true for carbonaceous aerosol emissions, where emission factors can range over several orders of magnitude depending on the conditions under which combustion occurs. Uncertainties in global BC emissions have been estimated to be a factor of two (Bond et al., 2004). Uncertainty in country-level BC emissions in China were estimated to be -43% to +93% by Lu et al. (2011), -50% to +164% by Qin and Xie (2012) ±176% by Kurokawa et al. (2013a), and -28 to +126% by Zhao et al. (2013b). Uncertainty in activity levels also contributes, with the large uncertainty in biofuel use in many 620 regions contributing to BC and OC emissions uncertainty.

625 Emissions uncertainties for CO, NO<sub>x</sub>, NMVOC are typically estimated in between those of carbonaceous aerosols and those of CO<sub>2</sub> and SO<sub>2</sub>. In part this is because, particularly in industrialized economies, a number of sectors contribute to emissions, and sectoral uncertainties will largely be independent of each other. Substantial uncertainty can still be present for specific sectors, even in countries with well-developed emission inventory processes (Parrish,



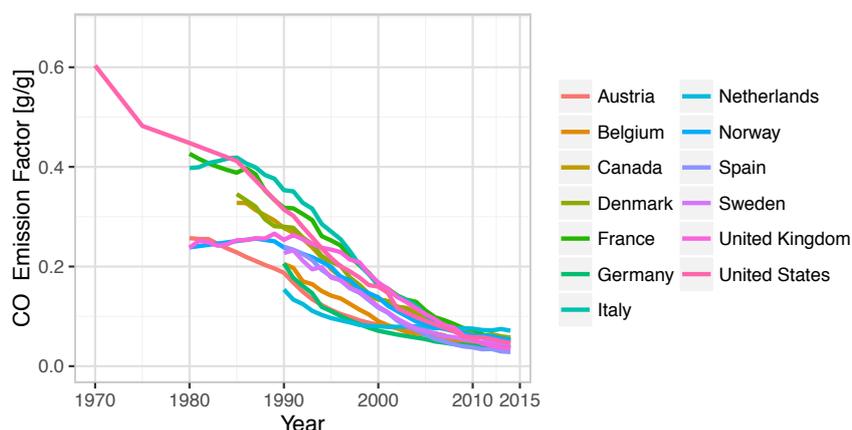
2006). For example, studies combining observations and modeling suggest that recent US national emissions inventory overestimates on road vehicle  $\text{NO}_x$  emissions by about a factor of two (Anderson et al., 2014; Travis et al., 2016), while recent updates of Canadian NMVOC emissions (Environment and Climate Change Canada, 2016) are, for some sectors, a factor of two larger than previous estimates (Environment Canada, 2013).

630 There are specific sectors with particularly uncertain emissions. The level of fugitive emissions often depends on procedures and practices, leading to large uncertainty. Emissions that result from biological processes, such as  $\text{NO}_x$  from fertilized soils or  $\text{NH}_3$  from wastewater and agriculture, also generally depend on environmental conditions and would, in principle, require detailed modeling to improve estimates. Our  $\text{NH}_3$  emissions from human waste, for example, follow REAS (Kurokawa et al., 2013a) and uses a single global default emission factor (modified to  
635 account for wastewater treatment as described in the SI). Not only is this emission factor uncertain, but there will certainly be regional variations due to differing environmental conditions that we were unable to take into account. For agricultural emissions, the actual practices of managing livestock manures will affect true emissions; such practices vary significantly across the world but are not always well understood or reflected in the emission factors used in global inventories. We note that in the CEDS historical extrapolation before either 1960 or 1970, depending  
640 on the sector, global trends were used for agricultural emissions, which means that country-specific trends were not taken into account, leading to additional uncertainties at the country level.

Residential waste burning emissions depend on the amount of waste combusted, composition of the waste, and combustion conditions. This sector globally contributes a substantial fraction of OC emissions in particular, but substantial amounts of BC and other species. The CEDS estimate for this sector, except where scaled to country  
645 emission estimates (available only in a few OECD countries) is based on 2010 estimates from Wiedinmyer et al. (2014). Wiedinmyer et al. followed IPCC guidelines and assumed that 60% of all waste that is not reported as collected is burnt. This could be an overestimate in countries where there is informal waste collection and recycling. Klimont et al. (2016) recently estimated BC and OC emissions from this sector, estimating that from 115 to 160 Tg of waste was openly burned, while Wiedinmyer et al. (2014) derive a value of 970 Tg. It is possible that the CEDS  
650 values, therefore, may be overestimates of emissions from this source. Note, however, that the Wiedinmyer et al. (2014) estimate only includes residential waste burning. In the USA, for example, a large portion of  $\text{CO}_2$  from waste burning is from industrial waste, particularly from tires (US EPA, 2015), which implies there will also be additional air pollutant emissions from industrial waste combustion. Outside of the specific OECD countries where country-specific inventories include this sector, industrial waste estimates were not explicitly included in the CEDS  
655 estimates. Overall there is substantial uncertainty for emissions from this sector.

All other factors being equal, uncertainty will tend to increase backwards in time, as driver data becomes more uncertain and older technologies are used, for which emission factors are not well quantified. We generally expect that uncertainty in this data set will be smaller for those years and countries where robust inventory development mechanisms are in place. However, as noted above for  $\text{NO}_x$  in the USA, this does not eliminate uncertainty. Official  
660 country inventories can sometimes be developed with outdated methodologies or can be incomplete. Many countries have regular evaluation activities, which indicate deficiencies and potential areas for improvement. However assessments of completeness and plausibility are always useful, and inventories developed for scientific use, including CEDS, can help contribute in this area.

Our data system also allows us to examine the emission factors implied by scaling to country inventories. This can  
665 reveal potential inconsistencies or regional differences. One example is shown in Figure 6, which shows the implied emission factor for CO emissions from gasoline road vehicles. Even where there is a mix of fuels in the road sector, the much higher CO emission factor for gasoline tends to lead to gasoline dominating emissions, making this comparison a fairly unambiguous reflection of underlying inventory assumptions. There is over a factor of two difference in implied emission factors before 1990, with some inventories indicating steadily increasing emission  
670 factors going back in time while others flatten out. It is unclear if these differences are due to local variations in vehicle types, operation, or environmental conditions, or if some inventories may be biased high or low.



**Figure 6: Implied CO emissions factor for gasoline road vehicles obtained by the CEDS system after scaling to match country inventories. Data points only shown where an inventory value was available in units of g CO/g fuel.**

675 There are specific issues with uncertainty over the most recent few years in most emission data sets. We have, in this data set, provided emissions up to 2014. Emissions estimated for the most recent several years are likely to have larger uncertainty due to the use of incomplete or preliminary data. Uncertainty in recent years comes from three main sources: activity data, emissions inventories that are used in our estimate, and the treatment of emission factors. Uncertainty from activity data comes from both uncertainty in country totals and their sector split. While activity data is often updated annually, recent estimates sometimes change for a few years after their initial release. For example, the BP estimate of Russian coal use in 2012 may be different in the 2013, 2014, and 2015 data releases. The BP estimates we use to extrapolate fuel use for the most recent 2 years (Sect. 2.2.1) also lacks sectoral detail, which adds to uncertainty. Values in the inventory estimates we use in this data set for the most recent year are often preliminary and are later revised, which is an additional source of uncertainty.

680

685 Finally, we use emission factor trends from GAINS to project emission factors for combustion sectors for recent years beyond where inventory data is available. The last inventory year varies: 2010 for EDGAR, which is our default inventory for most species, 2008 for REAS, 2012 for China, 2013 for most of Europe, and 2014 for the USA (see SI Table x for a list). Using emissions factor trends that are not from detailed country-specific inventories is an additional source of uncertainty.

690 In future versions of CEDS, quantitative uncertainty analysis will be included for all time periods, but is not complete as of the CMIP6 data version.

#### 4 Comparisons with observations

It is challenging to evaluate emissions against observations since, other than facility-specific emissions monitors, emissions concentrations are observed rather than emissions fluxes into the atmosphere. Satellite data (Jacob et al., 2016; Streets et al., 2013), road-side measurements (Pant and Harrison, 2013), and inversion of surface observations (Bruhwiler et al., 2014; Houweling et al., 2017) can all be used to estimate emissions using observational data. These techniques can be used to gain insights into the accuracy of emission inventories, although each has associated uncertainties. Emission ratios are a particularly valuable technique, and we compare in this section CEDS data with observations for two cases.

695



700 Hassler et al. (2016), compare observed ambient NO<sub>x</sub>/CO enhancement ratios (measurements taken during morning  
 rush hour) with NO<sub>x</sub>/CO road emissions trends for London, Paris, and several US cities. Hassler et al. find that  
 when compared to the MACCity inventory (Granier et al., 2011), which is based on CMIP5 (Lamarque et al., 2010)  
 inventory estimates and RCP projections, log linear trends in observed ratios in US cities, London, and Paris are  
 705 steeper than for inventory emissions ratios by a factor of 2.8 – 5.5. CEDS NO<sub>x</sub>/CO emissions ratios match observed  
 trends much closer than MACCity, where observed trends are only 2-18% steeper than CEDS trends, shown in  
 Table 7. We note, however, that these CEDS emissions ratios are for aggregate countries, rather than gridded data  
 like MACCity, and CEDS does not distinguish between urban and rural emissions, which are expected to have  
 different trends and would be a useful area for future work. CEDS emissions ratios, however, appear to capture the  
 general trend in road vehicle emissions observed by ambient measurements in Europe and the United States.

710 **Table 7 Trends in Observed and Inventory NO<sub>x</sub>/CO emission ratios**

City/Country	Years	Observed*	MAACity*	CEDS (road)	CEDS(total)
USA (various cities)	1989-2013	4.1	1.45	3.86	2.37
UK (London)	1989-2015	7.2	1.88	6.90	5.90
France (Paris)	1995-2014	8.8	1.59	7.47	3.39

Values shown in log linear trends in units of %yr<sup>-1</sup>  
 \*(Hassler et al., 2016)

715 Kanaya et al. (2016) present observations of BC/CO ratios over six years (2009 – 2015) at Fukue Island, Japan,  
 which, depending on wind conditions, gives source specific emission ratios under dry conditions for Japan, Korea,  
 and four regions in China, shown in Table 8 compared to CEDS and REAS BC/CO emissions ratios, both of which  
 do not include open biomass burning. Both CEDS and REAS emissions ratios are similar to observed ratios for  
 Japan, 1.64 and 1.1 times larger than observed ratios respectively, but near the observational uncertainty. The 2008 –  
 2015 average CEDS emission ratio is 2.1 – 2.7 times larger than observed ratios over China regions; which might  
 indicate an overestimation of China's BC emissions.

720 CEDS emissions ratios are substantially larger than both observed and REAS ratios for Korea. Kanaya et al.  
 attribute the difference between REAS and observations in Korea to the overestimation of industry and  
 transportation BC/CO ratios in inventories. CEDS Korea, sector-specific BC/CO emissions ratios are high compared  
 to observations: 370 and 41 ngm<sup>-3</sup>ppb<sup>-1</sup> for industry and transportation sectors respectively compared to 42 and 27  
 ngm<sup>-3</sup>ppb<sup>-1</sup> in REAS. CEDS CO estimates, which are scaled to Korean national inventory from 1999 – 2012, are 5 –  
 725 47% lower than REAS2.1 estimates over 2000 – 2008. CEDS CO emission estimates are dominated by energy  
 transformation (20%) and transportation 68%. CEDS BC estimates use SPEW assumptions. CEDS BC emissions  
 estimates for Korea are 5-8 times larger than REAS estimates. While CEDS estimates are larger over all sectors, the  
 other transformation (e.g. coal coke production) and road sectors are primarily responsible for the difference.  
 Emissions from the CEDS other transformation sector, which are zero in REAS estimates, makes up 35% of CEDS  
 Korea estimates. CEDS Road BC emissions over 2000 - 2008 are 2-3 times larger than REAS estimates and 34% of  
 730 the CEDS total.

735 These comparisons are approximate, given that the CEDS data represents entire countries and the air trajectories  
 sampled at Fukue Island will preferentially sample only portions of each country. In future versions of CEDS we  
 plan to produce emissions for large countries such as China at the province level which will aid in such  
 comparisons. In general, differences in these ratios could be attributed to the overestimation of BC, underestimation  
 of CO emissions, or both. Overall, CEDS emissions appear consistent for Japan, but perhaps slightly too high for  
 China. CEDS BC estimates for Korea are quite high compared to other inventories and the observations, and suggest  
 that the SPEW emission factors for Korea may not have incorporated the impact of transportation emission controls  
 and new technologies for coal coke production.



**Table 8 Observed and Inventory BC/CO emission ratios**

Country	Observed* 2009 - 2015 [ΔBC/ΔCO]	CEDS 2009 - 2014 [BC/CO]	CEDS 2008 [BC/CO]	REAS2.1 2008 [BC/CO]
Japan	5.9 ± 3.4	9.7	9.5	6.5
Korea	6.7 ± 3.7	89.8	82.3	23
China (North East)	6.0 ± 2.8	14.3	12.8	
China (North Central East)	5.3 ± 2.1			8.3
China (South Central East)	6.4 ± 2.2			9.9
China (South)	6.9 ± 1.2			
Values shown in ngm <sup>-3</sup> ppb <sup>-1</sup> * (Kanaya et al., 2016)				

740

These examples illustrate that further comparisons would be of substantial value in better resolving emissions. The use of multiple observations and methodologies would add confidence to conclusions regarding the accuracy of emission inventory data.

### 5 Limitations and future work

745

While this data set includes many improvements upon existing comprehensive, long-term inventories, there are some specific limitations of the current methodology, and plans for improvement, that we discuss here.

750

Disaggregation of key non-combustion sectors, particularly 1A1bc\_Other-transformation and 2C\_Metal-production, should allow a more accurate estimation of emissions trends. This will require collection of additional activity data and default emission factors. At the current level of aggregation, emission trends for these sectors will be less accurate, particularly for years where country-level emission data sets are not available.

755

Emissions trends could be further improved for the mid-20<sup>th</sup> century. Emission factors here are often the result of scaling at later inventory years (e.g. Fig. 4), and further work to better constrain emission factors over this period is needed. The sectoral spilt for fuel use is also approximated over this period; incorporation of regional activity data would improve this as well. Non-combustion emissions are particularly uncertain in the era before modern inventory data sets, which is generally before 1970/1980, since these emissions can depend on process details.

760

We plan to incorporate more detailed data from the US National Emissions Inventory, although as with the current estimate, discontinuities due to methodological changes will need to be addressed. Use of this data to estimate emissions at the US state level is underway, which will also be used to improve the spatial gridding of emissions over time.

765

Currently, a number of gridding proxies are static over time. Residential (and related) emissions are distributed using population distribution, which does change over time. Because residential emissions are dominant in earlier years, much of the major shifts in spatial distribution with a country are being captured. Other sectors have mix of spatial proxies, few of which are newer than 2010, and many were kept static over time. Consistent data sets over time for spatial proxy information would be a useful addition.

A major next step in this project will be estimation of uncertainty. Our first step will be quantification of the additional uncertainty that stems from producing estimates out to the most recent full year, followed by comprehensive uncertainty estimates that will be used to produce ensembles of emissions to more fully reflect the uncertainty in these data.



770 In addition to updates, refinements, and uncertainty analysis, the CEDS system will be released as an open-source software, along with associated input data. Where previous work has only released final emissions estimates, this entire data system will be released to facilitate evaluation of trends in and the relationships between emissions, emission factors, and their drivers across time, countries, sectors, and fuels; to foster transparency in assumption and methods; and allow community input and participation. While the current data system requires purchase of the IEA energy statistics, we will explore options to facilitate use with publically available data as well.

## 775 **6 Summary**

This paper described the methodology and results for a new annual data set of historical anthropogenic GHGs, reactive gases, aerosols, and aerosol and ozone precursor compounds from 1750 to 2014 for use in CMIP6. This data set relies heavily on IEA energy statistics, EDGAR, and other inventory data sets to produce consistent trends over time. Key steps in estimating emission include collecting existing activity, emissions factors, and emissions data; 780 developing default emissions estimates; calibrating default estimates to existing inventories; extending present day emission to historical time periods; and gridding emissions.

Emissions before 1850 are dominated by residential biomass burning and agricultural emissions. As the industrial revolution expanded, energy, industry, and transportation related emissions then begin to grow and then quickly increase in the mid 20<sup>th</sup> century. Emissions of some species begin to slow or see global reductions in the late 20<sup>th</sup> 785 century with the introduction of emission control policies, but emissions of many of those species increase again in recent years due to increased economic activity in rapidly industrializing regions. While comparable to existing data sets such as CMIP5 (Lamarque et al., 2010), EDGAR (EC-JRC/PBL, 2016), and GAINS (Amann et al., 2011; Klimont et al., 2016), CEDS estimates are generally slightly higher than those inventories in recent years.

Future work on this data system will involve refining and updating these emissions estimates, adding detail, and 790 publication of the CEDS as an open source data system. In order to be able to release the current data set in time for use in CMIP6, the focus was on the development and use of a consistent methodology, relying in large part on IEA energy statistics and existing inventory data over recent years. As described above and in the SI, a number of additions were made where inconsistencies or incompleteness in these core data sets were known and improved data were readily available. There are many further corrections that would likely be useful to implement. For example, 795 the inventories used here for calibration may already be known to contain deficiencies, for example through regular validation activities. There are likely also country level energy and other driver data that can be used to improve the data used here. Finally, further detailed comparisons with observations may help to indicate areas where changes to emission factor or other assumptions are warranted. With release of this data set, and soon the entire data system, it is our intention that further improvements will be made through feedback from the global emissions inventory 800 community. We welcome comments, including notes on any potential inconsistencies or relevant new data sources, so that that these data can be improved in future releases.

### **Data Availability**

Gridded versions of this data are available through the Earth System Grid Federation (ESGF) [https://pcmdi.llnl.gov/projects/input4mips/] under the activity\_id = “input4MIPs”. More information on the CEDS 805 project, system release, and updates, can be found at <http://www.globalchange.umd.edu/ceds/>.

### **Author Contributions:**

R.M. Hoesly and S.J. Smith prepared the manuscript with contributions from L. Feng, Z. Klimont, G. Janssens-Maenhout, L. Vu, R. Andres, M.C.P. Moura, L. Liu, Z. Lu, and Q. Zhang. The CEDS system was developed by R.M. Hoesly, S.J. Smith, L. Feng, T. Pitkanen, J.J. Seibert, L. Vu, and R. Bolt. Analysis was performed by R.M. 810 Hoesly, S.J. Smith, L. Feng, L. Vu, M.C.P. Moura, N. Kholod, and P. O'Rourke. Data was contributed by Z.



Klimont, G. Janssens-Maenhout, R.J. Andres, T.C. Bond, L. Dawidowski, J. Kurokawa, M. Li, L. Liu, Z. Lu, and Q. Zhang.

### Competing Interests

The authors declare that they have no conflict of interest.

### 815 Acknowledgements

This research was based on work supported by the U.S. Department of Energy (DOE), Office of Science, Biological and Environmental Research as part of the Earth System Modeling program. Additional support for the development of the gridded data algorithm was from the National Atmospheric and Space Administration's Atmospheric Composition: Modeling and Analysis Program (ACMAP), award NNH15AZ64I. The Pacific Northwest National  
820 Laboratory is operated for DOE by Battelle Memorial Institute under contract DE-AC05-76RLO1830. RJA was sponsored by U.S. Department of Energy, Office of Science, Biological and Environmental Research (BER) programs and performed at Oak Ridge National Laboratory (ORNL) under U.S. Department of Energy contract DE-AC05-00OR22725.

The author's would like to acknowledge Grace Duke and Han Chen for data collection and processing; Alison  
825 Delgado, Minji Joeng, and Bo Liu for data collection and translation; and Benjamin Bond-Lamberty and Robert Link for code review. We thank Kostas Tsigaridis for pointing out a discontinuity due to a data anomaly (spurious brown coal consumption over 1971-1984 in the IEA Other Asia region) in a review version of the inventory data.

### A1. Supplementary information files

Supplementary files related to this article include:

830 Supplemental Data and Assumptions Text (pdf)

Supplemental Figures and Tables (pdf)

Data Files (zipped set of csv files)

- Emissions by Country and Sector
- Global Emissions by sector
- 835 • Total Emissions by country
- Country Mapping and ISO codes

Note that the data released with this article is provided at the level of 152 IEA countries and aggregate IEA regions (§2.2.1). Estimates with further country disaggregation are available upon request.

840 The supplementary information for this article describes a number of additional data sources used in this work, including the following:

(Bartoňová, 2015; Blumberg et al., 2003; Denier van der Gon et al., 2015; EIA, 2013; Endresen et al., 2007; Environment Canada, 2016; Eyring et al., 2005; Fletcher, 1997; Foell et al., 1995; Fouquet and Pearson, 1998; Gschwandtner et al., 1986; Huo et al., 2012; IEA, 2016a; Kaur et al., 2012; Kholod and Evans, 2015; Liu et al., 2015a; Ludek and Holub, 2005; McLinden et al., 2016; Mester, 2000; Mitchell, 2003, 2007, 1983; Mylona, 1996;  
845 OECD, 2016; Pretorius et al., 2015; Rowe and Morrison, 1999; Ryaboshapko et al., 1996; Sanger, 1997; Simachaya, 2015; Smith et al., 2014; Tushingham, 1996; UK DEFRA, 2015; US EPA, 2012; Wu et al., 2012; Zhou et al., 2011)



## A2. Sector definitions

### 850 A2.1. Combustion emissions

Fuel combustion emission sectors in CEDS are defined in reference to corresponding IEA energy statistics energy flows as given in this table. One exception is evaporative emissions from road transport, which are mapped to the 1A3b road transport sector, following general air pollutant inventory practice, even though this is a non-combustion emissions source. Also NMVOC evaporative emissions from oil tanker loading are not combustion emissions, but 855 are categorized together with international shipping emissions.

Note that the current calibration (e.g., scaling) to country emission inventories is generally not performed at this level of detail, which means that sectoral emission values are more reliable at the aggregate sector level.

**Table A1 Sector Definitions of Combustion Emissions (IEA and NFR14 Codes)**

IEA Energy Statistics	IEA Name	NFR14 Code	CEDS Working Sector Name	Aggregate Sector (Gridding)
MAINELEC	Main-Activity-Producer-Electricity-Plants	1A1a	1A1a_Electricity-public	Power_and_Heat
AUTOELEC	Autoproducer-Electricity-Plants	1A1a	1A1a_Electricity-autoproducer	Industrial_Combustion
MAINCHP	Main-Activity-Producer-CHP-Plants	1A1a	1A1a_Electricity-public	Power_and_Heat
AUTOCHP	Autoproducer-CHP-Plants	1A1a	1A1a_Electricity-autoproducer	Industrial_Combustion
MAINHEAT	Main-Activity-Producer-Heat-Plants	1A1a	1A1a_Heat-production	Power_and_Heat
AUTOHEAT	Autoproducer-Heat-Plants	1A1a	1A1a_Heat-production	Power_and_Heat
IRONSTL	Iron-and-Steel	1A2a	1A2a_Ind-Comb-Iron-steel	Industrial_Combustion
NONFERR	Non-Ferrous-Metals	1A2b	1A2b_Ind-Comb-Non-ferrous-metals	Industrial_Combustion
CHEMICAL	Chemical-and-Petrochemical	1A2c	1A2c_Ind-Comb-Chemicals	Industrial_Combustion
PAPERPRO	Paper,-Pulp-and-Print	1A2d	1A2d_Ind-Comb-Pulp-paper	Industrial_Combustion
FOODPRO	Food-and-Tobacco	1A2e	1A2e_Ind-Comb-Food-tobacco	Industrial_Combustion
NONMET	Non-Metallic-Minerals	1A2f	1A2f_Ind-Comb-Non-metallic-minerals	Industrial_Combustion
CONSTRUC	Construction	1A2g	1A2g_Ind-Comb-Construction	Industrial_Combustion
TRANSEQ	Transport-Equipment	1A2g	1A2g_Ind-Comb-transpequip	Industrial_Combustion
MACHINE	Machinery	1A2g	1A2g_Ind-Comb-machinery	Industrial_Combustion
MINING	Mining-and-Quarrying	1A2g	1A2g_Ind-Comb-mining-quarrying	Industrial_Combustion
WOODPRO	Wood-and-Wood-Products	1A2g	1A2g_Ind-Comb-wood-products	Industrial_Combustion
TEXTILES	Textile-and-Leather	1A2g	1A2g_Ind-Comb-textile-leather	Industrial_Combustion
INONSPEC	Non-specified-(Industry)	1A2g	1A2g_Ind-Comb-other	Industrial_Combustion
WORLDVA	World-Aviation-Bunkers	1A3ai	1A3ai_International-aviation	Aviation
DOMESAIR	Domestic-Aviation	1A3aii	1A3aii_Domestic-aviation	Aviation
ROAD	Road	1A3b	1A3b_Road	Road
* NA	Evaporative emissions from road transport	1A3b	1A3b_Road	Road
RAIL	Rail	1A3c	1A3c_Rail	Other_Surface_Transport
WORLDMAR	World-Marine-Bunkers	1A3di	1A3di_International-shipping	International-Shipping



* NA	Evaporative emissions from tanker loading	1A3di	1A3di_Oil_tanker_loading	International-Shipping
DOMESNAV	Domestic-Navigation	1A3dii	1A3dii_Domestic-navigation (shipping)	Other_Surface_Transport
PIPELINE	Pipeline-Transport	1A3ei	1A3eii_Other-transp	Other_Surface_Transport
TRNONSPE	Non-specified-(Transport)	1A3eii	1A3eii_Other-transp	Other_Surface_Transport
COMMPUB	Commercial-and-Public-Services	1A4a	1A4a_Commercial-institutional	Residential_Commercial_Other
RESIDENT	Residential	1A4b	1A4b_Residential	Residential_Commercial_Other
AGRICULT	Agriculture/Forestry	1A4c	1A4c_Agriculture-forestry-fishing	Residential_Commercial_Other
FISHING	Fishing	1A4c	1A4c_Agriculture-forestry-fishing	Residential_Commercial_Other
ONONSPEC	Non-specified-(Other)	1A5	1A5_Other-unspecified	Residential_Commercial_Other

860 **A2.2. Non-combustion emissions**

Non-combustion emission sectors (also generally referred to as process emissions in CEDS documentation) are defined in reference to corresponding EDGAR categories as given in this table. Note that the 1A1bc sector is actually combustion-related emissions, however this sector is processed the same as non-combustion emissions in CEDS (see Sec 2.3.2).

865 **Table A2 Sector Definitions of Non Combustion Emissions (drawn from EDGAR Processes)**

EDGAR Process Description	CEDS-Working-Sector-Name	Aggregate Sector
Fuel combustion petroleum refineries	1A1bc Other-transformation	Industrial Combustion
coal mines	1A1bc Other-transformation	Industrial Combustion
Fuel combustion BKB plants	1A1bc Other-transformation	Industrial Combustion
Fuel combustion blast furnaces	1A1bc Other-transformation	Industrial Combustion
Fuel combustion charcoal production plants	1A1bc Other-transformation	Industrial Combustion
Fuel combustion coal liquefaction plants	1A1bc Other-transformation	Industrial Combustion
Fuel combustion coke ovens	1A1bc Other-transformation	Industrial Combustion
Fuel combustion gasification plants for biogas	1A1bc Other-transformation	Industrial Combustion
Fuel combustion Liquefaction/Regasification	1A1bc Other-transformation	Industrial Combustion
Fuel combustion non-specified transformation	1A1bc Other-transformation	Industrial Combustion
Fuel combustion oil and gas extraction	1A1bc Other-transformation	Industrial Combustion
Fuel combustion patent fuel plants	1A1bc Other-transformation	Industrial Combustion
Gas works	1A1bc Other-transformation	Industrial Combustion
BKB plants	1B1 Fugitive-solid-fuels	Fugitive Energy Emissions
Fuel transformation coal liquefaction plants	1B1 Fugitive-solid-fuels	Fugitive Energy Emissions
Fuel transformation patent fuel plants	1B1 Fugitive-solid-fuels	Fugitive Energy Emissions
Production of brown coal	1B1 Fugitive-solid-fuels	Fugitive Energy Emissions
Production of hard coal	1B1 Fugitive-solid-fuels	Fugitive Energy Emissions
Production of peat	1B1 Fugitive-solid-fuels	Fugitive Energy Emissions
Fuel transformation charcoal production plants	1B1 Fugitive-solid-fuels	Fugitive Energy Emissions
Fuel transformation coke ovens	1B1 Fugitive-solid-fuels	Fugitive Energy Emissions
Fuel transformation in gas works	1B1 Fugitive-solid-fuels	Fugitive Energy Emissions
Chemical heat for electricity production	1B2 Fugitive-petr-and-gas	Fugitive Energy Emissions
For blended natural gas	1B2 Fugitive-petr-and-gas	Fugitive Energy Emissions
Fuel transformation gasification plants for biogas	1B2 Fugitive-petr-and-gas	Fugitive Energy Emissions
Fuel transformation Liquefaction/Regasification pl	1B2 Fugitive-petr-and-gas	Fugitive Energy Emissions
Gas-to-liquids (GTL) plants	1B2 Fugitive-petr-and-gas	Fugitive Energy Emissions
Non specified transformation activity	1B2 Fugitive-petr-and-gas	Fugitive Energy Emissions
Petrochemical industry	1B2 Fugitive-petr-and-gas	Fugitive Energy Emissions
Transformation in Gas to liquids plants	1B2 Fugitive-petr-and-gas	Fugitive Energy Emissions
Fuel transformation petroleum refineries	1B2 Fugitive-petr-and-gas	Fugitive Energy Emissions
Production of oil	1B2 Fugitive-petr-and-gas	Fugitive Energy Emissions
Production of gas	1B2 Fugitive-petr-and-gas	Fugitive Energy Emissions



Production of oil (None)	1B2 Fugitive-petr-and-gas	Fugitive Energy Emissions
Cement production	1B2d Fugitive-other-energy	Fugitive Energy Emissions
Lime production	2A1 Cement-production	Minerals
Lime production	2A2 Lime-production	Minerals
Lime production	2A2 Lime-production	Minerals
Soda ash production and use	2Ax Other-minerals	Minerals
Brick production	2Ax Other-minerals	Minerals
Glass bottles	2Ax Other-minerals	Minerals
Glass production	2Ax Other-minerals	Minerals
Other non-metallic minerals	2Ax Other-minerals	Minerals
Other uses of carbonate	2Ax Other-minerals	Minerals
Ammonia production	2B Chemical-industry	Chemical-industry
Bulk chemicals production	2B Chemical-industry	Chemical-industry
Nitric acid production	2B Chemical-industry	Chemical-industry
Adipic acid production	2B Chemical-industry	Chemical-industry
Silicon carbide production	2B Chemical-industry	Chemical-industry
Calcium carbide production	2B Chemical-industry	Chemical-industry
Bulk chemicals production	2B Chemical-industry	Chemical-industry
Caprolactam production	2B Chemical-industry	Chemical-industry
Bulk chemicals production	2B Chemical-industry	Chemical-industry
N-fertilizer production	2B Chemical-industry	Chemical-industry
Specialities production	2B Chemical-industry	Chemical-industry
Sulphuric acid production	2B Chemical-industry	Chemical-industry
Titanium oxide production	2B Chemical-industry	Chemical-industry
Bulk chemicals production	2B Chemical-industry	Chemical-industry
Glyoxal production	2B Chemical-industry	Chemical-industry
Glyoxylic acid production	2B Chemical-industry	Chemical-industry
Crude steel production	2C Metal-production	Metals-industry
Blast furnaces	2C Metal-production	Metals-industry
Pig iron production	2C Metal-production	Metals-industry
Sinter production	2C Metal-production	Metals-industry
Pellet production	2C Metal-production	Metals-industry
Steel casting	2C Metal-production	Metals-industry
Ferro Alloy production	2C Metal-production	Metals-industry
Aluminium production	2C Metal-production	Metals-industry
Magnesium production	2C Metal-production	Metals-industry
Aluminium production	2C Metal-production	Metals-industry
Other non-ferrous production	2C Metal-production	Metals-industry
Gold production	2C Metal-production	Metals-industry
Copper production	2C Metal-production	Metals-industry
Mercury production	2C Metal-production	Metals-industry
Other non-ferrous production	2C Metal-production	Metals-industry
Lead production	2C Metal-production	Metals-industry
Other non-ferrous production	2C Metal-production	Metals-industry
Magnesium production	2C Metal-production	Metals-industry
Zinc production	2C Metal-production	Metals-industry
Paper production	2H Pulp-and-paper-food-beverage-	Pulp-and-paper-food-
Wood pulp production	2H Pulp-and-paper-food-beverage-	Pulp-and-paper-food-
Beer production	2H Pulp-and-paper-food-beverage-	Pulp-and-paper-food-
Bread production	2H Pulp-and-paper-food-beverage-	Pulp-and-paper-food-
Other food production	2H Pulp-and-paper-food-beverage-	Pulp-and-paper-food-
Wine production	2H Pulp-and-paper-food-beverage-	Pulp-and-paper-food-
Non energy use in petrochemical industry	2L Other-process-emissions*	Other Non-Combustion
Non energy use in industry, transformation industr	2L Other-process-emissions*	Other Non-Combustion
Non energy use in transport sector	2L Other-process-emissions*	Other Non-Combustion
Other non energy use	2L Other-process-emissions*	Other Non-Combustion
Non energy use in petrochemical industry	2L Other-process-emissions*	Other Non-Combustion
Non energy use in industry, transformation industr	2L Other-process-emissions*	Other Non-Combustion
Non energy use in transport sector	2L Other-process-emissions*	Other Non-Combustion
Other non energy use	2L Other-process-emissions*	Other Non-Combustion
Other non-combustion not elsewhere (NOT	2L Other-process-emissions*	Other Non-Combustion
Solvents in glues and adhesives	2D Paint-application	Solvents
Solvents in graphic arts	2D Paint-application	Solvents
Solvents in paint	2D Paint-application	Solvents



Solvents in dry cleaning	2D Degreasing-Cleaning	Solvents
Solvents in households products	2D Degreasing-Cleaning	Solvents
Solvents in industrial degreasing	2D Degreasing-Cleaning	Solvents
Solvents in chemical industry	2D_Chemical-products-manufacture-processing	Solvents
Other solvents use	2D Other-product-use	Solvents
Production and use of other products	2D Other-product-use	Solvents
Use of N2O as anesthesia	2D Other-product-use	Solvents
Solvents in leather production	2D Other-product-use	Solvents
Solvents in pesticides	2D Other-product-use	Solvents
Solvents in rubber and plastics industry	2D Other-product-use	Solvents
Solvents in vegetative oil extraction	2D Other-product-use	Solvents
Enteric fermentation by cattle	3E Enteric-fermentation	Agriculture non-combustion
Enteric fermentation by buffalo	3E Enteric-fermentation	Agriculture non-combustion
Enteric fermentation by sheep	3E Enteric-fermentation	Agriculture non-combustion
Enteric fermentation by goats	3E Enteric-fermentation	Agriculture non-combustion
Enteric fermentation by camels	3E Enteric-fermentation	Agriculture non-combustion
Enteric fermentation by horses	3E Enteric-fermentation	Agriculture non-combustion
Enteric fermentation by asses	3E Enteric-fermentation	Agriculture non-combustion
Enteric fermentation by swine	3E Enteric-fermentation	Agriculture non-combustion
Manure management of cattle	3B Manure-management	Agriculture non-combustion
Manure management of buffalo	3B Manure-management	Agriculture non-combustion
Manure management of sheep	3B Manure-management	Agriculture non-combustion
Manure management of geese	3B Manure-management	Agriculture non-combustion
Manure management of goats	3B Manure-management	Agriculture non-combustion
Manure management of camels	3B Manure-management	Agriculture non-combustion
Manure management of horses	3B Manure-management	Agriculture non-combustion
Manure management of asses	3B Manure-management	Agriculture non-combustion
Manure management of swine	3B Manure-management	Agriculture non-combustion
Manure management of chicken	3B Manure-management	Agriculture non-combustion
Manure management of ducks	3B Manure-management	Agriculture non-combustion
Manure management of turkey	3B Manure-management	Agriculture non-combustion
Separate category for Rice CH4 emissions (not in	3D Rice-Cultivation	Agriculture non-combustion
Agricultural soils, rice cultivation	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, nitrogen fertilizers	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, animal waste as fertiliser	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, N-fixing crops	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, crop residues	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, histosols	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, buffalos in pasture	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, camels in pasture	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, cattle in pasture	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, chicken in pasture	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, ducks in pasture	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, goats in pasture	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, horses in pasture	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, mules and asses in pasture	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, pigs in pasture	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, sheep in pasture	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, turkeys in pasture	3D Soil-emissions	Agriculture non-combustion
Indirect N2O emissions	3D Soil-emissions	Agriculture non-combustion
Indirect N2O emissions - deposition, other	3D Soil-emissions	Agriculture non-combustion
Indirect N2O emissions - deposition, agriculture	3D Soil-emissions	Agriculture non-combustion
Indirect N2O emissions - leaching and runoff	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, CO2 from urea fertilization	3D Soil-emissions	Agriculture non-combustion
Agricultural soils, liming	3D Soil-emissions	Agriculture non-combustion
Solid waste disposal (landfills)	5A Solid-waste-disposal	Waste
Industrial waste water	5D Wastewater-handling	Waste
Domestic waste water	5D Wastewater-handling	Waste
Human Waste (not in EDGAR)	5D Wastewater-handling	Waste
Solid waste disposal (incineration)	5C Waste-combustion	Waste
Residential waste combustion (not in EDGAR)	5C Waste-combustion	Waste
Other waste handling	5E Other-waste-handling	Waste



Coal fires underground	7A Fossil-fuel-fires	Fossil Fuel Files
Oil fires	7A Fossil-fuel-fires	Fossil Fuel Files
Gas fires	7A Fossil-fuel-fires	Fossil Fuel Files

\* This sector is currently equal to zero in all years and countries, and not included in data files.

### A3. Fuel mapping to IEA products

CEEDS Fuel	IEA Product
biomass	Industrial waste (TJ-net)
	Municipal waste (renewable) (TJ-net)
	Municipal waste (non-renewable) (TJ-net)
brown_coal	Brown coal (if no detail) (kt)
	Lignite (kt)
coal_coke	Coke oven coke (kt)
hard_coal	Hard coal (if no detail) (kt)
	Anthracite (kt)
	Coking coal (kt)
	Other bituminous coal (kt)
	Sub-bituminous coal (kt)
light_oil	Refinery feedstocks (kt)
	Additives/blending components (kt)
	Other hydrocarbons (kt)
	Ethane (kt)
	Liquefied petroleum gases (LPG) (kt)
	Motor gasoline excl. biofuels (kt)
	Aviation gasoline (kt)
	Gasoline type jet fuel (kt)
diesel_oil	Natural gas liquids (kt)
	Gas/diesel oil excl. biofuels (kt)
heavy_oil	Oil shale and oil sands (kt)
	Crude/NGL/feedstocks (if no detail) (kt)
	Crude oil (kt)
	Fuel oil (kt)
natural_gas	Gas works gas (TJ-gross)
	Coke oven gas (TJ-gross)
	Blast furnace gas (TJ-gross)
	Other recovered gases (TJ-gross)
NOT MAPPED	Elec/heat output from non-specified manufactured gases
	Heat output from non-specified combustible fuels
	Nuclear
	Hydro
	Geothermal (direct use in TJ-net)
	Solar photovoltaics
	Solar thermal (direct use in TJ-net)
	Tide, wave and ocean
	Wind
	Other sources
Electricity (GWh)	
Heat (TJ)	
Total	
Total of all energy sources	
Memo: Renewables	
Heat from chemical sources	
Electric boilers	
Heat pumps	

### References

870 van Aardenne, J. A., Dentener, F. J., Olivier, J. G. J., Goldewijk, C. G. M. K. and Lelieveld, J.: A 1°×1° resolution data set of historical anthropogenic trace gas emissions for the period 1890-1990, *Glob. Biogeochem. Cycles*, 15(4), 909–928, doi:10.1029/2000GB001265, 2001.

875 Akagi, S. K., Yokelson, R. J., Wiedinmyer, C., Alvarado, M. J., Reid, J. S., Karl, T., Crounse, J. D. and Wennberg, P. O.: Emission factors for open and domestic biomass burning for use in atmospheric models, *Atmos Chem Phys*, 11(9), 4039–4072, doi:10.5194/acp-11-4039-2011, 2011.

Amann, M., Bertok, I., Borcken, J., Chambers, A., Cofala, J., Dentener, F., Heyes, C., Hoglund, L., Klimont, Z., Purohit, P., Rafaj, P., Schöpp, W., Teixeira, E., Toth, G., Wagner, F. and Winiwarter, W.: GAINS-Asia: A tool to combat air pollution and climate change simultaneously; *Methodology*, ResearchGate [online] Available from: [https://www.researchgate.net/publication/230709522\\_GAINS-](https://www.researchgate.net/publication/230709522_GAINS-)



- 880 Asia\_A\_tool\_to\_combat\_air\_pollution\_and\_climate\_change\_simultaneously\_Methodology (Accessed 28 November 2016), 2008.
- Amann, M., Bertok, I., Borken-Kleefeld, J., Cofala, J., Heyes, C., Höglund-Isaksson, L., Klimont, Z., Nguyen, B., Posch, M., Rafaj, P., Sandler, R., Schöpp, W., Wagner, F. and Winiwarter, W.: Cost-effective control of air quality and greenhouse gases in Europe: Modeling and policy applications, *Environ. Model. Softw.*, 26(12), 1489–1501, doi:10.1016/j.envsoft.2011.07.012, 2011.
- 885 Amann, M., Klimont, Z. and Wagner, F.: Regional and Global Emissions of Air Pollutants: Recent Trends and Future Scenarios, *Annu. Rev. Environ. Resour.*, 38(1), 31–55, doi:10.1146/annurev-environ-052912-173303, 2013.
- Amann, M., Bertok, I., Borken-Kleefeld, J., Cofala, J., Heyes, C., Höglund-Isaksson, L., Kiesewetter, G., Klimont, Z., Schopp, W., Vellinga, N. and Winiwarter, W.: Adjusted historic emission data, projections, and optimized emission reduction targets for 2030 – A comparison with COM data 2013, IIASA, Laxenburg, Austria. [online] Available from: [http://ec.europa.eu/environment/air/pdf/review/TSAP\\_16a.pdf](http://ec.europa.eu/environment/air/pdf/review/TSAP_16a.pdf), 2015.
- 890 Anderson, D. C., Loughner, C. P., Diskin, G., Weinheimer, A., Canty, T. P., Salawitch, R. J., Worden, H. M., Fried, A., Mikoviny, T., Wisthaler, A. and Dickerson, R. R.: Measured and modeled CO and NO<sub>y</sub> in DISCOVER-AQ: An evaluation of emissions and chemistry over the eastern US, *Atmos. Environ.*, 96(0), 78–87, doi:10.1016/j.atmosenv.2014.07.004, 2014.
- 895 Andreae, M. O. and Merlet, P.: Emission of trace gases and aerosols from biomass burning, *Glob. Biogeochem. Cycles*, 15(4), 955–966, doi:10.1029/2000GB001382, 2001.
- Andres, R. J., Marland, G., Boden, T. and Bischof, S.: Carbon dioxide emissions from fossil fuel consumption and cement manufacture, 1751-1991; and an estimate of their isotopic composition and latitudinal distribution, Oak Ridge National Lab., TN (United States); Oak Ridge Inst. for Science and Education, TN (United States). [online] Available from: <http://www.osti.gov/scitech/biblio/10185357> (Accessed 17 October 2016), 1994.
- 900 Andres, R. J., Fielding, D. J., Marland, G., Boden, T. A., Kumar, N. and Kearney, A. T.: Carbon dioxide emissions from fossil-fuel use, 1751–1950, *Tellus 51B*, 51(4), 759–765, 1999.
- Andres, R. J., Boden, T. A., Bréon, F.-M., Ciais, P., Davis, S., Erickson, D., Gregg, J. S., Jacobson, A., Marland, G., Miller, J., Oda, T., Olivier, J. G. J., Raupach, M. R., Rayner, P. and Treanton, K.: A synthesis of carbon dioxide emissions from fossil-fuel combustion, *Biogeosciences*, 9(5), 1845–1871, doi:10.5194/bg-9-1845-2012, 2012.
- 905 Argentina UNFCCC Submission: Argentinian Inventory 1990 - 2012, Submitted to UNFCCC., 2016.
- Australian Department of the Environment: National Pollutant Inventory (NPI), Canberra, Australia. [online] Available from: <http://www.npi.gov.au/substances>, 2016.
- 910 Bartoňová, L.: Unburned carbon from coal combustion ash: An overview, *Fuel Process. Technol.*, 134, 136–158, doi:10.1016/j.fuproc.2015.01.028, 2015.
- Blumberg, K. O., Walsh, M. P. and Pera, C.: Low-sulfur gasoline & diesel: the key to lower vehicle emissions, THE INTERNATIONAL COUNCIL ON CLEAN TRANSPORTATION (ICCT), Napa, CA, USA., 2003.
- Boden, T. ., Marland, G. and Andres, R. J.: Global, Regional, and National Fossil-Fuel CO<sub>2</sub> Emissions, Carbon Dioxide Information Analysis Center, Oak Ridge National Laboratory, U.S. Department of Energy, Oak Ridge, TN, USA., 2016.
- 915 Boden, T. A., Marland, G. and Andres, R. J.: Estimates of Global, Regional, and National Annual CO<sub>2</sub> Emissions from Fossil-Fuel Burning, Hydraulic Cement Production, and Gas Flaring: 1950-1992, Oak Ridge National Laboratory. [online] Available from: <http://cdiac.ornl.gov/epubs/ndp/ndp030/ndp0301.htm>, 1995.



- 920 Bond, T. C., Streets, D. G., Yarber, K. F., Nelson, S. M., Woo, J.-H. and Klimont, Z.: A technology-based global inventory of black and organic carbon emissions from combustion, *J. Geophys. Res. Atmospheres*, 109(D14), D14203, doi:10.1029/2003JD003697, 2004.
- Bond, T. C., Bhardwaj, E., Dong, R., Jogani, R., Jung, S., Roden, C., Streets, D. G. and Trautmann, N. M.: Historical emissions of black and organic carbon aerosol from energy-related combustion, 1850-2000: HISTORICAL BC/OC EMISSIONS, *Glob. Biogeochem. Cycles*, 21(2), n/a–n/a, doi:10.1029/2006GB002840, 2007.
- 925 BP: BP Statistical Review of World Energy, [online] Available from: [bp.com/statisticalreview](http://bp.com/statisticalreview), 2015.
- Bruhwyler, L., Dlugokencky, E., Masarie, K., Ishizawa, M., Andrews, A., Miller, J., Sweeney, C., Tans, P. and Worthy, D.: CarbonTracker-CH<sub>4</sub>: an assimilation system for estimating emissions of atmospheric methane, *Atmos Chem Phys*, 14(16), 8269–8293, doi:10.5194/acp-14-8269-2014, 2014.
- 930 Cofala, J., Amann, M., Klimont, Z., Kupiainen, K. and Höglund-Isaksson, L.: Scenarios of global anthropogenic emissions of air pollutants and methane until 2030, *Atmos. Environ.*, 41(38), 8486–8499, doi:10.1016/j.atmosenv.2007.07.010, 2007.
- Corbett, J. J., Fischbeck, P. S. and Pandis, S. N.: Global nitrogen and sulfur inventories for oceangoing ships, *J. Geophys. Res.-Atmospheres*, 104(D3), 3457–3470, doi:10.1029/1998jd100040, 1999.
- 935 Crippa, M., Janssens-Maenhout, G., Dentener, F., Guizzardi, D., Sindelarova, K., Muntean, M., Van Dingenen, R. and Granier, C.: Forty years of improvements in European air quality: regional policy-industry interactions with global impacts, *Atmos Chem Phys*, 16(6), 3825–3841, doi:10.5194/acp-16-3825-2016, 2016.
- Davidson, E. A.: The contribution of manure and fertilizer nitrogen to atmospheric nitrous oxide since 1860, *Nat. Geosci.*, 2(9), 659–662, doi:10.1038/ngeo608, 2009.
- 940 Denier van der Gon, H. A. C., Bergström, R., Fountoukis, C., Johansson, C., Pandis, S. N., Simpson, D. and Visschedijk, A. J. H.: Particulate emissions from residential wood combustion in Europe – revised estimates and an evaluation, *Atmospheric Chem. Phys.*, 15(11), 6503–6519, doi:10.5194/acp-15-6503-2015, 2015.
- Doxsey-Whitfield, E., MacManus, K., Adamo, S. B., Pistolesi, L., Squires, J., Borkovska, O. and Baptista, S. R.: Taking Advantage of the Improved Availability of Census Data: A First Look at the Gridded Population of the World, Version 4, *Pap. Appl. Geogr.*, 1(3), 226–234, doi:10.1080/23754931.2015.1014272, 2015.
- 945 EC-JRC/PBL: Emission Database for Global Atmospheric Research (EDGAR), release EDGARv4.2 FT2012. [online] Available from: <http://edgar.jrc.ec.europa.eu>, 2012.
- EC-JRC/PBL: Emission Database for Global Atmospheric Research (EDGAR), release version 4.3.1. [online] Available from: <http://edgar.jrc.ec.europa.eu/overview.php?v=431>, 2016.
- 950 Eckhardt, S., Quennehen, B., Olivié, D. J. L., Berntsen, T. K., Cherian, R., Christensen, J. H., Collins, W., Crepinsek, S., Daskalakis, N., Flanner, M., Herber, A., Heyes, C., Hodnebrog, Ø., Huang, L., Kanakidou, M., Klimont, Z., Langner, J., Law, K. S., Lund, M. T., Mahmood, R., Massling, A., Myriokefalitakis, S., Nielsen, I. E., Nøjgaard, J. K., Quaas, J., Quinn, P. K., Raut, J.-C., Rumbold, S. T., Schulz, M., Sharma, S., Skeie, R. B., Skov, H., Uttal, T., von Salzen, K. and Stohl, A.: Current model capabilities for simulating black carbon and sulfate concentrations in the Arctic atmosphere: a multi-model evaluation using a comprehensive measurement data set, *Atmospheric Chem. Phys.*, 15(16), 9413–9433, doi:10.5194/acp-15-9413-2015, 2015.
- 955 EIA: U.S. Total Adjusted Sales of Distillat Fuel Oil by End Use. [online] Available from: [http://www.eia.gov/dnav/pet/pet\\_cons\\_821dsta\\_dcu\\_nus\\_a.htm](http://www.eia.gov/dnav/pet/pet_cons_821dsta_dcu_nus_a.htm), 2013.
- 960 EMEP: Officially reported emission data, Level 1. [online] Available from: <http://www.ceip.at/>, 2016.



- Endresen, O., Sorgard, E., Behrens, H. L., Brett, P. O. and Isaksen, I. S. A.: A historical reconstruction of ships' fuel consumption and emissions, *J. Geophys. Res.-Atmospheres*, 112(D12), doi:10.1029/2006jd007630, 2007.
- Environment and Climate Change Canada: Air Pollutant Emissions Inventory Report: 1990 - 2014, Environment and Climate Change Canada, Gatineau, Canada. [online] Available from: <http://www.ec.gc.ca/Air/89ED82E9-CA92-424E-9FD1-C57235A04CE0/Air%20Pollutant%20Emission%20Inventory%20Report%201990-2014.pdf>, 2016.
- 965
- Environment Canada: National Emission Trends for Key Air Pollutants, 1985-2011. [online] Available from: <http://www.ec.gc.ca/inrp-npri/default.asp?lang=en&n=0EC58C98-#sommaires>, 2013.
- Environment Canada: Sulfur in Liquid Fuels 2005. [online] Available from: [http://publications.gc.ca/site/archivee-archived.html?url=http://publications.gc.ca/collections/collection\\_2014/ec/En11-6-2005-eng.pdf](http://publications.gc.ca/site/archivee-archived.html?url=http://publications.gc.ca/collections/collection_2014/ec/En11-6-2005-eng.pdf), 2016.
- 970
- Erismann, J. W., Sutton, M. A., Galloway, J., Klimont, Z. and Winiwarter, W.: How a century of ammonia synthesis changed the world, *Nat. Geosci.*, 1(10), 636–639, doi:10.1038/ngeo325, 2008.
- Eyring, V., Köhler, H. W., van Aardenne, J. and Lauer, A.: Emissions from international shipping: 1. The last 50 years, *J. Geophys. Res. Atmospheres*, 110(D17), doi:10.1029/2004JD005619, 2005.
- 975
- Eyring, V., Isaksen, I. S. A., Bernsten, T., Collins, W. J., Corbett, J. J., Endresen, O., Grainger, R. G., Moldanova, J., Schlager, H. and Stevenson, D. S.: Transport impacts on atmosphere and climate: Shipping, *Transp. Impacts Atmosphere Clim. ATTICA Assess. Rep.*, 44(37), 4735–4771, doi:10.1016/j.atmosenv.2009.04.059, 2010.
- FAOSTAT: FAOSTAT-Forestry database, [online] Available from: <http://www.fao.org/forestry/statistics/84922/en/>, 2015.
- 980
- Fernandes, S. D., Trautmann, N. M., Streets, D. G., Roden, C. A. and Bond, T. C.: Global biofuel use, 1850–2000, *Glob. Biogeochem. Cycles*, 21(2) [online] Available from: <http://onlinelibrary.wiley.com/doi/10.1029/2006GB002836/full> (Accessed 22 September 2016), 2007.
- Fletcher, M. E.: From coal to oil in British shipping, in *The World of Shipping*, edited by D. M. Williams, Ashgate Publishing, Brookfield VT., 1997.
- 985
- Foell, W., Amann, G., Carmichael, M., Hettelingh, J., Hordijk, L. and Dianwu, Z.: RAINS- Asia: An Assessment Model For Air Pollution In Asia, IIASA., 1995.
- Fouquet, R. and Pearson, P. J. G.: A Thousand Years of Energy Use in the United Kingdom, *Energy J.*, 19(4), 1–41, 1998.
- Goldewijk, K. K., Beusen, A., van Drecht, G. and de Vos, M.: The HYDE 3.1 spatially explicit database of human-induced global land-use change over the past 12,000 years, *Glob. Ecol. Biogeogr.*, 20(1), 73–86, doi:10.1111/j.1466-8238.2010.00587.X, 2011.
- 990
- Granier, C., Bessagnet, B., Bond, T., D'Angiola, A., Gon, H. D. van der, Frost, G. J., Heil, A., Kaiser, J. W., Kinne, S., Klimont, Z., Kloster, S., Lamarque, J.-F., Liousse, C., Masui, T., Meleux, F., Mieville, A., Ohara, T., Raut, J.-C., Riahi, K., Schultz, M. G., Smith, S. J., Thompson, A., Aardenne, J. van, Werf, G. R. van der and Vuuren, D. P. van: Evolution of anthropogenic and biomass burning emissions of air pollutants at global and regional scales during the 1980–2010 period, *Clim. Change*, 109(1-2), 163, doi:10.1007/s10584-011-0154-1, 2011.
- 995
- Gschwandtner, G., Gschwandtner, K., Eldridge, K., Mann, C. and Mobley, D.: Historic Emissions of Sulfur and Nitrogen Oxides in the United States from 1900 to 1980, *J. Air Pollut. Control Assoc.*, 36(2), 139–149, doi:10.1080/00022470.1986.10466052, 1986.



- 1000 Guan, D. B., Liu, Z., Geng, Y., Lindner, S. and Hubacek, K.: The gigatonne gap in China's carbon dioxide inventories, *Nat. Clim. Change*, 2(9), 672–675, doi:10.1038/Nclimate1560, 2012.
- Hassler, B., McDonald, B. C., Frost, G. J., Borbon, A., Carslaw, D. C., Civerolo, K., Granier, C., Monks, P. S., Monks, S., Parrish, D. D., Pollack, I. B., Rosenlof, K. H., Ryerson, T. B., von Schneidemesser, E. and Trainer, M.: Analysis of long-term observations of NO<sub>x</sub> and CO in megacities and application to constraining emissions inventories, *Geophys. Res. Lett.*, 43(18), 2016GL069894, doi:10.1002/2016GL069894, 2016.
- 1005 Holland, E. A., Lee-Taylor, J., Nevison, C. and Sulzman, J. M.: GLOBAL N CYCLE: FLUXES AND N<sub>2</sub>O MIXING RATIOS ORIGINATING FROM HUMAN ACTIVITY. [online] Available from: [http://daac.ornl.gov/cgi-bin/dsviewer.pl?ds\\_id=797](http://daac.ornl.gov/cgi-bin/dsviewer.pl?ds_id=797) (Accessed 12 January 2017), 2005.
- Hong, C., Zhang, Q., He, K., Guan, D., Li, M., Liu, F. and Zheng, B.: Variations of China's emission estimates: response to uncertainties in energy statistics, *Atmospheric Chem. Phys.*, 17(2), 1227–1239, doi:10.5194/acp-17-1227-2017, 2017.
- 1010 Houweling, S., Bergamaschi, P., Chevallier, F., Heimann, M., Kaminski, T., Krol, M., Michalak, A. M. and Patra, P.: Global inverse modeling of CH<sub>4</sub> sources and sinks: an overview of methods, *Atmos Chem Phys*, 17(1), 235–256, doi:10.5194/acp-17-235-2017, 2017.
- 1015 Huo, H., Lei, Y., Zhang, Q., Zhao, L. and He, K.: China's coke industry: Recent policies, technology shift, and implication for energy and the environment, *Energy Policy*, 51, 397–404, doi:10.1016/j.enpol.2012.08.041, 2012.
- IEA: IEA Energy Statistics, [online] Available from: <http://www.iea.org/statistics/>, 2015.
- IEA: CO<sub>2</sub> Emissions from Fuel Combustion: 2016 Edition, IEA, Paris, France. [online] Available from: ISBN 978-92-64-25856, 2016a.
- 1020 IEA: World Energy Outlook Special Report 2016: Energy and Air Pollution, IEA. [online] Available from: <https://www.iea.org/publications/freepublications/publication/WorldEnergyOutlookSpecialReport2016EnergyandAirPollution.pdf>, 2016b.
- IIASA: GAINS - Sulfur Content of Fuels, , doi:Scenario: TSAP\_Consultation\_2014, 2014a.
- IIASA: GAINS - Sulfur Retention in Ash, , doi:Scenario: TSAP\_Consultation\_2014, 2014b.
- 1025 Jacob, D. J., Turner, A. J., Maasakkers, J. D., Sheng, J., Sun, K., Liu, X., Chance, K., Aben, I., McKeever, J. and Frankenberg, C.: Satellite observations of atmospheric methane and their value for quantifying methane emissions, *Atmos Chem Phys*, 16(22), 14371–14396, doi:10.5194/acp-16-14371-2016, 2016.
- Janssens-Maenhout, G., Crippa, M., Guizzardi, D., Dentener, F., Muntean, M., Pouliot, G., Keating, T., Zhang, Q., Kurokawa, J., Wankmüller, R., Denier van der Gon, H., Kuenen, J. J. P., Klimont, Z., Frost, G., Darras, S., Koffi, B. and Li, M.: HTAP\_v2.2: a mosaic of regional and global emission grid maps for 2008 and 2010 to study hemispheric transport of air pollution, *Atmos Chem Phys*, 15(19), 11411–11432, doi:10.5194/acp-15-11411-2015, 2015.
- 1030 Kanaya, Y., Pan, X., Miyakawa, T., Komazaki, Y., Taketani, F., Uno, I. and Kondo, Y.: Long-term observations of black carbon mass concentrations at Fukue Island, western Japan, during 2009–2015: constraining wet removal rates and emission strengths from East Asia, *Atmospheric Chem. Phys.*, 16(16), 10689–10705, doi:10.5194/acp-16-10689-2016, 2016.
- Kaur, R., Wani, S. P., Singh, A. K. and Lal, K.: Wastewater production, treatment and use in India. In National Report presented at the 2nd regional workshop on Safe Use of Wastewater in Agriculture. [online] Available from: [http://www.ais.unwater.org/ais/pluginfile.php/356/mod\\_page/content/111/CountryReport\\_India.pdf](http://www.ais.unwater.org/ais/pluginfile.php/356/mod_page/content/111/CountryReport_India.pdf), 2012.



- 1040 Kholod, N. and Evans, M.: Circumpolar Best Practices: Policy and Financing Options for Black Carbon Emission Reductions from Diesel Sources, [online] Available from: <https://oarchive.arctic-council.org/handle/11374/1758> (Accessed 2 February 2017), 2015.
- Klein Goldewijk, K., Beusen, A. and Janssen, P.: Long-term dynamic modeling of global population and built-up area in a spatially explicit way: HYDE 3.1, *The Holocene*, 20(4), 565–573, doi:10.1177/0959683609356587, 2010.
- 1045 Klimont, Z., Cofala, J., Xing, J., Wei, W., Zhang, C., Wang, S., Kejun, J., Bhandari, P., Mathur, R., Purohit, P., Rafaj, P., Chambers, A., Amann, M. and Hao, J.: Projections of SO<sub>2</sub>, NO<sub>x</sub> and carbonaceous aerosols emissions in Asia, *Tellus B*, 61(4), 602–617, doi:10.1111/j.1600-0889.2009.00428.x, 2009.
- Klimont, Z., Kupiainen, K., Heyes, C., Purohit, P., Cofala, J., Rafaj, P., Borken-Kleefeld, J. and Schöpp, W.: Global anthropogenic emissions of particulate matter including black carbon, *Atmospheric Chem. Phys. Discuss.*, 1–72, doi:10.5194/acp-2016-880, 2016.
- 1050 Klimont, Z., Hoglund-Isaksson, L., Heyes, C., Rafaj, P., Schopp, W., Cofala, J., Purohit, P., Borken-Kleefeld, J., Kupiainen, K., Kiesewetter, G., Winiwarter, W., Amann, M., Zhao, B., Wang, S., Bertok, I. and Sander, R.: Global scenarios of air pollutants and methane: 1990-2050, ACP (In preparation), 2017.
- Korsbakken, J. I., Peters, G. P. and Andrew, R. M.: Uncertainties around reductions in China's coal use and CO<sub>2</sub> emissions, *Nat. Clim. Change*, 6(7), 687–690, doi:10.1038/nclimate2963, 2016.
- 1055 Kurokawa, J., Ohara, T., Morikawa, T., Hanayama, S., Janssens-Maenhout, G., Fukui, T., Kawashima, K. and Akimoto, H.: Emissions of air pollutants and greenhouse gases over Asian regions during 2000–2008: Regional Emission inventory in ASia (REAS) version 2, *Atmospheric Chem. Phys.*, 13(21), 11019–11058, 2013a.
- Kurokawa, J., Ohara, T., Morikawa, T., Hanayama, S., Janssens-Maenhout, G., Fukui, T., Kawashima, K. and Akimoto, H.: Emissions of air pollutants and greenhouse gases over Asian regions during 2000–2008: Regional Emission inventory in ASia (REAS) version 2, *Atmospheric Chem. Phys.*, 13(21), 11019–11058, doi:REAS ver 3 preliminary version-20150112, 2013b.
- 1060 Lamarque, J.-F., Bond, T. C., Eyring, V., Granier, C., Heil, A., Klimont, Z., Lee, D., Liousse, C., Mieville, A., Owen, B., Schultz, M. G., Shindell, D., Smith, S. J., Stehfest, E., Van Aardenne, J., Cooper, O. R., Kainuma, M., Mahowald, N., McConnell, J. R., Naik, V., Riahi, K. and van Vuuren, D. P.: Historical (1850–2000) gridded anthropogenic and biomass burning emissions of reactive gases and aerosols: methodology and application, *Atmos Chem Phys*, 10(15), 7017–7039, doi:10.5194/acp-10-7017-2010, 2010.
- 1065 Lee, D. S., Pitari, G., Grewe, V., Gierens, K., Penner, J. E., Petzold, A., Prather, M. J., Schumann, U., Bais, A., Bernsten, T., Iachetti, D., Lim, L. L. and Sausen, R.: Transport impacts on atmosphere and climate: Aviation, *Atmos. Environ.*, 44(37), 4678–4734, doi:10.1016/j.atmosenv.2009.06.005, 2009.
- 1070 Li, M., Zhang, Q., Kurokawa, J., Woo, J.-H., He, K., Lu, Z., Ohara, T., Song, Y., Streets, D. G., Carmichael, G. R., Cheng, Y., Hong, C., Huo, H., Jiang, X., Kang, S., Liu, F., Su, H. and Zheng, B.: MIX: a mosaic Asian anthropogenic emission inventory under the international collaboration framework of the MICS-Asia and HTAP, *Atmospheric Chem. Phys.*, 17(2), 935–963, doi:10.5194/acp-17-935-2017, 2017.
- 1075 Liu, F., Zhang, Q., Tong, D., Zheng, B., Li, M., Huo, H. and He, K. B.: High-resolution inventory of technologies, activities, and emissions of coal-fired power plants in China from 1990 to 2010, *Atmos Chem Phys*, 15(23), 13299–13317, doi:10.5194/acp-15-13299-2015, 2015a.
- 1080 Liu, Z., Guan, D., Wei, W., Davis, S. J., Ciais, P., Bai, J., Peng, S., Zhang, Q., Hubacek, K., Marland, G., Andres, R. J., Crawford-Brown, D., Lin, J., Zhao, H., Hong, C., Boden, T. A., Feng, K., Peters, G. P., Xi, F., Liu, J., Li, Y., Zhao, Y., Zeng, N. and He, K.: Reduced carbon emission estimates from fossil fuel combustion and cement production in China, *Nature*, 524(7565), 335–338, doi:10.1038/nature14677, 2015b.



- Ludek and Holub: The Century of Petrol - The History Of The Refining Industry In The Czech Lands., 2005.
- Lu, Z., Zhang, Q. and Streets, D. G.: Sulfur dioxide and primary carbonaceous aerosol emissions in China and India, 1996–2010, *Atmos Chem Phys*, 11(18), 9839–9864, doi:10.5194/acp-11-9839-2011, 2011.
- 1085 van Marle, M. J. E., Kloster, S., Magi, B. I., Marlon, J. R., Daniau, A.-L., Field, R. D., Arneth, A., Forrest, M., Hantson, S., Kehrwald, N. M., Knorr, W., Lasslop, G., Li, F., Mangeon, S., Yue, C., Kaiser, J. W. and van der Werf, G. R.: Historic global biomass burning emissions based on merging satellite observations with proxies and fire models (1750-2015), *Geosci Model Dev Discuss*, 2017, 1–56, doi:10.5194/gmd-2017-32 (In preparation), 2017.
- 1090 McLinden, C. A., Fioletov, V., Shephard, M. W., Krotkov, N., Li, C., Martin, R. V., Moran, M. D. and Joiner, J.: Space-based detection of missing sulfur dioxide sources of global air pollution, *Nat. Geosci*, 9(7), 496–500, doi:10.1038/ngeo2724, 2016.
- Mester, Z. C.: Meeting sulfur specifications for 2000 and beyond, San Francisco., 2000.
- Mitchell, B. R.: International historical statistics : the Americas, 1750-2000, Palgrave Macmillan, Houndmills, Basingstoke, Hampshire; New York., 2003.
- 1095 Mitchell, B. R.: International historical statistics: Europe, 1750-2005, Sixth edition., Palgrave Macmillan, Basingstoke, Hampshire ; New York, N.Y., 2007.
- Mitchell, B. R. (Brian R. ): International historical statistics : the Americas and Australasia, Gale Research Co., 1983.
- 1100 Mylona, S.: Sulphur dioxide emissions in Europe 1880–1991 and their effect on sulphur concentrations and depositions, *Tellus B*, 48(5), 662–689, doi:10.1034/j.1600-0889.1996.t01-2-00005.x, 1996.
- OECD: OECD Waste water treatment, [online] Available from: <https://data.oecd.org/water/waste-water-treatment.htm>, 2016.
- 1105 Olivier, J., Janssens-Maenhout, G., Muntean, M. and Peters, J.: Trends in global CO<sub>2</sub> emissions: 2015 Report, The Hague: PBL Netherlands Environmental Assessment Agency; Ispra: European Commission, Joint Research Centre., 2015.
- Pant, P. and Harrison, R. M.: Estimation of the contribution of road traffic emissions to particulate matter concentrations from field measurements: A review, *Atmos. Environ.*, 77, 78–97, doi:10.1016/j.atmosenv.2013.04.028, 2013.
- 1110 Parrish, D. D.: Critical evaluation of US on-road vehicle emission inventories, *Atmos. Environ.*, 40(13), 2288–2300, doi:10.1016/j.atmosenv.2005.11.033, 2006.
- Pretorius, I., Piketh, S., Burger, R. and Neomagus, H.: A perspective on South African coal fired power station emissions, *J. Energy South. Afr.*, 26(3), 27–40, 2015.
- 1115 Purohit, P., Amann, M. and Mathur, R.: GAINS-Asia: Scenarios for Cost-Effective Control of Air Pollution and Greenhouse Gases in India. [online] Available from: <http://gains.iiasa.ac.at/gains/reports/GAINS-Asia-Methodology-20081205.pdf> (Accessed 9 August 2012), 2010.
- Qin, Y. and Xie, S. D.: Spatial and temporal variation of anthropogenic black carbon emissions in China for the period 1980–2009, *Atmos Chem Phys*, 12(11), 4825–4841, doi:10.5194/acp-12-4825-2012, 2012.
- 1120 Rao, S., Klimont, Z., Smith, S. J., Van Dingenen, R., Dentener, F., Bouwman, L., Riahi, K., Amann, M., Bodirsky, B. L., van Vuuren, D. P., Aleluia Reis, L., Calvin, K., Drouet, L., Fricko, O., Fujimori, S., Gernaat, D., Havlik, P., Harmsen, M., Hasegawa, T., Heyes, C., Hilaire, J., Luderer, G., Masui, T., Stehfest, E., Strefler, J., van der Sluis, S.



- and Tavoni, M.: Future air pollution in the Shared Socio-economic Pathways, *Glob. Environ. Change*, doi:10.1016/j.gloenvcha.2016.05.012, 2016.
- 1125 Rowe and Morrison, J.: Heart of a Mountain, Soul of a Town: the story of Algoma Ore and the town of Wawa, Privately Published, Wawas, Ontario. [online] Available from: <http://www.ourroots.ca/e/page.aspx?id=910392>, 1999.
- Ryaboshapko, A. G., Brukhanov, P. A., Gromov, S. A., Proshina, Y. V. and Afinogenova, O. G.: Anthropogenic emissions of oxidized sulfur and nitrogen into the atmosphere of the former Soviet Union in 1985 and 1990, Department of Meteorology, Stockholm University., 1996.
- 1130 Sanger, R. P.: Motor Vehicle Emission Regulations and Fuel Specifications - Part 2: Detailed Information and Historic Review (1970 -1996). [online] Available from: <https://www.concawe.eu/uploads/Modules/Publications/2002-00203-01-e.pdf>, 1997.
- Schultz, M. and Sebastian, R.: Emission data sets and methodologies for estimating emissions. [online] Available from: [http://retro-archive.iek.fz-juelich.de/data/documents/reports/D1-6\\_final.pdf](http://retro-archive.iek.fz-juelich.de/data/documents/reports/D1-6_final.pdf), 2007.
- 1135 Sharma, S., Goel, A., Gupta, D., Kumar, A., Mishra, A., Kundu, S., Chatani, S. and Klimont, Z.: Emission inventory of non-methane volatile organic compounds from anthropogenic sources in India, *Atmos. Environ.*, 102, 209–219, doi:10.1016/j.atmosenv.2014.11.070, 2015.
- Simachaya, W.: Thailand's Coal-fired Power Plant Pollution Control. [online] Available from: <https://eneken.ieej.or.jp/data/6467.pdf>, 2015.
- 1140 Smith, S. J., van Aardenne, J., Klimont, Z., Andres, R. J., Volke, A. and Delgado Arias, S.: Anthropogenic sulfur dioxide emissions: 1850–2005, *Atmos Chem Phys*, 11(3), 1101–1116, doi:10.5194/acp-11-1101-2011, 2011.
- Smith, T. W. P., Jalkanen, J. P., Anderson, B. A., Corbett, J. J., Faber, J., Hanayama, S., O'Keefe, E., Parker, S., Johansson, L., Aldous, L., Raucchi, C., Traut, M., Ettinger, S., Nelissen, D., Lee, D. S., Ng, S., Agrawal, A., Winebrake, J. J., Hoen, M., Chesworth, S. and Pandey, A.: Reduction of GHG Emissions from Ships: Third IMO GHG Study 2014 – Final Report, IMO. [online] Available from: [http://www.imo.org/en/OurWork/Environment/PollutionPrevention/AirPollution/Documents/MEPC%2067-INF.3%20-%20Third%20IMO%20GHG%20Study%202014%20-%20Final%20Report%20\(Secretariat\).pdf](http://www.imo.org/en/OurWork/Environment/PollutionPrevention/AirPollution/Documents/MEPC%2067-INF.3%20-%20Third%20IMO%20GHG%20Study%202014%20-%20Final%20Report%20(Secretariat).pdf), 2014.
- 1145 South Korea National Institute of Environmental Research: National Air Pollutants Emission Service. [online] Available from: <http://airemiss.nier.go.kr/>, 2016.
- 1150 Stohl, A., Aamaas, B., Amann, M., Baker, L. H., Bellouin, N., Berntsen, T. K., Boucher, O., Cherian, R., Collins, W., Daskalakis, N., Dusinska, M., Eckhardt, S., Fuglestvedt, J. S., Harju, M., Heyes, C., Hodnebrog, Ø., Hao, J., Im, U., Kanakidou, M., Klimont, Z., Kupiainen, K., Law, K. S., Lund, M. T., Maas, R., MacIntosh, C. R., Myhre, G., Myriokefalitakis, S., Olivie, D., Quaas, J., Quennehen, B., Raut, J.-C., Rumbold, S. T., Samset, B. H., Schulz, M., Seland, Ø., Shine, K. P., Skeie, R. B., Wang, S., Yttri, K. E. and Zhu, T.: Evaluating the climate and air quality impacts of short-lived pollutants, *Atmospheric Chem. Phys.*, 15(18), 10529–10566, doi:10.5194/acp-15-10529-2015, 2015.
- 1155 Streets, D. G., Canty, T., Carmichael, G. R., de Foy, B., Dickerson, R. R., Duncan, B. N., Edwards, D. P., Haynes, J. A., Henze, D. K., Houyoux, M. R., Jacob, D. J., Krotkov, N. A., Lamsal, L. N., Liu, Y., Lu, Z., Martin, R. V., Pfister, G. G., Pinder, R. W., Salawitch, R. J. and Wecht, K. J.: Emissions estimation from satellite retrievals: A review of current capability, *Atmos. Environ.*, 77, 1011–1042, doi:10.1016/j.atmosenv.2013.05.051, 2013.
- 1160 TEPA: Taiwan Emission Data System. [online] Available from: [teds.epa.gov.tw/](https://teds.epa.gov.tw/), 2016.
- The World Bank: World Development Indicators, [online] Available from: [databank.worldbank.org/data/download/WDI\\_excel.zip](http://databank.worldbank.org/data/download/WDI_excel.zip), 2016.



- Travis, K. R., Jacob, D. J., Fisher, J. A., Kim, P. S., Marais, E. A., Zhu, L., Yu, K., Miller, C. C., Yantosca, R. M., Sulprizio, M. P., Thompson, A. M., Wennberg, P. O., Crouse, J. D., St. Clair, J. M., Cohen, R. C., Laughner, J. L., Dibb, J. E., Hall, S. R., Ullmann, K., Wolfe, G. M., Pollack, I. B., Peischl, J., Neuman, J. A. and Zhou, X.: Why do models overestimate surface ozone in the Southeast United States?, *Atmos Chem Phys*, 16(21), 13561–13577, doi:10.5194/acp-16-13561-2016, 2016.
- Tushingham, M.: *Regional Composition of Gasoline and Diesel*, 1996.
- UK DEFRA: National Atmospheric Emissions Inventory (NAEI): Emissions of Air Quality Pollutants 1990–2013. [online] Available from: [http://naei.defra.gov.uk/reports/reports?report\\_id=853](http://naei.defra.gov.uk/reports/reports?report_id=853), 2015.
- UN: World Urbanization Prospects: The 2014 Revision, [online] Available from: [https://esa.un.org/unpd/wup/CD-ROM/WUP2014\\_XLS\\_CD\\_FILES/WUP2014-F01-Total\\_Urban\\_Rural.xls](https://esa.un.org/unpd/wup/CD-ROM/WUP2014_XLS_CD_FILES/WUP2014-F01-Total_Urban_Rural.xls), 2014.
- UN: World Population Prospects: The 2015 Revision, [online] Available from: <http://esa.un.org/unpd/wpp/DVD/>, 2015.
- 1175 UN: UN Energy Statistics Database, UN. [online] Available from: <http://unstats.un.org/unsd/energy/>, 2016.
- UNFCCC: National Inventory Submissions of Annex I Parties to the UNFCCC. [online] Available from: <http://unfccc.int/>, 2015.
- US EPA: Report to Congress On Black Carbon: Department of the Interior, Environment, and Related Agencies, Appropriations Act, 2010., US EPA, EPA-450/R-12-001., 2012.
- 1180 US EPA: 2011 National Emissions Inventory (NEI) v2. [online] Available from: <https://www.epa.gov/air-emissions-inventories/2011-national-emissions-inventories> (Accessed 24 August 2016), 2013.
- US EPA: EPA report: EPA 430-R-15-004: Inventory of U.S. Greenhouse Gas Emissions and Sinks: 1990 – 2013, U.S. Environmental Protection Agency, 200 Pennsylvania Ave., N.W. Washington, DC 20460, U.S.A., 2015.
- US EPA: Air Pollutant Emissions Trends Data: Average Annual Emissions. [online] Available from: [https://www.epa.gov/sites/production/files/2015-07/national\\_tier1\\_caps.xlsx](https://www.epa.gov/sites/production/files/2015-07/national_tier1_caps.xlsx), 2016.
- 1185 van Vuuren, D. P., Edmonds, J., Kainuma, M., Riahi, K., Thomson, A., Hibbard, K., Hurtt, G. C., Kram, T., Krey, V., Lamarque, J.-F., Masui, T., Meinshausen, M., Nakicenovic, N., Smith, S. J. and Rose, S. K.: The representative concentration pathways: an overview, *Clim. Change*, 109(1–2), 5, doi:10.1007/s10584-011-0148-z, 2011.
- Wang, S. X., Zhao, B., Cai, S. Y., Klimont, Z., Nielsen, C. P., Morikawa, T., Woo, J. H., Kim, Y., Fu, X., Xu, J. Y., Hao, J. M. and He, K. B.: Emission trends and mitigation options for air pollutants in East Asia, *Atmospheric Chem. Phys.*, 14(13), 6571–6603, doi:10.5194/acp-14-6571-2014, 2014.
- 1190 Wiedinmyer, C., Yokelson, R. J. and Gullett, B. K.: Global Emissions of Trace Gases, Particulate Matter, and Hazardous Air Pollutants from Open Burning of Domestic Waste, *Environ. Sci. Technol.*, 48(16), 9523–9530, doi:10.1021/es502250z, 2014.
- 1195 Winijkul, E., Fierce, L. and Bond, T. C.: Emissions from residential combustion considering end-uses and spatial constraints: Part I, methods and spatial distribution, *Atmos. Environ.*, 125, Part A, 126–139, doi:10.1016/j.atmosenv.2015.10.013, 2016.
- Wu, Q. R., Wang, S. X., Zhang, L., Song, J. X., Yang, H. and Meng, Y.: Update of mercury emissions from China's primary zinc, lead and copper smelters, 2000–2010, *Atmos Chem Phys*, 12(22), 11153–11163, doi:10.5194/acp-12-11153-2012, 2012.
- 1200



- Xu, Y., Williams, R. H. and Socolow, R. H.: China's rapid deployment of SO<sub>2</sub> scrubbers, *Energy Environ. Sci.*, 2(5), 459–465, doi:10.1039/B901357C, 2009.
- Zhang, Q., Streets, D. G., He, K. and Klimont, Z.: Major components of China's anthropogenic primary particulate emissions, *Environ. Res. Lett.*, 2(4), 045027, doi:10.1088/1748-9326/2/4/045027, 2007.
- 1205 Zhang, Q., He, K. and Huo, H.: Policy: Cleaning China's air, *Nature*, 484(7393), 161–162, doi:10.1038/484161a, 2012.
- Zhao, B., Wang, S. X., Liu, H., Xu, J. Y., Fu, K., Klimont, Z., Hao, J. M., He, K. B., Cofala, J. and Amann, M.: NO<sub>x</sub> emissions in China: historical trends and future perspectives, *Atmos Chem Phys*, 13(19), 9869–9897, doi:10.5194/acp-13-9869-2013, 2013a.
- 1210 Zhao, Y., Zhang, J. and Nielsen, C. P.: The effects of recent control policies on trends in emissions of anthropogenic atmospheric pollutants and CO<sub>2</sub> in China, *Atmospheric Chem. Phys.*, 13(2), 487–508, doi:10.5194/acp-13-487-2013, 2013b.
- Zhou, Y., Li, J., Chang, C.-C., Wei, S., Ni, Y. and Zhang, Y.: Status and development for municipal wastewater reuse in China, pp. 3183–3186, *IEEE.*, 2011.
- 1215