

1 **Understanding the performance of the FLake model over**
2 **two African Great Lakes**

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4 **W. Thiery¹, A. Martynov^{2,3}, F. Darchambeau⁴, J.-P. Descy⁵, P.-D. Plisnier⁶, L.**
5 **Sushama², N.P.M. van Lipzig¹**

6 [1]{Department of Earth and Environmental Sciences, University of Leuven, Belgium}

7 [2]{Centre pour l'Étude et la Simulation du Climat à l'Échelle Régionale (ESCER),

8 Université du Québec à Montréal, Canada}

9 [3]{Institute of Geography and Oeschger Centre for Climate Change Research, University of
10 Bern, Switzerland}

11 [4]{Unité d'Océanographie Chimique, Université de Liège, Belgium}

12 [5]{Laboratoire d'écologie des Eaux Douces, University of Namur, Belgium}

13 [6]{Royal Museum for Central Africa, Belgium}

14 Correspondence to: W. Thiery (wim.thiery@ees.kuleuven.be)

15

1 **Abstract**

2 The ability of the one-dimensional lake model FLake to represent the mixolimnion
3 temperatures for tropical conditions was tested for three locations in East Africa: Lake Kivu,
4 Lake Tanganyika's northern and southern basins. Meteorological observations from
5 surrounding Automatic Weather Stations were corrected and used to drive FLake, whereas a
6 comprehensive set of water temperature profiles served to evaluate the model at each site.
7 Careful forcing data correction and model configuration allowed to reproduce the observed
8 mixed layer seasonality at Lake Kivu and Lake Tanganyika (northern and southern basins),
9 with correct representation of both the mixed layer depth and temperature structure. At Lake
10 Kivu, mixolimnion temperatures predicted by FLake were found sensitive both to minimal
11 variations in the external parameters (lake depth and water transparency) as to small changes
12 in the meteorological driving data, in particular wind velocity. In each case, small
13 modifications may already lead to a regime switch from the correctly represented seasonal
14 mixed layer deepening to either completely mixed (down to the model lake bottom) or
15 permanently stratified (from ~10 m downwards) conditions. In contrast, model temperatures
16 are found robust close to the surface, with acceptable predictions of near-surface water
17 temperatures even when the seasonal mixing regime is not reproduced. FLake can thus be a
18 suitable tool to parameterize tropical lake water surface temperatures within atmospheric
19 prediction models, but may be less appropriate, in its current form, to study complex
20 limnological processes within tropical lakes. Furthermore, a study of different initial
21 conditions showed that for tropical lakes lacking reliable initial data, a fully mixed, artificially
22 warm initialisation is to be preferred, but only if the model is allowed to spin up until
23 convergence is reached. Finally, FLake was used to attribute the seasonal mixing cycle at
24 Lake Kivu to variations in the near-surface meteorological conditions. It was found that the
25 annual mixing down to 60 m during the main dry season is primarily due to enhanced lake
26 evaporation and secondarily due to the decreased incoming long wave radiation, both causing
27 a significant heat loss from the lake surface and associated mixolimnion cooling.

28

29 **1 Introduction**

30 Owing to the strong contrast in albedo, roughness and heat capacity between land and water,
31 lakes significantly influence the surface-atmosphere exchange of moisture, heat and
32 momentum (Bonan, 1995; Mironov, 2010). Some effects of this modified exchange are (i) the

1 dampening of the diurnal temperature cycle and lagged temperature response over lakes
2 compared to adjacent land, (ii) enhanced winds due to the lower surface roughness, (iii)
3 higher moisture input into the atmosphere as lakes evaporate at the potential evaporation rate,
4 and (iv) the formation of local winds, such as the lake/land breezes (Savijärvi and Järvenoja
5 2000; Samuelsson et al., 2010; Lauwaet et al., 2011).

6 One such region where lakes are a key component of the climate system is the African Great
7 Lakes region. During last decades, the African Great Lakes experienced fast changes in
8 ecosystem structure and functioning, and their future evolution is a major concern (O'Reilly
9 et al., 2003; Verburg et al., 2003; Verburg and Hecky, 2009). To better understand the present
10 lake hydrodynamics and their relation to aquatic chemistry and biology, several
11 comprehensive one-, two- or three-dimensional hydrodynamic models have been developed
12 and applied in standalone mode to lakes in this region (Schmid et al., 2005; Naithani et al.,
13 2007; Gourgue et al., 2011; Verburg et al., 2011). However, to investigate the two-way
14 interactions between climate and lake processes over East Africa, a correct representation of
15 lakes within Regional Climate Models (RCMs) and General Circulation Models (GCMs) is
16 essential (Stepanenko et al., 2012; see appendix for a list of all acronyms, variables and
17 simulation names). For now, the high computational expense of complex hydrodynamic lake
18 models limits the applicability of coupled lake-atmosphere model systems to process studies
19 (Anyah et al., 2006; Thiery et al., 2014). To overcome this issue, the Freshwater Lake model
20 (FLake) was recently developed (Mironov, 2008; Mironov et al., 2010). It offers a very good
21 compromise between physical realism and computational efficiency.

22 As a one-dimensional lake parameterisation scheme, FLake has already been coupled to a
23 large number of Numerical Weather Prediction (NWP) systems, RCMs and GCMs
24 (Kourzeneva et al., 2008; Dutra et al., 2010; Mironov et al., 2010; Salgado and Le Moigne,
25 2010; Samuelsson et al., 2010; Martynov et al., 2012). However, even though it has become a
26 landmark in this respect, FLake has never been thoroughly tested for tropical conditions.
27 Moreover, as several joint efforts to provide society with climate change information, such as
28 the COordinated Regional climate Downscaling EXperiment (CORDEX), explicitly focus on
29 the African continent (Giorgi et al., 2009), a correct representation of the African Great Lakes
30 within NWP, RCMs and GCMs becomes of particular importance.

31 Hence, the main goal of this study is to test - for the first time - the ability of FLake to
32 reproduce the temperature regimes of two tropical lakes in East Africa. Lake Kivu and Lake

1 Tanganyika are selected as they are the only rift lakes for which both local weather conditions
2 and lake water temperatures have been monitored for several years. Lake Kivu (Fig. 1b), is a
3 deep meromictic lake, with an oxic mixolimnion seasonally extending down to 60-70 m,
4 below which the monimolimnion is found rich in nutrients and dissolved gases, in particular
5 carbon dioxide and methane (Fig. 2; Degens et al., 1973; Borgès et al., 2011; Descy et al.,
6 2012). Due to the input of heat and salts from deep geothermal springs, temperature and
7 salinity in the monimolimnion increase with depth (Degens et al., 1973; Spigel and Coulter,
8 1996; Schmid et al., 2005). Moreover, in the deeper layers, vertical diffusive transport is
9 dominated by double diffusive convection (Schmid et al., 2010). Lake Tanganyika (Fig. 1c),
10 the first Albertine rift lake south of Lake Kivu, stretches 670 km southwards and, with its 60
11 km mean width and maximum depth of 1470 m, represents the second largest surface
12 freshwater reservoir on earth (18 880 km³; Savijärvi, 1997; Alleman et al., 2005; Verburg and
13 Hecky, 2009). Lake Tanganyika is also meromictic (Naithani et al., 2011), but its salt content
14 is lower compared to Lake Kivu (Spigel and Coulter, 1996). Lake Kivu and Lake Tanganyika
15 are both characterised by long lake water retention times (~100 years and ~800 years,
16 respectively; Schmid and Wüest, 2012; Coulter, 1991), hence the impact of riverine in- and
17 outflow is of little importance to the circulation within these lakes.

18 In this study, lake temperatures were calculated for three sites, one at Lake Kivu and two at
19 Lake Tanganyika, by forcing FLake with observations from surrounding Automatic Weather
20 Stations (AWSs) and subsequently comparing them to observed time series. Besides
21 integrating with the raw meteorological observations, wind speed measurements and water
22 transparency were also refined within their uncertainty range to yield a control simulation
23 representing the correct mixing regime. At each location, FLake was also driven by the re-
24 analysis product ERA-Interim (Simmons et al., 2007). Furthermore, a systematic analysis of
25 FLake's sensitivity to variations in external parameters, meteorological forcing data, and
26 temperature initialisation was conducted. Finally, a study of the surface energy balance
27 allowed attributing the mixing regime at Lake Kivu to changes in near-surface meteorological
28 conditions.

29

1 2 **Data and methods**

2 2.1 **AWS data**

3 The Lake Kivu region is characterised by a long dry season extending from June to
4 September, and a wet season from October to May, interrupted by a short dry season around
5 January (Beadle, 1981). Further south in Lake Tanganyika, the dry season sets in one month
6 earlier (Spigel and Coulter, 1996; Verburg and Hecky, 2003). Over both lakes, predominantly
7 southeasterly winds reach a maximum during the dry season (Nicholson, 1996; Verburg and
8 Hecky, 2003; Sarmiento et al., 2006).

9 AWS 1 is located on the roof of the Institut Supérieur Pédagogique in Bukavu, Democratic
10 Republic of the Congo, approximately 1 km from the southern border of Lake Kivu and 27
11 km southwest from the monitoring site in the Ishungu Basin (Fig. 1b). For this study,
12 meteorological observations covering a period of 9 years (2003-2011) were used. AWS 2 is
13 situated at the Tanzania Fisheries Research Institute in Kigoma, Tanzania, 50 m from the lake
14 shore and 4 km southeast from the evaluation site in Kigoma (Fig. 1c). As such, this station
15 recorded meteorological conditions representative for the northern Tanganyika basin from
16 2002 to 2006. Finally, considered as representative for the southern Tanganyika basin, AWS 3
17 is located at Mpulungu Department of Fisheries, on the lake shore and 8.5 km south of the
18 monitoring site of Mpulungu (Fig. 1c). Unfortunately, for this station only 13 months of data
19 (Feb. 2002 – Apr. 2003) were available. With all three AWSs located on land, one can expect
20 some differences between the measured values and actual meteorological conditions at the
21 sites they aim to represent. However, given the lack of meteorological observations at these
22 locations, it is difficult to assess the degree to which these stations represent their respective
23 evaluation site, except probably for wind speed measurements (Sect. 2.4). Possibly AWS 3,
24 the most exposed station and located on the lake shore, succeeds best at representing the
25 meteorological conditions of the evaluation site. AWS topographic characteristics and
26 meteorological averages are listed in Table 1.

27 Each AWS records air temperature (T), pressure (p), wind speed (ff) and direction (dd),
28 relative humidity (RH) and downward short-wave radiation (SW_{in}) at a single level above
29 the surface, and at an estimated accuracy of ± 0.5 °C, ± 1 hPa, $\pm 5\%$, $\pm 3^\circ$, $\pm 3\%$ and $\pm 5\%$,
30 respectively. The measurement frequency is 30 min at AWS 1 and 15 min at AWS 2 and 3,
31 but for the integrations only hourly instantaneous values were retained. Three problems

1 needed to be overcome to prepare the forcing data for the FLake simulations. First, all stations
2 experience frequent data gaps (50%, 23% and 37% of the time at AWS 1, 2 and 3,
3 respectively), and gaps are too long to be filled using simple interpolation techniques. This
4 issue was solved by calculating for each hour of the year the climatological average from
5 available observations and subsequently filling all data gaps with the corresponding
6 climatological value. When no climatological value is available for SW_{in} , the value of the
7 previous day was used. At AWS 3, where the time series is too short to obtain climatological
8 values, data gaps were instead filled by the average daily cycle. Second, time series of
9 downward long-wave radiation (LW_{in}), a necessary forcing variable to FLake, are not
10 measured by the AWSs. Hence, they were retrieved from the ERA-Interim grid point closest
11 to the evaluation site and subsequently converted from 6-hourly accumulated values to hourly
12 instantaneous value.

13

14 **2.2 FLake model**

15 The one-dimensional FLake model is designed to represent the evolution of a lake column
16 temperature profile and the integral energy budgets of its different layers (Mironov, 2008;
17 Mironov et al; 2010). In particular, the model consists of two vertical water layers: a mixed
18 layer, which is assumed to have a uniform temperature (T_{ML}), and an underlying thermocline,
19 extending down to the lake bottom (Fig. 2). The temperature-depth curve in the thermocline is
20 parameterized through the concept of self-similarity, or assumed-shape (Kitaigorodskii and
21 Miropolskii, 1970), meaning that the characteristic shape of the temperature profile is
22 conserved irrespective of the depth of this layer (Munk and Anderson, 1948). Hence, within
23 the thermocline, temperature at a relative (dimensionless) depth within the thermocline
24 depends only on the shape of the thermocline curve. In turn, this shape is determined only by
25 the temperature at the top and bottom of the thermocline and by a shape factor, describing the
26 curve through a fourth-order polynomial (Mironov, 2008). Additionally, FLake includes the
27 representation of the thermal structure of lake ice and snow cover and (optionally) also of the
28 temperature of two layers in the bottom sediments, all using the concept of self-similarity.
29 Without considering ice/snow cover and bottom sediments, the prognostic variables computed
30 by the model reduce to: the mixed layer depth (h_{ML}), the bottom temperature (T_{BOT}), the water
31 column average temperature (T_{MW}) and the shape factor with respect to the temperature

1 profile in the thermocline (C_T). The mixed layer depth is calculated including effects of both
2 convective and mechanical mixing, while volumetric heating is accounted for through the net
3 short-wave radiation penetrating the water and becoming absorbed according to the Beer-
4 Lambert law (Mironov, 2008; Mironov et al., 2010). Finally, along with the standalone FLake
5 model comes a set of surface flux subroutines originating from the limited-area atmospheric
6 model COSMO (Consortium for Small-scale Modeling; Doms and Schättler, 2002), hence
7 the components of the surface energy balance are computed following the method described
8 by Raschendorfer (2001; see also Doms et al., 2011; Akkermans et al., 2012).

9 The approach adopted in this study is to test FLake as close as possible to its native
10 configuration, i.e. how it is operationally used as a lake parameterisation scheme within most
11 atmospheric prediction models. Consequently, modifications in the source code from which
12 the predictions would potentially benefit, such as including time dependent water
13 transparency, making the distinction between the visible and near-infrared fractions of SW_{in}
14 (each with their own absorption characteristics), improving the parameterisation of the
15 thermocline's shape factor, defining a geothermal heat flux, accounting for the effect of
16 bottom sediments, and including an abyssal layer or diurnal stratification, were not taken into
17 account in this study. Conversely, some of these effects were considered during the lake
18 model intercomparison experiment for Lake Kivu (Thiery et al., 2014).

19

20 **2.3 Water transparency and temperature profiles**

21 In oligotrophic environments such as Lake Tanganyika and Kivu, water transparency is
22 predominantly related to phytoplankton development, which is usually confirmed by a good
23 correlation between the chlorophyll *a* concentrations and the downward light attenuation
24 coefficient k (m^{-1}) or related quantity (Naithani et al., 2007; Darchambeau et al., 2013). In
25 FLake, however, k has to be ascribed a constant value. A large measurement set of
26 disappearance depths of the Secchi disk z_{sd} (m) are available for each site (Table 2). z_{sd} were
27 converted to k using the relationship

$$28 \quad k = \frac{-\ln(0.25)}{z_{sd}} \quad (1)$$

1 where 0.25 refers to the fraction of incident radiation penetrating to the depth at which the
2 Secchi disk is no longer visible. This fraction, differing from one Secchi disk to another, was
3 retrieved at Lake Kivu by means of 15 simultaneous measurements of z_{sd} and the vertical
4 profile of light conditions using a LI-193SA Spherical Quantum Sensor, from which k was
5 estimated. For each dataset of k , a gamma probability density function was fitted (Fig. 3),
6 from which subsequently average \bar{k} and standard deviation σ_k were calculated (Table 2).
7 The higher \bar{k} observed at Ishungu relative to Kigoma and Mpulungu is caused by the higher
8 phytoplankton biomass (represented by Chlorophyll a concentrations) in Lake Kivu (2.02
9 ± 0.78 mg m⁻³; Sarmiento et al., 2012) compared to Lake Tanganyika (0.67 ± 0.25 mg m⁻³;
10 Stenuite et al., 2007). Note that, since an uncertainty remains associated with the exact value
11 of k , its value was allowed to vary within given bounds in the different simulations (see Sect.
12 2.4).

13 The evaluation of the FLake simulations was made by the use of 419 Conductivity-
14 Temperature-Depth (CTD) casts collected at Ishungu (Lake Kivu), Kigoma (Lake
15 Tanganyika's northern basin) and Mpulungu (Lake Tanganyika's southern basin; Table 2). At
16 each of these locations, they provide a clear image of the surface lake's thermal structure and
17 hence mixing regime. While it can be argued that temperature recordings at Ishungu are
18 representative for the whole Lake Kivu, except Bukavu Bay and Kabuno Bay (Thiery et al.,
19 2014), the same cannot be claimed for Lake Tanganyika, where seasonal variations in wind
20 velocity and internal wave motions cause spatially variant mixing dynamics (Plisnier et al.,
21 1999). This is also apparent from the comparison of the CTD casts of Kigoma and Mpulungu
22 (Sect. 3.2, 3.3). Consequently, the results of the FLake simulations for Ishungu can be used to
23 study the mixing physics of Lake Kivu (Sect. 3.6), whereas the mixing processes within the
24 whole Lake Tanganyika cannot be captured by single-column simulations at two sites only.

25 To ease the intercomparison of the different CTD casts, first, each temperature profile was
26 spatially interpolated to a regular vertical grid with increment 0.1 m using the piecewise cubic
27 Hermite interpolation technique (De Boor, 1978). Subsequently the depth of the mixed layer
28 was determined for each cast as the depth with the maximum downward temperature change
29 per meter lower than a predefined threshold (-0.03 °C m⁻¹). Whenever the thermal gradient
30 did not exceed this threshold, the lake was assumed to be mixed down to the artificial model
31 depth (see Sect. 2.4). Finally, both temperature profiles and mixed layer depths were also

1 temporally interpolated to a grid with increment of one day using the same spline
2 interpolation.

3

4 **2.4 Model configuration, evaluation and sensitivity**

5 Both in situ meteorological measurements and ERA-Interim data from the nearest grid cell
6 were used to drive FLake in standalone mode (decoupled from an atmospheric model) for
7 three different locations: Ishungu, Kigoma and Mpulungu (Fig. 1). One of FLake's main
8 external parameters is the lake depth (Mironov, 2008; Kourzeneva et al., 2012b). However,
9 for most of the deep African Great Lakes, their actual lake depth cannot be used, since FLake
10 only describes the mixed layer and thermocline, whereas in reality a monimolimnion is found
11 below the thermocline of these meromictic lakes. Consequently, an artificial model lake depth
12 was defined at the maximum depth for which the observed temperature range exceeds 1°C
13 during the measurement period. When applying this criterion to the vertically interpolated
14 temperature profiles, it was found that 60 m is an appropriate artificial depth for Lake Kivu,
15 while for both basins of Lake Tanganyika the seasonal temperature cycle penetrates down to a
16 depth of 100 m. Note that for Lake Kivu, this depth coincides with the onset of the salinity
17 increase, which inhibits deeper mixing (Fig. 2). As a consequence of using an artificial lake
18 depth, the bottom sediments module was switched off in all simulations. Therewith, a zero
19 heat flux assumption was adopted at the bottom boundary.

20 At each location, three simulations were conducted. First, FLake was integrated with
21 observed meteorological values and using the average observed value for k (hereafter
22 referred to as “raw”). However, due to the location of AWS 1 and 2 – both surrounded by
23 several buildings and large trees – especially the wind speed values are expected to be
24 underestimated by these stations. Moreover, as the data gap filling technique averaged out
25 high values for ff , unrecorded high wind speed events were not recreated. Consequently,
26 wind speed recordings at these stations can be considered as a lower bound for the actual ff
27 at the respective evaluation sites. As a supplementary evidence, wind velocity measurements
28 from a state-of-the-art AWS, newly installed over the lake surface on a floating platform in
29 the main basin and 2 km off the shoreline (AWS Kivu: 1° 43' 30'' S, 29° 14' 15'' E), showed
30 that wind speeds at AWS Kivu were on average 2.0 m s⁻¹ higher compared to AWS 1 (from
31 October to November 2012, $n = 892$, Root Mean Square Error $RMSE = 2.7$ m s⁻¹). By

1 applying a constant increase of 2.0 m s^{-1} to the wind velocities observed at AWS 1 by, the
2 *RMSE* between wind velocities from both AWSs reduced to 1.8 m s^{-1} . Since the location of
3 AWS Kivu is much more exposed than the Ishungu basin – especially given the
4 predominance of southeasterlies over the lake – wind velocities measured by AWS Kivu
5 provide a definite upper bound for wind velocities in the Ishungu Basin. Hence, a second
6 AWS-driven simulation was conducted wherein wind velocities were allowed to vary within
7 specific upper (from AWS Kivu) and lower (from AWS 1) bounds until the observed mixing
8 regime is reproduced (0.1 m s^{-1} increment; see Sect. 3.5.2 for another important argument in
9 support of this operation). It was found that at Ishungu, increasing all ff by 1.0 m s^{-1} resulted
10 in a correct representation of the mixing regime (Sect. 3.1), whereas at Kigoma, ff had to be
11 increased by 2.0 m s^{-1} (Sect. 3.2). At Mpulungu, where the driving AWS is located close by
12 the evaluation site and on the lake shore, the correct mixing regime is already reproduced by
13 the raw integration, and hence no wind speed correction needed to be applied (Sect. 3.3).
14 After correcting for the wind speed, k was varied iteratively between bounds $\bar{k} - \sigma_k$ and
15 $\bar{k} + \sigma_k$ until the best values for the set of model efficiency scores were obtained (see below;
16 hereafter referred to as “control”). This operation led to values of 0.32 m^{-1} , 0.10 m^{-1} and 0.09
17 m^{-1} for k at Ishungu, Kigoma and Mpulungu, respectively. Note however that this second
18 correction, restricted by σ_k (Table 2), had little to no impact upon the final model outcome
19 (At Ishungu, for instance, mean mixed layer and water column temperatures differ less than
20 $0.001 \text{ }^\circ\text{C}$ and $0.04 \text{ }^\circ\text{C}$, respectively, after this second correction).

21 Finally, FLake was integrated using ERA-Interim data from the nearest grid cell as forcing.
22 ERA-Interim is a global reanalysis product produced by the European Centre for Medium-
23 Range Weather Forecasts (ECMWF; Simmons et al., 2007). It consists of a long-term
24 atmospheric model simulation in which historical meteorological observations are
25 consistently assimilated. Note however that the horizontal resolution of this product is T255
26 (0.703125° or about 80 km), hence a large fraction each nearest pixel represents land instead
27 of lake. Moreover, only few observations are assimilated into ERA-Interim over tropical
28 Africa, adding to the uncertainty of this product as a source of meteorological input to FLake.
29 At Mpulungu, the only site where this integration led to a correct representation of the mixing
30 regime (Sect. 3.3), k was again allowed to vary within bounds $\bar{k} - \sigma_k < k < \bar{k} + \sigma_k$, with $k =$
31 0.09 m^{-1} retained.

1 In each simulation, lake water temperatures were initialised by the average T_{ML} , T_{WM} and
 2 T_{BOT} calculated from the linearly interpolated observed January temperature profiles ($n = 14$,
 3 8 and 10 at Ishungu, Kigoma and Mpulungu, respectively). Then, for each location the spin-
 4 up time was determined by repeatedly forcing the model with the atmospheric time series
 5 until the initial T_{BOT} remained constant. This approach was found to be preferable above a
 6 spin-up with a constant forcing or with a climatological year (Mironov et al., 2010), as the
 7 averaging of the wind speed observations removes extremes which may trigger the deep
 8 mixing in these lakes. It was found that, depending on the location and for the control model
 9 configuration, a spin-up time from 9 to 330 years is needed before convergence is reached.

10 The ability of FLake to reproduce the observed temperature structure was tested by
 11 comparing FLake's near-surface and bottom temperature to the corresponding observed
 12 values at each location. Note that a depth of 5 m was chosen representative for the surface
 13 waters, since (i) CTD casts were generally collected around noon and temperatures in the first
 14 meters are therefore positively biased relative to the daily averages, and (ii) FLake does not
 15 fully account for the daytime surface stratification because the mixed layer has a uniform
 16 temperature. Furthermore, a set of four model efficiency scores was computed: the standard
 17 deviation σ_T ($^{\circ}\text{C}$), the centred Root Mean Square Error $RMSE_c$ ($^{\circ}\text{C}$), the Pearson correlation
 18 coefficient r and the Brier Skill Score BSS (Nash and Sutcliffe, 1970; Taylor, 2001; Wilks,
 19 2005). The former three calculated scores are visualised together in a Taylor diagram (Taylor,
 20 2001), enabling the performance assessment of FLake. The $RMSE_c$ is given by:

$$21 \quad RMSE_c = \sqrt{\frac{1}{N} \sum_{i=1}^n ((m_i - \bar{m}) - (o_i - \bar{o}))^2} \quad (2)$$

22 while the BSS is computed according to:

$$23 \quad BSS = 1 - \frac{\sum_{i=1}^n (o_i - m_i)^2}{\sum_{i=1}^n (o_i - \bar{o})^2} \quad (3)$$

24 with o_i the observed (interpolated) water temperature, \bar{o} the average observed water
 25 temperature, and m_i and \bar{m} the corresponding modelled values at time i . Values for BSS
 26 range from $-\infty$ (no relation between observed and predicted value) to $+1$ (perfect prediction).

1 Note that, compared to the variables displayed in a Taylor diagram, the *BSS* has the
2 advantage of accounting for the model bias.

3 The sensitivity of the model was evaluated by conducting a number of simulations, each with
4 an alternative configuration. In particular, the effects of variations in the external parameter
5 values, the driving data and the initial conditions were investigated in this sensitivity study.
6 Depending on the nature of each sensitivity experiment, different scores are applied to
7 quantify the effect of a specific modification. Details of the different experiments are outlined
8 in Sect. 3.5.

9

10 **3 Results**

11 **3.1 Ishungu**

12 Comparing modelled and observed water temperatures of Lake Kivu near the surface (5 m)
13 shows that the timing of the near-surface seasonal cycle is well represented by the raw,
14 control and ERA-Interim simulations (Fig. 4a). However, whereas it shows a small negative
15 bias compared to the observations, only the control integration grasps the correct magnitude
16 of the seasonal temperature range. The overestimation of the temperature seasonality in the
17 raw and ERA-Interim simulations is reflected by 5 m *BSS* of -0.36 and -2.13, respectively,
18 compared to only -0.26 for the control case. At a depth of 60 m, both the raw and ERA-
19 Interim integration predict a year-round constant temperature of 3.98 °C, the temperature of
20 maximum density, resulting in a cold bias of about 19 °C. At the bottom, the lake's thermal
21 structure is reproduced only by the control simulation ($BSS = -0.17$; Fig. 4b).

22 Once a year, during the dry season (from June to August), the mixed layer depth at Ishungu
23 extends down to approximately 60 m. At this depth, the upwelling of deep, saline waters (0.5
24 m yr^{-1} ; Schmid and Wüest, 2012) equilibrates with mixing forces. The result is a strong
25 salinity gradient from 60m downwards (Fig. 2). During the remainder of the year, stratified
26 conditions dominate, with the mixed layer depth varying between 10 and 30 m (Fig. 5a). The
27 raw simulation does not reproduce this mixing seasonality, but instead predicts permanently
28 stratified conditions and a complete cooling down to 3.98 °C from 30 m downwards. On the
29 other hand, with ff corrected for the land effect and k tuned to 0.32 m^{-1} , the control
30 simulation closely reproduces the mixing regime at Ishungu (Fig. 5b; Table 2). In this case,

1 also the lower stability, indicated by the observations near the end of 2006 and during 2008
2 and 2009, is captured by this control simulation, although it is somewhat overestimated in
3 2008 with a predicted year-round mixing down to ~55 m. Note however that, due to the lower
4 stability during these years, the effect on the near-surface water temperatures is limited.
5 Furthermore, also the late onset of the stratification in early 2007 is represented by the model.
6 Finally, feeding FLake with ERA-Interim derived near-surface meteorology does not succeed
7 in reproducing the mixing regime. Instead, this simulation predicts permanently stratified
8 conditions and a complete cooling down to 3.98 °C, the temperature of maximum density,
9 from 30 m downwards (Fig. 5c). The low and constant mixed layer depth generates near-
10 surface temperature fluctuations found too strong on seasonal time scales (Fig. 4a). Similar to
11 the raw integration, the inability of the ERA-Interim integration to produce deep mixing is
12 primarily due to the predicted values for the wind velocity, which are 33% lower compared to
13 the control run average wind velocity and 49% lower compared to wind speeds from AWS
14 Kivu (measured over the lake surface during 59 days in October-November 2012).
15 Underlying reasons for this deviation are (i) the fact that the lake surface covers only a
16 fraction of the selected ERA-Interim grid box, and (ii) the higher uncertainty of this product
17 in central Africa owing to the sparse observational data coverage in this region (Dee et al.,
18 2011).

19

20 **3.2 Kigoma**

21 At Kigoma, the raw and ERA-Interim integrations both predict too high temperature
22 seasonality in the near-surface water (Fig. 6a). On the other hand, the control experiment
23 clearly displays improved skill at 5 m, especially during 2004 and 2005. At depth, both the
24 raw and ERA-Interim integrations obtain a constant 3.98°C and therewith strongly deviate
25 from the observations (Fig. 6b). In return, the control simulation again captures the actual
26 conditions much better, even though it slightly underestimates the seasonal temperature range
27 and retains a positive bias between 0 and 2 °C.

28 Contrary to Lake Kivu, in Lake Tanganyika salinity-induced stratification below 60 m is
29 negligible and seasonal variations in near-surface meteorology are more pronounced (Sect.
30 3.4). Consequently, the seasonal mixed layer extends further down both during the dry and
31 wet season (Fig. 7a), with mixing recorded down to even 150 m (Verburg and Hecky, 2003)

1 and 300 m (Plisnier et al., 1999). Similar to Ishungu, also at Kigoma the raw simulation does
2 not result in a correct representation of the mixing regime, but predicts permanent stratified
3 conditions and a complete cooling along the thermocline. Again, upward correction of ff by
4 2 m s^{-1} and reducing k to a value of 0.09 m^{-1} brings the model to the correct mixing regime at
5 Kigoma (Fig. 7b; Table 2). The ERA-Interim simulation predicts permanent stratification due
6 to too low wind velocities (Fig. 7c). Note that in both the ERA-Interim and the raw
7 simulations, decreasing k from 0.11 m^{-1} to 0.09 m^{-1} leads to a regime switch from permanent
8 stratification directly to fully mixed conditions (down to the model lake depth), the latter
9 associated with a strong positive temperature bias both near the surface and at depth.

10

11 **3.3 Mpulungu**

12 At Mpulungu, all three experiments capture the magnitude of the seasonal cycle in near-
13 surface temperature and show little to no bias compared to the observations (Fig. 8a).
14 However, also in each simulation the onset of complete mixing lags by around one month and
15 lasts too long compared to observations (Fig. 8a). At 60 m, a similar lag is found back (Fig
16 8b). Furthermore at this depth, the enhanced temperature seasonality of the control integration
17 compared to the raw integration depicts its improved skill.

18 Although the FLake-AWS simulation at Mpulungu spans only 13 months, during this period
19 two stratified periods and one fully mixed season are predicted by the model in both the raw
20 ($k = 0.13 \text{ m}^{-1}$) and control ($k = 0.09 \text{ m}^{-1}$) setup, in agreement with observations (Fig. 9a, b;
21 Table 2). With AWS 3 located on the lake shore (exposed) and relatively close to the
22 evaluation site, no correction of ff was necessary, and the mixing regime is correctly
23 represented within the range $0.09 \text{ m}^{-1} < k < 0.22 \text{ m}^{-1}$. Furthermore, also driving FLake with
24 ERA-Interim at Mpulungu leads to a correct representation of the mixing regime (Fig. 9c).

25 From May to September, persistent southeasterly winds over Lake Tanganyika cause a tilting
26 (downwards towards the north) of the mixolimnion-monimolimnion interface, and therewith
27 generate the upwelling of deep, cold waters at the southern end of the lake (Plisnier et al.,
28 1999). The resulting breakdown of the stratification appears through the absence of the
29 thermocline at Mpulungu during the dry season (Fig. 9a), whereas at Kigoma, even during
30 this period a weak thermocline remains present (Fig. 7a). Due to its self-similar, one-
31 dimensional nature, FLake does not account for complex hydrodynamic phenomena such as

1 local upwelling and associated internal wave phenomena (Naithani et al., 2003), and therefore
2 does not capture this difference between both sites (Fig. 7, 9). Probably, this phenomenon
3 may also explain the time lag noticed from all Mpulungu simulations. The hydrodynamic
4 response to the wind stress reinforces the seasonal cycle induced by lake-atmosphere
5 interactions (Sect. 3.6): while the onset of the upwelling accelerates the cooling at the start of
6 the dry season, a cessation of southeasterly winds in September generates the fast advection
7 of warm mixolimnion waters from the north back towards Mpulungu, inducing a faster
8 restoration of stratification than can be predicted by FLake from lake-atmosphere interactions
9 alone.

10

11 **3.4 Comparison between sites**

12 A number of differences can be noted between the three locations. First, the average observed
13 5 m temperature is 2.6 °C and 2.4 °C lower in Ishungu (altitude 1463 m a.s.l.) compared to
14 Kigoma and Mpulungu (altitude 768 m a.s.l.), respectively. Second, it can be observed that
15 the water temperature seasonality increases with distance from the equator: at 5 m, the
16 maximal observed temperature ranges are 2.4 °C, 2.5 °C and 5.4 °C in Ishungu, Kigoma and
17 Mpulungu, respectively. This is related to differences in near-surface meteorology, since in
18 the southern hemisphere at tropical latitudes, a higher distance from the equator coincides
19 with a higher distance from the Intertropical Convergence Zone (ITCZ) during austral winter
20 and thus a larger contrast between dry and wet season (Akkermans et al., 2014).

21 Third, although the average latent heat flux (LHF) is very similar at Ishungu (88 W m^{-2}) and
22 Kigoma (86 W m^{-2}), it increases by 56% at Mpulungu (134 W m^{-2}) during the respective
23 measurement periods. Extrapolating the average LHF at Ishungu to the entire Lake Kivu
24 surface (estimated by the control simulation and using a surface area of 2370 km^2 ; Schmid
25 and Wüest, 2012) leads to a preliminary estimate of the total annual evaporative flux of 2.6
26 $\text{km}^3 \text{ yr}^{-1}$ for the period 2003-2011. Note that, based on calculations from Bultot (1971),
27 Schmid and Wüest (2012) estimate a total annual evaporation of $3.0\text{-}4.0 \text{ km}^3 \text{ yr}^{-1}$ for Lake
28 Kivu. Analogous, extrapolating the average LHF computed for Kigoma to the Northern
29 Tanganyika basin (17572 km^2 ; Plisnier et al., 2007) leads to a preliminary estimate of 18.8
30 $\text{km}^3 \text{ yr}^{-1}$ for the total annual evaporation from the Northern basin. At Mpulungu, where the
31 control simulation predicts an average LHF of 133 W m^{-2} for the measurement period,

1 extrapolation yields a total annual evaporation of $23.8 \text{ km}^3 \text{ yr}^{-1}$ from the Southern basin
2 (14173 km^2 ; Plisnier et al., 2007). For the ERA-Interim simulations, the average *LHF*
3 amounts up to 114 W m^{-2} ($25.3 \text{ km}^3 \text{ yr}^{-1}$) at Kigoma and 138 W m^{-2} ($24.7 \text{ km}^3 \text{ yr}^{-1}$) at
4 Mpulungu. Note that Verburg and Antenucci (2010) computed a lake-wide evaporation
5 amounting up to $63 \text{ km}^3 \text{ yr}^{-1}$, when assuming a total surface area of 31745 km^2 for Lake
6 Tanganyika. The annual lake-wide evaporation estimates from the control and ERA-Interim
7 simulations are only 65 % (42.6 km^3) and 80 % (50.1 km^3) of this value, respectively.
8 Possible explanations for this discrepancy are (i) the different method used to compute the
9 latent heat flux, (ii) differences in the quality and length of the meteorological time series, and
10 (iii) the period of observation (1994-1996 versus 2002-2003 and 2002-2006, respectively).
11 Especially the latter effect is potentially relevant, given the influence of large-scale climate
12 oscillations such as the El Niño-Southern Oscillation (ENSO) on the regional climate (Plisnier
13 et al., 2000) and the contrasting ENSO indices found for both periods (La Niña years versus
14 El Niño years). Further research will aim at quantifying uncertainties associated with lake-
15 wide evaporation estimates for tropical lakes.

16 Results from the AWS control simulations show that FLake successfully incorporates these
17 differences between the sites, since the model, through the differences in forcing and
18 configuration, successfully represents the thermal structure of both Lake Kivu and Lake
19 Tanganyika and discerns the differences between the two basins within Lake Tanganyika.

20

21 **3.5 Sensitivity study**

22 Originally designed for implementation within NWP systems or climate models, FLake
23 requires information on lake depth and water transparency (downward light attenuation
24 coefficient) for each lake within its domain. But despite recent efforts to gather and map lake
25 depth on a global scale (Kourzeneva, 2010; Kourzeneva et al., 2012a), in East Africa
26 information on lake depth and water transparency is only available for the largest lakes,
27 adding uncertainty to FLake's outcome when it is applied to the entire region. Furthermore,
28 the effect of the forcing data source – e.g. originating from an AWS, a reanalysis product or
29 RCM output – and its associated quality might significantly affect the outcome of a
30 simulation. As RCMs and standalone lake models are being applied to increasingly remote
31 lakes, the need to consider this data quality issue grows (Martynov et al., 2010). Finally, in

1 the absence of initialisation data, several approaches to lake temperature initialisation and
2 spin-up have been applied in the past (Kourzeneva et al., 2008; Mironov et al., 2010; Balsamo
3 et al., 2012; Hernández-Díaz et al., 2012; Rontu et al., 2012). Hence, a systematic study of the
4 sensitivity of the model to different sources of error is appropriate. Hereafter, results of
5 FLake’s sensitivity to variations in (i) external parameters, (ii) meteorological forcing data,
6 and (iii) temperature initialisation are presented. Note that each set of the following tests was
7 conducted starting from the Lake Kivu control simulation (Sect. 3.1). However, the same
8 experiments have been conducted for Kigoma and Mpulungu as well, and revealed very
9 similar responses to the imposed changes.

10

11 **3.5.1 External parameters**

12 The first set of model sensitivity tests was conducted to investigate FLake’s sensitivity to
13 changes in the model’s external parameters. Using a set of four model efficiency scores (Sect.
14 2.4), the impact of setting the model lake depth to a relatively shallow 30 m (SHA) or a
15 relatively deep 120 m (DEE), and setting k to the highest (KHI) or lowest (KLO) observed
16 value at Ishungu (Table 2) was quantified. σ_T , $RMSE_c$ and r calculated at three depths (5 m,
17 30 m and 60 m, respectively) are visualised in Taylor diagrams (Fig. 10). Furthermore, note
18 that we also investigated the sensitivity of FLake to changes in the fetch, by conducting a set
19 of simulations with the fetch varying between 1 and 100 km, respectively (the value in all
20 other simulations is 10 km). It was however found that for Lake Kivu, FLake exhibits only
21 little sensitivity to modifications in this parameter.

22 At 5 m depth, the different sensitivity experiments produce similar values for $RMSE_c$, r , and
23 BSS (not shown). The only score for which the control simulation outcompetes the other
24 members is σ_T , suggesting that in this case seasonal temperature variability is closest to
25 reality. At 30 m, already some changes to this pattern can be noticed (Fig. 10b). Most notably,
26 for the SHA test case predictive skill significantly decreases. For this test, FLake predicts
27 fully mixed conditions down to 30 m during most of the time, except during the 2005, 2007
28 and 2009 rainy seasons, when mixing down to 10 m is predicted. However, only at 60 m the
29 differences fully emerge, with a clear reduction in predictive skill for the simulations with k
30 decreased (increased) to the lowest (highest) observed values at Ishungu. Higher water
31 transparency leads to deeper mixing, as solar radiation penetrates down to the interface

1 between mixed layer and thermocline and therewith enhances h_{ML} . Note that for the more
2 transparent Lake Tanganyika, FLake's sensitivity to changes in k is even more important.
3 There, a change of less than 1σ away from the average observed k already led to a switch
4 from permanently mixed conditions to a continuously stratified regime.

5

6 **3.5.2 Forcing data**

7 In a second set of experiments, FLake's sensitivity to changes in forcing variables was
8 investigated. Starting from the control simulation at Ishungu, values for T , RH , ff and
9 LW_{in} were varied in pairs between bounds $o_i - 2\sigma$ to $o_i + 2\sigma$, with o_i the actual observed
10 value at time step i and σ the standard deviation of a given variable (see also Thiery et al.,
11 2012). Standard deviations for T , RH , ff and LW_{in} are $2.4\text{ }^\circ\text{C}$, 14% , 1.7 m s^{-1} and 18 W m^{-2} ,
12 respectively. The perturbation increment was $0.4\times\sigma$ in each experiment. The vertically
13 averaged BSS for water temperature calculated per metre depth for 2003-2011 after this
14 pairwise perturbation (Fig. 11) allowed to select the main environmental variables controlling
15 h_{ML} in tropical conditions. Sensitivity experiments for p and SW_{in} are not shown, the former
16 since FLake was found to be not sensitive to this variable, the latter since its high standard
17 deviation (252 W m^{-2}) led to unrealistic perturbations. To overcome this issue, an additional
18 experiment was conducted wherein the SW_{in} and LW_{in} time series were perturbed by the
19 respective standard deviations of their daily means (σ_{dm} , with values of 35 W m^{-2} and 12 W
20 m^{-2} , respectively; Fig. 12).

21 For Lake Kivu, FLake results reveal a marked sensitivity to variations in wind speed (Fig.
22 11a-b). Generally, when wind velocities increase (decrease), mechanical mixing reaches
23 deeper (less deep) into the lake, causing a cooling (warming) of the mixed layer for the same
24 energy budget. For Lake Kivu, at some point the increased wind velocity however provokes a
25 regime switch from seasonally mixed conditions to (almost) permanently mixed conditions.
26 This switch, illustrated by the sharp decrease in BSS in Fig. 11a-b along the ff axis, is
27 already reached before ff is enhanced by 0.4σ . Similarly, only slightly decreasing ff
28 already leads to a sharp switch to the permanently stratified regime. Increasing (decreasing)
29 T and RH by their respective σ equally contributes to higher (lower) mixed layer
30 temperatures, which in turn enhances (reduces) stratification (Fig. 11c). Again, the vertically

1 averaged BSS depicts the switch to both other regimes: a sharp transition to permanent
2 stratification for increased T and RH and a gradual transition to fully mixed conditions for
3 lower T and RH . A similar sensitivity is found when testing for LW_{in} , although FLake
4 seems less sensitive to variations in this variable (Fig. 11d). When comparing SW_{in} and LW_{in}
5 for perturbations of the order of their respective σ_{dm} (Fig. 12), it can be noted that fairly large
6 perturbations are needed to provoke a regime switch, and that such a switch is provoked more
7 easily by modifying SW_{in} than LW_{in} by their respective σ_{dm} . Note that when combined in
8 pairs, errors may compensate each other and still generate adequate model predictions, as is
9 the case when e.g. simultaneously reducing ff and T by one respective σ , or increasing
10 LW_{in} while decreasing RH by one respective σ .

11 Finally, for each variable in this experiment, one can also derive an uncertainty range for
12 which FLake predicts the correct mixing regime. With a vertically averaged BSS threshold
13 set to -20, the range width of wind velocities for which a correct mixing regime is predicted is
14 0.7 m s^{-1} around the actual observed values of the control run (Fig. 11a). For RH , T , LW_{in}
15 and SW_{in} , this range is 17 %, $2.0 \text{ }^\circ\text{C}$, 50 W m^{-2} and 42 W m^{-2} , respectively. While for the
16 latter four variables, collecting in situ measurements within these uncertainty bounds is
17 feasible, clearly, the room for manoeuvre in case of wind velocity measurements is very
18 small. Consequently, the need for reliable wind velocities is critical to have FLake predicting
19 the right mixing conditions over deep tropical lakes. Note that this is also the reason why
20 wind speed was selected as the forcing variable to correct (Sect. 2.4). In return, when the
21 same computation is conducted for the 5 m BSS instead of the vertically averaged BSS , the
22 narrow band widens to 3.4 m s^{-1} (even with a 5 m BSS threshold set to only -2, the
23 acceptable uncertainty range is still 2.0 m s^{-1}). Thus, in cases where the primary interest of the
24 FLake application is the correct representation of near-surface water temperatures, the need
25 for very high accuracy wind velocity measurements becomes less pressing.

26 This has implications for the applicability of FLake to the study of tropical lake-climate
27 interactions. When FLake is interactively coupled to an atmospheric model, it may very well
28 be that e.g. the near-surface wind velocities serving as input to FLake will not fall within the
29 narrow range for which it predicts a correct mixing regime. However, the only FLake variable
30 which directly influences the atmospheric boundary layer is T_{ML} , the variable from which the
31 exchange of water and energy between the lake and the atmosphere are computed. In this

1 study, T_{ML} predictions were found to be robust, even when modelled T_{BOT} values are biased.
2 We may therefore suppose that for tropical conditions, a coupled model system will not be
3 much affected by the strong sensitivity of FLake's deepwater temperatures to, for instance,
4 wind speed values.

5

6 **3.5.3 Initial conditions**

7 The third set of experiments at Lake Kivu was designed to test the model to different initial
8 conditions. In the control simulation, FLake was initialised with the average mixed layer, total
9 water column and bottom temperatures calculated from the January CTD profiles ($n = 14$),
10 after which spin-up cycles were repeated until convergence is reached. Sensitivity
11 experiments encompassed a simulation with the same initialisation but excluding spin-up
12 (CES), a fully mixed (i.e. down to 60 m depth) water column initialisation including (MIS) or
13 excluding spin-up (MES), and a stratified water column excluding spin-up (SES). By setting
14 the initial mixed layer depth to 60 m and the lake water temperature to 28°C, full mixing was
15 imposed, whereas permanently stratified conditions were obtained by setting the initial mixed
16 layer depth to 8 m, T_{ML} to 23.5 °C and T_{bot} to 4 °C (comparable to Hernández-Díaz et al.,
17 2012; Martynov et al., 2012). Note that a stratified initialisation including spin-up is omitted,
18 since downward heat transport within the thermocline can only occur through molecular
19 diffusion in this case, and hence would require millennia scale spin-up time. Again, σ_T ,
20 $RMSE_c$, and r were calculated and visualised for three depths (Fig. 13).

21 First, it can be noted that omitting spin-up in the optimal simulation (CES) has only limited,
22 though negative, influence on the predictive skill. This shows that when a reliable initial CTD
23 profile is available, spin-up has some, but only little added value. More interestingly,
24 however, is the fact that a fully mixed and artificially warm initialisation with spin-up (MIS)
25 succeeds very well in reproducing the thermal structure of Lake Kivu. Within 9 spin-up years,
26 the complete mixing allows for an efficient heat release until the regime switches to the
27 expected pattern. Since the model is allowed to spin-up until convergence is reached, the
28 selection of the initial water column temperature does not influence the model performance,
29 as long as it is chosen artificially warm. However, without spin-up (MES), this advantage
30 vanishes and results have limited skill, since the lake has been initialised too warm.
31 Alternatively, when offline spin-up of lake temperatures is not feasible within the coupled

1 model system, imposing permanently stratified conditions by means of a 4 °C lake bottom
2 (SES) becomes an option, given the acceptable results near the lake surface even though the
3 thermal structure is not reproduced. Note that this was the approach adopted for the
4 CORDEX-Africa simulations conducted with the Canadian Regional Climate Model version
5 5 (Hernández-Díaz et al., 2012; Martynov et al., 2012). Hence, for coupled FLake-atmosphere
6 simulations over regions with no initialisation information available, a fully mixed, artificially
7 warm initialisation appears to be the best option, but only if offline lake temperature spin-up
8 is applied; else an imposed permanently stratified regime is to be preferred.

9

10 **3.6 Mixing physics at Lake Kivu**

11 Studying the seasonal variations in the near-surface meteorological conditions and in the
12 surface energy balance of the Ishungu control experiment, allows us to attribute the seasonal
13 mixing cycle for Lake Kivu. On the one hand, even though ff depicts some seasonality (Fig.
14 14a), neither ff nor T influence the seasonality of the mixed layer depth at Ishungu. First, a
15 comparative histogram of corrected ff binned per month (1 m s⁻¹ bin width; not shown)
16 reveals that the probability of occurrence of stronger winds ($ff > 5$ m s⁻¹) is lower from April
17 to July, adding to the hypothesis that higher wind velocities are not responsible for the
18 deepening mixed layer depth during the dry season. This is confirmed by FLake, who
19 attributes the mixed layer deepening at the start of the dry season to convection rather than
20 wind-driven mixing. Moreover, when conducting the Ishungu control simulation with the
21 seasonality removed from ff , the predicted water temperatures are almost identical to the
22 control simulation. This indicates that the ff seasonality also has no major influence on the
23 convective driven mixing.

24 On the other hand, in contrast to ff and T , RH and LW_{in} both show a clear seasonality,
25 with three-monthly averages 13% and 11 W m⁻², respectively, lower for the June-August
26 period compared to December-February period. Their monthly average values show that the
27 seasonal RH cycle lags the LW_{in} cycle by about one month, but confirm the strong drop
28 during the main dry season (Fig. 14b). Here, two effects enforce each other to reduce the
29 amount of energy available to stratify the lake surface. First, as a consequence of reduced
30 cloudiness during the dry season, less thermal radiation reaches the surface. This, in turn,

1 causes a higher upward net long-wave radiation flux (LW_{net}) from May to July (Fig. 15).
2 Second, more importantly, while a moisture climate close to saturation inhibits significant
3 evaporation throughout most of the year, the RH drop during the dry season opens a larger
4 potential to evaporation. This effect can be noted in the monthly average anomalies of the
5 surface energy balance components, wherein the LHF shows a marked positive anomaly in
6 months with low RH (Fig. 15). The energy consumed for evaporating is no longer available
7 to heat the water surface. Thus, lower thermal radiation input and especially enhanced
8 evaporation cause a significant reduction in the amount of energy available to heat near-
9 surface waters. To compensate for this surface heat deficit, the upward subsurface conductive
10 heat flux enhances, in turn generating a drop in the mixed layer temperature.

11 From mid-June onwards, near-surface water temperatures become low enough for the deep
12 mixing to set in. Near the end of the dry season, from the mid-August onwards, evaporation
13 rates dramatically drop, causing the warming of surface waters from mid-September forward.
14 Note that whereas enhanced solar radiation penetration into the lake is absent during the first
15 phase of the dry season, near the end it slightly contributes to the restoration of surface
16 stratification (Fig. 15). Overall, monthly variations in downward short-wave radiation (SW_{in})
17 seem to have only little effect on the mixed layer seasonality. Possibly, the interplay of
18 astronomic short-wave radiation variability (with less short-wave radiation reaching the top of
19 the atmosphere during the dry seasons) and seasonally varying cloud properties (Capart,
20 1952) balances out the amount of short-wave radiation reaching the surface on monthly time
21 scales.

22

23 **4 Discussion and conclusions**

24 In general, this study shows that the thermal structure of the mixed layer and thermocline of
25 two African Great Lakes can be reproduced by the FLake model. In particular, the seasonality
26 of the near-surface water temperatures of Lake Kivu and Lake Tanganyika is well captured by
27 the AWS-driven simulations when choosing appropriate values for the wind speed correction
28 factor and k within their uncertainty range. Moreover, FLake was found capable of
29 reproducing the observed interannual variability, as well as the observed differences between
30 the three sites. The spatial variability is accounted for through varying lake characteristics and
31 meteorological conditions associated with different surface altitude and distance from the

1 equator. At Ishungu, a study of the near-surface meteorology and surface heat balance was
2 used to attribute seasonal mixing cycle of Lake Kivu. Rather than seasonal variations in wind
3 velocity or air temperature, the marked dry season decrease of the incoming long-wave
4 radiation and, especially, relative humidity with an associated evaporation peak, reduce the
5 amount of energy available to induce surface stratification.

6 The near-surface water temperatures were found to be quite robust to changes in the model
7 configuration. If the observed mixing regime is not reproduced, 5 m temperature predictions
8 deteriorate compared to the control integration, but are relatively little affected. Hence, FLake
9 can be considered an appropriate tool to study the climatic impact of lakes in the region of the
10 African Great Lakes. In contrast, an accurate representation of the thermal structure of the
11 mixed layer and thermocline depends strongly on the reliability of meteorological forcing data
12 and a correct choice of model lake depth and water transparency. Slight differences in
13 external parameters, and uncertainties associated with the meteorological forcing data (for
14 instance related to measurement or atmospheric model uncertainty, or to the representativity
15 of the data for over-lake conditions) may already lead to a switch from the observed regime of
16 seasonal mixed layer deepening to either the permanently stratified or the fully mixed regime.

17 One important reason for this delicate balance found at Lake Kivu is the absence of an abyssal
18 layer in FLake. In reality, the abyssal layer acts as a heat reservoir which buffers potential
19 changes in bottom temperature. FLake, on the contrary, assumes a zero heat flux at the water-
20 sediment interface (sediment routine switched off) or at the lower bound of the active
21 sediment layer (sediment routine switched on). In cases where an artificial lake depth is set,
22 this assumption can lead to unrealistic temperature fluctuations near the bottom. Hence, a
23 future development could be to include an abyssal layer in FLake (Mironov et al., 2010).

24 A second issue is the reliability of water transparency values. Even in more studied areas,
25 information on the spatial and temporal variability of water transparency is mostly lacking
26 (Kirillin, 2010; Kourzeneva et al., 2012b; Rontu et al., 2012). Therefore, the first need is to
27 collect more observations of k and to gain more insight in the relationship between water
28 transparency and seasonal mixing cycles in deep tropical lakes. In the future, FLake could
29 then also be adapted to account for these seasonal fluctuations in k .

30 When applying FLake over regions containing warm deep lakes, values for the external
31 parameters thus need to be considered carefully. This is especially true when FLake is
32 coupled to NWP, RCM or GCM models, since the meteorological forcing data are potentially

1 biased in that case. When setting up a climate or NWP simulation with interactive lakes,
2 moreover, no information on the lake's initial conditions is available. In that respect, this
3 study clearly shows that it is advisable to initialise all lakes with an artificially warm, uniform
4 temperature and to allow for a considerable offline spin-up of the lake module. When such an
5 offline lake spin up is not feasible, initialising FLake with stratified conditions and an
6 artificially low bottom temperature of 4 °C is to be preferred.

7 To conclude, the goal of this study was to assess the quality of lake temperature predictions
8 by FLake when applied to tropical lakes. This was done through a number of simulations for
9 three locations in the African Great lakes region: Ishungu (Lake Kivu), Kigoma (northern
10 basin of Lake Tanganyika) and Mpulungu (southern basin of Lake Tanganyika). Results show
11 that FLake is able to well represent the mixing regime at these different locations, however
12 only when the model was carefully configured and allowed to spin-up over a considerable
13 period. When input data quality is an issue, or the model is poorly configured, model results
14 tend to deviate from observations towards the deep in large tropical lakes.

15

16 **Acknowledgements**

17 We would like to thank Dmitrii Mironov for the helpful discussions on the modelling of
18 tropical lakes and the Institut Supérieur Pédagogique in Bukavu for supplying data of AWS 1.
19 We also sincerely thank the Editor and the two anonymous Reviewers for their constructive
20 remarks. This work was financially and logistically supported by the Research Foundation –
21 Flanders (FWO) and the Belgian Science Policy Office (BELSPO), the latter through the
22 research projects EAGLES and CHOLTIC.

23

24 **Appendix. Acronyms and variable names**

25	AWS	Automatic Weather Station
26	<i>BSS</i>	Brier Skill Score []
27	CORDEX	Coordinated Regional climate Downscaling Experiment
28	COSMO	Consortium for Small-scale Modeling
29	control	FLake simulation with ff and k corrected

1	C_T	Shape factor with respect to the temperature profile in the thermocline []
2	CES	Same as control, but excluding spin-up
3	CTD	Conductivity-Temperature-Depth cast
4	dd	Wind direction [°]
5	DEE	Same as control, but with lake depth set to 120 m
6	ENSO	El Niño-Southern Oscillation
7	ERA-Interim	Reanalysis product from January 1979 onward, produced by the European
8		Centre for Medium-Range Weather Forecasts
9	ff	Wind velocity [m s^{-1}]
10	FLake	Freshwater Lake model
11	GCM	General Circulation Model
12	h_{ML}	Mixed layer depth [m]
13	ITCZ	Intertropical Convergence Zone
14	k	Downward light attenuation coefficient [m^{-1}]
15	KHI	Same as control, but with k set to 0.46 m^{-1}
16	KLO	Same as control, but with k set to 0.15 m^{-1}
17	LHF	Latent heat flux [W m^{-2}]
18	LW_{in}	Downward long-wave radiation [W m^{-2}]
19	LW_{net}	Net long-wave radiation [W m^{-2}]
20	MES	Same as control, but initially fully mixed and excluding spin-up
21	MIS	Same as control, but initially fully mixed
22	n	Number of observations []
23	NWP	Numerical Weather Prediction
24	p	Air pressure [Pa]
25	r	Pearson correlation coefficient []

1	<i>raw</i>	FLake simulation with observed meteorology and k
2	<i>RCM</i>	Regional Climate Model
3	<i>RH</i>	Relative humidity [%]
4	<i>RMSE</i>	Root Mean Square Error [respective unit]
5	<i>RMSE_c</i>	Centred Root Mean Square Error [respective unit]
6	σ	Standard deviation [respective unit]
7	<i>SES</i>	Same as control, but initially strongly stratified and excluding spin-up
8	<i>SHA</i>	Same as control, but with lake depth set to 30 m
9	<i>SW_{in}</i>	Downward short-wave radiation [W m^{-2}]
10	<i>T</i>	Air temperature [$^{\circ}\text{C}$]
11	<i>T_{BOT}</i>	Bottom temperature [$^{\circ}\text{C}$]
12	<i>T_{ML}</i>	Mixed layer temperature [$^{\circ}\text{C}$]
13	<i>T_{MW}</i>	Water column average temperature [$^{\circ}\text{C}$]
14	<i>z_{sd}</i>	Disappearance depths of the Secchi disk [m]
15		

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1 Table 1. Automatic Weather Station (AWS) topographic and meteorological characteristics.

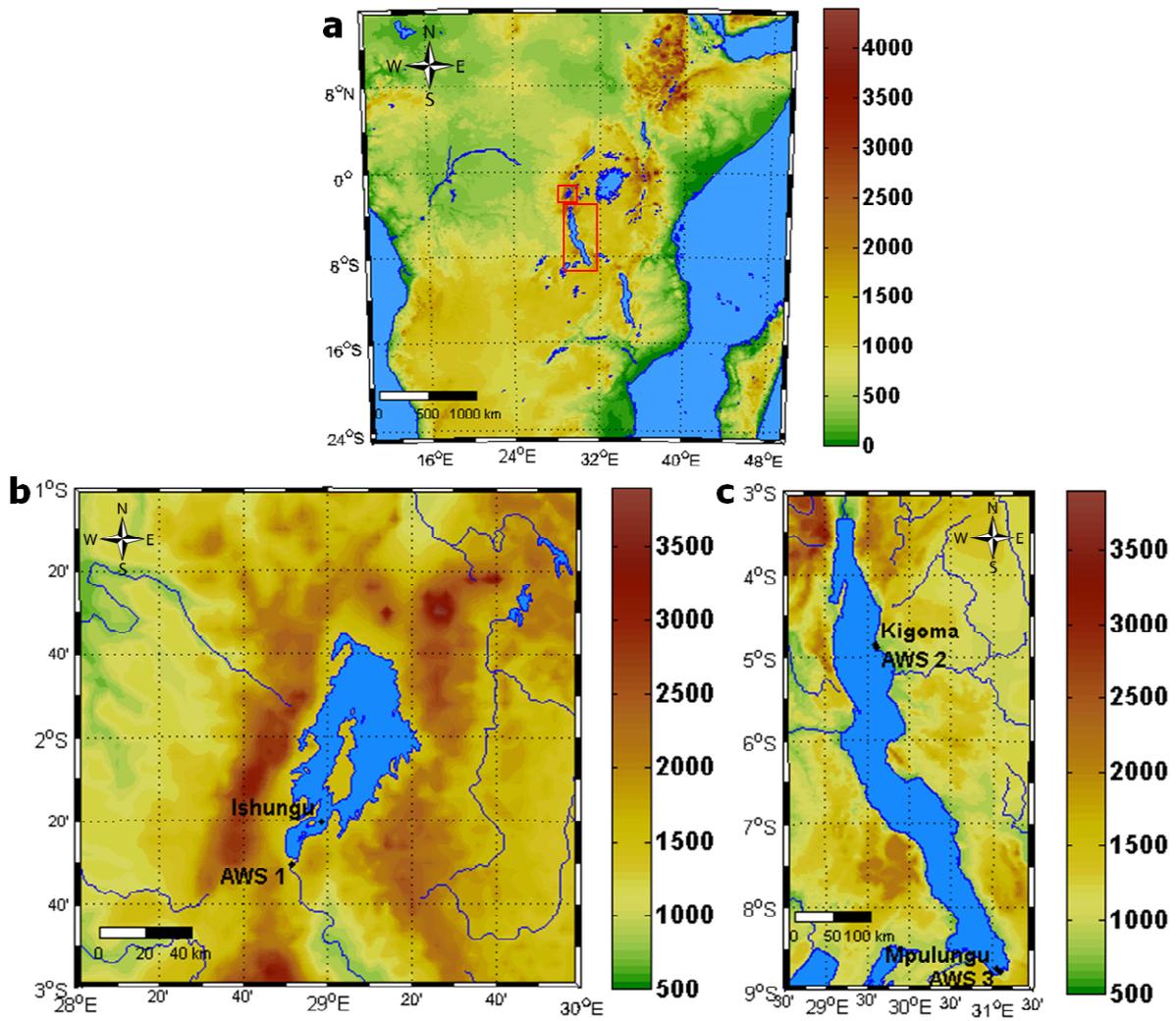
	AWS 1	AWS 2	AWS 3
Location			
Corresponding evaluation site	Ishungu	Kigoma	Mpulungu
Latitude	2° 30' 27'' S	4° 53' 15'' S	8° 45' 59''
Longitude	28° 51' 27'' E	29° 37' 11'' E	31° 6' 25''
Altitude (m a.s.l.)	1570	777	782
Setup of this study, after corrections			
Start of observation	1 Jan. 2003	1 Jan. 2002	2 Feb. 2002
End of observation	31 Dec. 2011	31 Dec. 2006	4 Apr. 2003
Meteorological averages			
T (°C)	19.4	24.5	24.1
RH (%)	76	70	58
ff (m s ⁻¹)	1.9	0.3	2.6

2

1 Table 2. Characteristics of the model evaluation sites. Water transparency characteristics are
 2 the downward light attenuation coefficient k (m^{-1}) and its standard deviation σ_k (m^{-1}).
 3 Control run scores are standard deviation σ_T ($^{\circ}\text{C}$), centred Root Mean Square Error $RMSE_c$
 4 ($^{\circ}\text{C}$), Pearson correlation coefficient r and Brier Skill Score BSS .

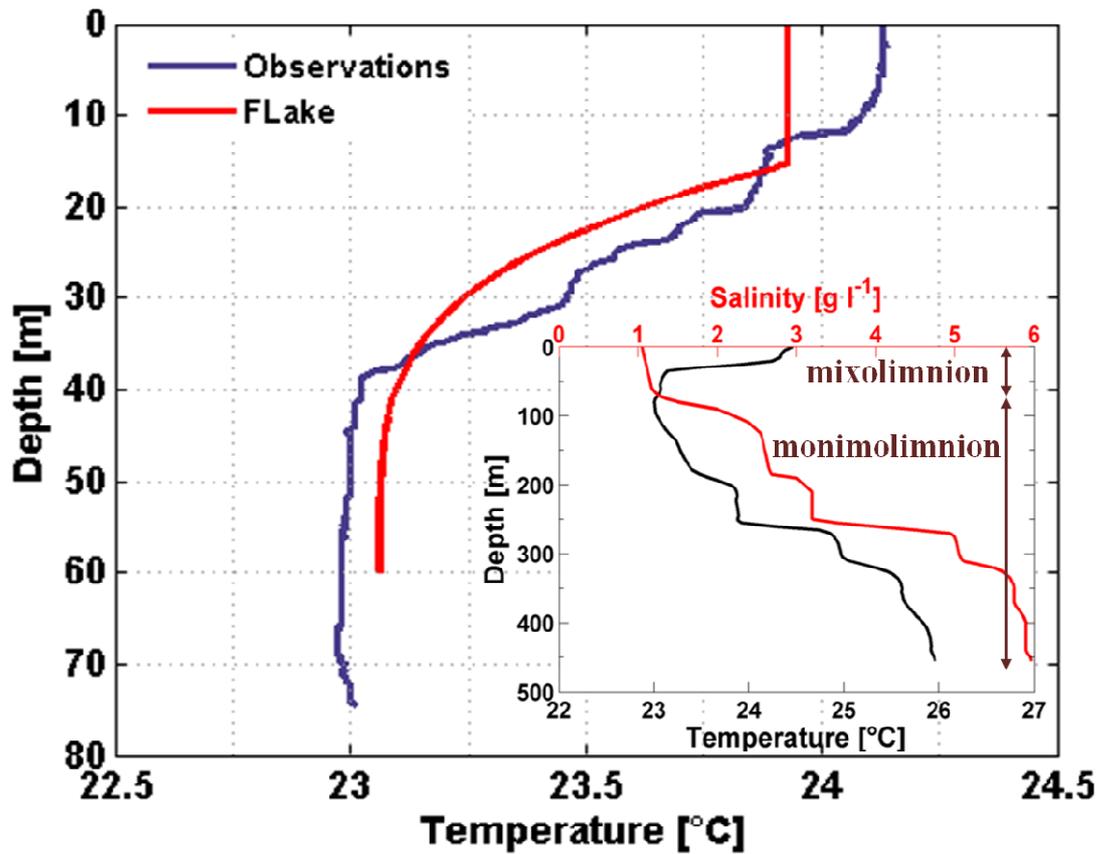
	Ishungu Basin	Kigoma	Mpulungu
General characteristics			
Lake	Kivu	Tanganyika (northern basin)	Tanganyika (southern basin)
Latitude	2° 20' 25'' S	4° 51' 16'' S	8° 43' 59'' S
Longitude	28° 58' 36'' E	29° 35' 32'' E	31° 2' 26'' E
Altitude (m a.s.l.)	1463	768	768
Depth (m)	120	600	120
Number of CTD casts	174	119	126
Water transparency			
Number of Secchi depths	163	114	124
Average k (m^{-1})	0.28	0.11	0.13
σ_k (m^{-1})	0.06	0.02	0.05
Minimum k (m^{-1})	0.15	0.07	0.06
Maximum k (m^{-1})	0.46	0.17	0.31
Vertically averaged scores for control run			
σ_T ($^{\circ}\text{C}$)	0.30	0.70	0.67
(relative to $\sigma_{T,obs}$ ($^{\circ}\text{C}$))	0.32	0.49	0.65)
$RMSE_c$ ($^{\circ}\text{C}$)	0.22	0.59	0.89
r	0.71	0.51	0.05
BSS	-0.13	-9.63	-1.81

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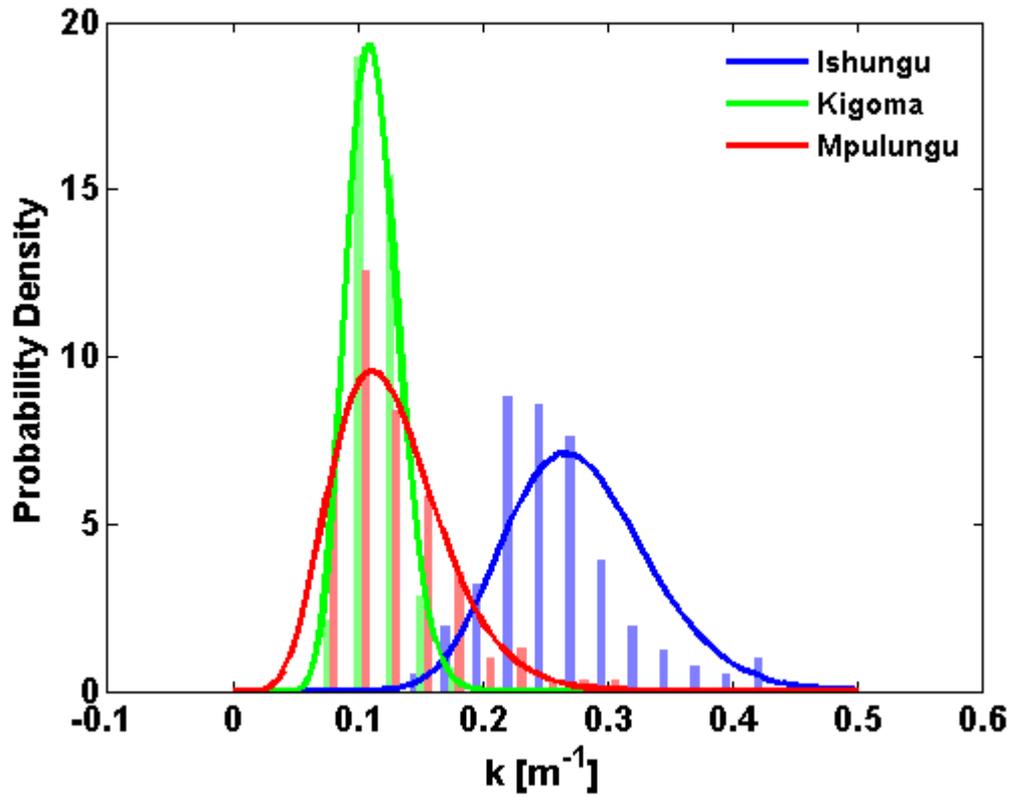
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Figure 1. (a) overview of East Africa with rectangles around Lake Kivu (upper) and Lake Tanganyika (lower), (b) Lake Kivu, (c) Lake Tanganyika. Surface altitude is shown in m a.s.l.



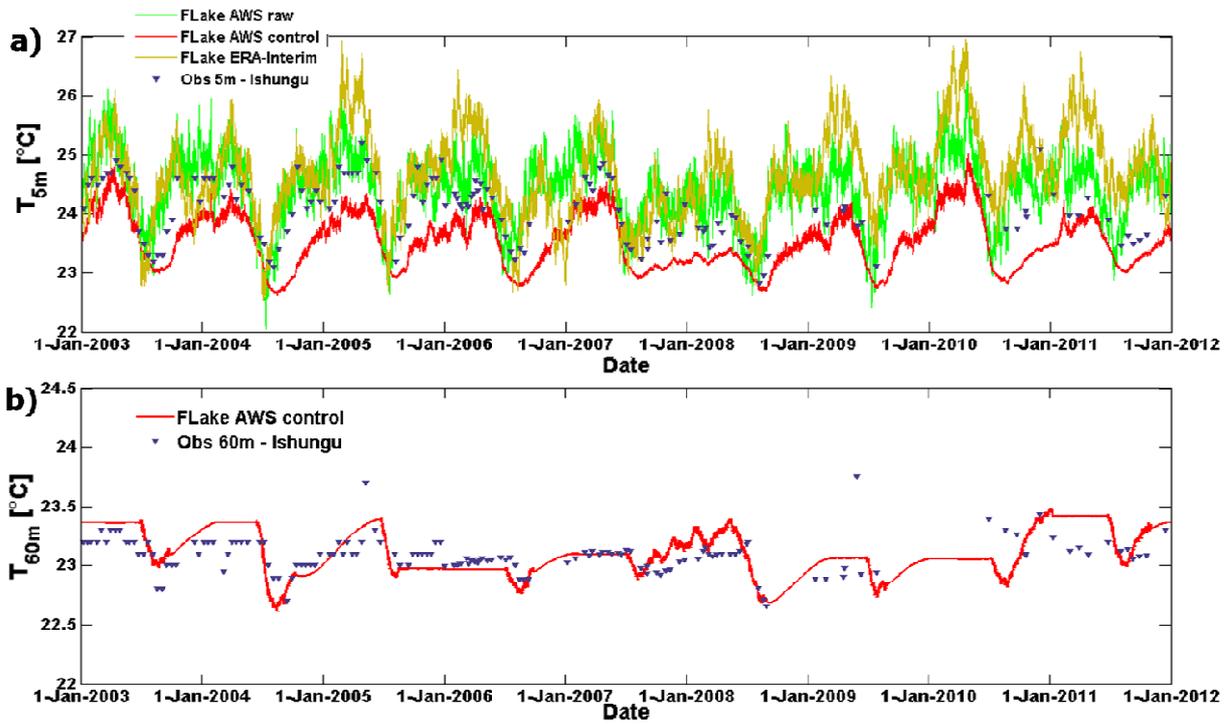
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Figure 2. Water temperature recorded at Ishungu on 21 April 2009 and corresponding FLake midday temperature profile, the latter with its distinct two-layer structure (mixed layer and thermocline). Inset: temperature (black) and salinity (red) profile representative for the main basin during February 2004, as reported by Schmid et al. (2005). For Lake Kivu, the artificial lake depth set in FLake corresponds to the mixolimnion depth, hence the monimolimnion has no counterpart in FLake. Note the strong increase in salinity from 60-70 m downwards, i.e. below the mixolimnion.



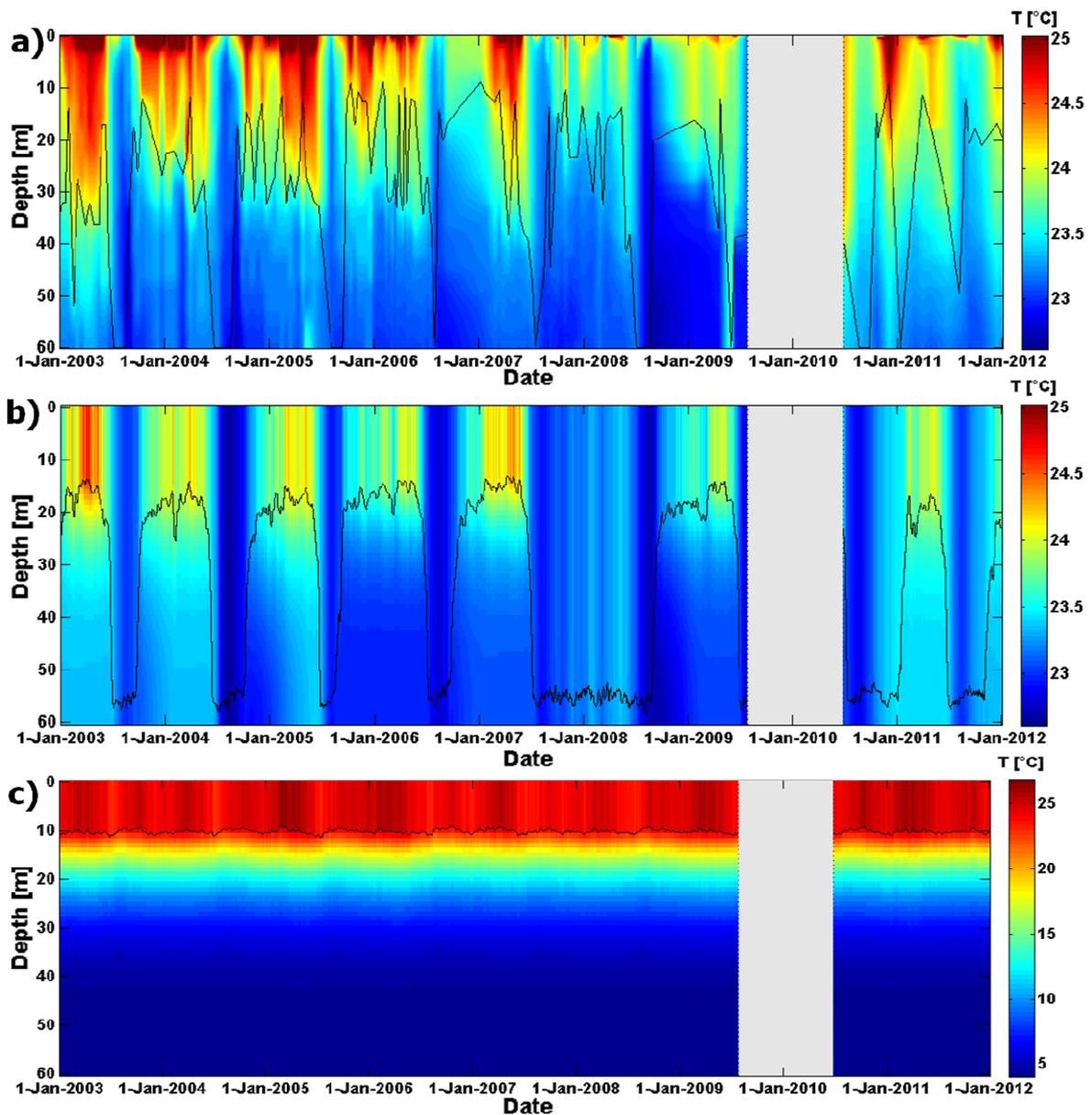
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Figure 3. Comparative histogram of the downward light attenuation coefficient k (m^{-1}) as observed at Ishungu Basin (Lake Kivu) and Kigoma and Mpulungu stations (Lake Tanganyika). Gamma distribution probability density functions are plotted to the data ($R^2 = 0.82, 0.99$ and 0.93 for Ishungu, Kigoma and Mpulungu, respectively).



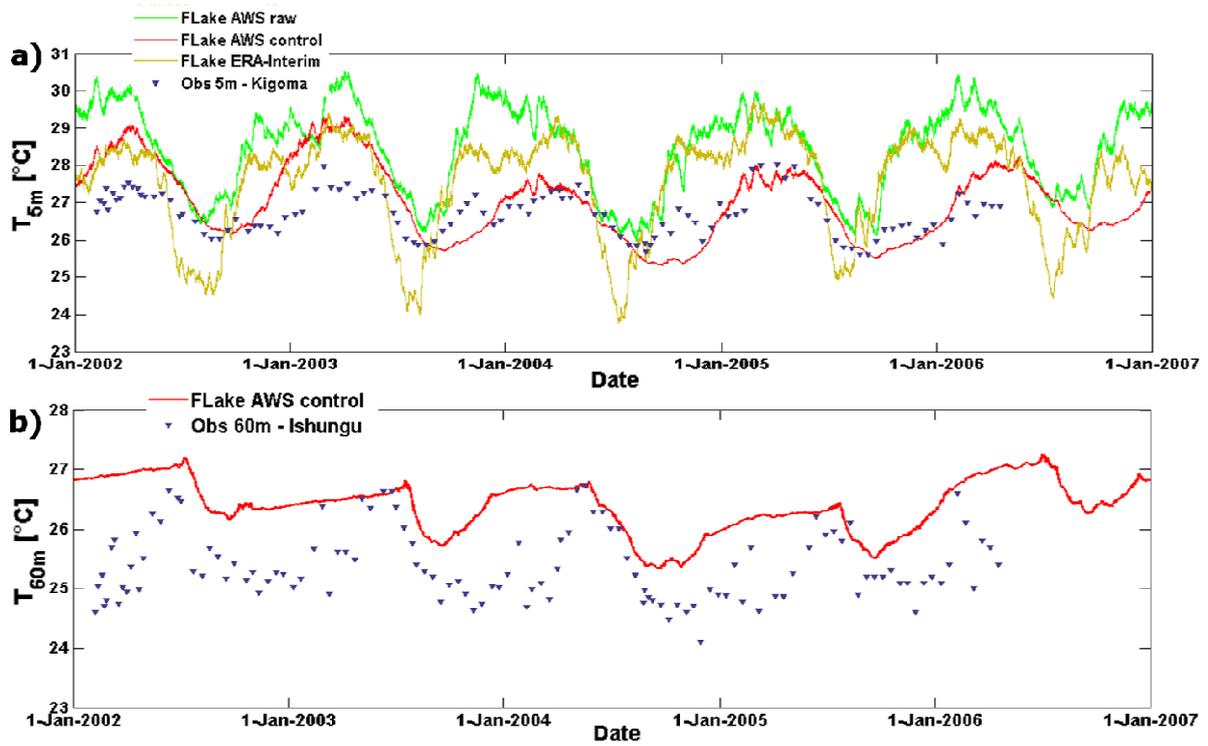
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Figure 4. Modelled and observed temperature evolution at Ishungu (Lake Kivu) at (a) 5 m, and (b) 60 m depth. FLake temperatures at 60 m predicted by the raw and ERA-Interim integration are omitted as they are constant at 3.98 °C.



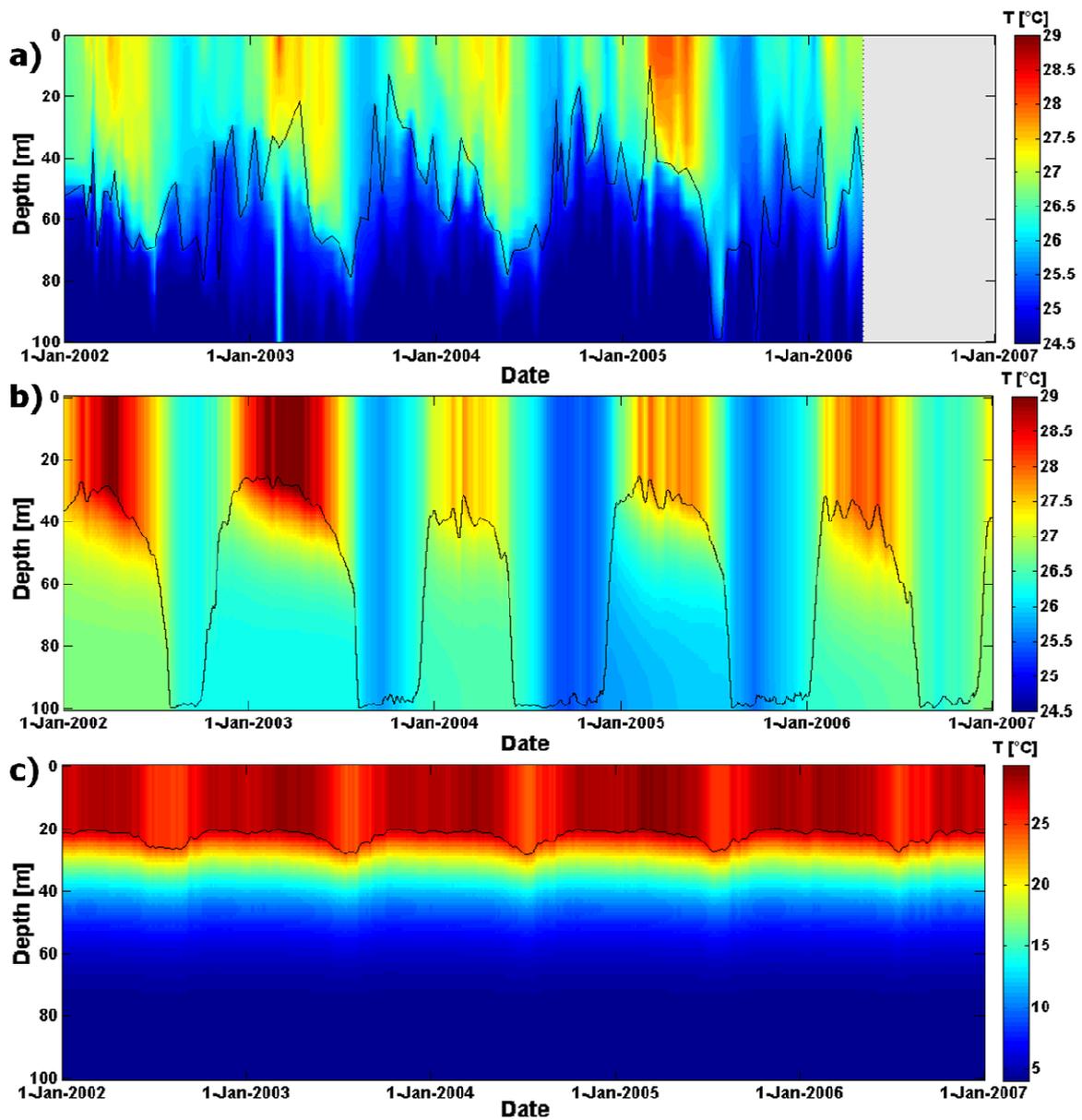
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Figure 5. Lake water temperatures ($^{\circ}\text{C}$) at Ishungu (Lake Kivu) (a) from observations, (b) as predicted by the AWS-driven FLake-control, and (c) as predicted by FLake-ERA-Interim. The black line depicts the mixed layer depth (Sect. 2.3; weekly mean for the simulations). Note the different colour scaling in (c). The lake water temperatures for the raw simulation are not shown as they strongly resemble the predictions of the ERA-Interim simulation.



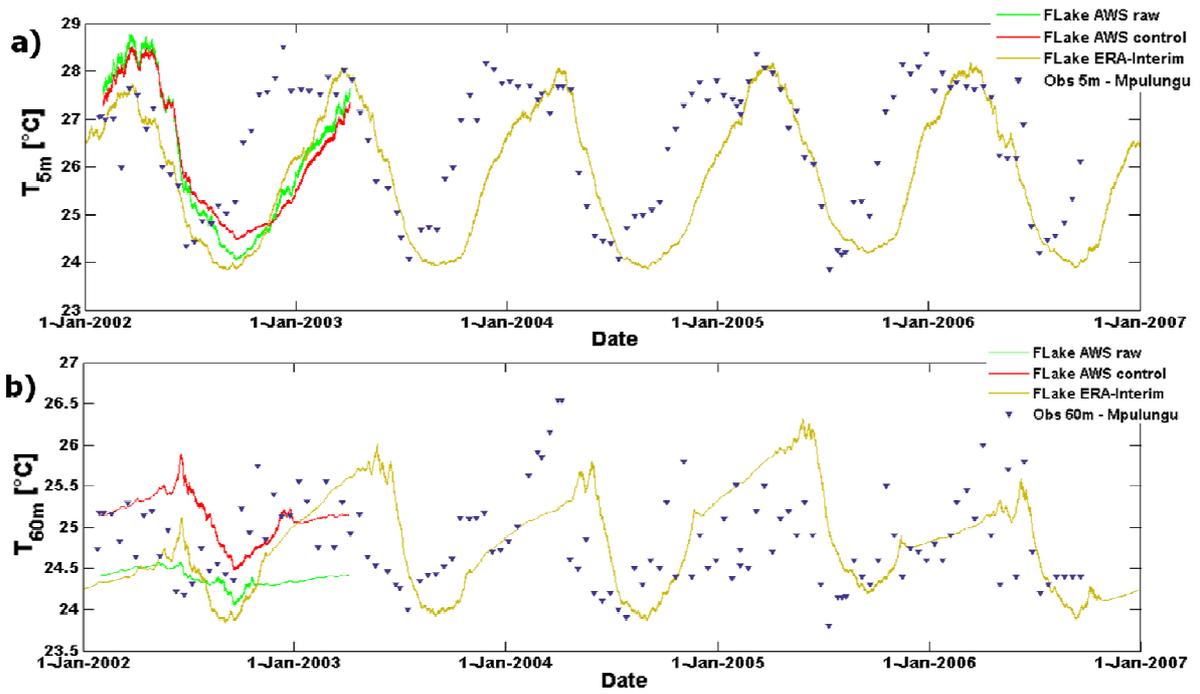
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Figure 6. Modelled and observed temperature evolution at Kigoma (northern basin of Lake Tanganyika) at (a) 5 m, and (b) 60 m depth. FLake temperatures at 60 m predicted by the raw and ERA-Interim integration are omitted as they are constant at 3.98 °C.



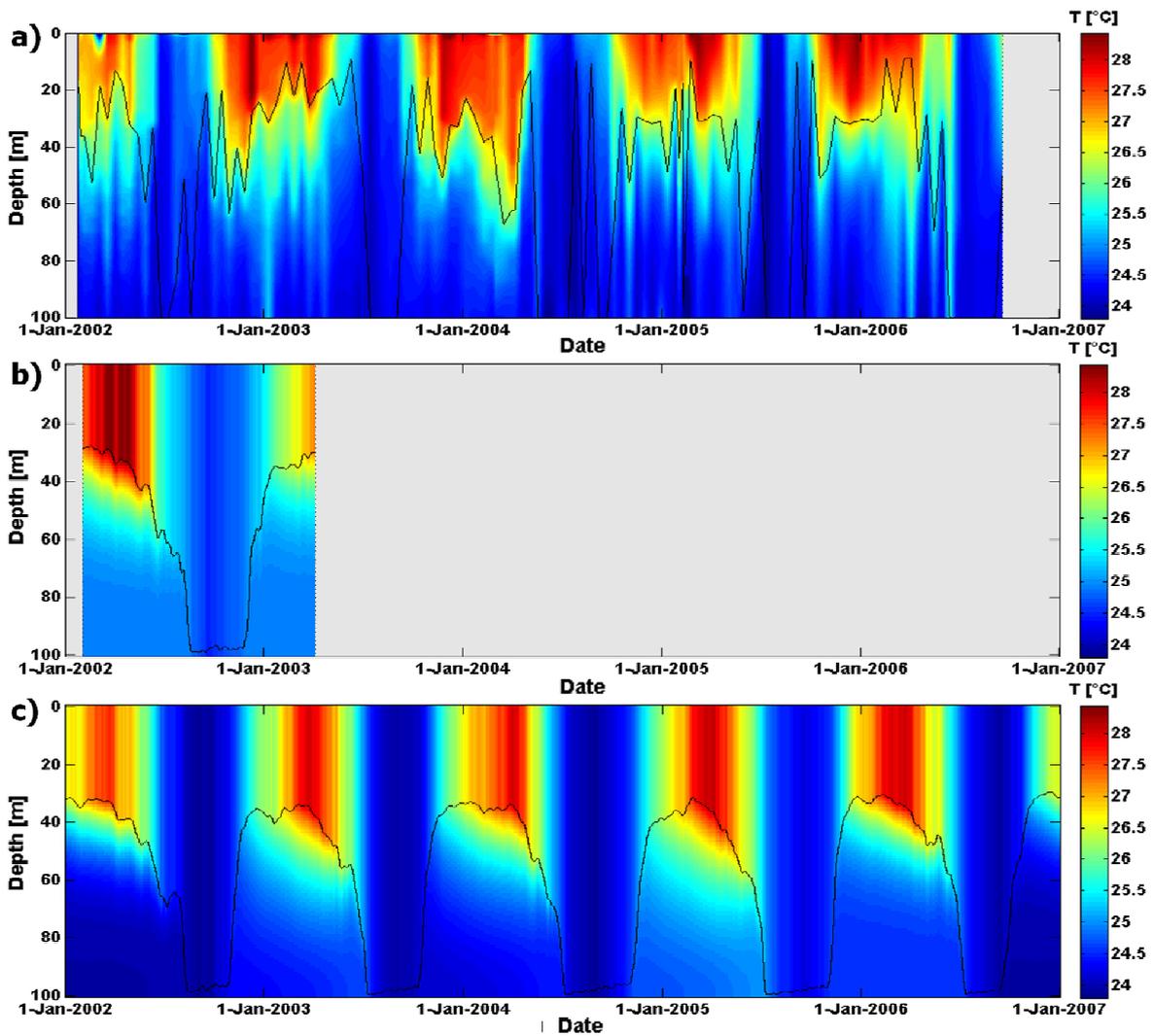
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Figure 7. Lake water temperatures ($^{\circ}\text{C}$) at Kigoma (northern basin of Lake Tanganyika) (a) from observations, (b) as predicted by the AWS-driven FLake-control, and (c) as predicted by FLake-ERA-Interim. The black line depicts the mixed layer depth (Sect. 2.3; weekly mean for the simulations). Note the different colour scaling in (c).



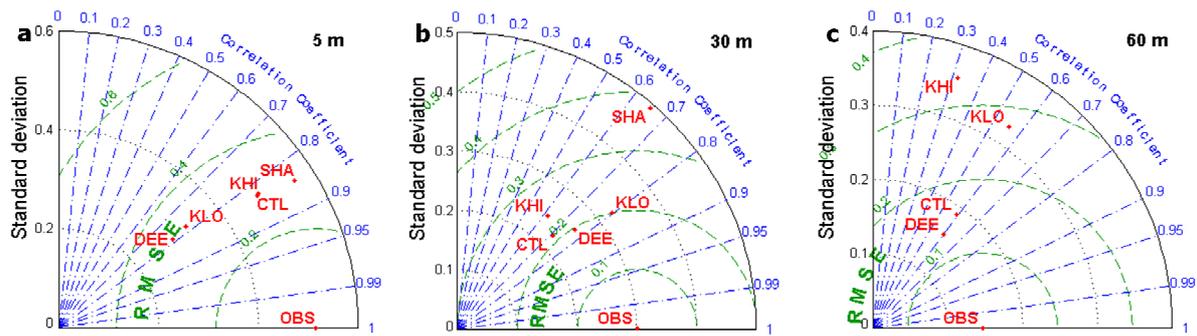
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Figure 8. Modelled and observed temperature evolution at Mpulungu (southern basin of lake Tanganyika) at (a) 5 m, and (b) 60 m depth.



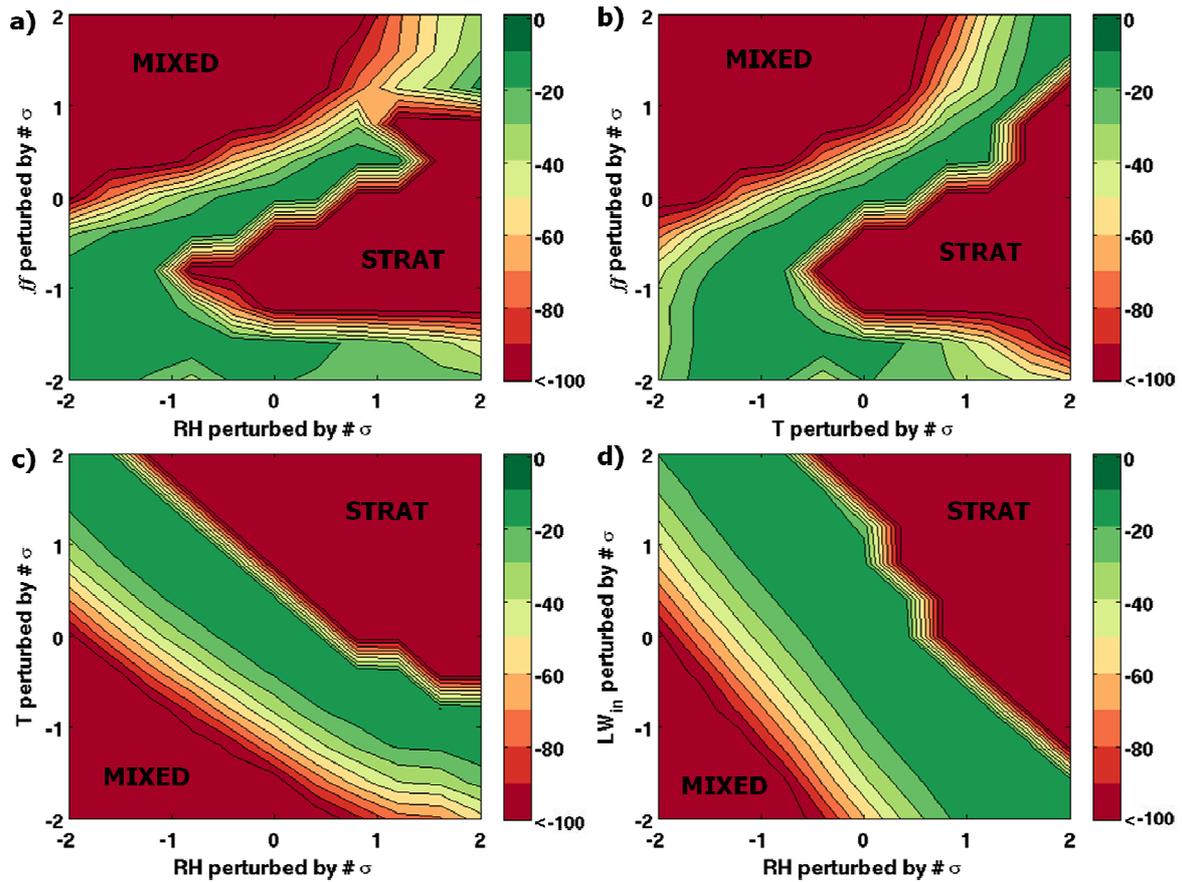
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Figure 9. Lake water temperatures (°C) at Mpulungu (southern basin of Lake Tanganyika) (a) from observations, (b) as predicted by the AWS-driven FLake-control, and (c) as predicted by FLake-ERA-Interim. The black line depicts the mixed layer depth (Sect. 2.3; weekly mean for the simulations). Results in (c) were obtained with $k = 0.09 \text{ m}^{-1}$.



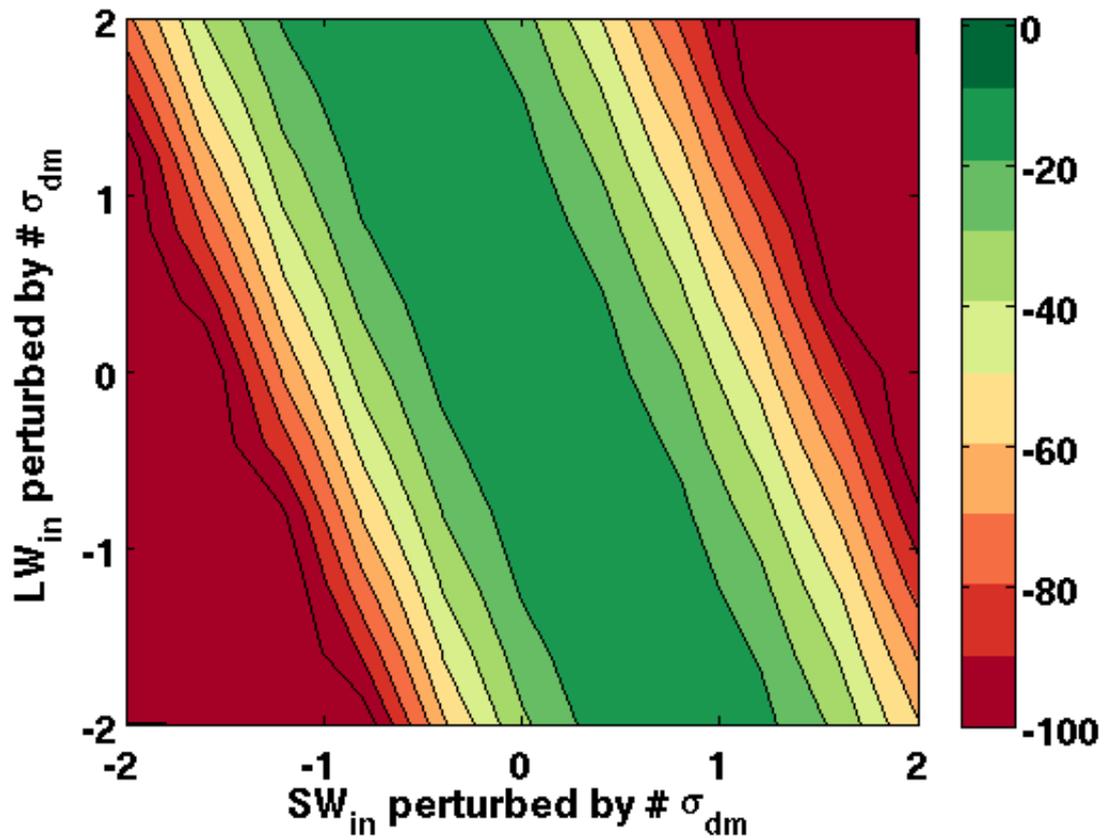
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Figure 10. Taylor diagram indicating model performance for water temperature at (a) 5 m, (b) 30 m and (c) 60 m depths for different external parameter values at Ishungu (January 2003 – December 2011). Standard deviation σ_T ($^{\circ}\text{C}$; radial distance), centred Root Mean Square Error $RMSE_c$ ($^{\circ}\text{C}$; distance apart) and Pearson correlation coefficient r (azimuthal position of the simulation field) were calculated from the observed T-profile interpolated to a regular grid (1 m increment) and corresponding midday FLake profile. OBS: observations, CTL: control, SHA (DEE): model lake depth set to and 30 m (120 m), KHI (KLO): downward light attenuation coefficient k set to the highest (lowest) observed value at Ishungu. Note that model performance indicators at 60 m cannot be calculated for the SHA integration.



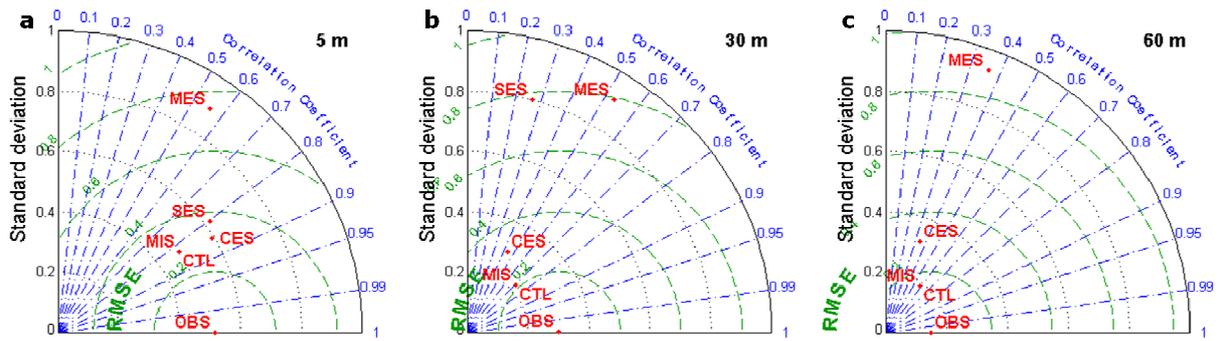
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Figure 11. Vertically averaged Brier Skill Scores (BSS) of water temperature profiles (0 – 60 m; 1 m vertical increment) at Ishungu from 4 sensitivity experiments, wherein pairs of forcing variables recorded at AWS 1 were perturbed by proportions of their respective standard deviations σ . Perturbed forcing variables are wind velocity (ff), Relative humidity (RH), air temperature (T) and incoming long-wave radiation (LW_{in}). Generally, values for BSS range from +1 (perfect prediction) to $-\infty$ (no relation between observation and prediction). Here, BSS below -100 are set to -100. Permanently stratified (STRAT) and fully mixed conditions down to 60 m (MIXED) are indicated.



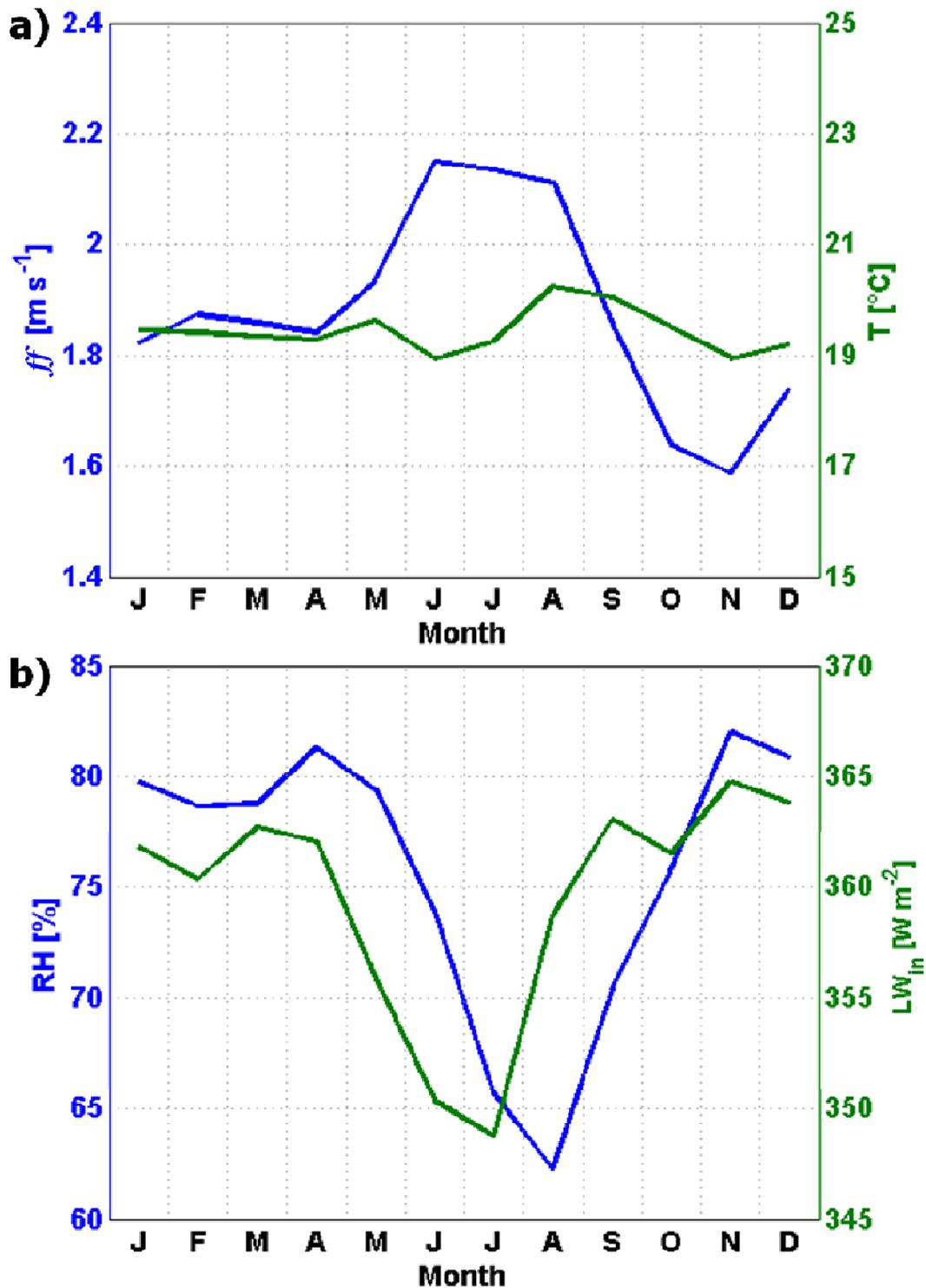
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Figure 12. Vertically averaged Brier Skill Scores (*BSS*) of water temperature profiles (0 – 60 m; 1 m vertical increment) at Ishungu from a set of simulations with SW_{in} and LW_{in} perturbed by proportions of their respective standard deviations of daily mean values (σ_{dm}).



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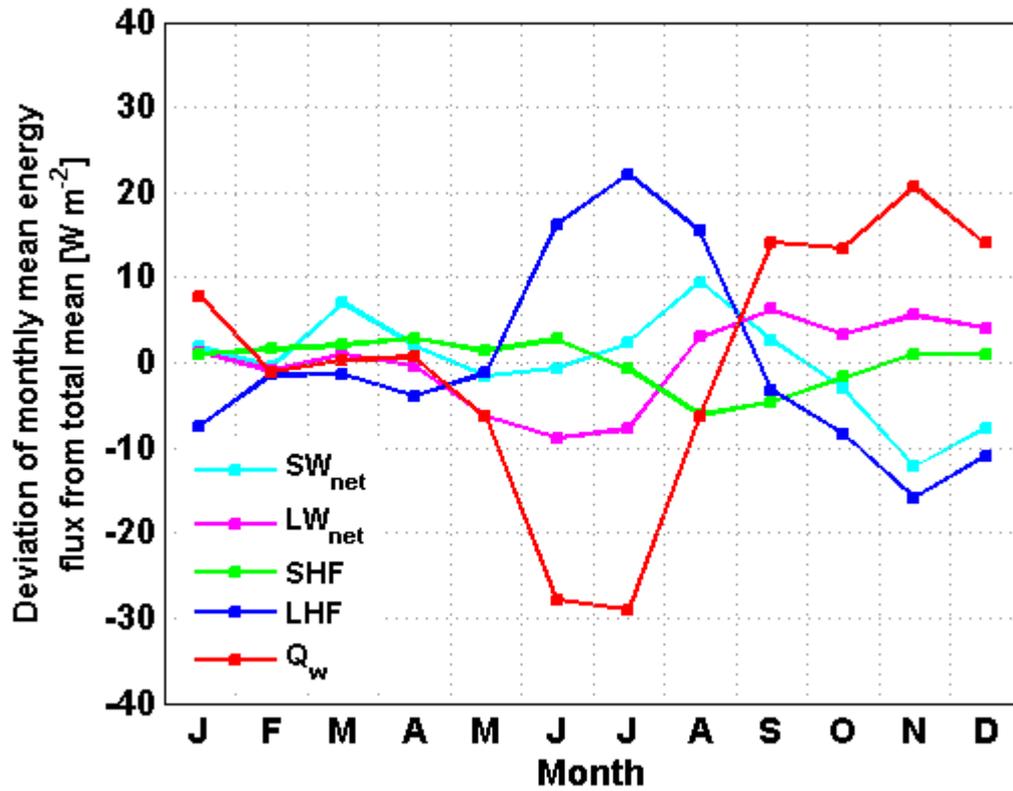
Figure 13. Taylor diagram indicating model performance for water temperature at (a) 5 m, (b) 30 m and (c) 60 m depths for different initial conditions at Ishungu (January 2003 – December 2011). OBS: observations, CTL: control, CES: control excluding spin-up, SES: stratified excluding spin-up, MIS (MES): mixed warm initialisation including (excluding) spin-up. Note that SES is omitted at 60 m given its strong deviation from observations there.



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2 Figure 14. Monthly averages for 2003-2011 of (a) wind velocity ff (m s⁻¹) and air
 3 temperature T (°C); (b) relative humidity RH (%) recorded at Automatic Weather Station
 4 (AWS) 1 and incoming long-wave radiation LW_{in} (W m⁻²) from ERA-Interim. Note the
 5 different y-axes increments.

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3 Figure 15. Deviation of the monthly average of the surface energy balance components from
 4 its long-term mean (W m^{-2}) at Ishungu, 2003-2011, calculated by FLake's surface flux
 5 routines. Components are net short-wave radiation SW_{net} , net long-wave radiation LW_{net} ,
 6 sensible heat flux SHF , latent heat flux LHF and subsurface conductive heat flux Q .