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# Modelling Mediterranean agro-ecosystems by including agricultural trees in the LPJmL model

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## Abstract

Climate and land use change in the Mediterranean region is expected to affect natural and agricultural ecosystems by decreases in precipitation, increases in temperature as well as biodiversity loss and anthropogenic degradation of natural resources. Demographic growth in the Eastern and Southern shores will require increases in food production and put additional pressure on agro-ecosystems and water resources. Coping with these challenges requires informed decisions that, in turn, require assessments by means of a comprehensive agro-ecosystem and hydrological model. This study presents the inclusion of 10 Mediterranean agricultural plants, mainly perennial crops, in an agro-ecosystem model (LPJmL): nut trees, date palms, citrus trees, orchards, olive trees, grapes, cotton, potatoes, vegetables and fodder grasses.

The model was successfully tested in three model outputs: agricultural yields, irrigation requirements and soil carbon density. With the development presented in this study, LPJmL is now able to simulate in good detail and mechanistically the functioning of Mediterranean agriculture with a comprehensive representation of ecophysiological processes for all vegetation types (natural and agricultural) and in a consistent framework that produces estimates of carbon, agricultural and hydrological variables for the entire Mediterranean basin.

This development paved the way for further model extensions aiming at the representation of alternative agro-ecosystems (e.g. agroforestry), and opens the door for a large number of applications in the Mediterranean region, for example assessments on the consequences of land use transitions, the influence of management practices and climate change impacts.

## 1 Introduction

The Mediterranean region is a transitional zone between the subtropical and temperate zones with high intra- and interannual variability (Lionello et al., 2006). This region has

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been identified as one of the regional climate change hotspots, with a high likelihood of experiencing more frequent and more intensive heat waves, commonly combined with and strengthened by more intensive and longer droughts (IPCC, 2012; Kovats et al., 2014; Diffenbaugh and Giorgi, 2012). This will likely have adverse implications for the food and energy producing sectors as well for human health, tourism, labor productivity and ecosystem services (Kovats et al., 2014; Skurras and Psaltopoulos, 2012). However, climate change is only a part of the future challenges that the Mediterranean region will face. Environmental degradation involving erosion, biodiversity loss and pollution is having already at present detrimental effects for natural and societal systems and is expected to intensify even more in future due to urbanization, industrialization and population growth (Doblas-Miranda et al., 2015; Lavorel et al., 1998; Scarascia-Mugnozza et al., 2000; Schröter et al., 2005; Zdruli, 2014).

Climate change and environmental degradation will strongly affect the Mediterranean agricultural sector. This sector plays a very important role, not only within the region, but also through its economic integration in other regions, like the rest of Europe (Hervieu, 2006). This importance is based on the influence of the sector in the national economies, the dependence of rural population upon agriculture for their livelihood and the linkages with other issues and sectors, such as food security, culture and tourism (Verner, 2012; Hervieu, 2006). Demographic growth in the Southern shores, the already high dependence of the region on the international food markets and potential resource allocation trade-offs (especially for water and land) will put Mediterranean agriculture under increased pressure, calling for more and more efficient production practices (Verner, 2012; World Bank, 2013).

In this context the need to perform Mediterranean-wide assessments on the state of agriculture and the consequences of global change becomes evident. This would have to be complemented by analyses on the potential developments and future difficulties of the agricultural sector and its interactions with the environment. The large scale character of such assessments and the necessity of looking into possible future scenarios require the utilization of agro-ecosystem models. However, at present, there is no large-

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scale, process-based, integrated modelling framework for agriculture, natural vegetation, carbon cycle and hydrology that considers the special structure of Mediterranean agriculture, which is largely dominated by perennial crops. Most large agro-ecosystem or crop model families have implemented some tree crops and in some cases applications in Mediterranean environments (mostly small scale) were published. For example the crop model STICS is able to simulate the growth of vineyards and apples trees (García de Cortázar-Atauri, 2006; Nesme et al., 2006; Valdés-Gómez et al., 2009); and in the CropSyst model pears, apples, vineyards and peaches are included (Marsal et al., 2012, 2014; Marsal and Stöckle, 2012). Other large modelling frameworks offer general and specific formulation for horticultural systems that have been applied in other regions, mainly in Anglo-Saxon countries. This is the case of the EPIC/SWAT/SWIM families (Neitsch et al., 2004; Gerik et al., 2014) for cotton and apple, and the AP-SIM model, for cotton and vineyards (Holzworth et al., 2014). In California, there is a dynamic modelling community assessing climate change impacts on horticulture by process-based (Gutiérrez et al., 2006) and empirical models (Lobell et al., 2007). The GAEZ model offers potential growing areas for citrus, olives and cotton (IIASA/FAO, 2012) at global scale. The GCWM model is probably the most complete model in terms of perennial crops, comprising citrus, cotton, date palm and grapes (Siebert and Döll, 2008, 2010). Other smaller communities and single scholars have presented developments of models for fruit trees, like it is the case of kiwi, vineyards and apples in the SPASMO model (Clothier et al., 2012), walnuts in CAN-WALNUT (Baldocchi and Wong, 2006), date palm by Sperling (2013), and the model by Villalobos et al. (2013) focused on transpiration of apricot, apple, citrus, olive, peach, pistachio and walnut trees. The foci of these applications are diverse, going from simple reproduction of experiments, over epidemiological analysis, to simulation of potential phenological changes, influence of management practices on agricultural production and impacts of climate change. Regarding the later point, Moriondo et al. (2015) presented a detailed review on empirical and process-based models for olives and vineyards. They concluded that process-based models are better suited for climate impact studies but they have to be



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et al. (2007) into the LPJmL model by including agro-ecosystems (annual crops and managed grassland). Major developments and validation efforts were also undertaken in the hydrology of the model, including a river routing and irrigation scheme (Rost et al., 2008), the management of dams and reservoirs (Biemans et al., 2011) and a five soil layer hydrology (Shapfhoff et al., 2013). The representation of agricultural systems has been improved by including bioenergy systems (tree and grass bioenergy plantations, jatropha, sugar cane, Behringer et al., 2008; Lapola et al., 2009), an agricultural management module representing the combined influence of management practices on plant and stand development (e.g. fertilizer inputs, mechanization, use of high-yielding varieties, weed and pest control, etc., Fader et al., 2010), and better representation of sowing dates and multiple cropping systems (Waha et al., 2012, 2013), to name some examples.

Consequently, LPJmL is a state-of-the-art agriculture and hydrology model. In an intercomparison study of global hydrological models and global gridded crop models on assessment of future irrigation water availability, Elliot et al. (2014) indicated that LPJmL is unique in that it falls into both categories. LPJmL is intensively used at the global and macro-regional scale in various research fields, particularly for questions related to future food security, land use change, and adaptation to climate change (Rost et al., 2009; Lapola et al., 2010; Fader et al., 2010, 2013; Waha et al., 2013; Müller et al., 2014). However, most of the Mediterranean-specific crops were lacking in LPJmL. Thus, we present in this study the inclusion of 10 new crop classes that are especially important in the Mediterranean region, being most of them agricultural trees: nut trees, date palms, citrus trees, orchards, olive trees, grapes, cotton, potatoes, vegetables and fodder grasses. The relevance of the development is then demonstrated by presenting and comparing the resulting simulations on agricultural yields, irrigation requirements and soil carbon densities in the Mediterranean region.

The next section comprises the methodology applied, including the compilation of a new input dataset which was needed for the validation and application of the model, the description of the modelling approach and parametrisation of the new crops, as

well as the computation of irrigation requirements and soil carbon densities. The results section details exemplarily the performance of the model in simulating yields, soil carbon and irrigation water requirements. Finally, the paper is closed by a discussion on perspectives for future developments, potential applications and further refinements.

## 2 Methods

LPJmL simulates, spatial-explicitly and at a daily to yearly temporal resolution, growing periods (sowing and harvest dates), net and gross primary productivity, carbon sequestration in plants' compartments and soil, agricultural production, heterotrophic and autotrophic respiration, as well as a number of hydrological variables, such as runoff, soil evaporation, plant transpiration, plants' interception, percolation, infiltration, river discharge, irrigation water requirements, water stress and soil water content.

LPJmL inputs consist in: (a) gridded, monthly climate variables (temperature, cloudiness interpolated to daily values and precipitation and rainy days converted through a weather generator in daily values); (b) global CO<sub>2</sub> concentrations; (c) gridded soil texture as described in Schaphoff et al. (2013); (d) a gridded dataset of land use patterns prescribing which crops are grown where and whether they are irrigated or rainfed.

Climate inputs at 30 arc minutes spatial resolution and global CO<sub>2</sub> concentration were derived from the CRU 3.10 datasets. The land use patterns needed for the implementation of the new crops in LPJmL had to be compiled from different sources, as explained in the following section.

### 2.1 New land use patterns used in LPJmL

LPJmL needs irrigated and rainfed physical (as opposed to harvested) areas for each simulated crop. Physical and harvested areas differ through multiple cropping practices, i.e. when one area is used twice in a year the harvested area is double as large as the physical area. For the present model development a new land use dataset had

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to be compiled from different sources: Portmann et al. (2011), hereafter MIRCA, Monfreda et al. (2008), hereafter MON, Klein Goldewijk et al. (2011), hereafter HYDE, and Ramankutty et al. (2008), hereafter RAM.

The first step for the compilation of the land use dataset was determining the harvested areas of all LPJmL classes, including the new Mediterranean crops, for the present time. For crops present in MIRCA, which differentiate between irrigated and rainfed areas, we took the values in that study. For the missing crops, MON corresponding classes (see Table S1 in the Supplement) were compared at grid-cell level with the MIRCA classes “other perennial” and “other annual” to split the harvested areas into the rainfed and irrigated part. This procedure was done for olives, non-citrus orchards, nuts trees and vegetables. For the first three groups, the MIRCA class “other perennial” was used for the grid-cell specific splitting between rainfed and irrigated areas. In the case of vegetables, rainfed and irrigated areas were derived through comparison with the class “other annual”. Large inconsistencies were found at the grid-cell level between MIRCA and MON, for example cases were a single crop area in MON is larger than the sum of the rainfed and irrigated corresponding “other” classes in MIRCA. And the absence or small extent of the class irrigated “other perennial” in areas that intuitively may be assumed as irrigated, like it is the case of orchards and olives in Egypt. F. Portmann (personal communication, 2013) assumes that most of these inconsistencies are due to issues of scale, being the grid-cell differences potentially large but presenting a good agreement at the administrative level. Grasslands, representing meadows, were taken directly from RAM and assumed to be always rainfed.

After deriving harvested areas for around the year 2000, the calculation followed the flow chart shown in Fig. S1 in the Supplement. Harvested areas were compared with cropland and grassland areas from RAM in order to exclude multiple cropping and derive physical cultivation areas.

Decadal cropland data from HYDE were interpolated to derive annual values and then used for extrapolating the land use patterns of ~ 2000 to the past, until 1700 (see

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below, Eqs. 1–5). Historical irrigation fractions were determined as explained in Fader et al. (2010).

Due to inconsistencies between HYDE and the dataset combining MIRCA, MON and RAM (hereafter MMR), proportional changing cell fractions using HYDE historical trend would have given an unrealistic global trend of cropland areas. For this reason crop-specific bias corrections had to be performed in between, as follows:

First, the global (g) area difference ( $D$ ) was calculated:

$$D_{2000} = \text{HYDE}_{g,2000} - \text{MMR}_{g,2000} \quad (1)$$

Bias correction of HYDE global values was performed by:

$$\text{HYDE}_{g,y,\text{bias\_corrected}} = \text{HYDE}_{g,y} - D_{2000} \quad (2)$$

Where  $y$  represents years from 1700 to 2000.

Cell (c) bias correction was done by:

$$\text{HYDE}_{\text{corrected},c,y} = \frac{\text{HYDE}_{c,y}}{\text{HYDE}_{g,y}} \cdot \text{HYDE}_{g,y,\text{bias\_corrected}} \quad (3)$$

Proportional temporal change of MMR cell values was done from 2000 backwards by:

$$\text{MMR}_{y,c,\text{proportional}} = \frac{\text{HYDE}_{\text{corrected},c,y}}{\text{HYDE}_{\text{corrected},c,y+1}} \cdot \text{MMR}_{y,c} \quad (4)$$

And final cell values ( $LU_{\text{LPJmL}}$ ) were calculated by:

$$LU_{\text{LPJmL}} = \frac{\text{HYDE}_{\text{corrected},g,y}}{\text{MIRCA}_{\text{proportional},g,y}} \cdot \text{MMR}_{y,c,\text{proportional}} \quad (5)$$

Cell fractions from 2001 to 2010 follow the trend between 1950 and 2000.



following sentences). Potatoes were introduced as an annual crop following the same approaches described in Bondeau et al. (2007) for other annual crops. Potatoes are planted in early spring in cooler climates and late winter in warmer regions (FAO, 2008). In LPJmL they are sown each year in the areas indicated by the land use input taking into account the seasonality of rainfall and temperature and the experience of farmers (see Waha et al., 2012 for more information). In case of no water stress, leaf area index (LAI) development follows a prescribed curve (as in SWAT) with inflexions points according to the parameters shown in Table 2 (phu\_a/b, Lmax\_a/b, Phusen), but LAI will be reduced in case of water stress by scaling it with the difference between atmospheric demand and water supply. Phenology and maturity is modelled after the heat unit theory: when the accumulated difference between daily temperatures and base temperature reaches a prescribed total growing degree amount (called hereafter PHU for potential heat units) the potatoes are ripe and they are harvested. Absorbed photosynthetically active radiation drives assimilation, and carbon allocation to different parts of the plant is a function of PHU development. The PHU parameter used depends on the mean temperature for spring varieties and on the sowing date for the winter/fall varieties and ranges from 1500 to 2400 °Cd (with lower PHU in cooler climates).

Agricultural trees – including grapes and cotton which are modelled in the present study as small trees – are planted as samplings with 2.3 g carbon in sapwood and 1.6 LAI in the growing areas indicated by the land use input. Each agricultural tree has a country and tree-specific planting density, and a tree-specific parameter determines the number of years that are needed for trees to grow before the first harvest. The later parameter depends in reality not only on the varieties used and on the biophysical situation, but also on the chosen management, especially on the usage of fertilizers and irrigation. Due to this complexity there is a general lack of information on this issue and, thus, this parameter is assumed to be 4 years for all agricultural trees. After that number of years, a plant-specific portion (HI, “harvest ratio” or “harvest index”) of the net primary productivity (NPP) of the tree is harvested every year. Thus, fruit growth is represented by a carbon accumulation that equals the multiplication of HI and

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NPP. An additional tree-specific parameter determines the replanting cycles of trees. Since there is no data available on this, it was assumed that plantations are renewed (replanted) after 40 years, and the cycle begins one more time. Most agricultural trees have chilling requirements, i.e. need a period of low temperatures before flowering.

5 This is modelled using the parameter  $T_{lim}$  shown in Table 2. 20 years running average of the coldest month maximum and minimum temperatures are compared with these values and define the bioclimatic limits of each species. Hence, temperature warming above these limits would inhibit the establishment and survival of the perennial crops.

10 For deciduous trees the active phase starts when the daily temperature is higher than the base temperature and it is assumed that fruit growth occurs in the second half of the active phase of the year, i.e. when the phenological scalar (fraction of the maximum leave coverage) is  $> 0.5$  and before leaf senescence starts. Leaf senescence occurs when daily temperatures fall below the base temperature.

15 Following Sitch et al. (2003), evergreen trees are assumed to have constant leave coverage and leave longevities  $> 1$  year. In this case, the accumulation in the fruits occurs in days where temperature is above a tree-specific base temperature until a tree-specific threshold is reached (GDD).

20 Grass grows in the same areas of agricultural trees, excepting for cotton, grape and orchards plantations. For these three classes we assumed that grasses and weeds are not supposed to grow in order to avoid competition with the crops, and thus that the groundcover is eradicated through some sort of weed control. This is the dominant practice in reality, although exceptions to this rule are gaining in importance.

25 The categories vegetables and fodder grass are modelled following the modelling approach of C3 grass described in Sitch et al. (2003). This is very appropriate for fodder grasses in the Mediterranean region since they are mainly alfalfa and clover. In case of the vegetables, this parametrisation allows accounting for the very large physiological and allometric heterogeneity of vegetables, and also for multiple harvests per year, a fact that is well represented by a constant cover of the areas. Following the implementation of temperate herbaceous PFTs in Sitch et al. (2003), the photosynthe-

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sis in vegetables and fodder grasses is assumed to be optimal between 10 and 30 °C. Vegetables and fodder grasses are harvested when phenology is complete (i.e. the growing degree day accumulation determined by a parameter was reached) and the biomass increment is equal or greater than 200 gC m<sup>-2</sup> since the last harvest event. At that time, 50 % of the aboveground biomass is transferred to the harvest compartment. This is an average and might be rather low for some vegetables like e.g. lettuce, and rather high for others, like e.g. beans. For the conversion from dry to fresh matter it was assumed that vegetables have an average water content of 40 %, which, again, is rather low for some cases, e.g. cucumbers, but rather high for e.g. garlic. The moisture content of fodder grass varies approximately between 10 and 75 % depending on whether it is reported for hay, silage or fresh fodder. Here we represent fodder grass for hay production and assume, thus, a moisture content of 10 %.

The standard calibration process for agricultural management in LPJmL crops was extended in order to include agricultural trees. For annual crops this procedure consists in performing a set of runs with systematically modified management parameters representing the heterogeneity of fields, high-yielding varieties and the maximal achievable LAI (see more details in Fader et al., 2010). Similarly, 10 runs systematically modifying the tree and country-specific plantation density parameter were performed for calibrating the management of agricultural trees plantations. Planting densities range from 25 to 230 % of the standard values, which were derived from literature research (see Table 2). For grapes the range was prescribed between 2000 and 15 000 vines per hectare. The tree density for each country was then chosen based on the best matching with reported FAO yields.

### 2.3 Irrigation water requirements and soil carbon

The computation of net and gross irrigation requirements in LPJmL (NIR and GIR, respectively, see below) is explained in detail in Rost et al. (2008) and Rohwer et al. (2006). The functioning of soil decomposition, soil biochemistry and soil hydrology, including soil organic carbon (SOC), is explained in Schapfhoff et al. (2013) and

Sitch et al. (2003). In the following paragraphs a short and simplified summary of these procedures will be given.

Irrigation is triggered in irrigated areas when soil water content is lower than 90 % of field capacity in the upper 50 cm of the soil (here “irrigated layer”). Plants’ NIR is modelled in LPJmL as the amount of water that plants need, taking into account the water holding capacity of the irrigated layer and the relative soil moisture (Rost et al., 2008):

$$\text{NIR} [\text{mm d}^{-1}] = \min \left( \frac{1}{f_{\text{Ril}}} \left( \frac{D}{S_y} - w_r \right), 1 - w_{\text{il}} \right) \text{WHC} \quad (6)$$

Where:

$D$  [ $\text{mm d}^{-1}$ ] is the atmospheric demand, which depends on potential evapotranspiration and canopy conductance.

$S_y$  [ $\text{mm d}^{-1}$ ] is the soil water supply, which equals to a crop’s specific maximum transpirational rate if the soil is saturated or declines linearly with soil moisture.

$f_{\text{Ril}}$  is the proportion of roots in the irrigated layer.

$w_{\text{il}}$  is the water content in the irrigated layer.

$w_r$  is the water content weighted with the root density for the soil column.

WHC [mm] is the field capacity of the irrigated layer (water holding capacity).

GIR, also called water withdrawal or extraction, is obtained by dividing NIR by the project efficiencies (EP):

$$\text{GIR} [\text{mm d}^{-1}] = \frac{\text{NIR}}{\text{EP}} \quad (7)$$

EP is a country-specific parameter calculated for LPJmL by Rohwer et al. (2006) after the approach described in the FAO irrigation manual (Savva and Frenken, 2002). It takes into account reported data on conveyance efficiency (EC), field application efficiency (EA) and a management factor (MF):

$$\text{EP} [0 \text{ to } < 1] = \text{EC} \cdot \text{EA} \cdot \text{MF} \quad (8)$$

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EA represents the water use efficiency on the fields and increase from surface irrigation systems, over sprinkler systems, to drip irrigation systems. EC represents the water use efficiency in the distribution systems and is assumed to be linked to irrigation systems (lower for open channels than for pressurized pipelines). MF varies between 0.9 and 1 and is higher in pressurized and small scale systems under the assumption that large-scale systems are more difficult to manage (see more details in Rohwer et al., 2006).

LPJmL has 5 hydrologically and thermally active layers (20, 30, 50 cm, 1 m and 1 m thickness) where roots have access to water. Infiltration depends on the soil water content of the first layer (water that does not infiltrates runs off) and percolation between the layers was simulated following the storage routine technique (see Schapfhoff et al., 2013, for more details). Excess water over the saturation level is assumed to feed subsurface runoff. LPJmL has two soil carbon pools, with intermediate and fast turnover (0.001 and 0.03 rate of turnover per year at 10 °C). The maximum decomposition rate is reached around field capacity and decreases afterwards due to decreased soil oxygen content. A simple energy balance model is used for the thermal soil module. It includes a one-dimensional heat conduction equation, convection of latent heat, thawing and sensible heat (see Schapfhoff et al., 2013, for more details).

### 3 Results

The performance of the improved LPJmL version was tested by simulating agricultural yields, irrigation water requirements and soil carbon density.

#### 3.1 Agricultural yields

Figure 1 shows LPJmL simulated yields for the calibrated run for all new crops where FAOSTAT had data in the Mediterranean region, averaged for the period 2000–2009. LPJmL simulates all nuts, olives, fruits and potatoes in a very good agreement with FAO, showing Willmott coefficients of  $\geq 0.6$  in all cases. Only two cases with large

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planting areas and significant differences are visible, both in Turkey, for grapes and nut trees. The latter is due to the chosen representative tree for the parametrisation of this group (almonds) which does not represent the majority of nut plantations in Turkey. In 2010 almost 70 % of nut trees in Turkey were hazelnuts and only 3 % almonds (FAO, 2015a). The underestimation of grape yield in Turkey might be related to more than one factor, including the fact that the wine sector is very dynamic in recent years there, with increases in production but decreases in area harvested (FAO, 2015a). FAO calculates yields by dividing national production by harvested area and calculates, thus, an increase in yields and a higher average over the years analysed. Our input dataset shows a slight increase in grape areas with relative constant production, hence, we calculated a lower yield average over the years. Also the general parametrisation for European grapes probably cannot represent the special character of local Turkish varieties that are well adapted to sandy soils and high altitudes.

Validating subnational patterns of yields is very difficult due to a general lack of data on this and important differences with other estimations in terms of scale, methods and time frames. However, we included in Fig. S2 a comparison with the yields from Monfreda et al. (2008) for the new crops where this study offers subnational data (note that their estimates are for the period of time around the year 2000 and at the administration level). LPJmL reproduces correctly a number of spatial patterns, such as some high yielding regions: olives in Greece, vineyards in Israel, Lebanon, Southern Spain, the Po valley and the Italian provinces of Emilia Romagna and Latium, potatoes in Turkey, Greece, Egypt, Morocco, Israel, Lebanon and Algeria, as well as cotton yields in Sothern Spain, Greece, Turkey, Egypt, Israel and Lebanon. Also some low-yielding zones are in good agreement, as it is the case for potatoes in the Balkans, Portugal and Tunisia, olives in Morocco, Algeria and Tunisia, and cotton in Tunisia. However, some few patters shown by Monfreda et al. (2008) are not shown in LPJmL simulations, including the North-South pattern of olives in Spain, the high yielding zone of olives in Southern Italy and the grapes and olives yields in Egypt. The first case is due to the higher management intensity in Southern Spain that is not captured by the

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present study by LPJmL), different method for evapotranspiration (Penman-Monteith vs. Priestley-Taylor) and differences in growing periods (e.g. dynamic vs. static sowing dates for annual crops). Since Andalucía is a region strongly linked to horticulture and due to our parametrisation of vegetables through C3 grasses, it is worthwhile to look in more detail into this class, also because neither Siebert et al. (2010) nor the present study accounts for cultivation of vegetables in greenhouses. We computed independent irrigation water requirements of  $2357.2 \text{ m}^3 \text{ ha}^{-1}$  based on the values presented in Table 2 from Gallardo and Thompson (2013) that concerns various vegetables and water melon grown in greenhouses. Based on the crop cycles described in their publication, we assumed the possibility of planting 3 vegetables types per year using the same area (multiple cropping). Vegetables are planted on around 1.6 Mha in the Mediterranean region. This yields total water consumption of  $11.3 \text{ km}^3$ . The present study computed  $9.7 \text{ km}^3$ , thus, a very similar value.

In total, the agricultural sector in the Mediterranean was simulated to withdraw approx.  $223 \text{ km}^3$  of water per year for irrigation (average 2000–2009), with GIR being especially high in the Nile Delta, the Eastern Mediterranean and in some Spanish regions (not shown). Our national GIR values are in good agreement with AQUASTAT data (FAO, 2015b) (Fig. 4, squares), with some differences. It is however difficult to evaluate the quality of the AQUASTAT data. For example the values of three countries with large differences to our estimates (Algeria, Lebanon and Jordan) are in fact not reported data but modelled data. Assuming that the modelling was performed by the FAO's model CROPWAT, our estimates might be more accurate since we perform a process-based calculation of transpiration instead of prescribing crop water coefficients. Another example of uncertainty is shown in the case of Egypt. In Fig. 4 (red symbols) it is evident that the estimates for Egypt vary largely, e.g. Rayan and Djebedjian (2004) presented much lower estimates than AQUASTAT.

Döll and Siebert (2002) were probably the first authors quantifying irrigation water requirements at the global level while distinguishing two crop classes (rice and non-rice). Their Table 5 shows GIR for Egypt, Israel and Spain according to independent

data and their calculations (using irrigation areas from 1995 and climate from 1961 to 1990). Despite the difference in the period of time analysed and the methodology, Fig. 4 shows that our results agree well for Israel and Spain for the independent data, while they found their values to be overestimated for both countries. For Egypt, independent data delivers 30 % higher GIR than our calculations and 27 % lower than the values of Döll and Siebert (2002). However, one more time, it must be asked how reliable are reported water use data for Egypt, which are relevant in negotiations on water allocation treaties with upstream countries of the Nile river.

A report by Cánovas Cuenca (2013), quoting an unpublished paper by Cánovas and del Campo (2006), shows in its Table 17 irrigation water requirements for Mediterranean countries when assuming that every hectare agriculture needs 6176 m<sup>3</sup> of water per year. Our analysis shows that while this number delivers a fair estimate of irrigation requirements for some Northern Mediterranean countries, it strongly underestimates irrigation requirements of dry Mediterranean countries (Fig. 4, dots). This confirms that the environmental and climate diversity of the Mediterranean region requires spatial-explicit modelling approaches.

Summarizing, LPJmL computes Mediterranean irrigation water requirements in the range of former studies, even if comparisons are a challenge due to inconsistent model inputs, differences in modelling approaches and due to the fact that to our best knowledge, this is the first study with a complete representation of Mediterranean crops.

### 3.3 Soil carbon density

As mentioned in the introduction, some assessments can only be performed with a model that includes natural and agricultural ecosystems with a fair detail in the hydrological cycle. This is the case of assessing carbon sequestration by soils in a unit of area with natural and agricultural vegetation – a very important variable for the climate debate and the ecosystem service research domain.

Soils under forest, grasslands and cropland show different soil carbon densities depending on climatic variables, vegetation's characteristics, soil structure, and the man-

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agement of the system. Generally speaking, forests have higher proportions of soil organic carbon (SOC) compared to mowed grasslands and they, in turn, have higher values compared to cropland planted with annual crops (Jobbágy and Jackson, 2000; Ecclesia et al., 2012; Wert et al., 2005). Also evergreen broadleaved forests and plantations have usually higher SOC than deciduous forest and plantations in semi-arid climates (Doblas-Miranda et al., 2013). Agricultural trees plantations have a lower tree density than forest, generally a regular distribution of trees that increase soil evaporation and they are subject to removal of biomass (harvest). These factors lead generally to lower SOC values in tree plantations compared to forest. However, management of tree plantations, including irrigation input, planting density, presence or eradication of grass strips and mulching can strongly increase or decrease SOC. Putting all this information together and being the management and environmental factors comparable, the SOC of agricultural tree plantations is expected to be generally higher than the SOC of mowed grasslands and generally lower than the SOC of natural forests and native grasslands. This is especially true for evergreen tree plantations and managed grasslands with high-frequency mowing. Hence, the implementation of agricultural trees in LPJmL should have produced higher SOC over the entire soil profile in many Mediterranean areas. This is because before the implementation of agricultural trees, the areas corresponding to these agro-ecosystems were simulated as mowed grasslands.

As expected, Fig. 5 shows that implementing agricultural trees in LPJmL increased the carbon stock in soils in the whole Mediterranean besides France. This exception is due to the fact that there are only two new implemented crops in France with significant areas: non-citrus orchards and grapes. Both are deciduous trees, with high soil evaporation at the beginning and end of the active period affecting carbon decomposition. Also orchards have a relatively low planting density in France (1300 trees per hectare) which reduces shadow effect and vegetation carbon input that, in turn, results in a lower soil carbon compared to high density mowed grass.

Validation of the new SOC patterns is very challenging since SOC measurements are spatially discontinuous as well as dependent on local conditions, sampling method

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and small scale drainage conditions. Comparison with empirically or process-based modelled SOC is also difficult due to differences in approaches, parameters, processes considered and issues of scale. Nevertheless, we compared our SOC estimates before (LPJmL<sub>Old</sub>) and after (LPJmL<sub>New</sub>) the implementation of agricultural trees with the organic carbon density from the HWSD database (Hiederer and Köchy, 2012). These data are produced establishing functions between SOC and soil type, topography, climate variables and land use situation. For this comparison we built the difference of absolute differences ( $|\text{LPJmL}_{\text{Old}} - \text{HWSD}| - |\text{LPJmL}_{\text{New}} - \text{HWSD}|$ ). The result is shown in Fig. 6. Considering significant differences (results  $> 1 \text{ t ha}^{-1}$ ), the number of grid-cells with decreased differences to the HWSD estimates almost doubles the number of grid-cells with increased differences (767 vs. 460 grid-cells). This means that the development presented in this study moved LPJmL's results for SOC closer to HWSD values.

As mentioned before, comparison with other SOC estimates is complex. The documentation of HWSD estimates (Hiederer and Köchy, 2012) offers an impressive effort in comparing their estimates with other assessments. They found large, spatially diverse differences to other estimates; some of them could be associated with differences in approaches. While comparing LPJmL results with HWSD estimates, it is necessary to bear in mind that HWSD offers a more detailed spatial scale and representation of processes linked to soil types, while LPJmL has a more detailed influence of land use history, seasonality of temperature and types of crops in SOC formation.

## 4 Discussion

### 4.1 Advances through consistent carbon-water-agriculture modelling

Environmental degradation, future climate change, and population growth will put Mediterranean agriculture and natural ecosystems under enormous pressure (IPCC, 2012; Kovats et al., 2014; Diffenbaugh and Giorgi, 2012; Skurras and Psaltopoulos,

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2012; Doblas-Miranda et al., 2015). Timely and appropriate coping with the combination of these issues will need collaboration between the Mediterranean countries and local communities in a number of issues, including development of adaptation options, common plans on energy transition, environmental policy and best-practice rules in nature conservation and agricultural management. Collaboration will have to be designed in a framework that allows taking into account the larger European and global picture in terms of environmental change and dynamics of ecological systems. This calls for new tools that are able to be applied at large scale and can account for the interlinkages between agricultural systems, carbon cycle and water resources.

LPJmL is a state-of-the-art, global ecosystem model that needs a minimal set of inputs, uses a rather limited amount of parameters and requires limited computational resources. With the model development presented in the current study, LPJmL is now a tool able to support Mediterranean decisions makers and further advance our understanding of the Earth system as a managed space. The inclusion of Mediterranean crops in LPJmL not only increased substantially the proportion of agricultural areas considered but also improved the computation of irrigation requirements and soil carbon. In this way the development delivered a model with a comprehensive representation of ecophysiological processes for all vegetation types (natural and agricultural) in a consistent and validated framework that produces estimates of carbon, agricultural and hydrological variables for the entire Mediterranean basin. As such, LPJmL is especially suitable for analyses on water issues. Taking into account the projected water scarcity due to climate change in the Mediterranean area, the continuously dropping groundwater tables due to overexploitation, and the projected increases in irrigation water demand (Fischer et al., 2007; Konzmann et al., 2013; Wada et al., 2010; Arnell, 2004), this constitutes a promising area for future model applications and further development.

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## 4.2 Potential applications and perspective for further research

The inclusion of perennial crops in LPJmL presented in the current study opens up the possibility for a number of large-scale applications and research studies that cannot be performed with empirical and/or input-intensive agronomic models. These include assessments on climate change impacts on hydrological variables, agricultural production and carbon sequestration, investigation of shifts in suitable growing areas for agricultural trees, evaluation of consequences of land use change (including expansion of irrigated areas), and assessments on ecosystem services provided by perennial cultures, for example habitat provision for avifauna.

However, besides the improvements that are ongoing in this moment in the various LPJmL working groups, there are many possible improvements and refinements that can and should be performed for some applications in the Mediterranean area. We can divide these potential improvements in three groups, enumerated from least to most complex and work-intensive: (a) input-related, (b) parameter-related, and (c) inclusion of new processes.

The most important input-related improvement concern national and subnational studies and is related to the need of increasing the spatial resolution in all inputs used by LPJmL. However, the limited availability of climate data and scenarios in a higher spatial resolution for the whole basin as well as missing detailed flow direction maps, especially for North Africa, has constrained this refinement until now. Nevertheless, work on data interpolation and downscaling is ongoing to bring the model at the 15 arcmin resolution.

Small-scale application aiming at comparing and analysing single crops may require parameter-related changes such as re-parametrisation allowing differentiation of harvesting times after uses and varieties (e.g. varieties of grapes, difference between table olives and olives for oil), grid-cell specific planting densities and its differentiation between irrigated and rainfed conditions, as well as crop-specific setting up of fruits, which at present depends on the phenological development but will not be differentiated for

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different crops. For national-scale studies re-parametrisation with different representative plants for the groups (e.g. using hazelnuts instead of almonds for nuts trees Turkey) is possible without any difficulty. For this group of improvements data on management is essential, including harvesting times, post-harvest uses, planting densities, planted varieties, etc.

Some refinements in model-processes should be undertaken for studies aiming at detecting year-to-year phenological changes or sub-yearly patterns of carbon allocation in agricultural trees. These may include improved representation of chilling requirements, for example implementing the chilling units approach, variable harvest index depending on the special conditions of the year, implementation of dwarf trees, and daily update of carbon partitioning. Also, including a more differentiated approach of agricultural management, such as discretizing practices, typology and processes affected, may be necessary for assessments on climate change adaptation and soil carbon. Also connected to soil carbon, the inclusion of erosion and salinization would be essential since this process plays an important role in the semi-arid, hilly and terraced landscapes of the Mediterranean area (García-Orenes et al., 2012; Poessen and Hooke, 1997).

Finally, the inclusion of horticulture in LPJmL opens the door for further large developments aiming at the assessment of alternative agro-ecosystem managements and their environmental performance. One clear example for this would be the link between agroforestry systems and biodiversity conservation.

## 5 Code availability and technicalities

LPJmL is written in the C programming language and is run mainly under UNIX-like systems. Inputs and outputs are in binary format. Depending on the size of the region analysed and on the desired spatial resolution, it may require a high-performing computational structure. The version used as base for the present development was the 3.5.003 and the revision 2133 from 17 May 2014.

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The main site for downloads of different model versions can be found under:  
<https://www.pik-potsdam.de/research/projects/activities/biosphere-water-modelling/lpjml/versions>

There, downloads are free of charge and possible after registration. However, the latest model version that includes the agricultural module and the Mediterranean development is not available yet since the different working groups are still compiling a complete technical documentation and merging the last developments into one unique model version. Please contact the first author of this publication if you have in mind an urgent application that can be done in the framework of a long-term, close collaboration.

**The Supplement related to this article is available online at  
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**Table 2.** Key parameters of agricultural trees and potatoes. **R**: representative tree/plant for parametrisation; **K<sub>est</sub>**: tree density range; **HI**: harvest ratio/index; **T<sub>b</sub>**: base temperature; **GDD**: growing degree day requirements to grow full leaf coverage (in deciduous trees) or to reach ripeness of fruit (in evergreen trees); **T<sub>lim</sub>**: lower and upper coldest monthly mean temperature; **Ph<sub>opt</sub>**: lower and upper temperature optimum for photosynthesis; **HE**: maximal height of tree; **Phu<sub>1/2</sub>**: fraction of potential heat units accumulated in the first (1) or second (2) inflexion point of the optimal leaf area curve; **Lmax<sub>1/2</sub>**: fraction of maximal leaf area index accumulated in the first (1) or second (2) inflexion point of the optimal leaf area curve; **Phusen**: fraction of growing season at which senescence becomes the dominant process; **Lai<sub>ha</sub>**: fraction of leaf area index still present at harvest; **PHU**: potential heat units for ripeness; **WCF**: water content factor for conversion from dry to fresh matter.

Crop	R	Seasonality	K <sub>est</sub> (trees ha <sup>-1</sup> )	HI (frac)	T <sub>b</sub> (°C)	GDD (acc. °C)	Ph <sub>opt</sub> (°C)	T <sub>lim</sub> (°C)	HE (m)	WCF (% of DM)
Citrus	Orange tree	Evergreen broadleaved	500–4600 <sup>g</sup>	0.5 <sup>h</sup>	18 <sup>a</sup>	1000 <sup>j</sup>	23 to 30 <sup>g</sup>	-10 to 40 <sup>g</sup>	10 <sup>j</sup>	13 <sup>k</sup>
Olive trees	Olive Trees	Evergreen broadleaved	75–690 <sup>n,o</sup>	0.45 <sup>l</sup>	15 <sup>l</sup>	1000 <sup>p</sup>	15 to 30 <sup>lm</sup>	-10 to 15 <sup>lm</sup>	15 <sup>m</sup>	30 <sup>q</sup>
Date palm	Date palm	Evergreen broadleaved	100–920 <sup>r</sup>	0.5 <sup>u</sup>	14 <sup>s</sup>	1700 <sup>u</sup>	25 to 35 <sup>s</sup>	-10 to 40 <sup>s</sup>	20 <sup>s</sup>	70 <sup>s,t</sup>
Orchards	Apple tree	Deciduous broadleaved	325–2990 <sup>aa</sup>	0.49 <sup>v</sup>	7 <sup>a</sup>	400 <sup>x</sup>	15 to 25 <sup>a</sup>	-15 to 15 <sup>z</sup>	13 <sup>y</sup>	16 <sup>k</sup>
Nut trees	Almond tree	Deciduous broadleaved	100–920 <sup>ac</sup>	0.6 <sup>w</sup>	7 <sup>ab</sup>	300 <sup>ad</sup>	20 to 25 <sup>ab</sup>	-10 to 15 <sup>ab</sup>	10 <sup>ae</sup>	90 <sup>af</sup>
Grapes	Vine plants	Deciduous broadleaved	2000–15 000 <sup>ak</sup>	0.6 <sup>ai</sup>	10 <sup>ai</sup>	300 <sup>ah</sup>	17 to 22 <sup>ag</sup>	10 to 15 <sup>ag</sup>	2 <sup>aj</sup>	20 <sup>k</sup>
Cotton	Cotton plants	Deciduous broadleaved	20 000–184 000 <sup>aq</sup>	0.19 <sup>am</sup>	15 <sup>ao</sup>	300 <sup>ao</sup>	16 to 22 <sup>ao</sup>	-10 to 40 <sup>ao</sup>	3 <sup>ap</sup>	91 <sup>an</sup>

Crop	R	LAI curve parameters (frac)					T <sub>b</sub> (°C)	PHU (acc. °C)	Ph <sub>opt</sub> (°C)	WCF (% of DM)	
		Phu <sub>1</sub>	Lmax <sub>1</sub>	Phu <sub>2</sub>	Lmax <sub>2</sub>	Phusen					Lai <sub>ha</sub>
Potatoes	Potatoes	0.15 <sup>a</sup>	0.01 <sup>a</sup>	0.5 <sup>a</sup>	0.95 <sup>a</sup>	0.9 <sup>a</sup>	0.0 <sup>b</sup>	0.0 <sup>c</sup>	1500–2400 <sup>a</sup>	16 to 25 <sup>a,d,e</sup>	20 <sup>11</sup>

<sup>a</sup> Neitsch et al. (2004) (SWAT model). For T<sub>b</sub> of citrus the T<sub>b</sub> of poplar and oak was taken as a proxy. <sup>b</sup> Gordon et al. (1997). <sup>c</sup> Haverkort and MacKerron (1995) compiled different base temperatures in different studies and exposed that a base temperature of 0.0 °C describes tuberisation rate well in temperate zones. <sup>d</sup> FAO (2008). <sup>e</sup> Ku et al. (1977). <sup>f</sup> Morales Sierra (2012). <sup>g</sup> FAO (2013a). For T<sub>lim</sub> of citrus it was assumed that the rest can be induced by water deficit, not only by low temperatures. <sup>h</sup> Cannell (1985). <sup>i</sup> Based on FAO (2013a) information that indicates that citrus need 7 to 14 months from flowering to maturity. <sup>j</sup> Orwa et al. (2009). <sup>k</sup> Bastin and Henken (1997). <sup>l</sup> Aguilera et al. (2014). <sup>m</sup> California Rare Fruit Growers (1997). <sup>n</sup> FAO (2013b). <sup>o</sup> Roussos (2007). <sup>p</sup> Orlandi et al. (2014). <sup>q</sup> Kailis and Harris (2007). <sup>r</sup> Al-Khayri and Niblet (2012). <sup>s</sup> FAO (2002). <sup>t</sup> Elshibli (2009). <sup>u</sup> Large variations and lack of data lead to estimation of these parameters assuming relatively small, high yielding varieties of palms, flowering around one month, developing fruits in around 4 months, with 1000 kg weight and yields of 500 kg per palm. <sup>v</sup> Zanotelli et al. (2013). <sup>w</sup> Toki et al. (1989). <sup>x</sup> Wünsche and Lakso (2000). <sup>y</sup> Parametrised as standard apple tree and not dwarf. <sup>z</sup> Perry (2011). Apple, pear and cherry trees can survive lower winter temperatures, but other fruit trees (i.e. fig, peach and apricot trees) cannot. <sup>aa</sup> FAO (2011). <sup>ab</sup> Pontificia Universidad Católica de Chile (2008). <sup>ac</sup> Netafim (2013). <sup>ad</sup> Janick and Paull (2008). <sup>ae</sup> Duke (1983). <sup>af</sup> Alasalvar and Shahidi (2008). <sup>ag</sup> FAO (2013c). <sup>ah</sup> Meier (2001). <sup>ai</sup> Strick (2011). <sup>aj</sup> Ministry of agriculture, food and rural affairs (2013). <sup>ak</sup> Slovak Wine Academy Pezinok (2009). <sup>al</sup> Zamski and Schaffer (1996). <sup>am</sup> Sakin (2012). <sup>an</sup> Yan et al. (2007). <sup>ao</sup> Tsiros et al. (2009). <sup>ap</sup> Kiranga (2013). In wild conditions cotton can be up to 5 m high. In crops, up to 1.5 m. <sup>aq</sup> Wright et al. (2004); Paytas (2011). Planting density varies largely.

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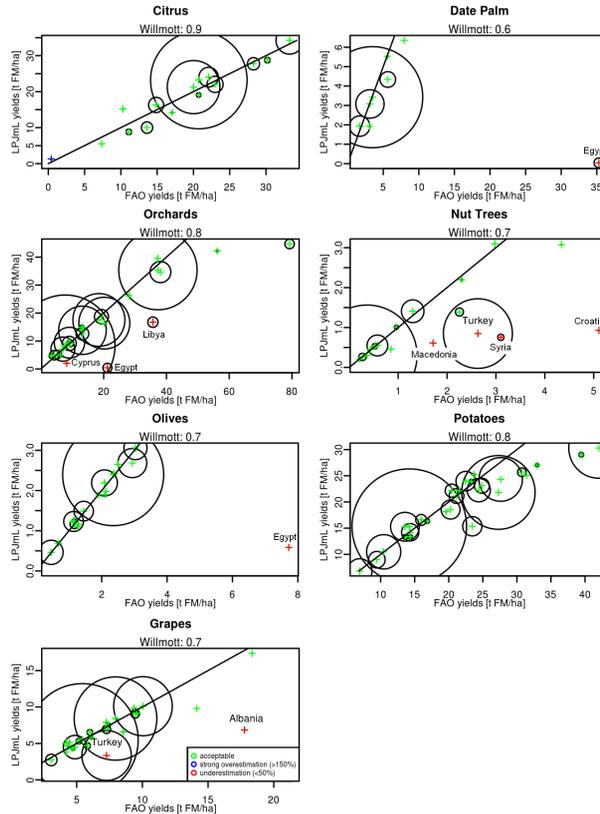
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**Figure 1.** Scatterplot of LPJmL-simulated yields (averages over 2000–2009) vs. reported yields from FAOSTAT for Mediterranean countries. The line represents the 1:1 line. The bubbles indicate the relative size of the harvested area in the respective country.

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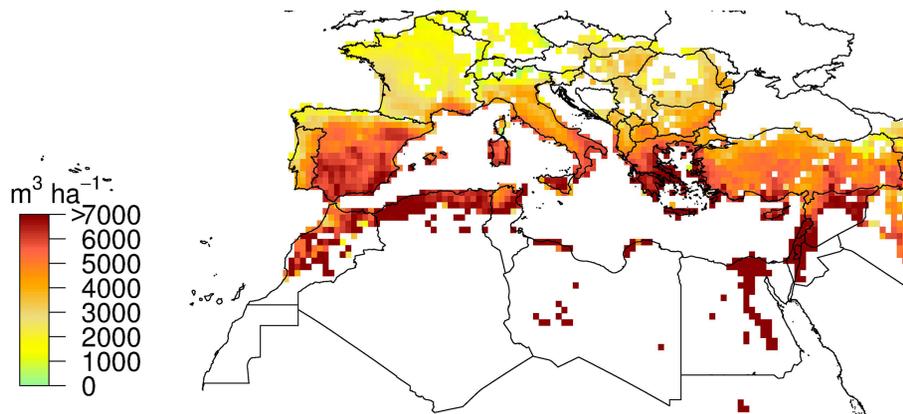
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**Figure 2.** LPJmL-simulated net irrigation water requirements (NIR), as average over the period 2000–2009.

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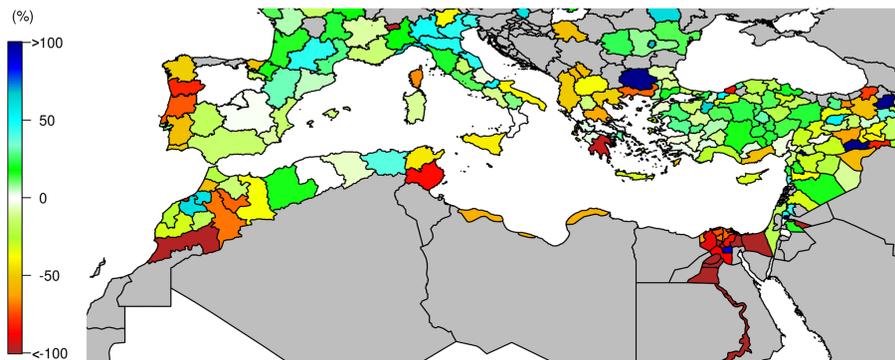
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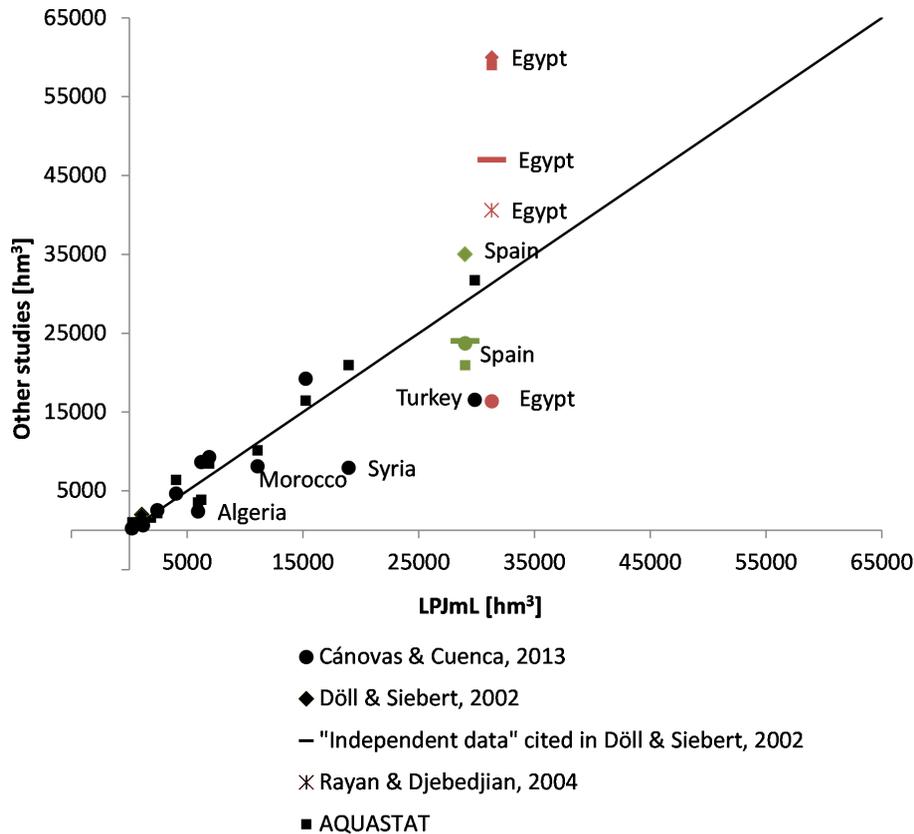
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**Figure 3.** Comparison of irrigation consumptive water use from Siebert et al. (2010) and net irrigation water requirements computed in this study as percentage of the Siebert et al. (2010) values. Negative (positive) values indicate higher (lower) values in their study.



**Figure 4.** Scatterplot of LPJmL-simulated gross irrigation water requirements ( $\text{hm}^3$ , averages over 2000–2009) and the estimates presented by other studies. The line represents the 1 : 1 line. Egypt and Spain have been coloured in red and green, respectively, to visualize the disparities between different studies. (Note that Cánovas Cuenca (2013) assumes a fixed requirement of  $6176 \text{ m}^3 \text{ ha}^{-1}$ ).

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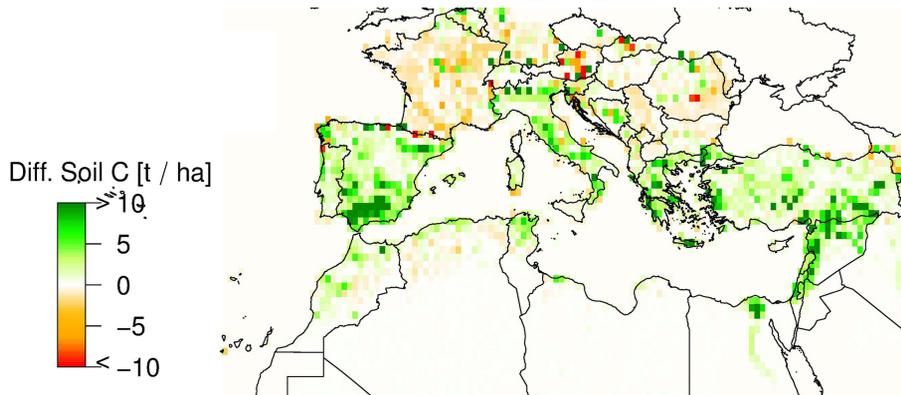
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**Figure 5.** Difference in LPJmL-simulated soil organic carbon (average 2000 to 2009) before and after the implementation of agricultural trees described in this study. Negative (positive) values indicate that the development decreased (increased) soil organic content.

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