

Response to the reviewers

We thank all three reviewers for their positive reviews and constructive comments. We have revised the manuscript as described in detail below, and we hope that we have dealt with all suggestions in an adequate manner.

Anonymous Referee #1

Werner et al., present first results of the newly developed isotope-enabled version of the Earth System Model ECHAM5/MPI-OM. They focused on two equilibrium simulations under the pre-industrial and last glacial maximum period and compare the model results with observational data and paleoclimate records in the atmospheric/continental and oceanic components. Overall, isotope variations ($\delta^{18}\text{O}$, δD) for the PI and LGM climate are in good agreement with available data, although some bias are identified and discussed in the manuscript. The paper is well write, clear and the results interesting. In particular, the authors highlight interesting results that could be further explored in the future. Among them, the assessment of the stability of the δ -T relation for LGM-PI climate changes reveals that the temporal δ -T gradient might have been substantially lower than the modern spatial one for most mid- to high-latitudinal regions. Such a deviation could indeed cause a strong bias in the “classical” δ -paleothermometry approach. Also, the remarkable improvement in modelling the deuterium excess signal allows to question the approach by Merlivat and Jouzel (1979), question the cooling of SST during the LGM and support the “classical” interpretation of dex changes in Antarctic ice cores as a proxy for SST changes in the source regions of water transported to Antarctica. I think this paper is suitable for publication in GMD and I recommend publication after the authors have adressed the moderate/minor comments below.

Comments:

1) page 8837 Lines 15-19 : Some studies concerning Chinese speleothem suggest that $\delta^{18}\text{O}$ variations reflect changes to regional moisture sources and the intensity or provenance of atmospheric transport pathways (LeGrande and Schmidt, 2009; Dayem et al., 2010; Lewis et al., 2010; Maher and Thompson, 2012; Caley et al., 2014; Tan, 2014).

We have added these findings and the related references in the revised manuscript.

2) page 8841 lines 25-26 : “under pre-industrial and LGM, defined as the period 23 000–19 000 years before present” A reference is needed here.

We have decided to delete “defined as the period 23 000–19 000 years before present” as we realized that this has been a misleading statement in this part of the paper.

For the LGM simulation, glacial boundary conditions correspond to 21ka B.P. in accordance with PMIP3 rules, while the different LGM data from ice cores, speleothems, and marine records has been selected from the period 23ka -19ka (22ka-19ka for speleothems). This selection is described in detail in Chapter 3.2-3.4.

3) page 8846 line 12 : “with a prescribed glacial increase of $\delta^{18}\text{O}$ of +1 ‰ (δD : +8 ‰” Here, the authors prescribed a glacial increase of 8 ‰ in δD for the LGM period.

According to Schrag et al., 2002, the glacial increase would be around 7.2‰. Also, if all the GISS data (depth < 3000 meters) (Schmidt et al., 1999) are used, the present day relationship between $\delta^{18}\text{O}$ and δD give a glacial δD increase of 7.3‰ for a $\delta^{18}\text{O}$ value of 1‰ (assuming that this relationship is still valid during the LGM). Therefore, the two independent approaches lead to a δD increase of 7.2‰ rather than 8‰ during the LGM. What could be the implications of such a different value on the deuterium excess calculation presented in this manuscript in part 4.2.4?

A mean glacial ocean δD increase of +7.2‰ instead of +8‰ would lead to a small glacial decrease of the mean deuterium excess signal in the ocean of -0.8‰. As a first-order estimate, one can assume that such lowered deuterium excess signal in the ocean will lead to an equivalent lower deuterium excess value both in vapour above the ocean and, consequently, in precipitation, too. We have added these considerations in Chapter 4.2.4.

4) page 8848-8849 Kim and O'Neil 1997 equation. I don't understand how the data-model comparison is done. Does the authors have used the temperature in the model and the d^{18}O of the calcite from speleothem data to calculate a $\text{d}^{18}\text{O}_{\text{water}}$ value and then compare this to model values in Figure 1? On figure 1, there is only a scale of d^{18}O in precipitation and the speleothem records are included. Please explain in more details how the $\text{d}^{18}\text{O}_{\text{water}}$ of speleothems are calculated (which temperature values are used?).

We thank the reviewer for this very helpful comment. For the conversion of modern $\delta^{18}\text{O}_{\text{c}}$ of calcite from the selected speleothem sites (Table 2) to a $\delta^{18}\text{O}_{\text{p}}$ water value shown in Fig. 1, we have used annual mean ERA40 soil temperatures (ERA40 variable soil temperature, layer #1) averaged over the period 1961-1990. We have forgotten to mention this detail in our original manuscript but it is now explicitly stated in part 4.1.1.

In the legend of the figure 1, the Table 1 and Table 2 do not refer to the corresponding dataset, please inverse.

Corrected.

On Figure 8, I am again confused because the speleothem data are presented in green on a $\text{d}^{18}\text{O}_{\text{precipitation}}$ scale but the figure caption mention that the $\text{d}^{18}\text{O}_{\text{calcite}}$ changes are shown. I recommend to use atmospheric temperature to calculate the $\text{d}^{18}\text{O}_{\text{p}}$ of speleothem and then plot this on figure 1 or 8. Alternatively, the authors could separate the speleothems data and compare the $\text{d}^{18}\text{O}_{\text{calcite}}$ data with $\text{d}^{18}\text{O}_{\text{calcite}}$ of the model (calculate from temperature and $\text{d}^{18}\text{O}_{\text{p}}$ from the model) as it was done for marine carbonates.

We are sorry for this confusion. For the model-data comparison of the LGM-PI speleothem data, we have calculated the simulated LGM-PI change of $\delta^{18}\text{O}_{\text{c}}$ in calcite, using both modelled $\delta^{18}\text{O}_{\text{p}}$ in precipitation and surface temperatures for the PI and LGM climate, as it was done for marine carbonates. Thus, the axis labels of Fig 8b were erroneous as were compare both model vs. reconstructed $\delta^{18}\text{O}_{\text{p}}$ anomalies (for ice cores) and $\delta^{18}\text{O}_{\text{c}}$ anomalies (for speleothems) in the same scatter plot. The labels and figure caption of Fig. 8 have been corrected and we now describe this comparison in more detail in paragraph 4.2.2.

5) Page 8849 Shackleton (1974) equation. There is a conversion between the two scale (PDB and SMOW): expressed as $d18O_{water(VPDB)} = d18O_{water(VSMOW)} - 0.27$ (Hut, 1987) that is not describe here and that is necessary.

This information is now added to paragraph 3.4

6) Conclusion part, page 8866, line 29 "CLIMAP". I think this is cooler than MARGO, not CLIMAP.

Corrected.

7) Figure 4 : "arbitrary subset of 300 data". I rather suggest to the authors to revise the figure and show the model results without data on a new panel a) and add on a secondary panel with all the GISS data (Atlantic Ocean: n = 5811, Pacific Ocean: n = 2985) with or without model results. The comparison between model and all the GISS data will be possible with readability.

We have revised Figure 4 as suggested. The figure now includes two new panels c) and d) that display all available GISS data for the Atlantic and Pacific Ocean. The figure caption is changed, accordingly.

Anonymous Referee #2

The manuscript by Werner and co-authors presents first results on the pre-industrial and LGM conditions of a coupled ocean-atmosphere model equipped with water isotopes. The spatial repartition of $d18O$ and d -excess in the ocean and atmosphere is systematically confronted to available data for both periods with a general good match between model and data. The manuscript is very well written and provides all the necessary details in the text and in the figures for the general reader. The number of figures is quite high but I would recommend keeping all of them. I only have minor comments and I recommend publications of the manuscript:

-p. 8840, l.4: it would be nice to quote also the 2013 paper by Risi and co-authors on $17O$ -excess modeling.

The reference has been added to the text.

- p. 8843, l.22: The authors neglect as often done (but not always) the possible fractionation during evapotranspiration processes from terrestrial areas. It would be nice to expand a bit more the possible implications of such hypothesis on $d18O$ and especially d -excess in regions where the fractionation during evaporation of terrestrial water may become important (e.g. Amazonian basin following the suggestion of Gat and Matsui (1991)).

In Haese et al. (2013) we have analysed in detail the implications of a potential fractionation during evapotranspiration processes on the $\delta^{18}O$ and deuterium excess signal in different water reservoirs. We found no large effect of such fractionation processes on the $\delta^{18}O$ and d -excess signal in precipitation, but a potential large change

of the isotopic composition of soil water by several per mill (see Haese et al., 2013, Fig. 8). Such change might be especially relevant for paleoclimate records, where the isotope signal reflects changes in the soil water (e.g., speleothems, ancient groundwater). However, it remains an open question if such changes would also affect the simulated glacial anomalies ($\Delta_{\text{LGM-PI}} \delta^{18}\text{O}$, $\Delta_{\text{LGM-PI}} \text{dex}$), or simply lead to an equivalent strong change of $\delta^{18}\text{O}$ and dex for both the PI and LGM simulations (without a glacial anomaly change as compared to our chosen model setup). These considerations are now added to Chapter 2.

- In general, I think that some explanations on the added value of the coupled model compared to the atmosphere only model for the modeling of d18O and d-excess in precipitation should be given in introduction of the manuscript.

We have added some more arguments for using a fully coupled isotope GCM in Chapter 1.

- p. 8848, l. 8, replace “is” by “are”

Corrected.

- Figure 6a and corresponding text p. 8854: what do you mean exactly by isotopic values in “evaporation” ? do you mean water vapor or evaporation flux ? It would be nice to clarify since only isotopic values in water vapor can be compared to data.

In Fig. 6a, the annual mean deuterium excess values in the evaporation flux are plotted. As mentioned in the text, we are aware that this quantity is difficult to evaluate, as it has not been measured, yet. However, we prefer to show it as a counterpart to the deuterium signal in the precipitation flux (Fig. 6b). Furthermore, the deuterium excess in evaporation has recently been reconstructed by Pfahl and Sodemann (2014) and the ECHAM5-wiso results can be compared to their results. This is now explicitly mentioned in the revised manuscript.

- p 8856, l. 6: A good way to test the Merlivat and Jouzel (1979) formulation would be to look at the modeled slope between d-excess in the water vapor above the ocean and relative humidity. How does this compare to the Merlivat and Jouzel (1979) slope ?

For the region 60°S-60°N we calculate a slope m between d-excess in the vapour layer above the ocean and the related relative humidity of $m = -6.3\text{‰}/(10\% \text{ rel. humidity change})$. This is very close to the value of $-6\text{‰}/(10\% \text{ rel. humidity change})$ given in Merlivat and Jouzel (1979). We have added this information to the manuscript.

- I am quite convinced by the discussion on the influence of SST on d-excess presented on p. 8864. Still, it would be nice to justify further why the relative humidity of the source relative humidity was not different by more than 5% in the LGM compared to the pre-industrial situation.

The rather small variations of the LGM relative humidity changes are somewhat surprising, as cooler SST should lead to cooler air temperatures above the ocean surface, which then should lead to higher relative humidity levels (if the amount of water in the air stays constant). However, we find in our simulation that the air directly above the

ocean surface cools slightly stronger during the LGM than the SST themselves. This leads to a reduced glacial evaporation flux from the ocean to the atmosphere, which decreases the relative humidity of the vapour and counterbalance the first effect.

Similar small changes of relative humidity changes above the ocean surface and the counterbalance of different effects have recently been reported for a set of CMIP5 climate model results by Laîné et al. (2014). They have analysed a future water climate, though.

Anonymous Referee #3

Summary: The authors present results from a pre-industrial and Last Glacial Maximum simulation of climate using the isotope enabled version of the coupled ocean-atmosphere model ECHAM5/MPI-OM. This is a sound manuscript. I would suggest it requires only rather minor revisions before publication.

Major comments: I have only one more major comment which is on section 4.2.4 “Glacial changes of the deuterium excess”. It is really interesting that the authors find that glacial sea surface temperature which are cooler than the GLAMAP reconstruction, lead to an improved simulation of dex changes over Antarctica. Would it be possible to also comment on whether coupled model ECHAM5 simulation of sea ice around Antarctica is also in agreement with the available sea ice data e.g. from Gersonde et al.?

The simulated sea ice of the COSMOS LGM simulation has already been described in detail in Zhang et al. (2013) and our simulation results are comparable to this previous study. For the southern hemisphere, there is a reasonable agreement between the simulated sea ice concentration and proxy data by Gersonde et al. (2005), such as the austral winter sea ice extent in the Atlantic sector and the austral summer sea ice extent in the Indian ocean sector. However, our LGM simulation underestimates a larger extent of sporadic summer sea ice between 5°E and 5°W in the Southern Ocean, as reported by Gersonde et al. (2005).

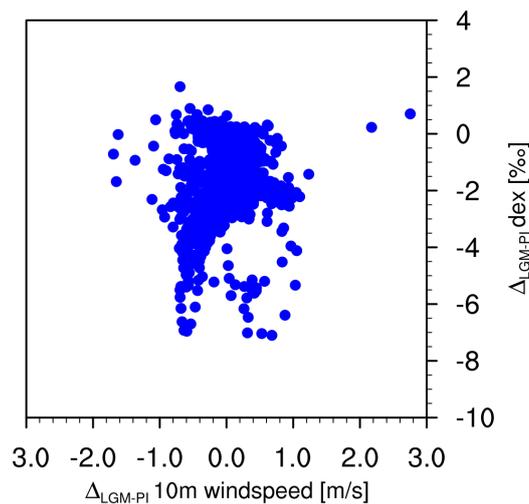
Compared to GLAMAP, we find a much reduced sea ice cover in austral summer. This might lead to a stronger contribution of vapour stemming from regions between 60°-65°S to the Antarctic ice sheet. As vapour from these regions has a strong negative dex signal (cf. Fig. 12) such shift in the contribution might lead to a more negative deuterium excess signal in precipitation, too. These considerations are now added to the manuscript (part 4.2.4.).

Whilst plotting simulated changes of dex in vapour against the modelled relative humidity change between LGM and PI over the ocean surface reveals no correlation between these humidity changes and the simulated dex variations in the vapour layer, these are over rather large changes in climate, with many changes in climate variables. Some work, such as that by Schmidt and LeGrande using the gissE model, indicates that near surface wind changes may also be important in dex changes. Examining the correlation and relationship between dex and relative humidity, and dex and SST does not eliminate the possibility that the dex-SST relationship could be dependent on other aspects of the climate shift – such as wind speed changes. It would therefore be useful if

the authors could support their dex-SST relationship assertion by providing a much wider examination of dex-climatic variable relationships.

Our intention of analysing the dex relation vs. relative humidity and SST changes was to simply test the recent hypothesis of Pfahl and Soedemann (2014) regarding an improved interpretation of dex variations in ice core records. Of course, we fully agree that the shown correlation between dex and SST changes does not rule out other factors, like wind speed changes, which could affect both dex and SST changes. (However, we do not find a clear correlation between the simulated annual mean glacial 10m windspeed anomalies and the dex signal in the vapour above the surface; see Figure below). These considerations are now mentioned in the manuscript.

More sensitivity studies and analyses are certainly required to explain the simulated glacial dex changes both in vapour and Antarctic precipitation in more detail. We think that such analyses are well beyond the general scope of this manuscript (which is a first, rather general presentation of this new fully-coupled isotope model setup). Thus, we prefer to perform such wider examination of dex-climatic variable relationships in a separate future study, and hope that this decision is adequate.



Minor comments:

P8837 L15 “the combination of water isotopic ratios permits to have a tracer of the low latitudes in polar ice cores” provide a reference, and perhaps make the reference to d-excess more explicit?

We have added 2 references here but do not explicitly mention the deuterium excess at this point, as we discuss this quantity in detail just a few lines below (p.8838, line 4ff.)

P8838 L2 “that they allow reconstructing the three-dimensional structure” rephrase, for example “the reconstruction of” would be better.

Rephrased as suggested.

Section 4.1 Might be better to also include a present day simulation. This would enable the authors to also test the simulation against isotopes in vapour satellite data.

In several previous studies, we have evaluated present-day simulations of the atmospheric model, ECHAM5-wiso, in detail. Some of these studies also included a comparison of model results to available satellite vapour observations both on a global and regional scale (e.g., Werner et al., 2011; Butzin et al, 2014). As the overall results of the coupled ECHAM5/MPI-OM setup are very similar to these previous atmosphere-only ECHAM5 simulations, we decided against a duplication of such detailed present-day vapour data analysis in this study, but rather focus on the simulated modern vs. glacial isotope changes. We hope that this decision is acceptable for the reviewer.

P8864 L1 “constraint”

Corrected.

Additional remark

Very recently, the supercomputer at AWI, which has been used for conducting the presented simulations, has been replaced by a new machine. For future work and consistency, we have decided to prolong both the PI and LGM simulation to a total of 1,500 simulation years (before: PI experiment: 1,400yrs, LGM experiment: 1,300yrs) using this new hardware configuration. In the revised manuscript, we now present the results of the last 100 simulation years (year 1,400-1,499) of both prolonged simulations.

None of the originally submitted results has been affected by this prolongation, but some calculated quantities (mean values, RMSE, isotope slopes, etc.) have slightly changed.

All figures have been updated, too. Only a few of them display very minor changes in global isotope distributions with respect to the previous figure versions.

References

- Gersonde, R., Crosta, X., Abelmann, A. and Armand, L.: Sea-surface temperature and sea ice distribution of the Southern Ocean at the EPILOG Last Glacial Maximum - A circum-Antarctic view based on siliceous microfossil records, *Quaternary Sci Rev*, 24(7-9), 869–896, doi:10.1016/j.quascirev.2004.07.015, 2005.
- Haese, B., Werner, M. and Lohmann, G.: Stable water isotopes in the coupled atmosphere–land surface model ECHAM5-JSBACH, *Geosci. Model Dev.*, 6(5), 1463–1480, doi:10.5194/gmd-6-1463-2013, 2013.
- Laîné, A., Nakamura, H., Nishii, K. and Miyasaka, T.: A diagnostic study of future evaporation changes projected in CMIP5 climate models, *Clim. Dyn.*, 42(9-10), 2745–2761, doi:10.1007/s00382-014-2087-7, 2014.
- Zhang, X., Lohmann, G., Knorr, G. and Xu, X.: Different ocean states and transient characteristics in Last Glacial Maximum simulations and implications for deglaciation, *Clim Past*, 9(5), 2319–2333, doi:10.5194/cp-9-2319-2013, 2013.

Änderungen und Kommentare des Hauptdokuments

Seite 3: Eingefügt	Martin Werner	11.12.15 14:34
(e.g., Stenni et al., 2010; Vimeux et al., 1999)		
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2013		
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2012		
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, changes to regional moisture sources and the intensity or provenance of atmospheric transport pathways (LeGrande and Schmidt, 2009; Dayem et al., 2010; Lewis et al., 2010; Maher and Thompson, 2012; Caley et al., 2014a; Tan, 2014)		
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the reconstruction of		
Seite 3: Gelöscht	Martin Werner	11.12.15 14:22
reconstructing		
Seite 3: Eingefügt	Martin Werner	09.12.15 16:56
b		
Seite 5: Eingefügt	Martin Werner	11.12.15 14:59
Risi et al., 2013;		
Seite 6: Eingefügt	Martin Werner	22.12.15 10:30
As compared to an atmosphere-only or ocean-only setup, a fully coupled model with an explicit stable water isotope diagnostics will be physically much more consistent regarding relevant fractionation processes during ocean-atmosphere interactions. For past climates, such a coupled isotope model can also generate isotopic compositions in various water reservoirs (e.g. a deuterium excess distribution in ocean surface waters) that are unavailable from proxy data but required as prescribed boundary conditions for uncoupled atmosphere and ocean simulations.		
Seite 7: Gelöscht	Martin Werner	11.12.15 09:54
, defined as the period 23,000 - 19,000yrs before present,		
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Furthermore, it might be relevant for paleoclimate records, where the isotope signal reflects changes in the soil water (e.g., speleothems, ancient groundwater), as a potential fractionation during evapotranspiration processes might lead to substantial changes in the $\delta^{18}\text{O}$ and deuterium excess signal of soil water (Haese et al., 2013). However, it remains an open question if such changes would also affect the simulated glacial anomalies ($\Delta_{\text{LGM-PI}} \delta^{18}\text{O}$, $\Delta_{\text{LGM-PI}} \text{dex}$), or simply lead to an equivalent strong change of $\delta^{18}\text{O}$ and deuterium excess for both the PI and LGM simulations (without any glacial change).

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. As in previous uncoupled studies (e.g. Risi et al., 2010; Werner et al., 2001) we assume no glacial change of the mean deuterium excess in the ocean, which implies a glacial change of δD of +8‰.

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(δD : +8‰).

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of $\delta^{18}\text{O}$ in precipitation

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we

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$\delta^{18}\text{O}$ values in calcite are converted between the PDB and SMOW scale as the following (Coplen, 1983; Sharp 2007):

$$\delta^{18}\text{O}_{\text{c(PDB)}} = 0.97002 * \delta^{18}\text{O}_{\text{c(SMOW)}} - 29.98$$

For an estimation of $\delta^{18}\text{O}$ in the drip water we apply

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42 + 0.27

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As mentioned above, we further assume that the $\delta^{18}\text{O}$ values in drip water, calculate in such way, are a reliable proxy for the annual mean $\delta^{18}\text{O}$ in precipitation falling at the cave site and can thus be directly compared to our model results.

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Conversion between $\delta^{18}\text{O}$ values on PDB and SMOW scale is calculated as suggested by Coplen (1988):

$$\delta^{18}\text{O}_{\text{PDB}} = 0.97002 * \delta^{18}\text{O}_{\text{SMOW}} - 29.98$$

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SMOW

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PDB

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SMOW

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PDB

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The conversion between the PDB and SMOW isotope scales (can be expressed as $\delta^{18}\text{O}_{\text{oce}}(\text{PDB}) = \delta^{18}\text{O}_{\text{oce}}(\text{VSMOW}) - 0.27$ (Hut, 1987).

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To convert the reported speleothem PI values of $\delta^{18}\text{O}_{\text{c}}$ in calcite (Table 2) to $\delta^{18}\text{O}_{\text{p}}$ in precipitated water, we apply the formulae given in section 3.3. For the required site temperatures, we have

interpolated annual mean ERA40 soil temperatures (layer #1, mean of the period 1961-1990) to the different speleothem sites.

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Seite 16: Gelöscht	Martin Werner	21.12.15 12:38
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, d		
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(Fig. 4c)		
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The model results show some agreement to the predicted dex values in evaporation by Pfahl and Sodemann (2014) but i		
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flux		
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The modelled slope between the simulated dex in vapour above the ocean surface and the related relative humidity rh (-6.3%/(10% rh change)) is very close to the value given by Merlivat and Jouzel (1979), though.		
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For the ice core records, we compare the modelled change of $\delta^{18}\text{O}_p$ in precipitation with the ice core data (Table 1).

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calculate the modelled change of $\delta^{18}\text{O}_c$ in calcite, which is then

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the model results

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(Table 2)

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Seite 22: Gelöscht	Martin Werner	16.12.15 13:20
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Seite 22: Eingefügt	Martin Werner	21.12.15 13:45
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Seite 22: Gelöscht	Martin Werner	21.12.15 13:45
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Seite 22: Eingefügt	Martin Werner	21.12.15 14:31
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Seite 22: Gelöscht	Martin Werner	21.12.15 14:31
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Seite 22: Gelöscht	Martin Werner	21.12.15 14:31
198		
Seite 22: Eingefügt	Martin Werner	21.12.15 14:31
218		
Seite 22: Gelöscht	Martin Werner	21.12.15 14:32
80		
Seite 22: Eingefügt	Martin Werner	21.12.15 14:32
79		
Seite 22: Gelöscht	Martin Werner	16.12.15 15:32
3		
Seite 22: Eingefügt	Martin Werner	16.12.15 15:32
5		
Seite 22: Gelöscht	Martin Werner	16.12.15 15:32
5		
Seite 22: Eingefügt	Martin Werner	16.12.15 15:32
6		
Seite 22: Eingefügt	Martin Werner	21.12.15 14:36
4		
Seite 22: Gelöscht	Martin Werner	21.12.15 14:36
5		
Seite 23: Eingefügt	Martin Werner	09.12.15 16:56
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Seite 24: Eingefügt	Martin Werner	09.12.15 16:57
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Seite 24: Eingefügt	Martin Werner	09.12.15 16:57
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b

Seite 25: Eingefügt	Martin Werner	22.12.15 09:06
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As stated in Chapter 2, we assumed no glacial change of the mean deuterium excess signal in the glacial ocean. However, some recent data (Schrag et al., 2002) suggest a mean glacial dD increase of +7.2‰, which is slightly lower than the increase prescribed in our LGM simulation (+8‰). Such lower glacial dD increase would lead to a mean glacial change of the deuterium excess in ocean waters of -0.8‰. As a first-order estimate, such lowered deuterium excess signal in the ocean might lead to an equivalent lower deuterium excess value both in vapour above the ocean and, consequently, in precipitation, too.

Seite 25: Gelöscht	Martin Werner	15.12.15 10:56
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Seite 25: Eingefügt	Martin Werner	15.12.15 10:56
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Seite 26: Eingefügt	Martin Werner	11.12.15 14:19
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Seite 26: Eingefügt	Martin Werner	22.12.15 10:11
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Apart from glacial SST changes, changes in the source areas of water transported to Antarctica and Greenland, e.g. by a glacial change in sea ice coverage, might lead to the change in the deuterium excess signal in polar precipitation, too. The simulated sea ice coverage of the COSMOS LGM simulation has already been described in detail in Zhang et al. (2013) and our simulation results are comparable to this previous study. For the southern hemisphere, there is a reasonable agreement between the simulated sea ice concentration and proxy data by Gersonde et al. (2005), such as the austral winter sea ice extent in the Atlantic sector and the austral summer sea ice extent in the Indian ocean sector. However, the simulation might underestimate a larger extent of sporadic summer sea ice between 5°E and 5°W in the Southern Ocean, as discussed in Gersonde et al. (2005). As compared to the ECHAM5 experiment with GLAMAP data, a much-reduced sea ice cover in austral summer is found in this coupled ECHAM5/MPI-ESM LGM simulation. This reduction might lead to a stronger contribution of vapour stemming from regions between 60°-65°S to the Antarctic ice sheet. As vapour from these regions has a strong negative

deuterium excess signal (cf. Fig. 12) such shift in the source contributions might lead to a more negative deuterium excess signal in Antarctic precipitation, too.

Seite 27: Eingefügt **Martin Werner** **22.12.15 16:47**

These rather small variations of the LGM relative humidity changes are somewhat surprising, as cooler SST should lead to cooler air temperatures above the ocean surface, which then should lead to higher relative humidity levels (if the amount of water in the air stays constant). However, we find in our simulations that the air directly above the ocean surface cools slightly stronger during the LGM than the SST themselves. This leads to a reduced glacial evaporation flux from the ocean to the atmosphere, which decreases the relative humidity of the vapour and counterbalance the first effect. Similar small changes of relative humidity changes above the ocean surface and the counterbalance of different effects have recently been reported for a set of CMIP5 climate model results by Laîné et al. (2014). They have analysed a future warmer climate, though.

Seite 27: Eingefügt **Martin Werner** **22.12.15 13:29**

, the correlation between vapour dex and SST changes does not rule out other influencing factors, like wind speed changes, which might affect both the deuterium excess signal and SST changes, simultaneously. Furthermore,

Seite 27: Gelöscht **Martin Werner** **22.12.15 13:29**

Seite 29: Gelöscht **Martin Werner** **11.12.15 14:16**

CLIMAP

Seite 29: Eingefügt **Martin Werner** **11.12.15 14:16**

MARGO

Seite 31: Eingefügt **Martin Werner** **09.12.15 16:57**

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Seite 31: Eingefügt **Martin Werner** **09.12.15 16:55**

b

Seite 31: Gelöscht **Martin Werner** **17.12.15 12:24**

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Seite 31: Eingefügt **Martin Werner** **17.12.15 12:24**

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Seite 42: Eingefügt	Martin Werner	16.12.15 13:39
21 ice core records		
Seite 42: Gelöscht	Martin Werner	15.12.15 10:56
8 speleothem records		
Seite 42: Eingefügt	Martin Werner	15.12.15 10:56
8 speleothem records		
Seite 42: Gelöscht	Martin Werner	15.12.15 10:56
16 ice core records		
Seite 42: Gelöscht	Martin Werner	15.12.15 10:08
Background pattern:		
Seite 42: Eingefügt	Martin Werner	15.12.15 10:08
for the same regions (Atlantic Ocean: n = 5811, Pacific Ocean: n = 2985)		
Seite 42: Eingefügt	Martin Werner	15.12.15 10:08
in panel (c) and (d)		
Seite 42: Gelöscht	Martin Werner	22.12.15 16:55
For improved readability, only an arbitrary subset of 300 data entries from the complete available GISS data set (Atlantic Ocean: n = 5811, Pacific Ocean: n = 2985) is shown in each panel.		
Seite 43: Eingefügt	Martin Werner	16.12.15 13:37
in precipitation		
Seite 43: Eingefügt	Martin Werner	16.12.15 13:37
in precipitation		
Seite 43: Eingefügt	Martin Werner	16.12.15 13:38
(Table 1)		
Seite 43: Eingefügt	Martin Werner	16.12.15 13:38
in calcite of		
Seite 43: Gelöscht	Martin Werner	16.12.15 13:38
in		
Seite 43: Eingefügt	Martin Werner	16.12.15 13:38
(Table 2)		
Seite 44: Eingefügt	Martin Werner	09.12.15 16:57

b

b

Änderungen der Kopf- und Fußzeile

Textfeld-Änderungen

Änderungen an Textfeldern in der Kopf- und Fußzeile

Fußnoten-Änderungen

Endnoten-Änderungen

1 **Glacial-interglacial changes of H₂¹⁸O, HDO and Deuterium**
2 **Excess - results from the fully coupled Earth System Model**
3 **ECHAM5/MPI-OM**

4 **M. Werner¹, B. Haese^{1, 2}, X. Xu^{1, 3}, X. Zhang¹, M. Butzin¹, G. Lohmann¹**
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7 [1]{Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Sciences, Bremerhaven,
8 Germany}

9 [2]{Chair for Regional Climate and Hydrology, University of Augsburg, Germany}

10 [3]{Institute of Geosciences, Department of Geology, Kiel University, Germany}

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13 Correspondence to:

14 Martin Werner
15 Alfred Wegener Institute
16 Helmholtz Centre for Polar and Marine Research
17 Division Climate Science | Paleoclimate Dynamics
18 Bussestr. 24
19 D-27570 Bremerhaven
20 Germany
21 email: martin.werner@awi.de
22

23 **Abstract**

24 In this study we present first results of a new isotope-enabled general circulation model setup.
25 The model consists of a fully coupled atmosphere-ocean model ECHAM5/MPI-OM,
26 enhanced by the interactive land surface scheme JSBACH and an explicit hydrological
27 discharge scheme to close the global water budget. Stable water isotopes H_2^{18}O and HDO
28 have been incorporated into all relevant model components. Results of two equilibrium
29 simulations under pre-industrial and last glacial maximum conditions are analysed and
30 compared to observational data and paleoclimate records for evaluating the model's
31 performance of simulating spatial and temporal variations in the isotopic composition of the
32 Earth's water cycle. For the pre-industrial climate, many aspects of the simulation results of
33 meteoric waters are in good to very good agreement with both observations and earlier
34 atmosphere-only simulations. The model is capable of adequately simulating the large spread
35 in the isotopic composition of precipitation between low and high latitudes. A comparison to
36 available ocean data also shows a good model-data agreement, however a strong bias of too
37 depleted ocean surface waters is detected for the Arctic region. Simulation results under last
38 glacial maximum boundary conditions also fit to the wealth of available isotope records from
39 polar ice cores, speleothems, as well as marine calcite data. Data-model evaluation of the
40 isotopic composition in precipitation reveals a good match of the model results and indicates
41 that the temporal glacial-interglacial isotope-temperature relation was substantially lower than
42 the present spatial gradient for most mid- to high-litudinal regions. As compared to older
43 atmosphere-only simulations, a remarkable improvement is achieved for the modelling of the
44 deuterium excess signal in Antarctic ice cores. Our simulation results indicate that cool sub-
45 tropical and mid-litudinal sea surface temperatures are key for this progress. A recently
46 discussed revised interpretation of the deuterium excess record of Antarctic ice cores in terms
47 of marine relative humidity changes on glacial-interglacial timescales is not supported by our
48 model results.

49

50 1 Introduction

51 The water cycle is a key component of the Earth's climate system. Documenting and
52 understanding its past evolution is essential to test our ability to model its future changes.
53 Water stable isotopes (H_2^{18}O , HD^{16}O , and H_2^{17}O) are integrated tracers of climate processes
54 occurring in various branches of this cycle (Craig and Gordon, 1965; Dansgaard, 1964). They
55 have been successfully used to describe past climate changes for more than 30 years. For
56 example, water stable isotopes (hereafter expressed in a δ -notation as $\delta^{18}\text{O}$ and δD , with
57 respect to the Vienna Standard Mean Ocean Water standard V-SMOW, if not stated
58 otherwise) have been measured routinely over the past decades in polar ice cores (Jouzel,
59 2013) and more recently also in non-polar ice cores (Hoffmann et al., 2003; Thompson et al.,
60 1998). To a first order, $\delta^{18}\text{O}$ and δD in polar ice cores are used for past temperature
61 reconstructions over the past glacial-interglacial cycles (Jouzel et al., 2007; NEEM
62 community members, 2013). In addition to high-resolution temperature records, the
63 combination of water isotopic ratios permits to have a tracer of the low latitudes in polar ice
64 cores ([e.g., Stenni et al., 2010; Vimeux et al., 1999](#)). For other (sub-)tropical isotope archives,
65 e.g. speleothems, some studies have suggested are indicating that the amount of precipitation
66 could be mainly responsible for determining the water isotope concentration (Fleitmann et al.,
67 2003; Wang et al., 2001) – this is called the amount effect (Dansgaard, 1964; Rozanski et al.,
68 1992). Furthermore, in these regions $\delta^{18}\text{O}$ and δD might also reflect convective activity along
69 moisture trajectory (Vimeux et al., 2005; Yao et al., ~~2013~~2012), [changes to regional moisture](#)
70 [sources and the intensity or provenance of atmospheric transport pathways \(LeGrande and](#)
71 [Schmidt, 2009; Dayem et al., 2010; Lewis et al., 2010; Maher and Thompson, 2012; Caley et](#)
72 [al., 2014a; Tan, 2014\)](#). High resolution and well-dated records of $\delta^{18}\text{O}$ of calcite in tropical
73 speleothems in Asia or South America have therefore been interpreted in terms of past
74 monsoon dynamics (Cruz et al., 2005; Wang et al., 2008). Analogously to continental
75 speleothem archives, the seawater oxygen isotope concentration ($\delta^{18}\text{O}_{\text{oce}}$) is conserved in
76 carbonates ($\delta^{18}\text{O}_{\text{c}}$) from corals, foraminifers, and other marine species. Here, temperature
77 during calcite formation and the isotopic composition of the seawater $\delta^{18}\text{O}_{\text{c}}$ are both the key
78 factors controlling $\delta^{18}\text{O}_{\text{c}}$ (Shackleton, 1974). Thus, carbonate isotope records from ocean
79 sediment cores are fundamental records to access the water mass changes in a different
80 climate. A considerable body of literature shows that they allow [the reconstruction of](#)
81 ~~reconstructing~~ the three-dimensional structure of the ocean when the number of records is
82 sufficient (Caley et al., 2014**b**; Roche et al., 2014).

83 As a second order isotope effect, the deuterium excess – defined as $\text{dex} = \delta\text{D} - 8 * \delta^{18}\text{O}$ – is a
84 quantity, which primarily depends on climatic conditions during evaporative processes
85 (Dansgaard, 1964). According to Merlivat and Jouzel (1979), key parameters that influence
86 the dex signal of the evaporation flux from ocean surface are both relative humidity above the
87 ocean surface as well as water temperature during evaporation. For many years, it has been
88 assumed that relative humidity is remaining almost constant during climate changes and the
89 dex signal of polar ice cores has been used to infer past sea surface temperature changes
90 (Jouzel and Merlivat, 1984; Masson-Delmotte et al., 2005; Steen-Larsen et al., 2014b; Stenni
91 et al., 2001; Vimeux et al., 1999). Recently, Pfahl and Sodemann (2014) have challenged this
92 assumption by arguing that moisture source relative humidity, and not sea surface
93 temperature, is the main driver of dex variability, at least on the present-day seasonal
94 timescale. Their findings are based on the use of an empirical relation between dex and
95 relative humidity together with ERA-Interim reanalysis data (Dee et al., 2011) to globally
96 predict dex values of evaporation fluxes over the ocean. Their results are partly supported by
97 recent monitoring studies of water vapour isotopic composition, which have demonstrated a
98 strong imprint of source humidity in the North Atlantic on the high deuterium excess of
99 Arctic water vapour (Bonne et al., 2014; Steen-Larsen et al., 2014b; 2013).

100 However, while direct or indirect records of water isotopes in natural archives provide key
101 documentation of past climate variations, their quantitative translation to climate variables
102 such as temperature or precipitation amount still remains uncertain in many cases. Since the
103 beginning, the interpretation of isotopic time series has been almost entirely based on a
104 modern analogue approach. It is assumed that the observed spatial or seasonal relationship
105 between isotopes and surface temperatures, precipitation amount, or salinity provides a
106 calibration, which is also valid for different climates of the past. This hypothesis was
107 originally supported by the close relationship observed between modern annual mean
108 precipitation isotope values and local annual mean temperature, precipitation amounts, or
109 salinity, and for the atmosphere quantitatively it is consistent with a Rayleigh distillation
110 process. However, this hypothesis is increasingly challenged (i) by new present-day
111 observations, (ii) by alternative paleothermometry methods showing changing relationships
112 for past periods (Buizert et al., 2014; Jouzel, 1999). This calls for a revised understanding of
113 the interpretation of water stable isotopes, including second-order parameters such as
114 deuterium excess, and their relationships with climatic conditions influencing the isotope
115 signal.

116 One key tool for such an improved understanding of water isotopes in the Earth's
117 hydrological cycle are atmospheric and oceanic general circulation models (GCM) with an
118 explicit diagnostics of stable water isotopes. During the last three decades, several such
119 isotope-enabled GCM have been built. Such models provide a mechanistic understanding of
120 the physical processes influencing the isotopic composition of different water bodies in the
121 climate system. They allow the explicit simulation of isotopic fractionation processes during
122 any phase changes of a water mass within the model's hydrological cycle, e.g. during
123 evaporation of water from land or ocean surface, cloud droplet formation, and re-evaporation
124 of droplet water below cloud base. In such a isotope-enabled GCM setup, all relevant factors
125 determining the strength and variability of isotopic fractionation are known.

126 The early implementations of water stable isotopes in atmospheric models (Hoffmann et al.,
127 1998; Joussaume et al., 1984; Jouzel et al., 1987) have already shown their potential in
128 explaining fundamental physical hydroclimate relationships. Since then, considerable
129 progress has been made in simulating stable water isotopes in climate models, as the climate
130 models have evolved themselves (Risi et al., 2010a; Werner et al., 2011). Using atmospheric
131 models, water stable isotopes have been used for a considerable range of applications at small
132 spatial and temporal scales such as investigating the link between water stable isotopes and
133 decadal variability (Kurita et al., 2011) or to analyse mixing processes within rain events (Lee
134 et al., 2009; Risi et al., 2010b). Many of these atmospheric GCM include at least two stable
135 water isotopes (oxygen-18 and deuterium). With the improvements of the atmospheric GCM
136 in simulating present-day water isotopic content, part of the interest has lately shifted to
137 second order content such as deuterium excess and ^{17}O excess that can provide further
138 | constraints on the water cycle but remain challenging (Risi et al., 2010a; [Risi et al., 2013](#);
139 Werner et al., 2011). Besides building atmospheric isotope-enabled GCM, several
140 international groups have also worked on the inclusion of the water isotopes in oceanic GCM.
141 Here, the water isotopic content is a passive tracer once the surface oceanic conditions are
142 determined through the water balance with the atmosphere and the additional fractionation
143 during sea-ice formation and melting. Attempts in oceanic-only GCM have proven useful to
144 challenge the link between oceanic water isotopic content and salinity (Delaygue et al., 2000;
145 Paul et al., 1999; Schmidt, 1998), a subject of considerable interest in paleoceanography.

146 In general, simulating evolving climate conditions requires using self-contained climate
147 models as much as possible, to avoid prescribing unnecessary or unknown boundary

148 conditions. In particular for past climates applications, it is necessary to simulated stable
149 water isotopes in the full water cycle system, not only in its atmospheric part. As compared to
150 an atmosphere-only or ocean-only setup, a fully coupled model with an explicit stable water
151 isotope diagnostics will be physically much more consistent regarding relevant fractionation
152 processes during ocean-atmosphere interactions. For past climates, such a coupled isotope
153 model can also generate isotopic compositions in various water reservoirs (e.g. a deuterium
154 excess distribution in ocean surface waters) that are unavailable from proxy data but required
155 as prescribed boundary conditions for uncoupled atmosphere and ocean simulations. So far
156 however, few studies have used fully coupled isotope-enabled climate general circulation
157 models to address questions related to the water cycle. Schmidt et al. (2007) incorporated
158 water isotopes within the water cycle of the Goddard Institute for Space Studies (GISS)
159 coupled ocean-atmosphere model (ModelE). In several multi-centennial simulations, they
160 examined the internal variability and the simulated changes due to orbital and greenhouse gas
161 forcing. Their study was restricted to the modern (preindustrial) and mid-Holocene (6kyrs
162 B.P.) climates. LeGrande et al. (2009) expanded these analyses by performing eight Holocene
163 time slice simulations, each ~1000 years apart. Lewis et al. (2010) used the same GISS-E
164 model for simulating the consequences of a large freshwater input into the North Atlantic as
165 an idealized analogue to iceberg discharge during Heinrich events. As a second fully coupled
166 GCM, the HadCM3 model, has been enhanced by a stable water isotope diagnostics module
167 by Tindall et al. (2009) for analyses of the present-day isotopic signature of El Niño–
168 Southern Oscillation and the tropical amount effect. Besides these two fully coupled isotope-
169 enabled GCM, there have also been some efforts in including water stable isotopes in the
170 hydrological cycle of Earth System Models of Intermediate Complexity (EMICs) by Roche et
171 al. (2004), Brennan et al. (2012), as well as Roche and Caley (2013). These isotope-enabled
172 EMICs can be classified as an alternative tool to test ideas, explore large periods of time in a
173 transient mode and guide much more computationally demanding simulations with fully
174 coupled GCM.

175 The Paleoclimate Modeling Intercomparison Project (PMIP, <http://pmip3.lsce.ipsl.fr>) has
176 chosen the Last Glacial Maximum (LGM) climate as one of the target periods for the
177 evaluation of GCM modelling results. The LGM climate is not only very different from the
178 present and/or pre-industrial climate, but this latest glacial epoch offers also a wealth of
179 terrestrial, marine, and ice core proxy data for an in-depth model-data comparison. As many
180 of these data sets are based on water stable isotopes (e.g., speleothem data, marine calcite

181 data, ice core records) several studies with isotope-enabled GCM have also chosen the LGM
182 as a key period for an evaluation of modelled $\delta^{18}\text{O}$ and δD values with different proxy data
183 (Jouzel et al., 2000; Lee et al., 2008; Lewis et al., 2013; Risi et al., 2010a).

184 Here we present first results of a newly developed isotope-enhanced version of the fully
185 coupled GCM ECHAM5/MPI-OM. The model amalgamates our previous efforts to include
186 stable water isotope diagnostics within the atmosphere GCM ECHAM5 (Werner et al., 2011),
187 the land surface scheme JSBACH (Haese et al., 2013), as well as the ocean GCM MPI-OM
188 (Xu et al., 2012). Our following analysis and presentation of simulation results focus on the
189 following questions: (a) How well does this fully-coupled Earth System Model simulate first-
190 order isotopic variations ($\delta^{18}\text{O}$, δD) within different parts of the Earth's water cycle under pre-
191 industrial and LGM, ~~defined as the period 23,000–19,000yrs before present,~~ boundary
192 conditions? (b) Do the model results indicate substantial changes of the temperature-isotope
193 relation of meteoric water? (c) Are simulated spatial and temporal variations of the deuterium
194 excess in precipitation, a second-order isotope effect, also in agreement with available
195 observations and paleoproxy data? (d) If so, how are these variations of deuterium excess
196 related to past changes of evaporation processes?

197 **2 Model components and simulation setup**

198 **2.1 Model components**

199 In this study we use the Earth System Model ECHAM5/MPIOM, formerly also named as
200 Community Earth System Model COSMOS. It is a fully coupled ocean-atmosphere-sea ice-
201 land surface model (Jungclaus et al., 2006), which has now been enhanced by stable water
202 diagnostics in all relevant model components. Previous studies with the standard (non-
203 isotope) version of COSMOS have applied and evaluated this model, among others, for pre-
204 industrial (Wei et al., 2012), glacial and interglacial climate states (Zhang et al., 2014; 2013),
205 the Holocene (Wei and Lohmann, 2012) and Cenozoic climate change (Knorr et al., 2011;
206 Stepanek and Lohmann, 2012).

207 During the recent years, all key model components (ECHAM5, MPI-OM, JSBACH) have
208 been equipped with a diagnostic module to explicitly simulate both H_2^{18}O and HDO within
209 the different parts of the hydrological cycle. Here, we give just a brief summary of key model

210 components and isotope implementation within them and refer to previous publications for
211 details.

212 The atmosphere component of our model setup is the ECHAM5 atmosphere GCM, which has
213 been mainly built at the Max Planck Institute for Meteorology, Hamburg. The model has a
214 spectral, dynamical core, which is constrained by the equations of state describing the
215 conservation of mass, energy, and momentum. Further model constraints are set by the
216 continuity equation, a prediction equation for the surface pressure, as well as the hydrostatic
217 equation (Roeckner et al., 2003). The water cycle in ECHAM5 contains formulations for
218 evapotranspiration of terrestrial water, evaporation of ocean water, and the formation of large-
219 scale and convective clouds. Within the atmosphere's advection scheme, vapour, liquid and
220 frozen water are transported independently. A detailed model description is given in Roeckner
221 et al. (2003; 2006). Stable water isotopes have been implemented into ECHAM5 in an
222 analogous manner to previous ECHAM model releases (Hoffmann et al., 1998; Werner and
223 Heimann, 2002). The isotope module in ECHAM5 computes the isotopic signal of different
224 water masses within the entire water cycle. Details of the implementation have been reported
225 in Werner et al. (2011). In the atmosphere-ocean coupled setup, ECHAM5 provides the
226 required freshwater flux (P-E) and its isotopic composition for all ocean grid cells to the
227 ocean model MPI-OM.

228 Within the ECHAM5 model setup used in this study, the JSBACH land surface model
229 calculates the boundary conditions for ECHAM5 over terrestrial areas. This includes the
230 exchange of water, energy, and momentum between the land surface and the atmosphere
231 (Raddatz et al., 2007). JSBACH divides each land surface grid cell into 8 tiles covered by
232 different plant functional types and bare soil. The simulated dynamical vegetation changes are
233 controlled by the processes of natural growing and mortality, as well as disturbance mortality
234 (e.g., wind, fire). Details of this approach are described in Brovkin et al. (2009). The water
235 isotopes H_2^{18}O and HDO are almost passive tracers in the JSBACH model. No fractionation
236 of the isotopes is assumed during most physical processes partitioning water masses on the
237 land surface (e.g., snow melt, formation of surface water runoff and drainage; see Haese et al.,
238 2013 for details). For evapotranspiration, fractionation of isotopes might occur during
239 evaporation of water from bare soils. However, the strength of this fractionation remains an
240 open question. In accordance with the results of Haese et al. (2013), we assume in this study
241 that we can ignore any possible fractionation during evapotranspiration processes from

242 terrestrial areas, as our analyses will focus primarily on the isotopic composition of
243 precipitation. This choice might add a small bias to the isotopic composition of terrestrial
244 surface water pools and the discharge of terrestrial net precipitation (P–E) towards the oceans.
245 Furthermore, it might be relevant for paleoclimate records, where the isotope signal reflects
246 changes in the soil water (e.g., speleothems, ancient groundwater), as a potential fractionation
247 during evapotranspiration processes might lead to substantial changes in the $\delta^{18}\text{O}$ and
248 deuterium excess signal of soil water (Haese et al., 2013). However, it remains an open
249 question if such changes would also affect the simulated glacial anomalies ($\Delta_{\text{LGM-PI}} \delta^{18}\text{O}$,
250 $\Delta_{\text{LGM-PI}} \text{dex}$), or simply lead to an equivalent strong change of $\delta^{18}\text{O}$ and deuterium excess for
251 both the PI and LGM simulations (without any glacial change).

252 In the used coupled model setup, terrestrial water discharge to the ocean is calculated by the
253 so-called Hydrological Discharge scheme (HD scheme; Hagemann and Gates, 2003).
254 Modelled discharge is calculated with respect to the slope of the topography. For the
255 simulated total river runoff it is assumed that the global water cycle is closed, i.e., all net
256 precipitation (P-E) over terrestrial areas is transported to the ocean. However, lakes are absent
257 in the HD scheme. This may lead to minor errors in the magnitude and location of the
258 modelled river runoff compared to observations. As the ECHAM5/MPI-OM coupled model
259 setup does not include a dynamic ice sheet model, precipitation amounts falling on glaciers
260 are instantaneously put as runoff into to the nearest ocean grid cell for closing the global
261 water budget. Independent of the chosen spatial ECHAM5 model resolution, the HD scheme
262 is always implemented on a fine horizontal $0.5^\circ \times 0.5^\circ$ degree grid and allows simulating water
263 mass flows of the major river systems of the Earth. Stable water isotopes H_2^{18}O and HDO are
264 incorporated as passive tracers within the HD scheme.

265 The ocean component of our model setup consists of the general circulation model MPIOM
266 (Marsland et al., 2003), which is employed on a curvilinear Arakawa-C grid. The used
267 MPIOM setup has a free surface and contains subgrid-scale parameterizations for convection,
268 vertical and isopycnal diffusivity, horizontal and vertical viscosity, as well as for the bottom
269 boundary layer flow across steep topography. Sea ice is simulated by a viscous-plastic
270 rheology model (Hibler, 1979). It considers thermodynamic sea ice melt and growth, and also
271 a thermohaline coupling by brine rejection. Stable water isotopes Within MPI-OM, H_2^{18}O and
272 HDO are treated as passive tracers. They are fully mixed and advected within the model, and
273 their total mass is conserved. Isotopic variations occur mainly due to temperature-dependent

274 isotope fractionation during evaporation, as well as by advection and mixing of different
275 water masses. Changes of the oceanic water masses by terrestrial freshwater fluxes entering
276 the ocean are included in the model setup, too. For the process of sea ice formation from
277 liquid waters, the isotopic composition of sea ice is calculated by a liquid to ice equilibrium
278 fractionation factor of 1.003, which is the average from various estimates (Craig and Gordon,
279 1965; Lehmann and Siegenthaler, 1991; Macdonald et al., 1995; Majoube, 1971). Due to the
280 very low rate of isotopic diffusion in sea ice, we assume no fractionation during sea ice
281 melting. In the atmosphere-ocean coupled setup, MPI-OM provides the isotope composition
282 of sea surface water and sea ice as a temporally varying boundary condition to the atmosphere
283 model ECHAM5.

284 Within ECHAM5/MPI-OM, atmosphere and ocean are coupled via the Ocean-Atmosphere-
285 Sea Ice-Soil OASIS3 coupler (Valcke et al., 2003). Mass, energy, and momentum fluxes, as
286 well as the related isotope masses of H₂¹⁸O and HDO, are exchanged between the atmosphere
287 and ocean once per day. The coupling is described in detail in Jungclaus et al. (2006).

288 **2.2 Simulation setup**

289 We have used the following simulation setup for all simulation results presented in this study:
290 The atmospheric component ECHAM5 runs at a horizontal resolution of approx. 3.75°×3.75°
291 with 19 vertical levels between surface and 10hPa (T31L19 resolution). The same horizontal
292 resolution is applied for the land surface scheme JSBACH. The ocean model MPI-OM has a
293 formal horizontal resolution of approx. 3°×1.8° and 40 uneven vertical layers on z-levels. The
294 used MPI-OM model setup has a bipolar orthogonal spherical coordinate system, where the
295 poles are placed over Greenland and Antarctica, respectively. Placing one pole over
296 Greenland avoids a grid singularity in the Arctic Ocean. Furthermore, it ensures a high
297 horizontal grid resolution in the deep-water formation regions of the northern North Atlantic
298 Ocean and the Arctic.

299 Two different simulations were performed, one for the pre-industrial and one for the LGM
300 climate. We briefly describe here these experimental setups: For the pre-industrial (PI)
301 climate, ECHAM5/MPI-OM has been continued from a PI simulation without isotopes
302 included, which has been in run into equilibrium over several thousand years (Wei et al.,
303 2012; Zhang et al., 2013) using identical PI boundary conditions. At model start, isotope
304 values in the atmosphere have been set to constant values ($\delta^{18}\text{O}$: -10‰, δD : -80‰), while the

305 oceanic isotope distribution has been taken from an equilibrium run over 3,000 years with the
306 MPI-OM-wiso ocean model (Xu, 2012) with global mean $\delta^{18}\text{O}$ and δD values of 0‰, each
307 (Baertschi, 1976; de Wit et al., 1980). The fully coupled ECHAM5/MPI-OM model with
308 included isotope diagnostics has then been run under PI boundary conditions (orbital forcing,
309 greenhouse gas concentrations, ocean bathymetry, land surface and ice sheet topography) for
310 another 1,5400 years. For the LGM simulation, we impose orbital forcing and greenhouse gas
311 concentrations ($\text{CO}_2 = 185$ ppm; $\text{N}_2\text{O} = 200$ ppb; $\text{CH}_4 = 350$ ppb) as well as surface boundary
312 conditions (terrestrial topography, ocean bathymetry, runoff routes according ice sheet
313 reconstruction) in accordance with the PMIP3 protocol (<http://pmip3.lsce.ipsl.fr/>). An
314 increased global salinity (1 PSU added compared to modern values) accounts for a LGM sea
315 level drop of approx. 116m. Again, the isotope-enabled version of ECHAM5/MPI-OM has
316 been restarted from an already equilibrated simulation without isotopes (Zhang et al., 2013).
317 The initial LGM oceanic H_2^{18}O and HDO distribution has been taken from a 3,000yrs long
318 MPI-OM-wiso integration under LGM boundary conditions (Xu, 2012) with a prescribed
319 glacial increase of $\delta^{18}\text{O}$ of +1‰. As in previous uncoupled studies (e.g. Risi et al., 2010;
320 Werner et al., 2001) we assume no glacial change of the mean deuterium excess in the ocean,
321 which implies a glacial change of δD of +8‰. ~~(δD : +8‰).~~ The fully coupled
322 ECHAM5/MPI-OM model with included isotope diagnostics has then been run for another
323 1,5300yrs.

324 At the end of the PI and LGM simulation period, none of the two runs shows any trend in the
325 isotopic composition of ocean surface waters, and $\delta^{18}\text{O}$ (δD) trends in deep ocean waters at
326 2200m are smaller than 0.005‰/100yrs (0.05‰/100yrs). Thus, we rate both simulations as
327 equilibrated and consider the last 100 model years for our analyses.

328 If not stated otherwise, all reported δ values of meteoric waters (precipitation, evaporation) in
329 this study are calculated as precipitation (or evaporation)-weighted averages with respect to
330 the V-SMOW scale. The δ -values of ocean waters are calculated as arithmetic averages with
331 respect to the V-SMOW scale.

332 **3 Observational data**

333 **3.1 GNIP and GISS database**

334 The Global Network of Isotopes in Precipitation (GNIP) was initiated in 1958 by IAEA and
335 WMO, and became operational in 1961 (IAEA/WMO, 2010). Since then, monthly samples of
336 H_2^{18}O and HDO in precipitation have been sampled at more than 900 stations from more than
337 100 different countries. While several stations have continuously collected samples for two or
338 more decades (e.g., GNIP stations in Krakow, Ottawa, Reykjavik, and Vienna), many other
339 GNIP stations have been in operation for a much shorter period, only. Here, we use a subset
340 of 70 stations from the GNIP database, where surface temperature, precipitation, $\delta^{18}\text{O}$, and δD
341 have been reported for a minimum of 5 calendar years, any time within the period 1961 to
342 2007.

343 The GISS global seawater oxygen-18 database (Schmidt et al., 1999) is a collection of over
344 26,000 seawater O-18 values made since about 1950. Partial versions of this database already
345 appeared in Schmidt (1999) and Bigg and Rohling (2000). From this database we are using
346 only values with no applied correction (see Schmidt et al., 1999 for details of the applied
347 corrections). It is important to note that, in contrast to GNIP $\delta^{18}\text{O}$ values of precipitation,
348 GISS $\delta^{18}\text{O}$ values in ocean water do not represent annual mean values, but are typically
349 measured from a sample taken during an arbitrary day of the year. Therefore, we compare in
350 this study the GISS data not to simulated annual mean isotope values in ocean waters, but to
351 the long-term mean monthly value of the specific month, when a GISS $\delta^{18}\text{O}$ value was
352 reported.

353 **3.2 Ice core data**

354 In the late 1960s Dansgaard (1969), Lorius (1979) and others started their pioneering work of
355 analysing polar ice cores for climate research. Since then, the isotopic composition of more
356 than a dozen deep ice cores both from Greenland and Antarctica has been measured. In
357 parallel, alpine ice cores from (sub)tropical regions of South America (Hoffmann et al., 2003;
358 Thompson et al., 1995), Africa (Thompson et al., 2002), and the Tibetan Plateau (Thompson
359 et al., 1989; Tian et al., 2003; Yao et al., 2012) have been drilled and analysed during the last
360 decades, too. In this study we use a subset of 6 Greenland, 10 Antarctic, and 5 (sub)tropical
361 ice cores to compare the measured $\delta^{18}\text{O}$ and δD values for the pre-industrial climate and the

362 LGM with our simulation results. For the different ice core records, we take the minimum
363 $\delta^{18}\text{O}$ (δD , dex) value of the time interval 19,000 to 23,000 B.P. as a representative mean LGM
364 $\delta^{18}\text{O}$ (δD , dex) value. The ice core data used in this study ~~is~~ are summarized in Table 1.

365 3.3 Speleothem calcite data

366 Recently, Shah et al. (2013) have published a global synthesis of speleothem $\delta^{18}\text{O}$ records
367 spanning the period from the LGM until present, which consists of data from 60 speleothems
368 of 36 different sites. From this compilation we have selected a subset of 8 speleothem records
369 (Table 2), where 1,000yrs-averaged $\delta^{18}\text{O}$ values calculated by Shah et al. are available for
370 both the LGM (defined here as period 19,000 to 22,000yrs B.P.) and the most recent 1,000yrs
371 B.P. We use the latter as representative mean PI $\delta^{18}\text{O}$ values at the different locations. We are
372 aware that during the last 1,000 years B.P. the climate at a specific speleothem site might
373 have been variable and different from the pre-industrial climate of our ECHAM5/MPI-OM
374 simulation, which could lead to a bias in the model-data comparison. We are also aware that
375 drip water in a cave, which isotopic composition is archived in a speleothem record, might be
376 seasonally biased due to re-evaporation of the precipitated water (Wackerbarth et al., 2010).
377 Furthermore, for many speleothems an additional fractionation between the drip water and the
378 formed calcite can be observed (Dreybrodt and Scholz, 2011). Thus, necessary caution will be
379 taken for the comparison of model results of $\delta^{18}\text{O}$ in precipitation with the selected
380 speleothem data.

381 All listed $\delta^{18}\text{O}$ data in Table 2 are measured isotope values in carbonate and refer to the Pee
382 Dee Belemnite (PDB) standard. For comparison with model results, ~~we~~ $\delta^{18}\text{O}$ values in calcite
383 are converted between the PDB and SMOW scale as the following (Coplen, 1983; Sharp
384 2007):

$$385 \quad \delta^{18}\text{O}_{\text{c(PDB)}} = 0.97002 * \delta^{18}\text{O}_{\text{c(SMOW)}} - 29.98$$

386 For an estimation of $\delta^{18}\text{O}$ in the drip water we apply a formula linking $\delta^{18}\text{O}$ in water and $\delta^{18}\text{O}$
387 in speleothem calcite, derived by Kim and O'Neil (1997) for synthetic calcite:

$$388 \quad \delta^{18}\text{O}_{\text{c(SMOW)}} = \delta^{18}\text{O}_{\text{water(SMOW)}} + 18.03 * (1000/T) - 32.1742 + 0.27$$

389 with T being the temperature (in Kelvin) during calcite formation. As mentioned above, we
390 further assume that the $\delta^{18}\text{O}$ values in drip water, calculate in such way, are a reliable proxy
391 for the annual mean $\delta^{18}\text{O}$ in precipitation falling at the cave site and can thus be directly

392 | ~~compared to our model results. Conversion between $\delta^{18}\text{O}$ values on PDB and SMOW scale is~~
393 | ~~calculated as suggested by Coplen (1988):~~
394 | ~~$\delta^{18}\text{O}_{\text{PDB}} = 0.97002 * \delta^{18}\text{O}_{\text{SMOW}} - 29.98$~~

395 | **3.4 Marine calcite data**

396 | Caley et al. (2014**b**) have recently compiled and published a marine calcite $\delta^{18}\text{O}$ data set from
397 | 114 (115) pairs of deep-sea cores, which contain both LGM and late Holocene planktic
398 | (benthic) foraminifera $\delta^{18}\text{O}$ data. In their study they report $\delta^{18}\text{O}$ anomalies as the change
399 | between mean $\delta^{18}\text{O}$ values of the period 19,000 to 23,000yrs B.P. and over the last 3,000
400 | years of each record. The MARGO project definition has been used to assure
401 | chronostratigraphic quality of the selected data (Kucera et al., 2005). Planktic foraminifera
402 | data have been mainly measured in the following species: *Globigerinoides sacculifer*,
403 | *Globigerinoides ruber pink* and *white*, *Neogloboquadrina pachyderma sinistral*, and
404 | *Globigerina bulloides*. Benthic foraminifera data includes, among others, *Cibicidoides*
405 | *wuellerstorfi*, *Cibicidoides pachyderma*, and *Cibicidoides peregrina*. For a more detailed
406 | description of this data set we refer to Caley et al. (2014**b**).

407 | According to Shackleton (1974) the $\delta^{18}\text{O}_c$ signal in calcite shells of planktic and benthic
408 | foraminifera can be interpreted by the following expression relating temperature to the
409 | equilibrium fractionation of inorganic calcite precipitation around 16.9°C:

410 |
$$T = 16.9 - 4.38 * (\delta^{18}\text{O}_{c(\text{PDB})} - \delta^{18}\text{O}_{\text{occe}(\text{SMOWPDB})}) + 0.1 * (\delta^{18}\text{O}_{c(\text{PDB})} - \delta^{18}\text{O}_{\text{occe}(\text{SMOWPDB})})^2$$

411 | with T being the temperature during calcite formation, $\delta^{18}\text{O}_{c(\text{PDB})}$ the isotopic composition of
412 | calcite on the PDB scale, and $\delta^{18}\text{O}_{\text{occe}(\text{SMOW})}$ the isotopic composition of seawater on the
413 | SMOW scale. The conversion between the PDB and SMOW isotope scales (can be expressed
414 | as $\delta^{18}\text{O}_{\text{occe}(\text{PDB})} = \delta^{18}\text{O}_{\text{occe}(\text{VSMOW})} - 0.27$ (Hut, 1987).

415 | **4 Results and discussion**

416 | **4.1 Present-day model evaluation**

417 | **4.1.1 Isotopes in precipitation**

418 | Figure 1a shows the global distribution of annual mean $\delta^{18}\text{O}$ values in precipitation ($\delta^{18}\text{O}_p$) as
419 | simulated by the ECHAM5/MPI-OM model with isotope diagnostics included. As for a

420 comparable simulation with the atmosphere-only model ECHAM5-wiso (Werner et al.,
421 2011), all major characteristics of the global H₂¹⁸O distribution in precipitation as previously
422 reported by Dansgaard (1964) can be found in the global map of δ¹⁸O_p. In general, depletion
423 of δ¹⁸O_p is in mid- to high-latitude regions as compared to values in the low latitudes
424 (temperature effect). Strongest depletion of δ¹⁸O_p (down to -54‰) occurs over the polar ice
425 sheets of Antarctica and Greenland. A longitudinal gradient of isotopic depletion in
426 precipitation is simulated from the Atlantic Ocean towards Europe and Eurasia and towards
427 eastern North America (continental effect). Strongly depleted δ¹⁸O_p values are also found over
428 alpine mountain regions like the Andes and the Tibetan Plateau (altitude effect).

429 For a more quantitative evaluation of the model results, we compare the simulated annual
430 mean δ¹⁸O_p values with observational data from the selected 70 GNIP stations, 21 ice cores,
431 and 8 speleothems (Chapter 3). To convert the reported speleothem PI values of δ¹⁸O_c in
432 calcite (Table 2) to δ¹⁸O_p in precipitated water, we apply the formulae given in section 3.3.
433 For the required site temperatures, we have interpolated annual mean ERA40 soil
434 temperatures (layer #1, mean of the period 1961-1990) to the different speleothem sites. We
435 find that the modeled δ¹⁸O_p values are in good agreement with the ~~GNIP-observational~~ data,
436 with a linear correlation coefficient r² of 0.97, and a root mean square error (RMSE) of 3.0‰
437 between measured and modelled δ¹⁸O_p values (Fig. 1b). For an evaluation of the modelled
438 temperature effect (Fig. 1c) we focus on the ~~67-71~~ data sets in mid- to high-latitudinal regions
439 with a annual mean temperature value below 20°C. The modelled global δ¹⁸O -T-gradient
440 (0.58‰/°C; r² = 0.96) is close to the observed gradient (0.66‰/°C; r² = 0.95), with main
441 deviations caused by an underestimation of depletion for cold regions with mean temperatures
442 below -20°C. This result is similar to the findings for the ECHAM5-wiso atmosphere model,
443 and the deviations can partly be explained by the coarse T31L19 model resolution (Werner et
444 al., 2011). Similar distributions of δ¹⁸O and δD in precipitation have been reported for several
445 atmosphere-only and fully coupled GCM during the last years (e.g., Lee et al., 2007; Risi et
446 al., 2010a; Schmidt et al., 2007; Tindall et al., 2009). While all these models show a
447 reasonable resemblance to GNIP observations for the large-scale patterns in low- and mid-
448 latitudinal regions, some models have difficulties to correctly simulate the very low
449 temperatures and strong isotope depletions over the Antarctic ice sheet (e.g., Lee et al., 2007).

450 **4.1.2 Isotopes in ocean waters**

451 In Fig. 2a, the simulated annual mean $\delta^{18}\text{O}_{\text{oce}}$ signal in ocean surface waters (mean over the
452 depth interval between surface and 10m) are plotted. Mean values in the tropical to mid-
453 latitudinal oceans range between +0.05‰ to +1.2‰, with a tendency to higher values in the
454 Atlantic Ocean as compared to the Pacific and Indian Ocean. This relative enrichment can be
455 explained by a net freshwater export of Atlantic Ocean water, which is transported westwards
456 to the Pacific (Broecker et al., 1990; Lohmann, 2003; Zaucker and Broecker, 1992). The
457 highest enrichment in the Atlantic Ocean is found south of Bermuda Island with surface water
458 $\delta^{18}\text{O}_{\text{oce}}$ values of up to +1.3‰. Other, more localized regions of surface water $\delta^{18}\text{O}_{\text{oce}}$
459 enrichment with a similar order of magnitude are the Mediterranean Sea, the Black Sea, as
460 well as the Red Sea. Again, this enrichment is most likely caused by a regional surplus of
461 evaporation versus precipitation in these three regions. Stronger than average depletion of
462 $\delta^{18}\text{O}_{\text{oce}}$ surface waters is simulated for both high-latitude ocean regions. While surface
463 waters in the Southern Ocean between 50°S-75°S show a depletion of down to -0.8‰,
464 modelled surface waters in the Arctic Ocean are depleted by down to -1.6‰. This depletion is
465 most likely caused by two effects: (a) the implemented fractionation during sea ice formation
466 which leads to an enrichment (depletion) of the isotopes in sea ice (the remaining liquid
467 water); (b) the inflow of highly depleted water masses of Arctic rivers in combination with a
468 strong stratification of the simulated Arctic Ocean water masses (see below).

469 For a quantitative evaluation of the model results, we compare the simulated values to 3859
470 $\delta^{18}\text{O}$ entries of the selected GISS data (Chapter 3.1), which represent surface ocean water
471 values between surface and 10m depth. On a global scale, the simulated $\delta^{18}\text{O}_{\text{oce}}$ values agree
472 quite well within a range of $\pm 0.25\%$ with the GISS values (Fig. 2b). Strongest model-data
473 deviations are found in the following regions: (a) In the vicinity of several large river
474 estuaries the model results reveal too high $\delta^{18}\text{O}_{\text{oce}}$ values (e.g., at the Amazon and Ganges
475 river mouths); (b) the model also overestimates $\delta^{18}\text{O}_{\text{oce}}$ in surface water in the Baltic Sea as
476 well as in the Black Sea; (c) for the Arctic Ocean region the comparison yields mixed results:
477 While the MPI-OM model tends to overestimate $\delta^{18}\text{O}_{\text{oce}}$ in ocean surface waters in some
478 regions by more than +2‰ (e.g. the eastern coast of Greenland, and in the Beaufort Sea north
479 of Alaska), in most other Arctic regions the model results are lower by more than -2‰ than
480 the GISS observations (e.g., in the Hudson Bay area, and the Barents Sea, the Kara Sea, as
481 well as the Laptev Sea).

482 A separation of the model-data comparison into Atlantic, Pacific, Indian, and Arctic Ocean,
483 does not show any systematic deviations between modelled $\delta^{18}\text{O}_{\text{oce}}$ values and the GISS data
484 for the first three oceans (Fig. 3). We find strong correlations between modelled values and
485 the GISS data as well as a RMSE below 1‰ for all 3 oceans (Atlantic: $n = 458$, $r^2 = 0.919$,
486 RMSE = 0.77; Pacific: $n = 736$, $r^2 = 0.602$, RMSE = 0.752, Indian Ocean: $n = 345$, $r^2 = 0.46$,
487 RMSE = 0.46). The strongest deviations of model values from observational data are caused
488 by the overestimation of $\delta^{18}\text{O}_{\text{oce}}$ values near river estuaries, at the Baltic Sea, and at the Sea of
489 Okhotsk. For the Arctic Ocean, the majority of the simulated $\delta^{18}\text{O}_{\text{oce}}$ values is stronger
490 depleted than the corresponding GISS entries and the model-data correlation is worse ($n =$
491 410 , $r^2 = 0.335$, RMSE = 2.2152). This bias in our ECHAM5/MPI-OM model is most likely
492 caused by a too stratified Arctic Ocean. Highly depleted water inflowing from Arctic rivers
493 remains in the upper layers of the Arctic Ocean and is not well mixed with deeper waters.
494 This model deficit is clearly depicted in a comparison of the mean modelled isotope signal
495 with available measurements from the GISS database in meridional sections of the Atlantic
496 (zonal mean between 60°W-0°W; Fig. 4a, c) and the Pacific basin (zonal mean of region
497 150°E to 110°W; Fig. 4b, d). For both cross sections, we find that the overestimated depletion
498 of $\delta^{18}\text{O}_{\text{oce}}$ values in the Arctic reaches down to approx. 500m below the surface while
499 simulated North Atlantic Deep Water (NADW) masses are less depleted and in better
500 agreement with the GISS data. Similar low isotope values in the Arctic oceans have already
501 been reported by former studies with ocean-only GCM (Paul et al., 1999; Xu et al., 2012).

502 In general, we find for the Atlantic Ocean a fair agreement between GISS observations and
503 model values. The regions of the strongest enrichment is located between 40°S to 30°N, with
504 maximum enrichment (+0.6‰ or more) at approx. 20°S and 30°N, and a decreasing trend of
505 enrichment in deeper water until approx. +0.1‰ at a depth of 3,000m. The enriched water
506 masses are also found in NADW below 1,000m, with an enrichment of up to +0.2‰ (Fig. 4a).
507 On the contrary, Atlantic water masses south of 40°S show a relative depletion down to -
508 0.4‰ in their isotopic signature for all water depth, in agreement with available GISS data
509 (Fig. 4c). Depleted water masses stemming from the Antarctic Bottom Water (AABW) are
510 reaching until the equator where the isotopic signal is then mixed with NADW and enriched
511 tropical Atlantic waters. For the Pacific (Fig. 4b) we find a similar vertical and latitudinal
512 $\delta^{18}\text{O}_{\text{oce}}$ distribution as in the upper layers of the Atlantic Ocean, while the transition zone
513 between enrichment and depletion shoals to approx. 1,000m water depth. Below a depth of
514 approx. 3,500m, depleted AABW ($\delta^{18}\text{O}_{\text{oce}}$ between -0.4‰ and -0.1‰) fills the entire Pacific.

515 The overall pattern of the Atlantic and Pacific cross sections is in good agreement with a
516 recent study of the *i*LOVECLIM isotope-enabled EMIC (Roche and Caley, 2013) as well as
517 with two ocean-only GCM studies (Paul et al., 1999; Xu et al., 2012).

518 **4.1.3 Discharge of terrestrial surface water**

519 In Fig. 5a, we show the simulated annual mean values of $\delta^{18}\text{O}$ for grid cells with a mean
520 inflow of at least $200\text{m}^3/\text{s}$, as simulated by the HD scheme (see Chapter 2.1), to depict the
521 major river systems on Earth, only. In general, the isotopic composition of a specific river is
522 closely linked to the $\delta^{18}\text{O}$ signal of P-E in the catchment area of the particular river. The
523 strongest depletion of down to -12‰ is found for river systems of in high northern regions of
524 Siberia and Alaska, in agreement with observational data (Dodd et al., 2012). For the Rhine,
525 the simulated isotopic composition in the Netherlands is about -7‰ to -8‰ , in good
526 agreement with available observations, and similar good agreement is found for the
527 Mackenzie River in the Canadian Arctic with a modelled outflow signal of -19‰ to -20‰
528 (Hoffmann et al., 1998). Rivers in mid- and low latitudes contain in general more enriched
529 waters, and the PI model experiment results in least depleted waters ($> -4\text{‰}$) for the Paraná
530 River (Argentina), and the Orange River (South Africa). In the future, the current efforts of
531 the IAEA to build a systematic database of available isotope measurements in rivers (IAEA,
532 2012) will allow for a more thoroughly evaluation of these model results.

533 For closing the global water budget, the HD scheme does not only simulate the water
534 transport via large river systems, but also redistributes all net surplus water of terrestrial P-E
535 fluxes to a nearby coastal grid point by following orographic gradients. The $\delta^{18}\text{O}$ values of the
536 resulting annual mean water inflow of the coastal grid points to the ocean is shown in Fig. 5b.

537 **4.1.4 Deuterium excess in meteoric and ocean surface waters**

538 In Fig. 6 we show the simulated dex signal in evaporation, precipitation, and ocean surface
539 waters. Dex values in the evaporation flux (Fig. 6a) range between -2‰ and $+16\text{‰}$. The
540 lowest values are found in extreme cold and windy regions of the Arctic, parts of the North
541 Atlantic and above surface waters of the Antarctic Circumpolar Current (ACC). Further
542 negative dex values are simulated for parts of the Sahara and the Arabian Peninsula, but these
543 values occur in regions of extreme low evaporation fluxes from the terrestrial surface and are
544 not meaningful but represent numerical artefacts, caused by the division of two small
545 numerical values for calculating the $\delta^{18}\text{O}$ and δD values. Maximum dex values of up to $+14\text{‰}$

546 are detected in various regions of the Earth, both above terrestrial and marine surfaces. The
547 model results show some agreement to the predicted dex values in evaporation by Pfahl and
548 Sodemann (2014) but it is very difficult to further evaluate this simulated pattern of dex in
549 the evaporation flux, as no systematic data collection of this quantity exists, so far. For
550 precipitation (Fig. 6b), modelled dex_p values range between 0‰ and +18‰ with the highest
551 values in northern parts of the Sahara and a band-like structure covering the mountain regions
552 of Iraq, the Hindu Kush and large parts of the Himalayan plateau. The lowest values occur in
553 dry regions of the southern Sahara and the Arabian Peninsula, northern India, and northern
554 Brazil. The Southern Ocean is another region with simulated low dex_p values. For the
555 Antarctic continent, the large-scale dipole of low (high) dex values in West (East) Antarctica
556 is well captured by the model. For ocean surface waters (Fig. 6d), the simulated variations in
557 deuterium excess are an order of magnitude lower than in precipitation and range between -
558 1.6‰ and +1.6‰. Model results reveal a clear distinction with rather low dex values in mid-
559 to low-latitudinal Atlantic regions, the highest dex values in the Arctic Ocean and the Baltic
560 Sea, and rather small variations ($\pm 0.4\text{‰}$) in the remaining oceans. Both positive and negative
561 anomalies are directly linked to the hydrological balance in the particular regions: In the low-
562 to mid-latitudinal Atlantic Ocean, a net freshwater export exists. As the evaporated and
563 exported water masses have a positive dex composition, the remaining ocean surface waters
564 will become negative in their dex composition due to mass balance. In opposite, a region like
565 the Baltic Sea has a positive mass balance, i.e. total P-E from the Baltic Sea (including its
566 catchment area) is positive and the excess water masses flow via the Skagerrak into the
567 Atlantic Ocean. The surplus of precipitation leads to the positive dex signal in the Baltic Sea.
568 A similar feature is detected for the Arctic Ocean.

569 To evaluate the simulated global distribution of dex in precipitation and ocean surface waters,
570 we use again the GNIP and GISS data sets. The plotted station values in Fig. 6c,e do not show
571 a systematic regional bias of the modelled dex signal in precipitation (Fig. 6c) or ocean
572 surface waters (Fig. 6e). We note that some of the measured dex values, e.g. a series of GISS
573 data points in the Southern Indian Ocean, show strong small-scale variations that cannot be
574 matched due to the coarse horizontal model resolution. However, even on a large-scale
575 average the model results tend to underestimate the dex values in precipitation with a RMSE
576 of 2.9‰ while the simulated dex values of ocean surface waters are in general higher (RMSE:
577 1.8‰) than measurements listed in the GISS database. The modelled slope between the
578 simulated dex in vapour above the ocean surface and the related relative humidity rh

579 | (-6.3%/(10% rh change)) is very close to the value given by Merlivat and Jouzel (1979),
580 | though. This-The combination of underestimation (overestimation) of simulated dex values in
581 | precipitation (ocean surface waters) might indicate that the general description of
582 | fractionation processes during the evaporation of ocean surface waters, implemented as
583 | proposed by Merlivat and Jouzel (1979), should be revised and refined. This finding is in
584 | agreement with recent studies by Steen-Larsen et al. (2014b; 2014a; 2015), which reveal
585 | substantial deviations of the simulated dex signal in water vapour in Greenland, Bermuda, and
586 | Iceland, by several atmosphere GCM as compared to laser-based spectroscopy measurements
587 | of isotopes in water vapour.

588 **4.2 Changes of the Last Glacial Maximum**

589 **4.2.1 Land surface temperature and precipitation changes**

590 | Due to the prescribed changed glacial ice sheet configuration, changed orbital parameters and
591 | changed greenhouse gas concentrations the simulated LGM climate on glacier-free terrestrial
592 | areas is on average -5.9°C colder than the modeled PI climate. Most regions show a rather
593 | uniform cooling in the range of -4°C to -8°C (Fig. 7a). Exceptionally cold regions are mostly
594 | adjacent to the prescribed Laurentide and Fennoscandian ice sheet, e.g. part of central North
595 | America and central Europe. Another region of exceptional cooling is a large part of Siberia
596 | with a cooling of down to -15°C. The only region with a distinct above-average warming is
597 | located at Alaska. This region most likely warmed during the LGM due to the increased
598 | distance to sea ice-covered Arctic ocean regions, caused by the glacial sea-level drop of
599 | approx. 120m. Our results are in overall agreement with the ensemble-mean LGM changes in
600 | temperature by the fully coupled climate simulations performed within the PMIP2 and
601 | CMIP5/PMIP3 projects (not shown; Braconnot et al., 2007; Harrison et al., 2014). These
602 | simulations also indicate for the LGM a maximum cooling of surface temperature over the ice
603 | sheets by about -30° and an average cooling of glacier-free land surfaces between -2° and -
604 | 5°C, except for a colder-than-average Siberian region.

605 | For a comparison with proxy data we compare our model results to the LGM continental
606 | temperature and precipitation reconstruction by Bartlein et al. (2011). This reconstruction is
607 | mainly based on subfossil pollen and plant macrofossil data. For the 81 sites contained in the
608 | temperature dataset of Bartlein et al., the simulated annual mean LGM temperature change is
609 | in 24 cases (242 cases) more than 2°C warmer (colder) than the reconstructed temperature

610 change (Fig. 7b). While the model-data deviations of LGM warming anomalies range
611 between +0°C and +20°C, the anomalies of LGM cooling are underestimated by down
612 to -15°C. Several sites with the largest model-data deviations are located near the border of
613 the prescribed Laurentide and Fennoscandinavian ice sheets. These deviations might simply
614 be caused by the rather coarse model resolution of 3.8° x 3.8°, which cannot resolve small-
615 scale temperature changes close to the prescribed glacier area in sufficient detail.

616 Simulated LGM precipitation changes (Fig. 7c) show a drying of large parts of Siberia and
617 North America, and smaller parts of South America, Africa and East Asia. A wetting is found
618 for the region of California, western Europe, the Brazilian Highlands, South Africa and most
619 parts of Australia. Especially the regions of a wetter LGM climate strongly deviate from older
620 PMIP2 simulations (Braconnot et al., 2007) but are in good overall agreement with the latest
621 CMIP5 LGM experiments (Harrison et al., 2014). A comparison of the simulation results with
622 the precipitation reconstruction by Bartlein et al. (2011) reveals less agreement between
623 simulated and reconstructed precipitation (Fig. 7c,d). In agreement with the reconstructions,
624 the model simulates a drying over vast parts of Northern Eurasia and Siberia, as well as dipole
625 pattern of wetter (drier) conditions south of the margin of the Laurentide ice sheet in western
626 (eastern) North America. However, the model fails to simulate a drying of Western and
627 Central Europe during the LGM, as indicated by fossil plant data. Overall, the amplitude of
628 modelled changes in the hydrological cycle (-4690mm/year to +2750mm/yr) is weaker than
629 the range of the reconstructed changes (-1240mm/yr to +720mm/yr), and the general
630 underestimation of LGM dryness is in line with model results from the PMIP2 and
631 CMIP5/PMIP3 projects (Harrison et al., 2014).

632 **4.2.2 LGM changes of $\delta^{18}\text{O}$ in precipitation**

633 Previous studies have already shown that the colder climate of the LGM leads to generally
634 more depleted $\delta^{18}\text{O}_p$ values in precipitation (Lee et al., 2008; Risi et al., 2010a). This
635 depletion is a direct consequence of the changed (temperature-dependent) fractionation
636 strength during both evaporation and condensation processes. Over glacier-free land surfaces,
637 we calculate a precipitation-weighted mean decrease of $\delta^{18}\text{O}_p$ in precipitation by -0.24‰. For
638 tropical and sub-tropical regions in Mid- and South America, Africa, Australia, and parts of
639 Asia, our simulation reveals almost no LGM-PI changes in $\delta^{18}\text{O}_p$ in precipitation (Fig. 8a).
640 Glacial changes of down to -3‰ occur in precipitation over the southern parts of South
641 America and Africa, the Tibetan Plateau, as well as over major parts of Siberia, North

642 America, and Alaska. The strongest simulated LGM-PI changes of $\delta^{18}\text{O}_p$ in precipitation
643 (down to -12‰) are found over the glacier areas of both the Northern and Southern
644 Hemisphere. We restrict a first quantitative evaluation of the simulated LGM-PI $\delta^{18}\text{O}_p$
645 anomalies in precipitation to the chosen data of 21 ice cores (Table 1) and 8 speleothem
646 records (Table 2). Our dataset is partly identical to the one used by Risi et al. (2010a) and by
647 Brennan et al. (2012) and enables a direct comparison with these previous model studies. For
648 the ice core records, we compare the modelled change of $\delta^{18}\text{O}_p$ in precipitation with the ice
649 core data (Table 1). For the speleothem records, we use both the simulated LGM-PI
650 temperature and $\delta^{18}\text{O}_p$ changes to calculate the modelled change of $\delta^{18}\text{O}_c$ in calcite, which is
651 then compared ~~the model results~~ with the reconstructions (Table 2). Overall, the model results
652 agree well ($r^2 = 0.64$, RMSE = 2.78‰) with the reconstructed LGM-PI $\delta^{18}\text{O}_p$ changes at the
653 various sites (Fig. 8b). The largest deviations are found for the Camp Century ice core
654 (measured LGM-PI $\delta^{18}\text{O}_p$ difference: -12.9‰, modelled: -9.54‰) and for the $\delta^{18}\text{O}_p$ in
655 precipitation at 4 out of 5 tropical ice core locations.

656 From the simulated LGM-PI temperature and $\delta^{18}\text{O}_p$ changes we calculate the temporal $\delta^{18}\text{O}_p$ -
657 T-gradient m in a specific grid box as $m = (\delta^{18}\text{O}_{p, \text{LGM}} - \delta^{18}\text{O}_{p, \text{PI}}) / (T_{\text{LGM}} - T_{\text{PI}})$, with T as the
658 surface temperature at the precipitation site. We restrict our calculation to mid- and high-
659 latitude regions with an annual mean PI temperature T_{PI} below +20°C. As a further selection
660 criteria, we use grid cells with a simulated LGM-PI cooling of at least -2°C, only. The
661 calculated temporal $\delta^{18}\text{O}_p$ -T-gradient m for the selected grid cells ($N = 1195$) ranges between
662 -0.53 and +0.85 (Fig. 8c). For only 187% of the grid cells ($N = 198218$), the calculated
663 temporal $\delta^{18}\text{O}_p$ -T-gradient ranges between +0.5‰/°C and +0.7‰/°C, close to the simulated
664 modern spatial $\delta^{18}\text{O}_p$ -T-gradient of $m = 0.58\text{‰}/\text{°C}$ (see Chapter 4.1.1). In a vast majority of
665 the grid cells (8979%), the temporal $\delta^{18}\text{O}_p$ -T-gradient is below the modern spatial one, while
666 a higher temporal gradient is simulated for 3% of the selected cells, only. A clear difference
667 between temporal and spatial $\delta^{18}\text{O}_p$ -T-gradient has already been reported for Greenland
668 (Buizert et al., 2014; Jouzel, 1999; Werner et al., 2000) and might be caused by different
669 mechanisms (e.g., change in precipitation seasonality, shift of water vapour source regions
670 and transport pathways, varying vertical temperature gradients and atmospheric heights of
671 precipitation formation). However, our results indicate that such a potential bias of the $\delta^{18}\text{O}_p$ -
672 thermometer (if a modern spatial $\delta^{18}\text{O}_p$ -T-gradient is used for past temperature
673 reconstructions) might not exist for Greenland, only, but also for large parts of the mid- and

674 high-latitude regions. The robustness and implications of these findings will be further
675 investigated in future studies.

676 Next, we take a more detailed look at the simulation results over both polar ice caps. For the
677 extended compilation of ice core data listed in Table 1, our model results are in good
678 agreement with glacial $\delta^{18}\text{O}_p$ anomalies found in Antarctic ice cores (Fig. 9). Mean model-
679 data deviation is 1.1‰ with the largest mismatch for the Byrd ice core (2.35‰). For
680 Greenland, model-data differences are slightly higher than for Antarctica as the model
681 underestimates the LGM-PI $\delta^{18}\text{O}_p$ changes by 1.56‰, on average. As already noted above,
682 the largest mismatch is found for the Camp Century ice core (3.45‰). The reason for this
683 stronger model-data mismatch for Greenland as compared to Antarctica could be partly due to
684 the coarse model resolution, or caused by an erroneous warm bias of SST in the source
685 regions of vapour transported to Greenland. Testing and evaluating these different hypotheses
686 will require further coupled simulations and analyses.

687 **4.2.3 LGM changes of ocean temperatures and marine $\delta^{18}\text{O}$ signals**

688 The state of the glacial oceans has been under debate since the first reconstruction of global
689 LGM sea surface temperatures (SST) and sea ice coverage by the CLIMAP group (CLIMAP
690 Project Members, 1976). As compared to CLIMAP, the most recent SST reconstruction by
691 the MARGO project (MARGO Project Members, 2009) indicates, among others, a more
692 pronounced cooling in the eastern mid-latitude North Atlantic than in the western basin, ice-
693 free conditions in the Nordic seas during glacial summer, as well as a 1°C - 3°C cooling of the
694 western Pacific warm pool. The study also revealed the presence of large longitudinal
695 gradients in LGM SST anomalies in all the ocean basins, which are absent in the majority of
696 atmosphere-ocean coupled simulations of the PMIP2 project (MARGO Project Members,
697 2009).

698 The physical state of the glacial ocean of our LGM simulation has already been analysed and
699 described in detail by Zhang et al (2013). In agreement with this previous study, we also find
700 a rather uniform SST cooling in the range of 2°C – 4°C during the LGM in our simulation,
701 comparable to the results of several atmosphere-ocean GCM participating in PMIP2 and
702 CMIP5/PMIP3 (Zhuang and Giardino, 2012). For the isotopic composition of ocean surface
703 waters $\delta^{18}\text{O}_{\text{oce}}$, we simulate a globally averaged mean increase of +0.84‰ as compared to the
704 PI ocean state. This is noteworthy, as we adjusted in our LGM simulation the global ocean
705 isotopic composition by +1‰ to account for the change in global ice volume. A less-than-

706 average part of this increase (0.94‰) is found in surface and shallow water depth down to
707 approx. 1000m, while deeper water masses show a glacial increase of up to +1.06‰ in our
708 simulation. In addition, the simulated glacial increase is not spatially uniform for the ocean
709 surface waters, neither. For most regions the LGM anomalies are in the order of +0.5‰ to
710 +1‰ (Fig. 10a), but more positive LGM $\delta^{18}\text{O}_{\text{oce}}$ anomalies exist in the ACC region (up to
711 +1.5‰), the Mediterranean region (up to +3‰), as well as in the North Atlantic region above
712 approx. 30°N (up to +2.3‰).

713 As both water temperatures and $\delta^{18}\text{O}_{\text{oce}}$ are explicitly simulated by our model setup, we can
714 calculate $\delta^{18}\text{O}_{\text{c(PDB)}}$ for the PI and LGM simulation and then compare our model results to the
715 marine calcite $\delta^{18}\text{O}_{\text{c}}$ dataset documented by Caley et al. (2014b). In agreement with the
716 simulated pattern of LGM $\delta^{18}\text{O}_{\text{oce}}$ anomalies in seawater, the simulated $\delta^{18}\text{O}_{\text{c}}$ changes in
717 calcite are strongest in the ACC region, the Mediterranean Sea and the North Atlantic.
718 Positive $\delta^{18}\text{O}_{\text{c}}$ anomalies reach maximum values of +2.6‰ in the North Atlantic. Comparing
719 the pattern of simulated LGM-PI changes of $\delta^{18}\text{O}_{\text{oce}}$ in surface waters (Fig. 10a) and $\delta^{18}\text{O}_{\text{c}}$ in
720 calcite (Fig. 10b) it is also noteworthy that (a) there exists an additional strong positive LGM
721 $\delta^{18}\text{O}_{\text{c}}$ anomaly in the East China Sea and parts of the North Pacific, which has no counterpart
722 in the $\delta^{18}\text{O}_{\text{oce}}$ changes of ocean surface waters, (b) the $\delta^{18}\text{O}_{\text{c}}$ anomalies in the Pacific ACC
723 region are shifted northwards by approx. 5° as compared to the $\delta^{18}\text{O}_{\text{oce}}$ surface waters
724 anomalies.

725 A comparison of the simulated $\delta^{18}\text{O}_{\text{c}}$ values in ocean surface waters between 0-50m to the
726 $\delta^{18}\text{O}_{\text{c}}$ data set of planktic LGM $\delta^{18}\text{O}_{\text{c}}$ anomalies compiled by Caley et al. (2014b) shows a
727 systematic overestimation of simulated LGM $\delta^{18}\text{O}_{\text{c}}$ changes for the Mediterranean Sea (Fig.
728 10c). For all three major oceans, our model simulation both underestimates and overestimates
729 LGM $\delta^{18}\text{O}_{\text{c}}$ changes at various marine sediment sites. Model-data differences are mostly
730 within the order of the reported uncertainty of the reconstructed LGM $\delta^{18}\text{O}_{\text{c}}$ anomalies, as
731 reported by Caley et al. (2014b). The simulated spatial pattern of LGM $\delta^{18}\text{O}_{\text{c}}$ anomalies in
732 surface waters shows some remarkable resemblance to the model results of Caley et al.
733 (2014b) using the iLOVECLIM model. In their study, Caley et al. also find the strongest
734 positive $\delta^{18}\text{O}_{\text{c}}$ anomalies in the North Atlantic, parts of the northern Pacific as well as in the
735 ACC. In contrast to our simulation, Caley et al. report an additional strong $\delta^{18}\text{O}_{\text{c}}$ anomaly in
736 the northern Indian Ocean.

737 In Fig. 11 mean LGM-PI changes of $\delta^{18}\text{O}_c$ for the Atlantic cross section (60°W - 0°W) and the
738 Pacific cross section (150°E to 110°W) are shown. For both oceans, model results show the
739 strongest positive change of $\delta^{18}\text{O}_c$ between 500m and 3,000m. While $\delta^{18}\text{O}_c$ changes of up to
740 $+2.6\text{‰}$ are simulated at around 30°N for the Atlantic basin, the $\delta^{18}\text{O}_c$ changes in the Pacific
741 water masses are in general lower (up to $+2.2\text{‰}$) and the region of the largest change is
742 located between 0° and 50°S . The available benthic foraminifera data compiled by Caley et al.
743 (2014b) partly supports these findings. The too positive modelled $\delta^{18}\text{O}_c$ values in the North
744 Atlantic in a depth between approx. 2,500m and 4,000m indicate that the simulated NADW
745 formation is probably too strong and too deep. By combining a series of isotope studies with
746 different NADW strengths with available proxy studies of the glacial NADW formation
747 (Duplessy et al., 1980) it should be possible to constrain and improve this aspect of the
748 isotope-enhanced version of the ECHAM5/MPI-OM model. Recently, Roche et al. (2014)
749 presented a similar approach for an improved modelling of Heinrich event 1. However,
750 performing such a set of fully coupled sensitivity experiments is computationally demanding
751 and beyond the scope of this paper.

752 **4.2.4 Glacial changes of the deuterium excess**

753 In Fig. 12a, we show the global pattern of simulated LGM-PI dex anomalies in precipitation
754 over land surfaces. Changes are rather minor, in the order of -3‰ to $+3\text{‰}$, except for a clear
755 positive anomaly (up to $+6\text{‰}$) in North America south-west of the Laurentide ice sheet
756 margin, and strong negative anomalies (down to -7‰) above Greenland and Antarctica. For
757 ocean surface waters, the simulated dex anomalies are even smaller and almost everywhere in
758 the range of $\pm 1\text{‰}$ (not shown). Figure 12b shows the simulated LGM-PI dex anomalies in
759 water vapour of the lowest atmospheric model layer above the ocean surface (discussed
760 below).

761 As stated in Chapter 2, we assumed no glacial change of the mean deuterium excess signal in
762 the glacial ocean. However, some recent data (Schrag et al., 2002) suggest a mean glacial dD
763 increase of $+7.2\text{‰}$, which is slightly lower than the increase prescribed in our LGM
764 simulation ($+8\text{‰}$). Such lower glacial dD increase would lead to a mean glacial change of the
765 deuterium excess in ocean waters of -0.8‰ . As a first-order estimate, such lowered deuterium
766 excess signal in the ocean might lead to an equivalent lower deuterium excess value both in
767 vapour above the ocean and, consequently, in precipitation, too.

768 So far, ice cores are the only paleoproxy archive, which allow for reconstructing past changes
769 of deuterium excess values in precipitation. In Fig. 13 we compare our model results of
770 annual mean dex changes in precipitation between the LGM and PI simulation with the
771 compiled ice core data (Table 21). Mean absolute deviation between modelled LGM-PI
772 anomalies and ice core data from Antarctica is 1.6‰. For Greenland ice cores, LGM dex
773 values have been only reported for the GRIP ice core, so far. Here, model results
774 underestimate the LGM-PI dex change by 2‰. The overall good agreement between
775 measured and modelled LGM dex changes is remarkable, as isotope-enabled GCM have had
776 some difficulties simulating the measured LGM dex changes in Antarctic ice cores, so far
777 (e.g., Risi et al., 2010a; Werner et al., 2001). As dex values in polar ice cores depend on
778 climate condition during evaporation of the source water, and as the SST of our simulation are
779 more uniform and lower than the latest MARGO reconstruction, one may ask if the good dex
780 agreement is due to the modelled SST. For testing this hypothesis we have conducted an
781 atmosphere-only ECHAM5-wiso simulation with identical LGM boundary conditions as for
782 the fully-coupled ECHAM5/MPI-OM setup but using the GLAMAP LGM SST
783 reconstruction, which was supplemented by older CLIMAP data in order to have global
784 coverage (Schäfer-Neth and Paul, 2003a; 2003b). For $\delta^{18}\text{O}_{\text{oce}}$ ($\delta\text{D}_{\text{oce}}$), we prescribed a uniform
785 glacial increase of +1‰ (+8‰) in this simulation. In this ECHAM5-wiso sensitivity study,
786 the relatively warm (sub)tropical GLAMAP SST reconstruction leads to smaller simulated
787 negative dex anomalies, or even slightly positive dex anomalies for Vostok and Dome F (Fig.
788 13). The RMSE of all Antarctic ice cores is 2.3‰, which is 0.7‰ worse than in the fully-
789 coupled simulation. We are aware that such a comparison of the fully coupled
790 ECHAM5/MPI-OM setup with an atmosphere-only ECHAM5 experiment with prescribed
791 SST might be hampered by neglecting any atmosphere-ocean feedback in the later.
792 Nevertheless, our simulations indicate that glacial SST, which are cooler than the GLAMAP
793 reconstruction, lead to an improved simulation of dex changes, at least over Antarctica.
794 However, for Greenland the simulated dex anomaly at the GRIP drilling site becomes too low
795 in our fully coupled simulation. But as no more LGM-PI dex records of Greenland ice core
796 records have been published, yet, it remains an open question if this deviation points to a
797 systematic bias in our simulation. More LGM-PI dex data from polar ice cores in combination
798 with further isotope simulations are required to put an additional, highly valuable constraint
799 on available LGM SST reconstructions.

800 Apart from glacial SST changes, changes in the source areas of water transported to
801 Antarctica and Greenland, e.g. by a glacial change in sea ice coverage, might lead to the
802 change in the deuterium excess signal in polar precipitation, too. The simulated sea ice
803 coverage of the COSMOS LGM simulation has already been described in detail in Zhang et
804 al. (2013) and our simulation results are comparable to this previous study. For the southern
805 hemisphere, there is a reasonable agreement between the simulated sea ice concentration and
806 proxy data by Gersonde et al. (2005), such as the austral winter sea ice extent in the Atlantic
807 sector and the austral summer sea ice extent in the Indian ocean sector. However, the
808 simulation might underestimate a larger extent of sporadic summer sea ice between 5°E and
809 5°W in the Southern Ocean, as discussed in Gersonde et al. (2005). As compared to the
810 ECHAM5 experiment with GLAMAP data, a much-reduced sea ice cover in austral summer
811 is found in this coupled ECHAM5/MPI-ESM LGM simulation. This reduction might lead to a
812 stronger contribution of vapour stemming from regions between 60°-65°S to the Antarctic ice
813 sheet. As vapour from these regions has a strong negative deuterium excess signal (cf. Fig.
814 12) such shift in the source contributions might lead to a more negative deuterium excess
815 signal in Antarctic precipitation, too.

816 Pfahl and Soedemann (2014) suggest in their study that the typical interpretation of dex
817 variations in ice core records as SST changes might have to be adapted to reflect climatic
818 influences on relative humidity during evaporation. To test this hypothesis, we look at the
819 simulated LGM-PI dex anomalies in water vapour of the lowest atmospheric layer, directly
820 above the ocean surface (Fig. 12b). It is safe to assume that most water transported to
821 Antarctica will stem from Southern Hemisphere marine vapour source regions, and not from
822 continental vapour sources. Simulated LGM-PI dex anomalies of the vapour vary between
823 0‰ and -5‰ for most ocean regions with a clear gradient towards more negative dex values
824 in the higher latitudinal regions. Plotting these simulated changes of dex in vapour against the
825 modelled relative humidity change between LGM and PI over the ocean surface reveals no
826 correlation between these humidity changes and the simulated dex variations in the vapour
827 layer. As seen in Fig. 14a, simulated LGM values of the relative humidity of the vapour layer
828 above ocean surface varies just by $\pm 5\%$ as compared to the PI values. These rather small
829 variations of the LGM relative humidity changes are somewhat surprising, as cooler SST
830 should lead to cooler air temperatures above the ocean surface, which then should lead to
831 higher relative humidity levels (if the amount of water in the air stays constant). However, we
832 find in our simulations that the air directly above the ocean surface cools slightly stronger

833 during the LGM than the SST themselves. This leads to a reduced glacial evaporation flux
834 from the ocean to the atmosphere, which decreases the relative humidity of the vapour and
835 counterbalance the first effect. Similar small changes of relative humidity changes above the
836 ocean surface and the counterbalance of different effects have recently been reported for a set
837 of CMIP5 climate model results by Laîné et al. (2014). They have analysed a future warmer
838 climate, though. In contrast, modelled LGM SST changes of the Southern Hemisphere cover a
839 range of 0°C to -15°C, and a strong correlation ($r^2 = 0.78$) between simulated glacial SST
840 changes and LGM dex anomalies in the vapour above the ocean surface is found (Fig. 14b).
841 We rate this finding as a support of the ‘classical’ interpretation of dex changes in Antarctic
842 ice cores as a proxy for SST changes in the source regions of water transported to Antarctica.
843 However, the correlation between vapour dex and SST changes does not rule out other
844 influencing factors, like wind speed changes, which might affect both the deuterium excess
845 signal and SST changes, simultaneously. Furthermore, –we are aware that several recent
846 studies of dex in water vapour have revealed a large bias between measurements and
847 simulations by different isotope-enabled atmospheric GCM (Steen-Larsen et al., 2014b;
848 2015). We cannot resolve this conundrum with the performed simulations and will investigate
849 this topic in more detail in the future.

850 **5 Summary and Conclusions**

851 In this study we present the first simulations of the fully coupled Earth System Model
852 ECHAM5/MPI-OM. The model has been enhanced by an explicit stable water isotope
853 diagnostics in all relevant model components: atmosphere, land surface, terrestrial discharge,
854 and ocean. The hydrological cycle and its isotopic balance are fully closed in the model setup,
855 and the model has been run successfully into equilibrium under PI and LGM boundary
856 conditions.

857 First-order isotope variations in precipitation ($\delta^{18}\text{O}_p$, δD_p) for the PI and LGM climate are in
858 good to very good agreement with available present-day observations from the GNIP
859 database, and with LGM isotope data from various ice core and speleothem records. The
860 largest δ -deviations between present-day observations and model results are found in high-
861 latitudinal regions and are caused by a warm bias of the coupled model, similar to the
862 reported error of the atmosphere-only GCM ECHAM5-wiso (Werner et al., 2011). Such a

863 warm bias, especially over Antarctica, is frequent in GCM (Masson-Delmotte et al., 2006)
864 and is partly related to the coarse spatial resolution of our model setup.

865 The simulated modern spatial δ -T-relation is also in good agreement with the observed one,
866 based on a selection of GNIP and ice core data. A first assessment of the stability of this
867 relation for LGM-PI climate changes reveals that the temporal δ -T-gradient might have been
868 substantially lower than the modern spatial one for most mid- to high-latitude regions. Such
869 a deviation, which causes a strong bias in the 'classical' δ -paleothermometry approach, is
870 known for Greenland ice cores (Jouzel, 1999) but has not been discussed for other Northern
871 Hemisphere regions, so far. Future in-depth analyses of our model results can help to achieve
872 an improved interpretation of available isotope records, e.g. from speleothems or permafrost
873 ice wedges (Meyer et al., 2015), from these regions.

874 For the PI climate, simulated marine $\delta^{18}\text{O}_{\text{oce}}$ values broadly fit to available measurements
875 compiled in the GISS database. For the Atlantic, Pacific, and Indian Ocean the largest model-
876 data deviations in ocean surface waters are found in the vicinity of large river estuaries, the
877 Sea of Okhotsk, parts of the Bering Sea, and the Baltic Sea. Like for the model deficits in
878 $\delta^{18}\text{O}_{\text{p}}$, these deviations are most likely related to the rather coarse resolution of the ocean
879 model component MPI-OM, which hampers a realistic simulation of water mass mixing in
880 these coastal regions. For the Arctic, modelled $\delta^{18}\text{O}_{\text{oce}}$ values in surface waters show a more
881 general negative bias as compared to the GISS data. It remains an open question if this bias
882 can also be simply related to an inadequate mixing of the isotopically depleted inflow of
883 Arctic rivers into this ocean basin, or if a more general model bias in the hydrological balance
884 of the Arctic Ocean exists. For the simulated LGM $\delta^{18}\text{O}$ changes, a comparison of model
885 results with available $\delta^{18}\text{O}_{\text{c}}$ calcite data from planktic and benthic foraminifera shells reveals a
886 partly model-data match, only. For the North Atlantic, the modelled glacial NADW formation
887 appears too deep and too strong in our LGM simulation. However, more sensitivity studies
888 are necessary to better constrain this aspect of glacial ocean circulation change. As a next
889 step, we will also more explicitly simulate the dependence of $\delta^{18}\text{O}_{\text{c}}$ on the surrounding water
890 conditions, and analyse the stability of the relation between $\delta^{18}\text{O}$ and salinity in ocean waters
891 under the different climate conditions.

892 The simulation results for second-order changes of $\delta^{18}\text{O}$ and δD are also satisfactory. In our
893 analyses, an overall good fit of modern deuterium excess values in precipitation and ocean
894 surface waters with the available observations is found. However, on large-scale average the

895 ECHAM5/MPI-OM isotope results tend to slightly underestimate the dex values in
896 precipitation and, at the same time, overestimate the simulated dex values of ocean surface
897 waters. This combination of opposite biases suggests that the implementation of fractionation
898 processes during the evaporation of ocean surface waters in our model setup, which is strictly
899 following the approach by Merlivat and Jouzel (1979), should maybe be revised and refined
900 in future studies. For LGM-PI changes of deuterium excess, only measurements from
901 Greenland and Antarctic ice cores are available, at present. Our simulation results indicate
902 that LGM Southern Hemisphere SST, which are cooler than the ~~CLIMAP~~-MARGO
903 reconstruction, lead to an improved simulation of dex values in Antarctic precipitation. In
904 addition, our analyses reveal that modelled glacial dex changes are strongly correlation to
905 LGM-PI SST changes, but not to relative humidity changes in the evaporation regions.

906 In this study we have presented first results of the newly developed isotope-enabled version of
907 the Earth System Model ECHAM5/MPI-OM. We have focused on two equilibrium
908 simulations under the pre-industrial and last glacial maximum period, only, due to their
909 different climate states and the wealth of available observational data from both periods.
910 Future studies will investigate changes in the hydrological cycle and its isotopic composition
911 for further climate periods of the past, e.g. the last interglacial, as well as for the transition
912 between them.

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1314

1315 **Table 1:** Selected ice core records, reported PI and $\Delta(\text{LGM-PI})$ values of $\delta^{18}\text{O}$ and deuterium
 1316 excess (dex). No correction for glacial $\delta^{18}\text{O}$ enrichment has been applied to the listed ice core
 1317 values. All values are given in permill on the SMOW scale.

1318

Site	Lon	Lat	$\delta^{18}\text{O}_{\text{PI}}$	dex _{PI}	$\Delta\delta^{18}\text{O}_{\text{LGM-PI}}$	$\Delta\text{dex}_{\text{LGM-PI}}$
Vostok ^{1,2}	106.87	-78.47	-57	15.5	-4	-3
Dome F ^{2,3}	39.70	-77.32	-55	14	-4	-2.5
Dome B ¹	94.92	-77.08	-55	13.5	-5	
EDC ^{1,4}	123.35	-75.10	-50.9	8.9	-5.4	-3.2
EDML ^{3,4}	0.07	-75.00	-44.9	4.5	-5	-2.9
Taylor Dome ¹	158.72	-77.80	-38.9	4.9	-3	
Talos ³	159.18	-72.82	-37.5	3.9	-5	
Byrd ¹	-119.52	-80.02	-32.9	4.5	-8	
Siple Dome ³	-148.82	-81.67	-26.9	2.9	-8	
WDC ³	-112.14	-79.46	-34		-8	
GRIP ¹	-37.63	72.58	-35	9.5	-7	-3
NGRIP ^{1,5}	-42.32	75.10	-35.2		-8	
NEEM ⁶	-51.06	77.45			-7.5	
Camp Century ^{1,7}	-61.13	77.17	-28		-12.9	
Dye3 ⁸	-43.81	65.18	-30		-5.5	
Renland ^{1,8}	-25.00	72.00	-26.5		-5	
Huascarán ¹	-77.61	-9.11			-6.3	-4
Sajama ¹	-68.97	-18.10			-5.4	
Illimani ¹	-67.77	-16.62			-6	-4
Guliyá ¹	81.48	35.28			-5.4	
Dunde ¹	96.00	38.00			-2	

1319

1320 References:

1321 ¹reported in Risi et al. (2010), ²Uemura et al. (2012), ³WAIS Divide Project Members (2013),
 1322 ⁴Stenni et al. (2010), ⁵North Greenland Ice Core Project members (2004), ⁶NEEM community
 1323 members (2013), ⁷Johnsen et al. (1972), ⁸Johnsen et al. (2001)

1324

1325 **Table 2:** Selected speleothem sites, reported PI and LGM values of $\delta^{18}\text{O}_c$ in calcite, and the
 1326 calculated LGM-PI $\Delta\delta^{18}\text{O}_{c,\text{LGM-PI}}$ change. All values have been taken from a compilation by
 1327 Shah et al. (2013) and represent 1,000yrs-averaged $\delta^{18}\text{O}_c$ values for both the LGM (defined
 1328 here as period 19,000 to 22,000yrs B.P.) and the most recent 1,000yrs B.P (used as an
 1329 estimate for $\delta^{18}\text{O}_{c,\text{PI}}$). For Botuverá Cave, Gunung Buda National Park, and Sanbao Cave
 1330 mean values of several reported speleothem records have been calculated. All $\delta^{18}\text{O}_c$ values
 1331 refer to the PDB standard.

1332

Cave Name	Lon	Lat	$\delta^{18}\text{O}_{c,\text{PI}}$ [‰]	$\Delta\delta^{18}\text{O}_{c,\text{LGM-PI}}$ [‰]
Botuverá	-49.16	-27.22	-3.2	-0.3
Cold Air	29.11	-24.02	-4.3	1.2
Gunung Buda	114.80	4.03	-9.3	1.7
Jerusalem West	35.15	31.78	-4.9	1.4
NWSI	172.00	-42.00	-3.2	0.3
Sanbao	110.43	31.67	-8.8	0.1
Sofular	31.93	41.42	-8.1	-4.5
Soreq	35.03	31.45	-5.4	2.2

1333

1334

1335 **Figure Captions**

1336 **Fig. 1. (a)** Global distribution of simulated and observed annual mean $\delta^{18}\text{O}_p$ values in
1337 precipitation. The background pattern shows the $\delta^{18}\text{O}_p$ distribution as simulated by the
1338 ECHAM5/MPI-OM model setup. Data from 70 GNIP stations (see text), from 21 ice core
1339 records ~~8 speleothem records~~ (Table 1) and 8 speleothem records ~~16 ice core records~~ (Table
1340 2) are plotted as coloured symbols. **(b)** Modelled versus observed annual mean $\delta^{18}\text{O}_p$ at the
1341 different GNIP, speleothem, and ice cores sites. The black line represents the 1:1 line
1342 indicating a perfect model fit. **(c)** Observed (black crosses) and modelled (filled red circles)
1343 spatial $\delta^{18}\text{O}_p$ -T-relationship for annual mean values of T and $\delta^{18}\text{O}_p$ at 71 sites, where observed
1344 annual mean temperatures are below +20°C. The black (red) solid line represents a linear fit
1345 of the observed (modelled) data set.

1346

1347 **Fig. 2. (a)** Global distribution of simulated and observed annual mean $\delta^{18}\text{O}_{\text{oce}}$ values in ocean
1348 surface waters (mean over depth interval between surface and 10m). The background pattern
1349 shows the $\delta^{18}\text{O}_{\text{oce}}$ distribution as simulated by the ECHAM5/MPI-OM model setup. Data
1350 entries from the GISS database are plotted as coloured symbols. **(b)** Anomaly plot for the
1351 difference of the mean modelled versus observational values ($\Delta\delta^{18}\text{O}_{\text{oce}} = \delta^{18}\text{O}_{\text{oce}} - \delta^{18}\text{O}_{\text{GISS}}$) at
1352 the positions of the GISS data entries. For the calculation of $\Delta\delta^{18}\text{O}_{\text{oce}}$, the month of sampling
1353 has been considered (see text for details).

1354

1355 **Fig. 3.** Scatter plots of observed present-day $\delta^{18}\text{O}_{\text{oce}}$ values from the GISS database versus
1356 modelled $\delta^{18}\text{O}_{\text{oce}}$ values of the PI simulation for following basins: **(a)** Atlantic Ocean, **(b)**
1357 Pacific Ocean, **(c)** Indian Ocean, **(d)** Arctic Ocean. The black lines represent the 1:1 line
1358 indicating a perfect model fit.

1359

1360 **Fig. 4. ~~Background pattern:~~** Meridional section of the simulated $\delta^{18}\text{O}_{\text{oc}}$ values in **(a)** the
1361 Atlantic (zonal mean over 60°W to 0°W), **(b)** the Pacific (zonal mean over 150°E to 110°W).
1362 Data entries from the GISS database for the same regions (Atlantic Ocean: n = 5811, Pacific
1363 Ocean: n = 2985) are plotted as coloured symbols in panel (c) and (d). ~~For improved~~
1364 ~~readability, only an arbitrary subset of 300 data entries from the complete available GISS data~~
1365 ~~set (Atlantic Ocean: n = 5811, Pacific Ocean: n = 2985) is shown in each panel.~~

1366

1367 **Fig. 5.** Global distribution of the simulated annual mean $\delta^{18}\text{O}_R$ signal in **(a)** large rivers, **(b)**
1368 surface water runoff from coastal grid points into the oceans, as simulated by the hydrological
1369 discharge model (HD model) within the ECHAM5/MPI-OM setup.

1370

1371 **Fig. 6.** Global distribution of simulated and observed annual mean deuterium excess (dex)
1372 values in **(a)** evaporation, **(b)** precipitation, **(d)** ocean surface waters. The background pattern
1373 shows the dex distribution as simulated by ECHAM5/MPI-OM. In **(b)**, data from 70 GNIP
1374 stations, and 21 ice cores from Greenland and Antarctica are plotted as coloured symbols. In
1375 **(d)**, 153 data entries from the GISS database are plotted as coloured symbols. Comparison of
1376 observed present-day dex values in **(c)** precipitation (red symbols), and **(e)** in ocean surface
1377 waters (blue symbols) versus the corresponding modelled dex values of the PI simulation. The
1378 black lines in **(c)** and **(e)** represent the 1:1 line indicating a perfect model fit.

1379

1380 **Fig. 7. (a)** Background pattern: Simulated global pattern of annual mean surface temperature
1381 (T_{2m}) changes between the LGM and PI climate. Pollen-based reconstructed temperature
1382 changes by Bartlein et al. (2011) are shown as coloured symbols. **(b)** Comparison of
1383 reconstructed temperature changes shown in **(a)** versus the simulated LGM-PI cooling at the
1384 sample locations. The black line represents the 1:1 line indicating a perfect model fit. **(c)**
1385 Simulated global pattern of annual mean precipitation changes between the LGM and PI
1386 climate. **(d)** Comparison of reconstructed precipitation changes shown in **(b)** versus the
1387 simulated LGM-PI change at the sample locations The black line represents the 1:1 line
1388 indicating a perfect model fit.

1389

1390 **Fig. 8. (a)** Background pattern: Simulated global pattern of annual mean $\delta^{18}\text{O}_p$ changes in
1391 precipitation between the LGM and PI climate. Reconstructed $\delta^{18}\text{O}_p$ in precipitation changes
1392 of ice cores (Table 1) and $\delta^{18}\text{O}_c$ in calcite of in-speleothems (Table 2) are shown as coloured
1393 symbols. **(b)** Comparison of reconstructed $\delta^{18}\text{O}$ changes shown in (a) versus the simulated
1394 LGM-PI $\delta^{18}\text{O}$ changes at the same locations. Reconstructed $\delta^{18}\text{O}_p$ anomalies stem from the
1395 following archives: Antarctica (dark blue), Greenland (light blue), and tropical ice cores
1396 (grey). For speleothems, reconstructed and simulated $\delta^{18}\text{O}_c$ changes are shown (green). The
1397 black line represents the 1:1 line indicating a perfect model fit. **(c)** Histogram of calculated
1398 temporal LGM-PI $\delta^{18}\text{O}_p$ -T-gradients for all grid cells with (i) an annual mean PI temperature
1399 below $+20^\circ\text{C}$, and (ii) a simulated LGM-PI cooling of at least -2° . The dashed line indicates
1400 the modelled PI spatial $\delta^{18}\text{O}_p$ -T-gradient ($0.58\text{‰}/^\circ\text{C}$).

1401

1402 **Fig. 9.** Comparison of annual mean LGM $\delta^{18}\text{O}_p$ anomalies measured in ice cores from
1403 Antarctica and Greenland (blue bars) versus the simulated ECHAM5/MPI-OM LGM-PI $\delta^{18}\text{O}_p$
1404 changes (red bars) at the ice core locations.

1405

1406 **Fig. 10. (a)** Simulated global pattern of annual mean $\delta^{18}\text{O}_{\text{oce}}$ changes in ocean surface waters
1407 (0-50m depth) between the LGM and PI climate. **(b)** Calculated global pattern of annual mean
1408 $\delta^{18}\text{O}_c$ changes in calcite in ocean surface waters between the LGM and PI climate. The $\delta^{18}\text{O}_c$
1409 values are derived from the simulated $\delta^{18}\text{O}_{\text{oce}}$ changes shown in a) and the modelled LGM-PI
1410 ocean temperature changes (see text for details). **(c)** Difference between simulated LGM-PI
1411 $\delta^{18}\text{O}_c$ changes and LGM-Late Holocene $\delta^{18}\text{O}_c$ anomalies of a compilation of 114 planktic
1412 foraminifera data entries compiled by Caley et al. (2014b).

1413

1414 **Fig. 11.** Background pattern: Meridional section of the simulated annual mean LGM-PI $\delta^{18}\text{O}_c$
1415 in calcite changes in **(a)** the Atlantic (zonal over 60°W to 0°W), **(b)** the Pacific (zonal mean
1416 over 150°E to 110°W). Geographically related data entries from a compilation of 115 LGM-
1417 Late Holocene $\delta^{18}\text{O}_c$ anomalies of benthic foraminifera data compiled by Caley et al. (2014b)
1418 are plotted as coloured symbols (Atlantic Ocean: $n = 29$; Pacific Ocean: $n = 12$) in each panel.

1419

1420 **Fig. 12.** Global distribution of simulated annual mean LGM-PI deuterium excess (dex)
1421 changes in **(a)** continental precipitation, **(b)** water vapour of the lowest atmospheric model
1422 layer above the ocean surface.

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1424 **Fig. 13.** Comparison of annual mean LGM dex anomalies measured in ice cores from
1425 Antarctica and Greenland (blue bars) versus simulated LGM-PI dex changes (ECHAM5/MPI-
1426 OM: red bars; ECHAM5-wiso: light grey bars) at the ice core locations

1427

1428 **Fig. 14.** Relation between simulated LGM-PI deuterium excess (dex) changes of Southern
1429 Hemisphere water vapour of the lowest atmospheric model layer above the ocean surface
1430 versus simulated LGM-PI changes of **(a)** relative humidity above the Southern Hemisphere
1431 ocean surface (rh; red symbols); **(b)** Southern Hemisphere sea surface temperatures (SST,
1432 blue symbols).

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