List of relevant changes of the manuscript  GMD-2016-47
Will et al. , “Coupling of the regional climate model COSMO-CLM using OASIS3-MCT with regional ocean, land surface or global atmosphere model: description and performance”

Abstract
Adapted to the revised presentation of results

1. Introduction
No major changes

2. Description of model components
Line 135: more detailed description of the content of the article
Line 162: clarification of model versions used added

3. Description and optimization of COSM
Line 607: function used for relaxation in CCLM+MPI-ESM added
Line 660ff: Clarification of the physics of coupling.

4. Computational Efficiency
Line 719: Introduction to presentation of the results improved
Line 761: Clarification about the configurations of model physics and dynamics
Line 790 ff: Section strategy for finding an optimum configuration now section 4.3 with several clarifications of the strategy suggested
Line 864: Difference between SMT and ST mode described more precisely
Line 950ff. Result presentation now in two sections
   - New section ‘Optimum configuration’ applies the strategy of finding it to different couplings and presents the results
   - Section ‘extra time and cost’ describes the analysis of these cost in a more systematic way. All numbers are now directly comparable. See also table 8, section 3.3.
   - In Section “coupling cost reduction” the mapping of processes on cores is described in more detail to improve understanding.
Line 1222: The description of potential improvement of CCLM+MPI-ESM is removed

5. Conclusions
The more detailed analysis of extra cost of coupling is summarised.

Appendix A: Source code availability
- New description of source code availability

Appendix B: Model time step organization
- No changes

Figures
Fig.1: new
Fig.2-4: improved visibility of “optimum configuration”
Fig. 7-13: Same layout for all figures

Tables
Table 1: additional acronyms CCLM_OC to CCLM_sa,OC
Table 8: section 3.3.1-3.3.5 new
Response to GMD-2016-47-SC1 (Editor)

Dear authors,

In my role as Executive editor of GMD, I would like to bring to your attention our Editorial version 1.1:

This highlights some requirements of papers published in GMD, which is also available on the GMD website in the ‘Manuscript Types’ section:
http://www.geoscientific-model-development.net/submission/manuscript_types.html

In particular, please note that for your paper, the following requirement has not been met in the Discussions paper:
• "The main paper must give the model name and version number (or other unique identifier) in the title."

Please add the version number of COSMO-CLM in the title upon your revised submission to GMD.

**Answer:** This was done and the number 4.8 added in the title

Additionally, I ask you to revise the Code Availability Section.

First of all it should be clearly made as an individual section. But the type-setting of copernicus will ensure that anyway, but, secondly, the content of the Code Availability section is somewhat confusing: On the one hand side code parts not discussed in the article (COSMO-ART) are named. If it is not used in your article it should not be mentioned here. On the other hand side you write about a lot of other climate system models which are coupled to COSMO-CLM via OASIS3-MCT. Therefore the availability of these models should also be clarified in the Code Availability section. Last but not least, a kind reader would be interested how to access the COSMO-CLM version including OASIS3-MCT. For the latter it would be enough to say that it is available by contacting one of the authors and will be part of a future official COSMO(-CLM) version.

**Answer:** The availability of all components is now specified in Appendix A as “source code availability”. The sentence related to COSMO-ART was removed.
Response to GMD-2016-47-RC1 (anonymous)

Introduction
Coding and technical aspects of coupling Earth System Models are often relegated to institutional reports seldom referenced or widely read, and outcomes of work in coupling and load balancing are often blindly used by physical and biogeochemical modeling groups. Therefore I commend the authors for documenting their expansive coupling work, and for submitting it to be reviewed for a journal with a readership that bridges the coupler development and physical modeling communities. I do, however, have reservations about the final results, methods, and one comment about the scope of the cited literature.

Answer: we appreciate that the effort we made to document our technical work could be hosted by the journal. In this revision, we try to answer the referee questions, adding details, without overloading the article too much.

Significance of this paper in the context of other work
The manuscript’s introduction could extend the perceived reach of the work if it were to illustrate its international significance. There are several latest-generation regional coupled earth system models in development in the U.S. and Canada, some of which use MCT and takes advantage of the work of Craig et al. (2012) that could have been cited and have appeared in the reviewed literature in recent years. The reason I mention these publications is to say that the introductory argument perhaps could be further enhanced, since work on load balancing high-resolution regional coupled earth system models is taking place in many parts of the Earth System Modeling community. This helps to widen the appeal of the current manuscript, and its significance.

Answer: the referee rightly emphasises that load balancing is not a new issue in our community. Studies based on CESM model, for example, are familiar to the authors (Craig 2012 is cited in the article, line 385). We have also mentioned Dennis et al. 2012 (line 88) and Alexeev at al. 2014 (line 90) in our introduction. We added a reference to Balaprakash et al 2014 (line 809) in chapter “4.3 Strategy for finding an optimum configuration” and we have mentioned that, in our case, “due to the heterogeneity of our coupled systems, a single algorithm cannot be proposed (as in Balaprakash et al, 2014)”. Unless the CESM package, the OASIS library allows an unlimited kind of component combination in coupled systems. For the moment, it is rather complicated to propose an automatic load balancing tool that could deliver an optimal solution for all combinations. We hope that the present article will help the OASIS community to develop an ability to better balance their systems and, in a second step, propose solutions that may be gathered in a single tool.

Efficiency versus accuracy
This paper discusses a considerable number (five) of different coupled model configurations using CCLM, however only scant information is provided on each one of these configurations. It would be particularly useful to view maps of model domains to demonstrate the individual configurations for each of the coupled model systems in Table 2.

Answer: Model domains are shown now in Figure 1.

This would help make it clear exactly how much ocean, land and sea ice exist in the respective model domains. Such details can have a large impact on scalability and parallel efficiency, especially in the cryosphere (sea ice and snow). Therefore I suggest providing greater detail on the physical configuration of each of the models chosen, because this, too, has an enormous impact on the model solution.

Answer: We fully agree with the reviewer that details on the physical configuration have an impact on individual performances of components, and consequently, on performances of the whole coupled system. However, the article is not investigating the physical
performance of the coupled systems. It is rather focusing on presentation of the coupling method, the computational performance in COSMO-CLM reference configuration and finding of an “optimum configuration”. It is thus out of scope to discuss in detail the amount of ice and snow in the model domain and the impact on computational performance. It is also out of scope to discuss physical and dynamical parameters that could influence the computing performance. This remains for future work. Further below we propose an improved definition of our component characteristics by parameters relevant for computing performances. We also answer the referee’s questions about CICE.

To illustrate this point, I focus here on the implementation of CICE Version 5 for CLM+TRIMNP+CICE. The computational efficiency of the solution in CICE is heavily dependent upon the total number of sea ice thickness categories used, the number of tracers needed, for example, by melt pond and ice-age tracking and biogeochemistry, and most importantly, the sea ice mechanics solution. If CICE 5 has been configured to use anisotropic (Elastic Anisotropic Plastic; EAP) sea ice mechanics, then it will definitely be expensive, and, could take as much as 30% of the total model execution time in pan-arctic fully coupled regional models, if a highly converged plastic sea-ice solution is required (2-second sub-cycling). However, if using the Elastic Viscous Plastic (EVP) sea ice rheology with 10-second sub-cycling, the time to solution of the sea ice model greatly improves, with only slight degradation of the plastic solution. In this configuration, the sea ice model could take only 10% of the total core time of running the model. It is still unknown as to which of the two variants is physically more accurate. This is precisely the same CICE Version 5.1 code, in the same coupled framework, using MCT, but with two different namelist settings yet to be fully explored in the literature. Further issues with the CICE coupling are discussed in the appendix.

Answer: EVP was used (kdyn=1). However, CICE domain covers only the Baltic Sea and Kattegat, not the pan-arctic. The sea ice which appears in a relatively small domain like the Baltic Sea and disappears totally in summer has less complicated features compared to the Arctic. However, we cannot say how much different the calculations would be if EAP was chosen, as no sensitivity tests about these parameters have been conducted. The scope of the paper was to present a strategy of analysis of the computational performance of the coupled system in comparison to stand-alone performance. A deeper analysis is out of scope of the paper and remains for future work. We highlight the relevance and the opportunities of such an analysis in the result section for the CCLM+MPI-ESM coupling (line 1111 ff).

This CICE anecdote drives at my main criticism of this paper as it currently stands: It seems to be a vacant conclusion to discuss model efficiency without discussing model accuracy. The most efficient model one can design is a constant number, but seldom is this model the most accurate. The only way this limitation in the current manuscript can be remedied is to explicitly state the configurations used for each particular model in the tests presented, including graphically representing the domains used. However, due to the number of different models and model configurations used, this may balloon the paper to unmanageable proportions. However, as the paper currently stands, there is too little information available for it to be useful for other groups trying to address coupled model efficiency in their particular configurations.

Answer: The aim of the paper is to analyse the performance of the coupled systems using a configuration common for climate applications. Therefore, the analysis of computational performance was conducted using well tested and recommended climate modelling configurations for each component model without any idealisation, e.g. the I/O is the same as in standard climate applications. This is described in section 4.1, line 746 ff.. We agree with the reviewer that a detailed description of all configuration would balloon the paper and
hope having found an appropriate compromise concentrating on configuration details specific for the couplings described in chapter 2.

However, the computing performances of the coupled system necessarily depends on the performances of each component. We agree with the referee that the choice of an additional component cannot only depend on its computing cost. Obviously, the model accuracy (or model skill) is the most important criterion. The article does not say anything about component accuracy in stand alone mode or, what we consider to be even more important, component accuracy in coupled mode. This article addresses the usability of configurations, which is a prerequisite of scientific analysis described in other papers, as for example Pham et al. 2016 (CCLM+NEMO-NORDIC) or Davin et al. 2016 (CCLM+CLM).

Nevertheless, we agree that more information is useful to facilitate the comparison of component costs and to estimate the cost of possible other configurations (e.g. with other resolutions). An interesting suggestion is the computing performance metrics described in Balaji et al. 2017, particularly the 2 parameters describing the models: resolution and complexity. “Resolution” - G - is measured as the number of grid points (or more generally, spatial degrees of freedom) \(NX, NY, NZ\) per component. “Complexity” - V - is measured as the number of 3D prognostic variables per component (to be able to compare 3D models, like atmosphere, with 2D models, like land models, it is assumed that \(V\) of 2D models are equal to 1). These 2 parameters are added in Table 3.

G and V are key parameters to explain why some components are more costly than others (MPI-ESM, with highest G and V, is also the one which induces the highest coupling cost). This information is emphasised in § 4.5 “Extra time and costs”, line 1005 ff. It can also be used for users who would like to estimate the extra cost induced by changes in a coupled component, like a resolution increase (horizontal or vertical) or a complexity increase (additional calculations like biogeochemistry in the ocean or chemistry in the atmosphere ...)

Conclusion
In some respects, the scope of this paper is too large and should be refined. The concluding arguments would be far more compelling, and, I believe, interesting to the modeling community, if it explored individual coupled configurations, and efficiency related to a group of relatively standard model settings in each component model. However, this is probably beyond the scope intended by the authors, and therefore one way to make sure the good work already done is published would be to: 1) Provide greater details of each of the models used to produce the results, including model domain maps, of the model configuration tables, the latter in an appendix; and 2) Provide at least some indication of the accuracy of the solutions. Otherwise, one is left to wonder as to how exactly the results were produced.

Answer: As already stated, (1) we use recommended and overall tested model configurations for climate application over Europe. (2) a map is added in Figure 1 showing the model domains and (3) metrics are added in Table 3 to better estimate the model accuracy. Furthermore, the results of computational performance are revised and presented in a more consistent way. Figures 5 and 6 together with table 8 provide consistent results. In Table 8 the section 3.3 shows a systematic analysis of extra costs of coupling for all couplings investigated at optimum configuration. The components are described in lines 920 ff.

Currently the paper fails the reproducibility test, because insufficient information is provided to repeat the experiments. This, alone, is grounds for significant revision, which I hope the authors will undertake.
Answer: We thank the reviewer for this comment. The reproducibility of results is an important aspect of community work in the CLM Community and we hope to be able to show it in the following. We added details on how to get the model versions and configurations used for the performance analysis presented in the Appendix under “source code availability”, line 1135 ff. At the moment, the model versions used are not official CLM-Community model versions but available from the model developers. An implementation into an official CLM-Community released version is ongoing. Hereby we follow the procedure of source code development introduced in the COSMO and CLM Community. Each experiment can be repeated with the set up information from the article and using the model input files. To get the individual coupled systems, model input files and configuration details the authors have to be contacted as described in the Appendix.

All results presented and the original model output files used are available from the lead author, following the rules of good scientific practice. However, the machine blizzard is not available anymore. Thus the results are, strictly speaking, not reproducible. This, however, is not the responsibility of the authors and true for each numerical model result after some years. The authors believe that the results highlighted are robust and can be obtained on a similar machine as well.

Appendix – CICE configuration and coupling

This appendix addresses technicalities of the CICE setup that were puzzling to the reviewer. First, the authors may be interested to know that there were important bug fixes in the code between version 5.0 and 5.1 of CICE (update is in Hunke et al., 2015), however these would be unlikely to influence computational performance. Setting this aside, there are further improvements in the computational performance of the model using EAP that are being updated by the University of Reading at the current time. It is impossible to know whether or not this affects the results in this paper, because the CICE configuration used in this paper is never made clear. Also, and perhaps I missed it in the text, whether or not the namelist option “distribution_type” is changed in CICE is not discussed. This affects computational performance.

Answer: Parameters used in CICE and TRIMNP are the same as in real climate simulations for Europe. They are listed and discussed in the following but not included in as much detail in the paper.

CICE:
+ kitd = 1; ktherm = 2; conduct = ‘MU71’
+ kdyn = 1 (means EVP is used); ndte = 60; revised_evp = .false.; advection = ‘upwind’
+ shortwave = ‘dEdd’; albedo_type = ‘default’
+ tr_brine = .false.; skl_bgc = .false.; bgc_flux_type = ‘Jin2006’
+ formdrag = .false.
+ tr_iage = .true.; tr_FY = .true.; tr_lvl = .true.; tr_pond_cesm = .false.; tr_pond_topo = .false.; tr_pond_lvl = .true.; tr_aero = .false.
+ distribution_type = “cartesian”; processor_shape = “square-pop”; distribution_wght = “latitude”; ew_boundary_type = “open”; ns_boundary_type = “open”

The original formula of category boundary (kcatbound = 0) with the thickness boundaries for five thickness categories and the linear remapping of the ice thickness distribution (kitd = 1) are configured in this study. The thermodynamics option new “mushy” formulation (ktherm=2) is applied in which salinity evolves (Turner et al., 2013). For each thickness category, CICE computes changes in the ice and snow thickness and vertical temperature profile resulting from radiative, turbulent, and conductive heat fluxes. The ice has a temperature-dependent specific heat to simulate the effect of brine pocket melting and freezing. The standard thermal conductivity option used is ‘MU71’ following Untersteiner (1964) and
Maykut and Untersteiner (1971). The explicit melt pond parameterisation uses the delta-
Eddington radiation scheme with the default (ccsm3) shortwave parameterisation which
incorporates melt ponds implicitly by adjusting the albedo based on surface conditions. The
revised Elastic Viscous Plastic (EVP) sea ice rheology and the upwind advection
algorithm are applied.

The distribution type option is the standard Cartesian distribution of blocks which allows
redistribution via a ‘rake’ algorithm for improved load balancing across processors, and
redistribution based on space-filling curves. The processor shape is square-pop. The
‘latitude’ option weights the blocks based on latitude and the number of ocean grid cells
they contain. The Neumann boundary conditions are set up for both east-west and north-
south boundary type.

TRIMNP:
hdif_u=50., hdif_v=50., hdif_w=0., hdif_s=25., hdif_t=25., hdif_q=0.,

The dynamics of the free surface are discretised semi-implicitly, and the resulting linear
equation system is solved with a pre-conditioned conjugate gradient method. The vertical
mixing and friction including non-linear bottom friction and surface wind stress are also
solved with a semi-implicit method. The vertical mixing and friction coefficients are para-
meterised using prognostic equations for turbulent kinetic energy and dissipation (Umlauf
and Burchard 2005). For horizontal diffusion, harmonic terms are used with scale depen-
dent constants. The lateral diffusion and the viscosity constants are 25 m2/s and 50 m2/s,
respectively. Advection for all time-dependent variables is done with a Semi-Lagrangian
method, where at the end of each time step the values of the variables at the correspon-
ding grid points (the arrival points) are determined by following a trajectory backwards in
time for one time step interval to the departure points. The values of the variables at the
departure points are determined by trilinear interpolation. For details see Cheng et al.
(1993).

Most importantly, however, is the information within Table 5 on how CICE is coupled to
CCLM. My understanding is that the U symbol indicates fluxes being passed from CCLM
to CICE. If this is the case, there is only one feedback from CICE to CCLM in Table 5
(SST), which draws into question the physical consistency of the coupling. If this were to
be a fully coupled model, then there must be more feedbacks that just surface temperature
to the atmosphere. For sea ice, the most important feedback is either albedo or reflected
shortwave radiation, passing back from the sea ice model to the atmosphere, but neither is
listed, which leads one to assume that albedo is being calculated in the atmospheric model
independently. Given the sophistication of the Delta-Eddington albedo parameterization in
CICE, this seems odd. This inconsistency should be addressed before publication.

It is also odd that the atmosphere is calculating sensible and latent heat fluxes, given that
the CICE configuration has five sea ice thickness categories each calculating an indepen-
dent surface temperature upon which turbulent fluxes are based. Hence the turbulent heat
fluxes must be inconsistent with the surface stress term, which is being calculated internal-
ly in CICE in the configuration given. When this calculation is done within CICE, assuming
Monin-Obukhov stability calculations are being performed, the drag coefficient accounts
for the individual surface temperature of each of the five sea ice thickness categories. If
this calculation is not being performed in CICE, then the only alternative would be for the
sea ice model to use only neutral drag, which would also be inconsistent with the sensible
and latent heat flux components of turbulent transfer being passed from the atmosphere.
The only way to remedy this is either to specify surface stress from the atmospheric model, or to fully use the turbulent transfer calculations in CICE, and pass the sensible and latent heat fluxes back to the atmosphere from the sea ice model. This is the reverse of what is currently being done, or at least described in this manuscript. This inconsistency should also be addressed before publication.

**Answer:** We agree that the inconsistency exists and needs to be improved in the future. We explain this inconsistency in the paper now (chapter 3.4, line 630 ff). In the experiment CCLM+TRIMNP+CICE, only SSTs are passed to the atmosphere as in the version of CCLM used at the time when the experiment was conducted for this study the partial sea ice cover, snow on sea ice and water on sea ice are not considered. In a water grid box of CCLM, the albedo parameterisation switches from ocean to sea ice if surface temperature is below a freezing temperature threshold of -1.7°C. We would have passed sea ice fraction to CCLM as it was done for NEMO-Nordic. However, we think that careful checks e.g. for reflected shortwave radiation should be made for the coupled system model CCLM+TRIMNP+CICE if sea ice fraction and albedo from CICE are sent to CCLM. These checks remain for future work.

In the current study, no sea ice information from CICE was passed to CCLM. But they were sent to TRIMNP. In TRIMNP the surface temperature is calculated as a combination of SSTs from TRIMNP and the sea ice skin temperatures from CICE, weighted by the sea ice concentration before the combined surface temperature is passed to CCLM. In Table 5, “surface temperature over sea/ocean” is used instead of SST to avoid a potential misunderstanding in case of sea ice existence.

We also think that even if sea ice fraction from CICE is sent to CCLM, the latent and sensible heat fluxes in CCLM are still different to those in CICE due to different turbulent schemes of the two models CCLM and CICE. The inconsistency can be removed only if all models use the same energy fluxes, calculated in one model at the highest resolution, for example in CICE model, as the reviewer suggested. This strategy could be applied in future studies considering the result of this performance study, that exchanging much more fields has a small impact on cost.

**References:**

- Dennis, J. M., Vertenstein, M., Worley, P. H., Mirin, A. A., Craig, A. P., Jacob, R., and
• Pham, Trang Van, J. Brauch, B. Früh, B. Ahrens, 2016: Simulation of snowbands in the Baltic Sea area with the coupled atmosphere-ocean-ice model COSMO-CLM/NEMO. Met. Z., DOI: 10.1127/metz/2016/0775
• Souverijns, N., Gossart, A., Demuzere, M., Lhermitte, S., Gorodetskaya, I., Van Lipzig, N., 2016: Evaluation of a default COSMO-CLM simulation over Antarctica with a focus on accumulation and the surface mass balance, Cosmo Assembly, Lüneberg, 20-23 September 2016
Response to GMD-2016-47-RC2 (Sophie Valcke)

Dear Ms. Valcke,

we thank you for the constructive and detailed comments and questions and hope to give an easy to follow and satisfactory answer. We tried to consider all of your points and some more with the article revision. We also kept some redundancy of the basic aims in order to facilitate following the idea of the article.

Please, keep in mind that all references to the paper given in the following are references to the revised version of the article. Your comments are given in black, our answers in blue.

Best regards, Andreas Will.

General comments

This paper presents a detailed analysis of the performance of coupling configurations involving the COSMO-CLM regional model. An extensive literature exists on the performance analysis of individual models or codes but there is much less published on the performance of the coupled system and on the coupling aspects per se. This paper addresses this gap and as the work onto which it is based is sound, it deserves publication. However, I consider it needs major revisions before being published.

**Answer:** We are very pleased to know that our paper is interesting from your point of view and we did the best to answer your questions.

Specific comments

My first main concern is about the way the results on the optimum configurations (p.25, section 4.4, Fig. 5 and Table 8) are presented; currently, they are difficult to appreciate because there is, on one hand, a lot of information (sometimes superfluous), and on the other hand, some missing details.

**Answer:** Thank you for that comment. We revised chapter 4, improved the figure description, separated figures 3-4 "time to solution" and "cost" from figure 5 "parallel efficiency", which belongs to finding of optimum configuration, we introduced a separation of extra cost in 5 components and revised table 8 accordingly considering the reviewer comments. In particular, the last section 3.3 of table 8 is revised and all numbers are presented in a consistent and unique way as % of cost of optimum configuration of CCLM stand-alone. We removed the figures and the discussion of possible improvements of CCLM+MPI-ESM.

First of all, I do not understand why the cost of the CCLM part of CCLM-CLM and CCLM+VEG3D are about doubled compared to the costs of the CCLM stand alone or compared to the CCLM-NEMO-MED12 coupling. On p.25, l.854, it is stated "The corresponding costs are about double the costs of the stand-alone reference: 512.0 and 473.6 CHPSY, respectively". Can you give an explanation? Is it linked to the fact that CCLM runs in SMT non-alternating mode in stand-alone and in the CCLM-NEMO-MED12 coupling where as it runs in SMT alternating mode in the CCLM-CLM and CCLM+VEG3D couplings? If so, it should be stated in the text.

**Answer:** We agree with the reviewer that it is difficult to follow the discussion and improved it (hopefully). You find a new paragraph (section 4.5, line 927 ff) clarifying which the dominating components of extra cost of coupling are. It turned out, it is mainly due to using ST instead of SMT mode and of the double number of cores.
“On p.25, l.854, it is stated “The corresponding costs are about double the costs of the stand-alone reference: 512.0 and 473.6 CHPSY, respectively”. Can you give an explanation? Is it linked to the fact that CCLM runs in SMT non-alternating mode in stand-alone and in the CCLM-NEMO-MED12 coupling where as it runs in SMT alternating mode in the CCLM-CLM and CCLM+VEG3D couplings?”

**Answer:** You are right to a wide extend. See previous answer.

The fact that the COSMO version used for CCLM+CLM is different from the COSMO version used for CCLM+VEG3D and that the results presented for CCLM+VEG3D are in fact not the optimum ones (128 cores were chosen to be able to compare with CCLM-CLM) is disturbing and the paragraph p.25 l.843-855 is difficult to understand (same thing for p.27, l.922-923). I am not sure on how to correct this but this should be simplified maybe simply by removing results for CCLM-CSM?

**Answer:** Thank you for the comment. We conducted additional measurements comparing cosmo_5.0_clm1 used in CCLM+CLM and cosmo_4.8_clm17 used in CCLM+VEG3D (on another machine since blizzard is not available anymore). This exhibited 45% higher cost of 5.0. We revised the result presentation and in particular this paragraph.

It is not clear on figure 5 if the time to solution includes or not the OASIS interpolations. Can you clarify this? It is written in Table 8 caption that it does not but it should be stated either in Fig 5 captions and in the text, stressing that the interpolation time is relatively small anyway (as quantified in Table 8). Can you also specify that the OASIS interpolation times are provided directly by the lucia tool in table 8 captions (even if this is mentioned in the text on p.21)?

**Answer:** The “computing time” measured by LUCIA and by the “time” function includes interpolation time. We introduced a clear analysis of extra cost, corrected the caption of table 8 and extended the caption of (now) Figure 6. The OASIS interpolation is now given clearly for each coupling.

My second concern is about the definition of the criteria to identify the optimum configuration, which are not clear:

In section 4.2, please specify what you mean by “each component’s gain in speed, Compared to its speed on one node, outweighs the increase in costs.” The units of speed are not the same as the units of cost so they cannot be compared directly. Are you considering the relative gain in speed (in %) is compared to the relative increase of cost (in %)? To help the understanding, one practical example with numbers should be given (maybe at the beginning of current section 4.4?), for example the steps that lead to the identification of the optimum configuration for the CCLM?

**Answer:** Thank you for this comment. We agree that the description of the strategy was not sufficient to understand and reproduce the results presented. We revised (now) section 4.3 describing the strategy and give the numbers in the new section 4.4 describing the application of the strategy.

The optimum configuration is always a compromise between efficiency (depending on models scalability) and availability of resources or time to solution and cost. It is maybe not possible to give an objective definition of what this compromise should be. Thus we introduced a parameter for that compromise, the parallel efficiency: “The optimum configuration is found by starting the measuring of the computing time on one node for all components, doubling the resources and measuring the computing time again and again as long as all component parallel efficiencies remain above 50%. The threshold of 50% is subjective and can be defined by the user, i.e. one could decide to stop at a higher parallel efficiency if costs are a limiting factor.” This definition is the same for both concurrent and sequential
configurations. An additional criterion is introduced, if the increase of cost has no impact on time to solution, in other words, if there is no scalability. In this case the parallel efficiency down to 50% is not used.

I think section 4.2 would be better to place just before 4.4 (i.e. switching current 4.2 and 4.3 sections)

**Answer:** we follow the advice and switched the two §

p.22, l.749: This constraint is effective only for sequential coupling so it should be moved to the paragraph currently starting in line 754.

**Answer:** We have rewritten the sentence considering the reviewers suggestion (line 826 ff).

p.22 l.763 & l.779: It is not clear who or what decides if the costs are a limiting factor or not. Did you consider the costs was a limiting factor in your identification of the optimum? If so, what was the limit? This should be clarified.

**Answer:** Thank you for the comment. We rewrote the paragraph (line 836-849). We didn't introduce any other criterion but 50% parallel efficiency and, lowest cost, if no scalability is found. The application of the criteria is described in section 4.4 for each coupling in detail.

My third major concern is about section 4.5.2. I find this section not really relevant in the context of this paper. Of course, one can always get better fictive results by neglecting costly or badly written parts of the code!

**Answer:** We thank the reviewer for this suggestion. Section 4.5.2 is removed. Figure 6 and 7 are removed. Instead the extra cost of coupling for CCLM-MPIESM are discussed in section 4.5. line 984 ff and in section 4.6.

**Then I have the following major remarks:**

In general, I think the text is quite heavy with many repetitions. I suggest to make it lighter and more "right-to-the-point". In particular, section 2 describing the components could be reduced and the appendix A, that is not essential to the understanding of the paper could be given as supplementary material.

**Answer:** We would like to thank the reviewer for highlighting this important aspect of readability. The authors discussed this aspect again. Interestingly, reviewers 1 and 2 have dissenting opinions. You suggest reduction of the content and focusing on finding of an optimum configuration. The second reviewer suggests adding more details on configuration for asserting reproducibility and adding a discussion of the impact of configuration of model physics and dynamics on cost and time to solution. Considering the online publication form, we would like to keep section 2 in the paper. We revised the introductions of the sections in chapter 2 indicating that it is not essential for readers interested in the strategy of finding an "optimum configuration" only. The text is kept as it was with minor corrections. The introduction of the Appendix 1 is revised as well. It is essential for understanding of the coupling physics and dynamics and it is kept as appendix of the article since it does not increase the size of the PDF significantly and allows to keep everything in one document.

With which version of COSMO were the CCLM stand-alone tests done? Is it cosmo_4.8_clm19 like for all coupling but CCLM-CLM? This should be clarified in the text.

**Answer:** Yes, see our answer below. We modified § 2.1, line 156, and § 2.6 accordingly.

p.3, l.69: Please give some details on why the MESSy approach was not considered.
**Answer:** The CCLM couplings available with MESSy and OASIS are different. A comparison between MESSy and OASIS is planned for CCLM+MPI-ESM couplings. This requires additional developments for a fair comparison which are not finished yet.

p.5, l.597: You mention a “coupling weight” increasing to 1 with time but this coupling weight is not described. Can you explain with more details how it works?  
**Answer:** Thank you for this suggestion. The function used is given now in the text, line 601-605.

p.21, l.724: can you justify the formula used to approximate the time for 40 levels based on the time for 45 levels; why not simply use : $T_{40} = T_{45} \times 40/45$  
**Answer:** The scaling of 80% of the computing time (and not 100% as suggested by your comment) is already explained in the footnote.4, line 760.

p.24, 806-807: I do not understand how one can conclude that “COSMO-CLM inv ST and SMT mode exhibits a very similar PE for the same number of processes ...” The curves are distinct. Do you mean that we should compare the SMT results for a specific number of cores with the ST results with twice as many cores (to get to the same number of processes)?  
**Answer:** Yes, this is what we wanted to say. We agree that the explanation is weakly understandable and improved it. See line 808 ff.

p.24, l.808: you write “an increased loss of PE between 160 and 80 grid points per process.” but the reader cannot directly infer the number of grid points per process given the number of cores (which is the information provided on the figure), so the corresponding number of cores should be mentioned to help the reader.  
**Answer:** We thank the reviewer for this comment and revised the paragraph for a better explanation. See line 814.

16. p.24, l.813-814: I do not fully understand this sentence. First I am not sure what the “component interface” is. Is it the coupling interface? If so, I do not understand how to reconcile this with the fact that the coupling interface time probably includes the time for interpolations (which are done either on the source side before the sending or on the target side after the receiving) and that the “time to solution” does not.  
**Answer:** We thank the reviewer for raising this point. We agree that this sentence is not correct. We revised this paragraph and moved this explanation to “extra time and costs” in §4.5, line 1009.

p.24, l.815-819: I do not understand the meaning of the sentence “Hereby, the number of cores and the threading mode (ST or SMT) are kept constant.” I propose to remove this sentence and rewrite the following ones as: “COSMO-CLM components of concurrent couplings should be compared to stand-alone COSMO-CLM in SMT mode because in both cases two threads per core are used to run COSMO-CLM. Conversely, COSMO-CLM components of sequential couplings should be compared to stand-alone COSMO-CLM in ST mode because in both cases only one thread per core is used to run COSMO-CLM.” if I am right in my interpretation.  
**Answer:** Thank you for this suggestion. The reference for each coupling is described now as suggested in section 4.4

p.24, l.826-827: It is written “However, as mentioned in section 2.6 CLM is coupled to cosmo_5.0_clm1 model version which is a more recent version than cosmo_4.8_clm19 used for all other couplings “ but I don’t see this mentioned in section 2.6.
We thank the reviewer for this remark. We corrected section 2.6 accordingly.

“The model version cosmo_4.8_clm19 is the recommended version of the CLM-Community (Kotlarski et al., 2014) and it is used as basis of the development of the couplings. CCLM as part of the CCLM+CLM coupled system is used in a slightly different version (cosmo_5.0_clm1). The way this affects the performance results is presented in section 4.5, line 954 ff. In addition, the reviewer can see, in the figure below, a scalability comparison between the 2 versions. This reveals (even though the machine is not the same than the one used in the article) the cost of the 5.0 version are 45% higher than for 4.8.

Figure 1: Time to solution of 5.0 and 4.8 COSMO-CLM versions in dependence on core number on Cray XC30 at CSCS, Lugano.

p.26, l.898-900: I propose to rephrase these two sentences for “It is not surprising that the couplings with soil-vegetation models shows only moderate extra costs as they replace the use of TERRA, the internal soil-vegetation model activated in stand-alone versions of COSMO-CLM.”

Answer: We changed the sentences. They are now in § 4.5, line 953.

p.28, l.949-955: This paragraph is not clear. Going from non-alternating to alternating reduces the time to solution by 35.1 %. Improving the performances of the derivative calculation reduces the time to solution by 9.2%. Going from 16 cores in SMT mode to 32
cores in ST mode results in a reduction of time to solution by 25.5%. But then why is the “discrepancy” calculated by comparing this 25.5% to the 9.2% linked to the improvement of the derivative calculations? It should be calculated by comparing the 25.5% to the non-alternating to alternating gain of 35.1%, shouldn’t it?
Answer: Thank you for asking for clarification of this puzzling result. We explain this complex result now in more detail. See §4.6, line 1017 ff

p.30, l.1040-1043: The 10% variation in the time to solution results should be introduced in the text and not only in the conclusion.
Answer: Thank you for the suggestion. We added this information at the end of §4.1

Minor remarks and technical corrections
I think it would be less confusing to use CCLM everywhere and not sometimes CCLM and sometimes COSMO-CLM
Answer: COSMO-CLM is the official name chosen by the CLM community, with CCLM as the official abbreviation when there is not enough space like in figures. We use now CCLM nearly everywhere. However, to avoid confusion between CLM and CCLM, the full name COSMO-CLM is used more than once.

p.1, l.8: The OASIS3-MCT interface is not really described in the paper. I suggest changing “present” for “use”.
Answer: Thank you for the comment. We realised that we introduced a confusion by using “interface” for model routines where coupling is performed instead for the OASIS3-MCT API (widely known as “PSMILE library”). We modified the text: “We present a unified interface, based on OASIS3-MCT coupling library”

p.3, l.58 & p.6, l.166: Valcke 2013 refers to a paper describing the “old” OASIS3 version and not the more recent OASIS3-MCT version. The reference Valcke et al., 2013 should be used instead.
Answer: We changed the reference.

p.3, l.67: I propose changing “is based” for “would be based”
Answer: We changed the text, l67.

p. 4, l.94: Please add “depends” after “but” in “but on the coupling method
Answer: Done

p.6, l.168: Please add “which” after “data” in “amount of data is a requirement”
Answer: Done

p.6, l.186-187: The sentence “The coupling of COSMO-CLM with the global ocean model NEMO is realized by means of two different regional versions of the NEMO model ...” sounds weird to me because of the opposition between “global” and “re- gional”. I suggest simply “COSMO-CLM is coupled to two different regional versions of the NEMO model ...”
Answer: Done, line 191.

p.10, l.337: The fact that each component needs to be a separate executable is not a constraint anymore with the last OASIS3-MCT_3.0 version; maybe this could be mentioned.
Answer: We added a remark on that feature in OASIS3, See line 340.

p.11, l.339 & l.366: Please change “whose” for “which”
Answer: We thank the reviewer for this suggestion making this point more clear. We changed the text accordingly, line 349 ff.

p.11, l.357-358: I suggest changing “This component partitioning does not have to be the same” for “The component partitioning and grid do not have to be the same”
Answer: We thank the reviewer for this suggestion and changed the text accordingly in line 364.

p.11, l.361: I suggest adding “and accumulation” after “time averages” I propose “average or accumulation”
Answer: Done, line 368

p.11, l.373: I suggest changing “OASIS3-MCT includes the MPI library” for “OASIS3-MCT includes the MCT library based on MPI ” (but this is redundant with p.11, l.343 -see also my remark #9 above
Answer: We followed the reviewers suggestion and keep the redundancy for better readability, line 380.

p.13, l.428: Please add a ) after 4.1
Answer: Done, line 435.

p.13, l. 442: Please change “interpolation” for “coupling” as it is not only the interpolation that is improved but the interpolation and the communication.
Answer: We added “and communication” for clarity, line 449.

p.20, l.687-691: I am not convinced these are effectively the two main goal of performance analysis. These sentences are unnecessary and contribute to the heaviness of the text (see also my first “Important remark” above.
Answer: Thank you for the comment. We removed the discussion of what is not done and changed the text accordingly. See line 718 ff.

p.21, l.722: Please change “compansated” for “compensated”
Answer: Done, line 761.

p.22, l.737-738, I suggest rephrasing the sentence “In a perfectly scaling parallel application the costs would remain constant if the resources are doubled, the parallel efficiency would be 100 %, the speed would be doubled and the speed-up would be 200 %.” for “If the resources of a perfectly scaling parallel application are doubled, the speed would be doubled and therefore the cost would remain constant, the parallel efficiency would be 100 %, and the speed-up would be 200 %.”
Answer: We thank the reviewer for this suggestion and changed the text accordingly, line 777.

p.23, l.791: Please change “CPUh” for “core hours” to be coherent with the rest of the text.
**Answer:** We thank the reviewer for this suggestion and changed the text accordingly, line 797.

Table 8 should be placed after Table 7 and not after all the Figures.  
**Answer:** We thank the reviewer for this suggestion. Table 8 is now located after figure 6 showing the optimum configurations.

p.26, l.906: Please change “atmosphere” for “coupled model”  
**Answer:** We thank the reviewer for this suggestion and changed the text accordingly, line 991 ff.

p.30, l.1031: Please change “scaling;” by “scaling,”  
**Answer:** We thank the reviewer for this suggestion and changed the text accordingly, line 1077.
Coupling of the regional climate model
COSMO-CLM 4.8 using OASIS3-MCT with regional ocean, land surface or global atmosphere model:
description and performance

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Abstract. We present the prototype of a regional climate system model based on the COSMO-CLM regional climate model coupled via OASIS3-MCT with several model components, analyze the performance of the couplings, present a strategy to find an optimum configuration of computational resources with respect to computational costs and time to solution for a given domain, model physics and dynamics and present a separation of the extra cost of coupling in five major components.

The OASIS3-MCT library is used to couple COSMO-CLM with two land surface models (CLM and VEG3D), a regional ocean model for the Mediterranean Sea (NEMO-MED12), two ocean models for the North and Baltic Sea (NEMO-NORDIC and TRIMNP+CICE) and the atmosphere of an earth system model (MPI-ESM).

We present a unified OASIS3-MCT interface which handles all couplings in a similar way, minimizes the model source code modifications and defines the physics and numerics of the couplings. Furthermore, we discuss solutions for specific regional coupling problems like handling of different domains, multiple usage of MCT interpolation library and efficient exchange of 3D fields.

A series of real-case simulations over Europe has been conducted and the computational performance of the couplings has been analyzed. The usage of the LUCIA tool of the OASIS3-MCT coupler enabled separation of the unavoidable cost of coupled component model(s), direct cost of coupling, load imbalance, cost of different usage of processors by COSMO-CLM in coupled and stand alone mode and residual cost including i.a. COSMO-CLM additional computations. The re-
sulting limits for time to solution and cost are shown and the potential of further improvement of the computational efficiency is summarized.

It was found that the OASIS3-MCT coupler keeps the direct coupling cost of communication and horizontal interpolation below 5% of the extra cost of coupling for all investigated couplings. For the first time this could be demonstrated for an exchange of approximately 450 2D fields per time step necessary for the atmosphere-atmosphere coupling between COSMO-CLM and MPI-ESM.

A procedure for finding an optimum configuration for each of the couplings was developed considering the time to solution and cost of the simulations. The optimum configurations are presented for sequential, concurrent and mixed (sequential+concurrent) coupling layouts. The procedure applied can be regarded as independent on the specific coupling layout and coupling details.

1 Introduction

Most of the current Regional Climate Models (RCMs) suffer from a lack of proper interactivity between the atmosphere and the other components of the climate system. The interactivity is either altered by the use of a simplified component model (e.g. over land) or even partly suppressed when top and lateral and/or ocean surface boundary conditions of the atmospheric model are prescribed by reanalysis or large-scale Earth System Model (ESM) outputs.

The neglected meso-scale feedbacks and inconsistencies of the boundary conditions (Laprise et al., 2008; Becker et al., 2015) might well be well accountable for a substantial part of large- and regional-scale biases found in RCM simulations at 10–50 km horizontal resolution (see e.g. Kotlarski et al., 2014) for Europe. This hypothesis gains further evidence from the results of convection-permitting simulations, in which these processes are not regarded either. These simulations provide more regional-scale information and improve e.g. the precipitation distribution in mountainous regions but they usually do not show a reduction of the large-scale biases (see e.g. Prein et al. (2013)).

The potential of explicitly simulating explicit simulation of the processes neglected or prescribed in these land-atmosphere land-atmosphere RCMs has been investigated using ESMs with variable horizontal resolution (Hertwig et al., 2015; Hagos et al., 2013), RCMs two-way coupled with the atmosphere atmospheric component of global ESMs (Lorenz and Jacob, 2005; Inatsu and Kimoto, 2009), two-way coupled with regional oceans (Döschler et al., 2002; Gualdi et al., 2013; Zou and Zhou, 2013; Bülow et al., 2014; Akhtar et al., 2014; Pham et al., 2014; Ho-Hagemann et al., 2013, 2015) and/or with more sophisticated land surface models (Wilhelm et al., 2014; Davin et al., 2011).

Besides various improvements, a significant increase of the climate change signal was found by Somot et al. (2008) in the ARPEGE model with the horizontal grid refined over Europe and two-way coupled with a regional ocean for the Mediterranean Sea. These results strongly suggest that building Regional Climate System Models (RCSMs) with explicit modelling explicit modeling of the interaction between the meso scales in the atmosphere, ocean and land-surface and with the
large scales in the atmosphere (and ocean) is necessary to consistently represent regional climate
dynamics and gain further insights into regional climate change.

The non-hydrostatic regional climate model COSMO-CLM [Rockel et al., 2008] belongs to this
class of land-atmosphere RCMs that do not allow a meso-scale interaction between the different
components of the climate system. The current paper aims at presenting: In this paper we present
a first step in a view to overcome these deficiencies: the COSMO-CLM individually of a
coupling approach which aims at overcoming the previously mentioned deficiencies - individual two-
way coupled coupling of COSMO-CLM with other climate component models via using OASIS3-
MCT [Valcke, 2013] [Valcke et al., 2013] over Europe. These climate component models are (i) the
Community Land Model (CLM) version 4.0, the soil and vegetation model VEG3D for the land
component, (ii) the NEMO model version 3.2 for the Mediterranean, the regional ocean model TRIMNP
along with the sea ice model CICE and the NEMO model (version 3.3, including the LIM3 sea
ice model) for the Baltic and the North Sea and, finally, (iii) the global Earth System Model MPI-
ESM for the large-scale global atmosphere. Further: Additional model components, which are not
discussed in this article but which can be coupled with COSMO-CLM via OASIS3-MCT are the
ocean model ROMS [Byrne et al., 2015] and the hydrological model ParFLOW [Gasper et al., 2014]
together with CLM.

An alternative coupling strategy available for COSMO-CLM is based on an internal
coupling of the models of interest with the master routine MESSy resulting in the compilation of
one executable [Kerkweg and Joeckel, 2012]. This coupling strategy is not investigated in this study.

The coupled climate models, either global (ESMs) or regional (RCSMs), are obviously computa-
tionally very demanding. This is not only due to the sum of the costs of the individual
model components but also due to: but also: additional costs of the coupler, additional computa-
tions needed for coupling, load imbalances and/or inappropriate numerical properties of the coupled
model components. Maintaining a reasonable computational cost contributes to a large extent to the
models’ usability. This is why the present article: For this reason the present paper: also focuses on this
aspect that: the coupled systems computational efficiency which greatly relies on the parallelization
of the OASIS3-MCT coupler.

The optimization of the computational performance is regarded to be highly dependent on the model system and/or the computational machine used. However, several studies indicate show transferability of optimization strategies and universality of certain aspects of
the performance. Worley et al. [2011] analyzed the performance of the Community Earth System
Model (CESM) and found a good scalability of the concurrently running CLM and of the sequentially running CICE down to approximately 100 grid points per processor for two different resolutions and computing architectures. Furthermore, they found the CICE scalability to be limited by a domain decomposition, which follows that of the ocean model, and thus result in: resulting to: a very
low number of ice grid points in subdomains. Lin-Jiong et al. [2012] investigated the weak scaling
This result indicates that a careful investigation of the model performance leads to similar results for similar computational problems. An analysis of CESM at very high resolutions by [Dennis et al. (2012)] showed that a cost reduction of up to a factor of three or less can be achieved using an optimal layout of model components. Later on [Alexeev et al. (2014)] presented an algorithm for finding an optimum model coupling layout (concurrent, sequential) and processor distribution between the model components minimizing the load imbalance in CESM.

These results indicate that the optimized computational performance is weakly dependent on the computing architecture or on the individual model components but depends on the coupling method. Furthermore, the application of an optimization procedure was found beneficial.

In this study we present a detailed analysis of coupled COSMO-CLM performances on the IBM POWER6 machine *Blizzard* located at DKRZ, Hamburg. We calculate the speed and costs of the individual model components and of the coupler itself and identify the causes of reduced speed or increased costs for each coupling configuration and reasonable processor configurations. We suggest an optimum configuration for different couplings considering costs and speed of the simulation and discuss the current and potential performances of the coupled systems. The particularities of the performance of a coupled RCM are highlighted together with the potential of the new coupling software OASIS3-MCT. We suggest a procedure of optimization of an RCSM, which can be generalized. However, we will show that some relevant optimizations are possible only due to features available with the OASIS3-MCT coupler.

The paper is organized as follows: The coupled model components are described in section 2. Section 3 focuses on the OASIS3-MCT coupling method and its interfaces for the individual couplings. The coupling method description encompasses the description of OASIS3-MCT functionality, the method of the coupling optimization and the particularities of coupling of a regional climate model system. The model interface description gives a summary of the physics and numerics of the individual couplings. In section 4 the computational efficiency of the individual couplings is presented and discussed. Finally, the conclusions and an outlook are given in section 5. For improved readability, Tables 1 and 2 provide an overview of the acronyms frequently used throughout the paper and of the investigated couplings.

2 Model Description

The further development of the COSMO model in Climate Mode (COSMO-CLM) presented here aims at overcoming the limitations of the regional soil-atmosphere climate model, as discussed in the introduction, by replacing prescribed vegetation, lower boundary condition over sea surfaces and
the lateral and top boundary conditions by interactions with dynamical models.

The models selected for coupling with COSMO-CLM need to fulfill the requirements of the intended range of application which are (1) the simulation at varying scales from convection-resolving up-to-50 km coarse-grid scales, (2) local-scale up to continental-scale simulation domains and (3) full capability at least for European model domains. We decided to couple the NEMO ocean model for the Mediterranean Sea (NEMO-MED12) and the Baltic and Northern Seas (NEMO-NORDIC), alternatively the TRIMNP regional ocean model together with the sea ice model CICE for the Baltic and Northern Seas (TRIMNP+CICE), the Community Land Model (CLM) of soil and vegetation (replacing the multi-layer soil model TERRA), alternatively the VEG3D soil and vegetation model and the global Earth System Model MPI-ESM for two-way coupling with the regional atmosphere. Table 2 gives an overview of all coupled-model systems investigated, their components and the institutions at which they are maintained. An overview of the coupled models selected for coupling with COSMO-CLM (CCLM) is given in table 3 together with some key aspects of the configuration used in this study, the main model developer, configuration details of high relevance for computational performance, the model complexity (see Balaji et al. (2017) and a reference in which a detailed model description can be found. The model domains are plotted in Figure 1. More information on the availability of the model components can be found in Appendix A.

In the following, the model components used are briefly described with respect to model history, space-time scales of applicability and model physics and dynamics relevant for the coupling.

2.1 COSMO-CLM

COSMO-CLM is the COSMO model in climate mode. The COSMO model is a non-hydrostatic limited-area atmosphere-soil model originally developed by Deutscher Wetterdienst for operational numerical weather prediction (NWP). Meanwhile, it can be used for climate, environmental (Vogel et al., 2009) and idealized studies (Baldauf et al., 2011).

The COSMO physics and dynamics are designed for operational applications at horizontal resolutions of 1 to 50 km for NWP and RCM applications. The basis of this capability is a stable and efficient solution of the non-hydrostatic system of equations for the moist, deep atmosphere on a spherical, rotated, terrain-following, staggered Arakawa C grid with a hybrid z-level coordinate. The model dynamics is documented in Doms and Baldauf (2015) and the model physics in Doms et al. (2011). Physical and dynamics are described in Doms et al. (2011) and Doms and Baldauf (2015) respectively. The features of the model are discussed in Baldauf et al. (2011).

The climate mode (CLM) (Rockel et al., 2008) of the COSMO model is, strictly speaking, COSMO model’s climate mode (Rockel et al., 2008) is a technical extension for long-time simulations and all
related developments are unified with COSMO regularly. The important aspects of the climate mode are the time dependency of the vegetation parameters and of the prescribed SSTs and the usability of the output of several global and regional climate models as initial and boundary conditions. All other aspects related to CLM like the restart option for soil and atmosphere, the NetCDF model in- and output, online computation of climate quantities, and the sea ice module or spectral nudging can be used in other modes of the COSMO model as well.

The model version cosmo_4.8_clm19 is the recommended model version of the CLM-Community (Kotlarski et al., 2014) and it is used as basis of the development of the couplings for the couplings but for CCLM+CLM and for stand-alone simulations. CCLM as part of the CCLM+CLM coupled system is used in a slightly different version (cosmo_5.0_clm1). The way this affects the performance results is presented in section 4.4.

2.2 MPI-ESM

The global Earth System Model of the Max Planck Institute for Meteorology Hamburg (MPI-ESM; Stevens et al. (2013)) consists of subsystem models for ocean, atmo-, cryo-, pedo- and the biosphere. The hydrostatic general circulation model ECHAM6 uses the transform method for horizontal computations. The derivatives are computed in spectral space, while the transports and physics tendencies on a regular grid in physical space. A pressure-based sigma coordinate is used for vertical discretization. The ocean model MPIOM (Jungclaus et al., 2013) is a regular grid model with the option of local grid refinement. The terrestrial bio- and pedosphere component model is JSBACH (Reick et al., 2013; Schneck et al., 2013). The marine biogeochemistry model used is HAMOCC5 (Ilyina et al., 2013). A key aspect is the implementation of the bio-geo-chemistry of the carbon cycle, which allows e.g. investigating the dynamics of the greenhouse gas concentrations (Giorgetta et al., 2013). The subsystem models are coupled via the OASIS3-MCT coupler (Valcke, 2013) which was implemented recently by I. Fast of DKRZ in the CMIP5 model version. This allows parallelized and efficient coupling of a huge amount of data, which is a requirement of atmosphere-atmosphere coupling.

The reference MPI-ESM configuration uses a spectral resolution of T63, which is equivalent to a spatial resolution of about 320 km for atmospheric dynamics and 200 km for model physics. Vertically the atmosphere is resolved by 47 hybrid sigma-pressure levels with the top level at 0.01 hPa.

The reference MPIOM configuration uses the GR15L40 resolution which corresponds to a bipolar grid with a horizontal resolution of approximately 165 km near the Equator and 40 vertical levels, most of them within the upper 400 m. The North and the South Pole are located over Greenland and Antarctica in order to avoid the “pole problem” and to achieve a higher resolution in the Atlantic region (Jungclaus et al., 2013).
2.3 NEMO

The Nucleus for European Modelling of the Ocean (NEMO) is based on the primitive equations. It can be adapted for regional and global applications. The sea ice (LIM3) or the marine biogeochemistry module with passive tracers (TOP) can be used optionally. NEMO uses staggered variable positions together with a geographic or Mercator horizontal grid and a terrain-following $\sigma$-coordinate (curvilinear grid) or a $z$-coordinate with full or partial bathymetry steps (orthogonal grid). A hybrid vertical coordinate ($z$-coordinate near the top and $\sigma$-coordinate near the bottom boundary) is possible as well (for details see Madec (2011)).

The coupling of COSMO-CLM with the global ocean model NEMO is realized by means of is coupled to two different regional versions of the NEMO model adapted to the specific conditions of the region of application. For the North and Baltic Seas, the sea ice module (LIM3) of NEMO is activated and the model runs with a free surface to enable the tidal forcing. Whereas in the Mediterranean Sea, the ocean model runs with a classical rigid-lid formulation in which the sea surface height is simulated via pressure differences. Both model setups are briefly introduced in the following two sub-sections.

2.3.1 Mediterranean Sea

Lebeaupin et al. (2011), Beuvier et al. (2012) and Akhtar et al. (2014) adapted the NEMO version 3.2 (Madec, 2008) to the regional ocean conditions of the Mediterranean Sea, called NEMO-MED12. It covers the whole Mediterranean Sea excluding the Black Sea. The NEMO-MED12 grid is a section of the standard irregular ORCA12 grid (Madec, 2008) with an eddy-resolving 1/12° horizontal resolution, stretched in latitudinal direction, equivalent to 6–8 km horizontal resolution. In the vertical, 50 unevenly spaced levels are used with 23 levels in the top layer of 100 m depth. A time step of 12 min is used.

The initial conditions for potential temperature and salinity are taken from the Medatlas (MEDAR-Group, 2002). The fresh-water inflow from rivers is prescribed by a climatology taken from the RivDis database (Vörösmarty et al., 1996) with seasonal variations calibrated for each river by Beuvier et al. (2010) based on Ludwig et al. (2009). In this context, the Black Sea is considered as a river for which climatological monthly values are calculated from a dataset of Stanev and Peneva (2002). The water exchange with the Atlantic Ocean is parameterized using a buffer zone west of the Strait of Gibraltar with a thermohaline relaxation to the World Ocean Atlas data of Levitus et al. (2005).

2.3.2 North and Baltic Seas

Hordoir et al. (2013), Dieterich et al. (2013) and Pham et al. (2014) adapted the NEMO version 3.3 to the regional ocean conditions of the North and Baltic Sea, called NEMO-NORDIC. Part of NEMO 3.3 is the sea ice model LIM3 including a representation of dynamic and
thermodynamic processes (for details see Vancoppenolle et al. (2009)). The NEMO-NORDIC domain covers the whole Baltic and North Sea with two open boundaries to the Atlantic Ocean: the southern, meridional boundary in the English Channel and the northern, zonal boundary between the Hebride Islands and Norway. The horizontal resolution is 2 nautical miles (about 3.7 km) with 56 stretched vertical levels. The time step used is 5 min. No fresh-water flux correction for the ocean surface is applied. NEMO-NORDIC uses a free top surface to include the tidal forcing in the dynamics. Thus, the tidal potential has to be prescribed at the open boundaries in the North Sea. Here, we use the output of the global tidal model of Egbert and Erofeeva (2002).

The lateral fresh-water inflow from rivers plays a crucial role for the salinity budget of the North and Baltic Seas. It is taken from the daily time series of river runoff from the E-HYPE model output operated at SMHI (Lindström et al., 2010). The World Ocean Atlas data (Levitus et al., 2005) are used for the initial and lateral boundary conditions of potential temperature and salinity.

2.4 TRIMNP and CICE

TRIMNP (Tidal, Residual, Intertidal Mudflat Model Nested Parallel Processing) is the regional ocean model of the University of Trento, Italy (Casulli and Cattani 1994; Casulli and Stelling 1998). The domain of TRIMNP covers the Baltic Sea, the North Sea and a part of the North East Atlantic Ocean with the north-west corner over Iceland and the south-west corner over Spain at the Bay of Biscay. TRIMNP is designed with a horizontal grid mesh size of 12.8 km and 50 vertical layers. The thickness of the top 20 layers is each 1 m and increases with depth up to 600 m for the remaining layers. The model time step is 240 s. Initial states and boundary conditions of water temperature, salinity, and velocity components for the ocean layers are determined using the monthly ORAS-4 reanalysis data of ECMWF (Balmaseda et al. 2013). The daily Advanced Very High Resolution Radiometer AVHRR2 data of the National Oceanic and Atmospheric Administration of USA are used for surface temperature and the World Ocean Atlas data (Levitus and Boyer 1994) for surface salinity. No tide is taken into account in the current version of TRIMNP. The climatological means of fresh-water inflow of 33 rivers to the North Sea and the Baltic Sea are collected from Wikipedia.

The sea ice model CICE version 5.0 is developed at the Los Alamos National Laboratory, USA (http://oceans11.lanl.gov/trac/CICE/wiki), to represent dynamic and thermodynamic processes of sea ice in global climate models (for more details see Hunke et al. 2013). In this study CICE is adapted to the region of the Baltic Sea and Kattegat, a part of the North Sea, on a 12.8 km grid with five ice categories. Initial conditions of CICE are determined using the AVHRR2 SST.

2.5 VEG3D

VEG3D is a multi-layer soil-vegetation-atmosphere transfer model (Schädel 1990) designed for regional climate applications and maintained by the Institute of Meteorology and Climate Research at the Karlsruhe Institute of Technology. VEG3D considers radiation interactions with vegetation.
and soil, calculates the turbulent heat fluxes between the soil, the vegetation and the atmosphere, as well as the thermal transport and hydrological processes in soil, snow and canopy.

The radiation interaction, the moisture and turbulent fluxes between soil surface and the atmosphere are regulated by a massless vegetation layer located between the lowest atmospheric level and the soil surface, having its own canopy temperature, specific humidity and energy balance. The multi-layer soil model solves the heat conduction equation for temperature and the Richardson equation for soil water content. Thereby, vertically differing soil types can be considered within one soil column, comprising 10 stretched layers with its bottom at a depth of 15.34 m. The heat conductivity depends on the soil type and the water content. In case of soil freezing the ice-phase is taken into account. The soil texture has 17 classes. Three classes are reserved for water, rock and ice. The remaining 14 classes are taken from the USDA Textural Soil Classification [Staff, 1999].

Ten different landuse classes are considered: water, bare soil, urban area and seven vegetation types. Vegetation parameters like the leaf area index or the plant cover follow a prescribed annual cycle.

Up to two additional snow layers on top are created, if the snow cover is higher than 0.01 m. The physical properties of the snow depend on its age, its metamorphosis, melting and freezing. A snow layer on a vegetated grid cell changes the vegetation albedo, emissivity and turbulent transfer coefficients for heat as well.

An evaluation of VEG3D in comparison with TERRA in West Africa is presented by [Köhler et al., 2012].

2.6 Community Land Model

The Community Land Model (CLM) is a state-of-the-art land surface model designed for climate applications. Biogeophysical processes represented by CLM include radiation interactions with vegetation and soil, the fluxes of momentum, sensible and latent heat from vegetation and soil and the heat transfer in soil and snow. Snow and canopy hydrology, stomatal physiology and photosynthesis are modeled as well.

Subgrid-scale surface heterogeneity is represented using a tile approach allowing five different land units (vegetated, urban, lake, glacier, wetland). The vegetated land unit is itself subdivided into 17 different plant-functional types (or more when the crop module is active). Temperature, energy and water fluxes are determined separately for the canopy layer and the soil. This allows a more realistic representation of canopy effects than by bulk schemes, which have a single surface temperature and energy balance. The soil column has 15 layers, the deepest layer reaching 42 meters depth. Thermal calculations explicitly account for the effect of soil texture (vertically varying), soil liquid water, soil ice and freezing/melting. CLM includes a prognostic water table depth and groundwater reservoir allowing for a dynamic bottom boundary conditions for hydrological calculations rather than a free drainage condition. A snow model with up to five layers enables the representation of
snow accumulation and compaction, melt/freeze cycles in the snow pack and the effect of snow aging on surface albedo.

CLM also includes processes such as carbon and nitrogen dynamics, biogenic emissions, crop dynamics, transient land cover change and ecosystem dynamics. These processes are activated optionally and are not considered in the present study. A full description of the model equations and input datasets is provided in Oleson et al. (2010) (for CLM4.0) and Oleson et al. (2013) (for CLM4.5). An offline evaluation of CLM4.0 surface fluxes and hydrology at the global scale is provided by Lawrence et al. (2011).

CLM is developed as part of the Community Earth System Model (CESM) (Collins et al., 2006; Dickinson et al., 2006) but it has been also coupled to other global (NorES) or regional (Steiner et al., 2005; 2009; Kumar et al., 2008) climate models. In particular, an earlier version of CLM (CLM3.5) has been coupled to COSMO (Davin et al., 2011; Davin and Seneviratne, 2012) using a "sub-routine" approach for the coupling. Here we use a more recent version of CLM (CLM4.0 as part of the CESM1_2.0 package) coupled to COSMO via OASIS3-MCT rather than through a sub-routine call. Note that CLM4.5 is also included in CESM1_2.0 and can be also coupled to COSMO using the same framework.

3 Description and optimization of COSMO-CLM couplings via OASIS3-MCT

The computational performance, the usability and the maintainability of a complex model system depend on the coupling method used, on the ability of the coupler to run efficiently in the computing architecture, and last but not least, and on the flexibility of the coupler to deal with different requirements on the coupling depending on model physics and numerics.

In the following, the physics and numerics of the coupling of COSMO-CLM with the different model components via OASIS3-MCT are discussed and the different aspects of optimization of the computational performance of the individual couplings are highlighted. In section 3.1 the main properties of the OASIS3-MCT coupling method are described, the new OASIS3-MCT features are highlighted and the steps of optimization of the computational performance are described. In sections 3.2 to 3.5 the physics and numerics of the couplings are described. There, in these sections a list of the exchanged variables, the additional computations and the interpolation methods are presented. The time step organization of each coupled model is given in the Appendix B.

3.1 OASIS3-MCT coupling method and performance optimization

Lateral-, top- and/or bottom-boundary conditions for regional geophysical models are traditionally read from files and updated regularly at runtime. We call this approach offline (one-way) coupling. For various reasons, one could decide to calculate these boundary conditions with another geophys-
ical model - at runtime - in an online (one-way) coupling. If this additional model in return receives
information from the first model modifying the boundary conditions provided by the first to the second, an online two-way coupling is established. In any of these cases, model exchanges must be
synchronized. This could be done by (1) reading data from file, (2) calling one model as a subroutine
of the other or (3) by using a coupler which is a software that enables online data exchanges between
models.

Communicating information from model to model boundaries via reading from and writing to
a file is known to be quite simple to implement but computationally inefficient, in particular in
particularly in the case of non-parallelized I/O and high frequencies of disc access. In contrast, call-
ing component models as COSMO-CLM subroutines exhibits much better performances because
the information is exchanged directly in memory. Nevertheless, the inclusion of an additional model
in a "subroutine style" requires comprehensive modifications of the source code. Furthermore, the
modifications need to be updated for every new source code version. Since the early 90ies, soft-
ware solutions have been developed, which allow coupling between geophysical models in a non-
intrusive, flexible and computationally efficient way.

One of the software solutions for coupling of geophysical models is the OASIS coupler, which is
widely used in the climate modeling community (see for example Valcke (2013) and Maisonnave
et al. (2013)). Its latest fully parallelized version, OASIS3-MCT version 2.0 (Valcke et al., 2013),
proved its efficiency for high-resolution quasi-global models on top-end supercomputers (Masson
et al., 2012).

In the OASIS coupling paradigm, each model is a component of a coupled system. Each compo-
nent is included as a separate executable using OASIS3-MCT version 2.0. Using the version 3.0
this is not a constraint anymore.

3.1.1 The OASIS3-MCT coupling method

A separate executable (coupler) was necessary to the former version of OASIS. OASIS3-MCT only
consists of a FORTRAN Application Programming Interface (API), whose subro-
tines have to be added in all coupled-system components. The part of the program in which the
OASIS3-MCT API routines are located is called component interface. There is not anymore an independent OASIS executable anymore, as was the case with OASIS3. With OASIS3-MCT, every
communication between the model components is directly executed via the Model Coupling
Toolkit (MCT, in Jacob et al. (2005)) based on the Message Passing Interface (MPI) library. This is
significantly improving the performance over OASIS3, because the bottleneck formed by the sequential separate coupler is entirely removed as shown e. g. in Gasper et al. (2014).

In the following, we point out the potential of the new OASIS3-MCT coupler and discuss the peculiarities of its application for coupling in the COSMO model in CLimate Mode (COSMO-
If there is no difference between the OASIS versions, we use the acronym OASIS, otherwise the OASIS version is specified.

At runtime, all components are launched together on a single MPI context. The parameters defining the properties of a coupled system are provided to OASIS via an ASCII file called `namcouple`. By means of this file the components, coupling fields and coupling intervals are associated. Specific calls of the OASIS3-MCT Application Programming Interface (API) in a component interface described in sections 3.2 to 3.5 define a component’s coupling characteristics, that is, (1) the name of incoming and outgoing coupling fields, (2) the grids on which each of the coupling fields are discretized, (3) a mask (binary-sparse array) describing where coupling fields are described on the grids and (4) the partitioning (MPI-parallel decomposition into subdomains) of the grids. The component partitioning does not have to be the same for each component as OASIS3-MCT is able to scatter and gather the arrays of coupling fields if they are exchanged with a component model that is decomposed differently. Similarly, OASIS is able to perform interpolations between different grids. OASIS also is able to perform time averages or accumulation for exchanges at a coupling time step, e.g. if the components’ time steps differ. In total, six to eight API routines have to be called by each component model to start MPI communications, declare the component’s name, possibly get back MPI local communicator for internal communications, declare the grid partitioning and variable names, finalize the component’s coupling characteristics declaration, send and receive the coupling fields and, finally, close the MPI context at the component’s runtime end. The number of routines, whose arguments require easily identifiable model quantities, is the most important feature of the OASIS3-MCT coupling library that contributes to its non-intrusiveness. In addition, each component can be modified separately or another component can be added later. This facilitates a shared maintenance between the users of the coupled-model system: when a new development or a version upgrade is done in one component, the modification scarcely affects the other components. This ensures the modularity and interoperability of any OASIS-coupled system.

As previously mentioned, OASIS3-MCT includes the MPI-MCT library, based on MPI, for direct parallel communications between components. To ensure that calculations are delayed only by receiving of coupling fields or interpolation of these fields, MPI non-blocking sending is used by OASIS3-MCT so that sending coupling fields is a quasi-instantaneous operation. The SCRIP library included in OASIS3-MCT provides a set of standard operations (for example bilinear and bicubic interpolation, Gaussian-weighted N-nearest-neighbor averages) to calculate, for each source grid point, an interpolation weight that is used to derive an interpolated value at each (non-masked) target grid point. OASIS3-MCT can also (re-)use interpolation weights calculated offline. Intensively tested for demanding configurations (Craig et al., 2012), the MCT library performs the definition of the parallel communication pattern needed to optimize exchanges of coupling fields between each component’s MPI subdomain. It is important to note that unlike the "subroutine cou-
pling” each component coupled via OASIS3-MCT can keep its parallel decomposition so that each of them can, theoretically, be used at its optimum scalability. In some cases, this optimum can be adjusted to ensure a good load balance between components. These two optimization aims that strongly matter for computational performance are discussed in the next section.

3.1.2 The coupled-system synchronization and optimization

A coupled model component receiving information from one or several other components has to wait for the information until before it can perform its own calculations. In case of a two-way coupling this component provides information needed by the other coupled-system component(s). As mentioned earlier, the information exchange is quasi-instantaneously performed, if the time needed to perform interpolations can be neglected which is the case even for 3D-field couplings (as discussed in section ??). Therefore, the total duration of a coupled-system simulation can be separated into two parts for each component: (1) a waiting time in which a component waits for boundary conditions and (2) a computing time in which a component’s calculations are performed. The duration of a stand-alone, that is, un-coupled component simulation approximates this coupled-component’s computing time. In a coupled system this time can be shorter than in the uncoupled mode, since the reading of boundary conditions from file (in stand-alone mode) is partially or entirely replaced by the coupling. It is also important to note that components can perform their calculations sequentially or concurrently.

The total sequential coupled-system’s total sequential simulation time can be expected to be equal to the sum of the individual component’s calculation times, potentially increased by the time needed to interpolate and communicate coupling fields between the components. The computational constraint induced by a sequential coupling algorithm depends on the computing architecture. If one process can be started on each core, the cores allocated for one model component are idle while others are performing calculations and vice versa. In such a case the performance optimisation strategy needs to consider model component waiting time. If more than one process can be started on each core, each model component can use all cores sequentially and an allocation of the same number of cores to each model component can avoid any waiting time. This is discussed further below in more detail in the following paragraphs.

The constraints of sequential coupling are often alleviated if calculations of a coupled-system component can be performed with coupling fields of another component’s previous coupling time step. This concurrent coupling strategy is possible if one of the two sets of exchanged quantities is slowly changing in comparison to the other set. For example, sea surface temperatures of an ocean model are slowly changing in comparison to fluxes coming from an atmosphere model. However, now the time to solution of each model component can be substantially different and an optimisation strategy needs to minimise the waiting time.

Thus, the strategy of synchronization of the model components depends on the layout of the coupling
(sequential or concurrent) in order to reduce the waiting time as much as possible. It is important to note that huge differences in computational performance can be found for different coupling layouts due to different scalability of the modular model components.

Since computational efficiency is one of the key aspects of any coupled system the various aspects affecting it are discussed. These are the performances of the model components, of the coupling library and of the coupled system. Hereby the design of the interface and the OASIS3-MCT coupling parameters, which allow to optimize the efficiency, enables optimization of the efficiency, are described.

The model component performance depends on the component’s scalability. The optimum partitioning has to be set for each parallel component by means of a strong scaling analysis (discussed in section 4.1). This analysis, which results in finding the scalability limit (the maximum speed) or the scalability optimum (the acceptable level of parallel efficiency), can be difficult to obtain for each component in a multi-component context. In this article, we propose to simply consider the previously defined concept of the computing time (excluding the waiting time from the total time to solution). In chapter 4 we will describe our strategy to separate the measurement of computing and waiting times for each component and how to simply deduce the optimum MPI partitioning from the scaling analysis.

The optimization of the OASIS3-MCT coupling library performance is relevant for the efficiency of the data exchange between components discretized on different grids. The parallelized interpolations are performed by the OASIS3-MCT library routines called by the source or by the target component. An interpolation will be faster if performed (1) by the model with the larger number of MPI processes available (up to the OASIS3-MCT interpolation scalability limit) and/or (2) by the fastest model (until the OASIS3-MCT interpolation together with the fastest model’s calculations last longer than the calculations of the slowest model).

A significant improvement of interpolation performance and communication performances can be achieved by coupling of multiple variables that share the same coupling characteristics via a single communication, that is, by using the technique called pseudo-3D coupling. Via this option, a single interpolation and a single send/receive instruction are executed for a whole group of coupling fields, for example, all levels and variables in an atmosphere-atmosphere coupling at one time instead of all coupling fields and levels separately. The option groups several small MPI messages into a big one and, thus, reduces communications. Furthermore, the amount of matrix multiplications is reduced because it is performed on big arrays. This functionality can easily be set via the namcouple parameter file (see section B.2.4 in Valcke et al. (2013)). The impact on the performance of COSMO-CLM atmosphere-atmosphere coupling is discussed in section 4.6. See also Maisonnave et al. (2013).

The optimization of the coupled system performance, performance of a coupled-system, relies on the allocation of an optimum number of computing resources to each model. If the components’ cal-
cations are performed concurrently the waiting time needs to be minimized. This can be achieved by balancing the load of the two (or more) components between the available computing resources: the slower component is granted more resources leading to an increase in its parallelism and a decrease in its computing time. The opposite is done for the fastest component until an equilibrium is reached. Chapter 4 gives examples of this operation and describes the strategy to find a compromise between each component’s optimum scalability and the load balance between all components.

On all high-performance operating systems it is possible to run one process of a parallel application on one core in a so-called single-threading (ST) mode (fig. 2a). Should the core of the operating system feature the so-called simultaneous multi-threading (SMT) mode, two (or more) processes/threads of the same (in a non-alternating processes distribution (fig. 2b)) or of different (in an alternating processes distribution (fig. 2c)) applications can be executed simultaneously on the same core. Applying SMT mode is more efficient for well-scaling parallel applications leading to an increase in speed in the order of magnitude of 10% compared to the ST mode. Usually it is possible to specify, which process is executed on which core (see fig. 2). This allows, to use in this cases the SMT mode with alternating distribution of model component processes, and to avoid can be used, and the waiting time of sequentially coupled components can be avoided. Starting each model component on each core is usually the optimum configuration, since the reduction of waiting time of cores outperforms the increase of the time to solution by using ST mode instead of SMT mode (at each time one process is executed on each core). In the case of concurrent couplings, however, it is possible to use SMT mode with a non-alternating processes distribution.

The optimization procedure applied is described in more detail in section 4.3 for the couplings considered. The results are discussed in section 4.6.

3.1.3 Regional climate model coupling particularities

Additionally to the standard OASIS functionalities, some adaptation of the OASIS3-MCT API routines were necessary to fit special requirements of the regional-to-regional and regional-to-global couplings presented in this article.

A regional model covers only a portion of earth’s sphere and requires boundary conditions at its domain boundaries. This has two immediate consequences for coupling: first, two regional models do not necessarily cover exactly the same part of earth’s sphere. This implies that the geographic boundaries of the model’s computational domains and of coupled variables may not be the same in the source and target component/ components of a coupled system. Second, a regional model can be coupled with a global model or another limited-area model and some of the variables which need to be exchanged are three-dimensional as in the case of atmosphere-to-atmosphere or ocean-to-ocean coupling.

A major part of the OASIS community uses global models. Therefore, OASIS standard features fit global model coupling requirements. Consequently, the coupling library must be adapted or used.
in an unconventional way, described in the following, to be able to cope with the extra demands 
mentioned.

Limited-area field exchange has to deal with a mismatch of the domains of the coupled model 
components. Differences between the (land and ocean) models coupled to COSMO-CLM lead to two 
solutions for the mismatch of the model domains. For coupling with the Community Land Model 
(CLM) the CLM domain is extended in such a way that at least all land points of the COSMO-CLM 
domain are covered. Then, all CLM grid points located outside of the COSMO-CLM domain are 
masked. To achieve this, a uniform array on the COSMO-CLM grid is interpolated by OASIS3-
MCT to the CLM grid using the same interpolation method as for the coupling fields. On the CLM 
grid the uniform array contains the projection weights of the COSMO-CLM on the CLM grid points. 
This field is used to construct a new CLM domain containing all grid points necessary for interpola-
tion. However, this solution is not applicable to all coupled-system components. In ocean models, a 
domain modification would complicate the definition of ocean boundary conditions or even lead to 
numerical instabilities at the new boundaries. Thus, the original ocean domain, that must be smaller 
than the COSMO-CLM domain, is interpolated to the COSMO-CLM grid. At runtime, all COSMO-
CLM ocean grid points located inside the interpolated area are filled with values interpolated from 
the ocean model and all COSMO-CLM ocean grid points located outside the interpolated area are 
filled with external forcing data.

Multiple usage of the MCT library occurred in the CCLM+CLM coupled system implementa-
tion and made necessary some modifications of the OASIS3-MCT version 2.0. Since the 
MCT library has no re-entrancy properties, a duplication of the MCT library and a renaming of 
of the OASIS3-MCT calling instruction were necessary. This modification ensures the capability of coupling any other CESM component via OASIS3-MCT. The additional usage of the MCT library occurred in the CESM framework of CLM version 4.0. More precisely, the DATM model interface 
in the CESM module is using the CPL7 coupler including the MCT library for data exchange.

Interpolation of 3D fields is necessary in an atmosphere-to-atmosphere coupling. The OASIS3-
MCT library is used to provide 3D boundary conditions to the regional model and a 3D feedback to 
the global coarse-grid model. OASIS is not able to interpolate the 3D fields vertically, mainly 
because of the complexity of vertical interpolations in geophysical models (different orographies, 
level numbers and formulations of the vertical grid). However, it is possible to decompose the operation into two steps: (1) horizontal interpolation with OASIS3-MCT and (2) model-specific vertical 
interpolation performed in the source or target component’s interface. The first operation does not 
require any adaption of the OASIS3-MCT library and can be solved in the most efficient manner by the pseudo-3D coupling option described in section 3.1.2. The second operation requires a 
case-dependent algorithm addressing aspects such as inter- and extrapolation of the 
boundary layer over different orographies, change of the coordinate variable, conservation properties as well as interpolation efficiency and accuracy.
An exchange of 3D fields, which occurs in the CCLM+MPI-ESM coupling, requires a more intensive usage of the OASIS3-MCT library functionalities than observed so far in the climate modeling community. The 3D regional-to-global coupling is even more computationally demanding than its global-to-regional opposite. Now, all grid points of the COSMO-CLM domain have to be interpolated instead of just the grid points of a global domain that are covered by the regional domain. The amount of data exchanged is rarely reached by any other coupled system of the community due to (1) the high number of exchanged 2D fields, (2) the high number of exchanged grid points (full COSMO-CLM domain) and (3) the high exchange frequency at every ECHAM time step. In addition, as will be explained in section 3.2, the coupling between COSMO-CLM and MPI-ESM needs to be sequential and, thus, the exchange speed has a direct impact on the simulation’s total time to solution.

Interpolation methods used in OASIS3-MCT are the SCRIP standard interpolations: bilinear, bicubic, first- and second-order conservative. However, the interpolation accuracy might not be sufficient and/or the method is inappropriate for certain applications. This is for example the case with the atmosphere-to-atmosphere coupling CCLM+MPI-ESM. The linear methods turned out to be of low accuracy and the second-order conservative method requires the availability of the spatial derivatives on the source grid. Up to now, the latter cannot be calculated efficiently in ECHAM (see section 3.2 for details). Other higher-order interpolation methods can be applied by providing weights of the source grid points at the target grid points. This method was successfully applied in the CCLM+MPI-ESM coupling by application of a bicubic interpolation using a 16-point stencil. In section 3.2 to 3.5 the interpolation methods recommended for the individual couplings are given.

3.2 CCLM+MPI-ESM

In the CCLM+MPIESM two-way coupled system the 3D atmospheric fields are exchanged between the atmospheres of COSMO-CLM and MPI-ESM running sequentially. In MPI-ESM the COSMO-CLM tendencies can be regarded as a parameterization of meso-scale processes in a limited domain of the global atmosphere. In COSMO-CLM the MPI-ESM boundary conditions are used as in standard one-way nesting. Both atmosphere models run sequentially.

COSMO-CLM recalculates the ECHAM time step in dependence on the lateral- and top-boundary conditions provided by ECHAM. In ECHAM the solution is updated in a limited area of the globe using the solution provided by COSMO-CLM. For computational-efficiency reasons the data exchange in ECHAM is done in grid point space. This avoids costly transformations between grid point and spectral space. Since the simulation results of COSMO-CLM need to become effective in ECHAM dynamics, the two-way coupling is implemented in ECHAM after the transformation from spectral to grid point space and before the computation of advection (see Fig. 8 and DKRZ (1993) for details).
ECHAM provides the boundary conditions for COSMO-CLM at time level $t = t_n$ of the three time levels $t_n - (\Delta t)_E$, $t_n$, and $t_n + (\Delta t)_E$ of ECHAM’s leap frog time integration scheme. However, the second part of the Assilin time filtering in ECHAM for this time level has to be executed after the advection calculation in dyn (see Fig. 8) in which the tendency due to two-way coupling needs to be included. Thus, the fields sent to COSMO-CLM as boundary conditions do not undergo the second part of the Assilin time filtering. The COSMO-CLM is integrated over $j$ time steps between the ECHAM time level $t_{n-1}$ and $t_n$. However, the coupling time may also be a multiple of an ECHAM time step.

A complete list of variables exchanged between ECHAM and COSMO-CLM is given in Table 4. The data sent by ECHAM are the 3D variables of COSMO-CLM temperature, $u$- and $v$-components of the wind velocity, specific humidity, cloud liquid and ice water content and the two-dimensional fields surface pressure, surface temperature and surface snow amount. At initial time the surface geopotential is sent to COSMO-CLM for calculation of the orography differences between the model grids. After horizontal interpolation to the COSMO-CLM grid via the bilinear SCRIP interpolation the 3D variables are vertically interpolated to the COSMO-CLM grid keeping the height of the 300 hPa level constant and using the hydrostatic approximation. Afterwards, the horizontal wind vector velocity components of ECHAM are rotated from the geographical (lon, lat) ECHAM to the rotated (rlon, rlat) COSMO-CLM coordinate system. Here send_fld ends and the interpolated data are used to initialize the boundlines at next COSMO-CLM time levels $t_m = t_{n-1} + k \cdot (\Delta t)_C \leq t_n$, with $k \leq j = (\Delta t)_E / (\Delta t)_C$. However, the final time of COSMO-CLM integration $t_{m+j} = t_m + j \cdot (\Delta t)_C = t_n$ is equal to the time $t_n$ of the ECHAM data received.

After integrating between $t_n - i \cdot (\Delta t)_E$ and $t_n$ the 3D fields of temperature, $u$- and $v$ velocity components, specific humidity and cloud liquid and ice water content of COSMO-CLM are vertically interpolated to the ECHAM vertical grid following the same procedure as in the COSMO-CLM receive interface and keeping the height of the 300 hPa level of the COSMO-CLM pressure constant. The wind velocity vector components are rotated back to the geographical directions of the ECHAM grid. The 3D fields and the hydrostatically approximated surface pressure are sent to ECHAM, horizontally interpolated to the ECHAM grid by OASIS3-MCT and received in ECHAM grid space. In ECHAM the COSMO-CLM solution is relaxed at the lateral and top boundaries of the COSMO-CLM domain by means of a cosine weight function over a range of five to ten ECHAM grid boxes using a weight between zero at the outer boundary and one in the central part of the COSMO-CLM domain. Additional fields are calculated and relaxed in the COSMO-

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1This interpolation is used for the performance tests only. For physical coupling the conservative interpolation second order (CO2) is used, which requires the additional computation of derivatives. Alternatively, a bicubic interpolation can be used that has the same accuracy as CO2.

2The bilinear interpolation is used. The usage of a second-order conservative interpolation requires horizontal derivatives of the variables exchanged. This is not implemented in this version of the COSMO-CLM send interface.
CLM domain for a consistent update of the ECHAM prognostic variables. These are the horizontal derivatives of temperature, surface pressure, u and v wind velocity, divergence and vorticity.

The two-way coupled system CCLM+MPI-ESM with prescribed COSMO-CLM solution within the COSMO-CLM domain (weight=1) provides a stable solution over climatological time scales. A strong initialization perturbation is avoided by slowly increasing the maximum coupling weight to 1 with time, following the function $weight = weight_{max} \cdot (\sin((t/t_{end}) \cdot \pi/2))$, with $t_{end}$ equal to 1 month.

3.3 CCLM+NEMO-MED12

COSMO-CLM and the NEMO ocean model are coupled concurrently for the Mediterranean Sea (NEMO-MED12) and for the North and Baltic Sea (NEMO-NORDIC). Table 5 gives an overview of the variables exchanged. Bicubic interpolation between the horizontal grids is used for all variables.

At the beginning of the NEMO time integration (see Fig. 7) the COSMO-CLM receives the sea surface temperature (SST) and - only in the case of coupling with the North and Baltic Sea - also the sea ice fraction from the ocean model. At the end of each NEMO time step COSMO-CLM sends average water, heat and momentum fluxes to OASIS3-MCT. In the NEMO-NORDIC setup COSMO-CLM additionally sends the averaged sea level pressure (SLP) needed in NEMO to link the exchange of water between North and Baltic Sea directly to the atmospheric pressure. The sea ice fraction affects the radiative and turbulent fluxes due to different albedo and roughness length of ice. In both coupling setups SST is the lower boundary condition for COSMO-CLM and it is used to calculate the heat budget in the lowest atmospheric layer. The averaged wind stress is a direct momentum flux for NEMO to calculate the water motion. Solar and non-solar radiation are needed by NEMO to calculate the heat fluxes. $E - P$ ("Evaporation minus Precipitation") is the net gain ($E - P > 0$) or loss ($E - P < 0$) of fresh water at the water surface. This water flux adjusts the salinity of the uppermost ocean layer.

In all COSMO-CLM grid cells where there is no active ocean model underneath, the lower boundary condition (SST) is taken from ERA-Interim re-analyses. The sea ice fraction in the Atlantic Ocean is derived from the ERA-Interim SST where $SST < -1.7^\circ C$ which is a salinity-dependent freezing temperature.

On the NEMO side, the coupling interface is included similar to COSMO-CLM, as can be seen in Fig. 9. There is a setup of the coupling interface at the beginning of the NEMO simulation. At the beginning of the time loop NEMO receives the upper boundary conditions from OASIS3-MCT and before the time loop ends, it sends the coupling fields (average SST and sea ice fraction for NEMO-NORDIC) to OASIS3-MCT.
3.4 CCLM+TRIMNP+CICE

In the CCLM+TRIMNP+CICE coupled system (denoted as COSTRICE [Ho-Hagemann et al. (2013)]), all fields are exchanged every hour between the three models COSMO-CLM, TRIMNP and CICE running concurrently. An overview of variables exchanged among the three models is given in Table 5. The “surface temperature over sea/ocean” is sent to CCLM instead of “SST” to avoid a potential inconsistency in case of sea ice existence. As shown in Fig. 7, COSMO-CLM receives the skin temperature \( T_{\text{Skin}} \) at the beginning of each COSMO-CLM time step over the coupling areas, the North and Baltic Seas. The skin temperature \( T_{\text{Skin}} \) is a weighted average of sea ice and sea surface temperature. It is not a linear combination of skin temperatures over water and over ice weighted by the sea ice fraction. Instead, the skin temperature over ice \( T_{\text{Ice}} \) and the sea ice fraction \( A_{\text{Ice}} \) of CICE are sent to TRIMNP where they are used to compute the heat flux \( HFL \), that is, the net outgoing long-wave radiation. \( HFL \) is used to compute the skin temperature of each grid cell via the Stefan-Boltzmann Law.

At the end of the time step, after the physics and dynamics computations and output writing, COSMO-CLM sends the variables listed in Table 5 to TRIMNP and CICE for calculation of wind stress, fresh water, momentum and heat flux. TRIMNP can either directly use the sensible and latent heat fluxes from COSMO-CLM (considered as flux coupling method; see e.g. Doscher et al. (2002)) or compute the turbulent fluxes using the temperature and humidity density differences between air and sea as well as the wind speed (considered as the coupling method via state variables; see e.g. Rummukainen et al. (2001)). The method used is specified in the subroutine heat*_flux of TRIMNP.

The sea ice model CICE requires from TRIMNP, additionally. In addition to the fields received from COSMO-CLM, the sea ice model CICE requires from TRIMNP the SST, salinity, water velocity components, ocean surface slope, and freezing/melting potential energy. CICE sends to TRIMNP the water and ice temperature, sea ice fraction, fresh-water flux, ice-to-ocean heat flux, short-wave flux through ice to ocean and ice stress components. The horizontal interpolation method applied in CCLM+TRIMNP+CICE is the SCRIP nearest-neighbour inverse-distance-weighting fourth-order interpolation (DISTWGT).

Note that the coupling method differs between CCLM+TRIMNP+CICE and CCLM+NEMO-NORDIC (see section 3.3). In the latter, SSTs and sea ice fraction from NEMO are sent to CCLM so that the sea ice fraction from NEMO affects the radiative and turbulent fluxes of CCLM due to different albedo and roughness length of ice. But in CCLM+TRIMNP+CICE, only SSTs are passed to CCLM.

Although these SSTs implicitly contain information of sea ice fraction, which is sent from CICE to TRIMNP, the albedo of sea ice in CCLM is not taken from CICE but calculated in the atmospheric model independently. The reason for this inconsistent calculation of albedo between these two coupled systems originates from a fact that a tile-approach has not been applied for the CCLM version used in the present study. Here, partial covers within a grid box are not accounted for, hence,
partial fluxes, i.e. the partial sea ice cover, snow on sea ice and water on sea ice are not considered. In a water grid box of this CCLM version, the albedo parameterisation switches from ocean to sea ice if the surface temperature is below a freezing temperature threshold of $-1.7^\circ C$. Coupled to NEMO-NORDIC, CCLM obtains the sea ice fraction, but the albedo and roughness length of a grid box in CCLM are calculated as a weighted average of water and sea ice portions which is a parameter aggregation approach.

Moreover, even if the sea ice fraction from CICE would be sent to CCLM, such as done for NEMO-NORDIC, the latent and sensible heat fluxes in CCLM would still be different to those in CICE due to different turbulence schemes of the two models CCLM and CICE. This different calculation of heat fluxes in the two models leads to another inconsistency in the current setup which only can be removed if all model components of the coupled system use the same radiation and non-radiation energy fluxes. These fluxes should preferably be calculated in one of the models at the highest resolution, for example in the CICE model for fluxes over sea ice. Such a strategy shall be applied in future studies, but is beyond the scope with the CCLM version used in this study.

3.5 CCLM+VEG3D and CCLM+CLM

The two-way coupling between COSMO-CLM and the land surface models VEG3D or CLM is similar to the other in several respects. First, the call to the LSM (OASIS send and receive; see Fig. 7) is placed at the same location in the code as the call to COSMO-CLM’s native land surface scheme, TERRA_ML, which is switched off when either VEG3D or CLM is used. This ensures that the sequence of calls in COSMO-CLM remains the same regardless of whether TERRA_ML, VEG3D or CLM is used. In the default configuration used here COSMO-CLM and CLM (or VEG3D) are executed sequentially, thus mimicking the "subroutine"-type of coupling used with TERRA_ML. Note that it is also possible to run COSMO-CLM and the LSM concurrently but this is not discussed here. Details of the time step organization of VEG3D and CLM are described in the appendix and shown in Fig. 12 and 13.

VEG3D runs at the same time step and on the same horizontal rotated grid (0.44° here) as COSMO-CLM with thus no need for any horizontal interpolations. CLM uses a regular lat-lon grid and the coupling fields are interpolated using bilinear interpolation (atm to LSM) and distance-weighted interpolation (LSM to atm). The time step of CLM is synchronized with the COSMO-CLM radiative transfer scheme time step (one hour in this application) with the idea that the frequency of the radiation update determines the radiative forcing at the surface.

The LSMs need to receive the following atmospheric forcing fields (see also Table 6): the total amount of precipitation, the short- and long-wave downward radiation, the surface pressure, the wind speed, the temperature and the specific humidity of the lowest atmospheric model layer.
CLM additionally receives the atmospheric forcing height\(^3\) for calculation of the turbulence in the atmospheric boundary layer. VEG3D needs additionally information about the time-dependent composition of the vegetation to describe its influence on radiation interactions and turbulent fluxes correctly. This includes the leaf area index, the plant cover and a vegetation function which describes the annual cycle of vegetation parameters based on a simple cosine function depending on latitude and day. They are exchanged at the beginning of each simulated day.

One specificity of the coupling concerns the turbulent fluxes of latent and sensible heat. In its turbulence scheme, COSMO-CLM does not directly use surface fluxes. It uses surface states (surface temperature and humidity) together with turbulent diffusion coefficients of heat, moisture and momentum. Therefore, the diffusion coefficients need to be calculated from the surface fluxes received by COSMO-CLM. This is done by deriving, in a first step, the coefficient for heat (assumed to be the same as the one for moisture in COSMO-CLM) based on the sensible heat flux. In a second step an effective surface humidity is calculated using the latent heat flux and the derived diffusion coefficient for heat.

4 Computational efficiency

Optimising the Computational efficiency is an important property of numerical model’s usability and applicability and has many aspects. A particular coupled model systems can be very inefficient even if each component model has a high computational efficiency in stand-alone mode and in other couplings. Thus, optimizing the computational performance of a coupled model system can save a substantial amount of resources in terms of simulation time or costs. Sometimes, it is even a prerequisite for the applicability of a model system at higher resolutions or on climatological time-scales. There are two main goals of a performance analysis: (1) To identify code patterns of inefficient behaviour in parallel applications for a given resources configuration by using sophisticated tools such as e.g. SCALASCA [Geimer et al. 2011] and VampirTrace [Müller et al. 2008]. (2) To analyze and cost. We focus here on aspects of computational efficiency related directly to coupling of different component models overall tested in other applications and use real case model configuration.

We use a three step approach. First, the scalability of a coupled model system and its components in order to obtain different coupled model systems and of its components is investigated. Second, an optimum configuration of resources is derived and third, different components of extra cost of coupling at optimum configuration are quantified. For this purpose the Load-balancing Utility and Coupling Implementation Appraisal (LUCIA), developed at CERFACS, Toulouse, France [Maisonmave and Caubel 2014] is used, which is available together with the OASIS3-MCT coupler.

\(^3\)This field is needed for initialization only. In this test series it is exchanged at every coupling time.
More precisely, we investigate the scalability of each coupled system’s components in terms of simulation speed, computational costs, and parallel efficiency, the time needed for horizontal interpolations by OASIS3-MCT and the load balance in the case of concurrently running components. Based on these results, an optimum configuration for all couplings is suggested. Finally, the costs of the optimum component models at optimum configurations are compared with an optimum stand-alone configuration and the potential for further optimization is discussed.

4.1 *Simulation setup and methodology*

A parallel program’s runtime $T(n, R)$ mainly depends on two variables: the problem size $n$ and the number of cores $R$, that is, the resources. In scaling theory, a *weak scaling* is performed with the notion to solve an increasing problem size in the same time, while as in a *strong scaling* a fixed problem size is solved more quickly with an increasing amount of resources. Due to resource limits on the common high-performance computer we chose to conduct a strong-scaling analysis with a common model setup allowing for an easier comparability of the results. By means of the scalability study we identified an optimum configuration for each coupling which served as basis to address two central questions: (1) How much does it cost to add one (or more) component(s) to COSMO-CLM? (2) How big are the costs of different components and of OASIS3-MCT to transform the information between the components’ grids? The first question can only be answered by a comparison to a reference which is, in this study, a *CCLM* stand-alone COSMO-CLM simulation. The second question can directly be answered by the measurements of LUCIA. We used this part of the OASIS3-MCT library tool to measure the computing and waiting time of each component in a coupled model system (see section 3.1.2) as well as the time needed for interpolation of fields before and after sending or receiving.

A common model setup for the CORDEX-EU domain recommended configuration was chosen for the reference model COSMO-CLM COSMO-CLM at 0.44 horizontal resolution. The other components’ setups are those used by the developers of the particular coupling (see section 2 and for more details) for climate modelling applications in the CORDEX-EU domain. This means, that I/O, model physics and dynamics is chosen in the same way as for climate applications in order to obtain a realistic estimate of the performance of the couplings. The simulated period is one month, the horizontal grid has 132 by 129 grid points and 0.44° (ca. 50 km) horizontal grid spacing. In the vertical, 45 levels are used for the CCLM+MPI-ESM and CCLM+VEG3D couplings as well as for the stand-alone COSMO-CLM-CCLM configurations. All other couplings use 40 levels. The impact of this difference on the numerical performance is compensated by a simple post-processing scaling of the measured COSMO CLM computing time $T_{CCLM,45}$ of the COSMO CLM components that employ 45 levels assuming a linear scaling of the
COSMO-CLM-CCLM computing time with the number of levels as \( T_{CCLM} = 0.8 \cdot T_{CCLM,45} \) \( + 0.2 \cdot T_{CCLM,45}^2 \). The usage of a real-case configuration allows to provide realistic computing times.

The computing architecture used is *Blizzard at Deutsches Klimarechenzentrum* (DKRZ) in Hamburg, Germany. It is an IBM Power6 machine with nodes consisting of 16 dual-core CPUs (16 processors, 32 cores). A simultaneous multi-threading (SMT; see section 3.1.2) allows to launch two processes on each core. A maximum of 64 threads that can be launched on one node.

The measures used in this paper to present and discuss the computational performance are well known in scalability analyses: (1) *time to solution* in Hours Per Simulated Year (HPSY), (2) *cost* in Core Hours Per Simulated Year (CHPSY) and (3) *parallel efficiency* (PE) (see Table 7 for details).

Usually, \( HPSY_1 \) is the time to solution of a model component executed serially, that is, using one process \((R = 1)\) and \( HPSY_2 \) is the time to solution if executed using \( R_2 > R_1 \) parallel processes. Some model components, like ECHAM, cannot be executed serially. This is why the reference number of threads is \( R_1 \geq 2 \) for all coupled-system components.

In If the resources of a perfectly scaling parallel application the costs would remain constant if the resources are doubled are doubled, the speed would be doubled and therefore the cost would remain constant, the parallel efficiency would be 100 %, the speed would be doubled and the speed-up would be 200 %. A parallel efficiency of 50 % is reached if the cost \( CHPSY_2 \) are twice as big as those of the reference configuration \( CHPSY_1 \).

### 4.2 Strategy for finding an optimum configuration

The optimization strategy that we pursue is rather empirical than strictly mathematical, which is why we understand “optimum” more as “near optimum”. Nonetheless, our results show that these empirical methods are sufficient for the complexity of the couplings investigated here and lead to satisfying results. Besides costs and Inconsistencies of the time to solution, we suggest a limit for parallel efficiency of 50 % of approximately 10 % until which increasing costs can be regarded as still acceptable. Usually, this is limiting the time to solution which can be achieved and depends on the cost efficiency of the reference configuration. In this study for all couplings the one node configuration is regarded to have 100 % parallel efficiency. This leads to the constraint \( R_{CCLM} = R_{CCLM + CCLM} = R_{CCLM + CCLM} \) for the number \( R \) of cores investigated, and a clear strategy for finding the maximum number of nodes for which \( PE \geq 50 \% \) were found between measurements obtained from simulations conducted at two different physical times. This gives a measure of the dependency of the time to solution on the status of the machine used, particularly originating from the I/O. Nevertheless, the time to solution and cost are given with higher accuracy to highlight the consistency of the numbers.

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4The estimation that 80 % of COSMO-CLM’s computations depend on the number of model levels is based on COSMO-CLM’s internal time measurements. \( T_{CCLM,45} \) is the time measured by LUCIA.

4The estimation that 80 % of COSMO-CLM’s computations depend on the number of model levels is based on COSMO-CLM’s internal time measurements. \( T_{CCLM,45} \) is the time measured by LUCIA.
The strategies for identifying an optimum configuration are different for sequential and concurrent couplings due to the possible waiting time which needs to be considered with concurrent couplings.

For sequentially running components (CCLM+CLM and CCLM+MPI-ESM) we used the SMT mode and an alternating distribution of processes to make sure that all cores were busy at all times. Hereby possible component internal load imbalances due to e.g. parts of the code not executed in parallel are neglected. A detailed analysis of CCLM+MPI-ESM performance on one node (n = 1) showed a significant reduction of time to solution and costs, if alternating instead of non-alternating distribution of processes in SMT mode (see section ?? for details) is used. The optimum configuration is found by starting the measuring of the computing time on one node for all components, doubling the resources and measuring the computing time again and again as long as each component’s gain in speed, compared to its speed on one node, outweighs the increase in costs. If costs are, however, not an issue it is suggested to stop increasing resources before a parallel efficiency of 50 % of each component model is reached.

For concurrent couplings (CCLM+NEMO-MED12 and CCLM+TRIMNP+CICE) the SMT mode with non-alternating process distribution is used aiming to speed up all components in comparison to the ST mode. The constraint for the distribution of cores is \( \sum_{m=1}^{M} R_m = \# \text{nodes} \times 32 \). A summary of the configuration of each coupled system is given in Table 8.

The optimization process of a concurrently coupled model system additionally needs to consider minimising the load imbalance between all components. This means that the computing times of all components need to be similar in order to reduce the costs due to idle cores. Practically speaking, one starts with a first guess of process distribution of processes between all components on one node, measure each component’s computing and waiting time and adjust the processes distribution between the model components if the waiting time of at least one component is larger than 5 % of the total runtime. If, finally, the waiting times of all components are small, the following chain of action is repeated several times: doubling resources for each component, measuring computing times, adjusting and re-distributing the processes if necessary. If costs are a limiting factor this is repeated until the costs reach a pre-defined limit. If costs are not a limiting factor, the procedure should be repeated until the model with the highest time to solution reaches the proposed parallel efficiency limit of 50 %.

### 4.2 Scalability results

Figure [3] shows the results of the performance measurement *time to solution* for all model components individually in coupled mode and for stand-alone COSMO-CLM-CCLM \( \text{CCLM}_{58} \) (in ST and SMT mode). As reference, the slopes of a model at no speed-up and at perfect speed-up are shown. Three groups can be identified. CLM and VEG3D have the shortest times to solution and, thus, they are the fastest components. The three ocean components and the COSMO-CLM components *models of ocean coupling with CCLM and the CCLM models* in coupled as well as in stand-alone mode need about 2–10 HPSY. The overall slowest *component models* are CICE and
ECHAM which need about 20 HPSY independently on the amount of resources used at reference configuration. Within the range of resources investigated CICE, ECHAM and VEG3D exhibit almost no speed-up—in coupled mode (i.e., including additional computations). On the contrary, MPIOM, NEMO-MED12 and CLM have a very good scalability up to the tested limit of 128 cores.

Figure 4 shows the second relevant performance measure, the absolute cost of computation in CPU×core hours per simulated year for the same couplings together with the perfect and no speed-up slopes. The aforementioned three groups slightly change their composition. VEG3D and CLM are not only the fastest but also the cheapest components, the latter becoming even cheaper with increasing resources. A little bit more expensive but mostly in the same order of magnitude as the land surface components are the ocean components MPIOM and TRIMNP followed by CICE, NEMO-MED12 and all the different COSMO-CLM components coupled CCLM. The NEMO model is approximately two times more expensive than TRIMNP. Surprisingly, the configuration of the CICE model is as expensive as the regional climate model COSMO-CLM. The most expensive coupled component is ECHAM with almost doubled costs as resources are doubled. This and the high coupling costs of COSMO-CLM coupled to MPI-ESM will be analyzed in section 5.2 and 5.2. CCLM. The cost of CCLM differ by a factor of two between the stand-alone and the different coupled versions. The most expensive one is coupled to ECHAM, which is also the most expensive component model.

In order to analyze the couplings in more detail we took measurements of stand-alone COSMO-CLM in single-threading (ST) and multi-threading (SMT) mode. The direct comparison provides the information of how much COSMO-CLM’s speed benefit and cost benefit from switching from ST to SMT mode. As shown in Fig. 3 at 16 cores the COSMO-CLM in SMT mode is 27% faster. When allocating 128 cores both modes arrive at about the same speed and costs. The parallel efficiency shown in Fig. 4 allows to understand this behavior. COSMO CLM in ST and SMT mode exhibits a very similar PE. This can be explained by increasing cost of MPI communications with decreasing number of grid points/thread. Since the number of threads in SMT mode is twice for the same number of processes and an increased loss of PE between 160-core number and 80 thus the number of grid points per process. This can be explained by a weak scalability of unavoidable communication of data between the threads computing the values in subdomains. The values at three grid points close to the subdomain boundary need to be communicated to the thread computing the values in the neighboring grid points. In conclusion, it is recommended to keep the number of horizontal grid points per process higher than 100 = 10 × 10^3.

thread is half, the scalability limit of approximately 1.5 points exchanged per computational grid point is reached at approximately 100 points/thread (if 3 boundlines are exchanged) resulting in a scalability limit at approximately 80 cores in SMT mode and 160 cores in ST mode (see also CCLM+NEMO-MED12 coupling in section 4.4).
The difference in time to solution (Fig. 3) and costs (Fig. 4) between coupled and stand-alone COSMO-CLM is a direct measure of the additional.

4.3 Strategy for finding an optimum configuration

The optimization strategy that we pursue is rather empirical than strictly mathematical, which is why we understand "optimum" more as "near-optimum". Due to the heterogeneity of our coupled systems, a single algorithm cannot be proposed (as in Balaprakash et al. (2014)). Nonetheless, our results show that these empirical methods are sufficient, regarding the complexity of the couplings investigated here, and lead to satisfying results.

Obviously, "optimum" has to be a compromise between cost and time to solution. In order to find a unique configuration we suggest the optimum to have a parallel efficiency higher than 50% of the cost of the reference configuration, until which increasing cost can be regarded as still acceptable. In the case of scalability of all components and no substantial cost of necessary additional calculations, this guarantees that the coupled-system's time to solution is only slightly bigger than that of the component with the highest cost.

However, such "optimum" configuration depends on the reference configuration. In this study for all couplings the one-node configuration is regarded to have 100% parallel efficiency.

An additional constraint is sometimes given by the CPU accounting policy of the computing centre, if consumption is measured "per node" and not "per core". This leads to a restriction of the "optimum" configuration \( (r_1, r_2, \ldots, r_n) \) of cores \( r_1 \) for each model component of the coupled system to those, for which the total number of cores \( R = \sum r_1 \) is a multiplex of the number of cores \( r_0 \; \text{per node} \); \( R = \# \text{nodes} \cdot r_0 \).

An exception is the case of very low scalability of a component model which has a time to solution and costs due to the COSMO-CLM component interface. Hereby, similar to the time to solution of the coupled model system. In this case an increase of the number of cores and the threading mode (ST or SMT) are kept constant. COSMO-CLM components of concurrent couplings are compared to stand-alone COSMO-CLM in SMT mode. COSMO-CLM components of sequential couplings are compared to stand-alone COSMO-CLM in ST mode. The latter has the same amount of processes per node and only one process per core. For coupling COSMO-CLM to ocean models, results in an increase of cost and in no decrease of time to solution. In such a case the optimum configuration is the one with lower cost, even if the limit of 50% parallel efficiency is fulfilled for the configuration with higher cost.

The strategies of identifying an optimum configuration are different for sequential and concurrent couplings due to the possible waiting time which needs to be considered with concurrent couplings.

For sequential couplings (CCLM+CLM, CCLM+VEG3D and CCLM+MPI-ESM) the SMT mode and an alternating distribution of processes (ADP) is used to keep all cores busy at all times. The
possible component-internal load imbalances, which occurs when parts of the code are not executed in parallel, are neglected. The effect of ADP has been investigated for CCLM+MPI-ESM coupling on one node \( n = 1 \) in more detail and the results are presented in section 4.6. The optimum configuration is found by starting the measuring of the computing time on one node for all components, doubling the resources and measuring the computing time again and again as long as all components’ parallel efficiencies remain above 50%. One could decide to stop at a higher parallel efficiency if cost are a limiting factor.

For concurrent couplings (CCLM+NEMO-MED12 and TRIMNP+CCLM+TRIMNP+CICE, these additional times to solution and costs are 1–5% at 16 cores and 5–13% at 32 cores. The comparison of coupled and stand-alone COSMO CLM in ST mode at 32 cores exhibits 11% additional time to solution and costs for COSMO CLM coupled to VEG3D.) the SMT mode with non-alternating processes distribution is used aiming to speed up all components in comparison to the ST mode and 76% for COSMO CLM coupled to MPI-ESM. At 128 cores, the differences increase to 21 and 93 reduce the inter-node communication.

The optimization process of a concurrently coupled model system additionally needs to consider minimizing the load imbalance between all components. For a given total number of cores (cost) used the time to solution is minimized, if all components have the same time to solution (no load imbalance) and thus no cores are idle during the simulation. Practically speaking, one starts with a first-guess distribution of processes between all components on one node, measures each component’s computing and waiting time and adjusts the processes distribution between the model components if the waiting time of at least one component is larger than 5% respectively. It is worth noting here that COSMO CLM coupled to CLM should exhibit about the same coupling costs as COSMO CLM coupled to VEG3D since both coupling interfaces lead to similar times to solution % of the total runtime. If, finally, the waiting times of all components are small, the following chain of action is repeated several times: doubling resources for each component, measuring computing times, adjusting and re-distributing the processes if necessary. If cost are a limiting factor this is repeated until the cost reach a pre-defined limit. However, as mentioned in section 4.7, CLM is coupled to cosmo_5.0_clm1 model version which is a more recent version than cosmo_4.8_clm12 used for all other couplings presented here. Therefore, the true additional costs can be slightly different.

The parallel efficiency shown in Fig. 5 gives a better understanding of the development of costs and speed. For CLM it exhibits a so-called super-linear speed-up which has not been investigated in detail. The components CICE, ECHAM and VEG3D exhibit a very fast loss of PE close to the no-speed up limit indicating nearly no scalability. TRIMNP looses PE fast in comparison to NEMO-MED12 indicating "no-speed up" of some parts of the model. The ocean models MPIOM and NEMO-MED12 are still far away from the PE limit. If cost are not a limiting factor, the procedure should be repeated until the model with the highest time to solution reaches the proposed parallel-efficiency limit of 50%.
4.4 The optimum configurations

Based on the results of the scalability study, we recommend an optimum configuration for stand-alone COSMO-CLM. We applied the strategy for finding an optimum configuration described in section 4.3 to the CCLM couplings with a regional ocean (TRIMNP+CICE or NEMO-MED12), an alternative land surface scheme (CLM or VEG3D) or the atmosphere of a global earth system model (MPI-ESM). The optimum configurations found for CCLM50 and all coupled systems which are summarized are shown in Fig. 6 and in more detail in Table 8. Considering time to solution and costs, we find that the optimum processes configuration for stand-alone COSMO-CLM is 64 cores using SMT mode resulting in 3.6 HPSY and costs of 230.4 CHPSY. This configuration will be used as common reference for all couplings to quantify the additional time and costs of adding one or more components to COSMO-CLM. The parallel efficiency used as criterion of finding the optimum configuration is shown in Fig. 5.

The optimum configurations of the couplings with CLM and the minimum number of cores, which should be used is 32 (one node). For sequential coupling an alternating distribution of processes is used and thus one CCLM and one coupled component model (VEG3D) are identical: the coupled system is using SMT mode and 128 cores for each component model. In both couplings, the time to solution of the coupled land-surface component is small in comparison to COSMO-CLM. CLM needs only 22% of the (CLM) process are started on each core. For CCLM+VEG3D = time to solution. The different COSMO-CLM version used in the coupling CCLM+CLM+CLM has a longer time to solution and costs and a higher parallel efficiency. That’s why the gain in speed still dominates the increase in costs. CLM is more expensive and thus the scalability limit of CCLM determines the optimum configuration. In this case the fair reference for CCLM is CCLM stand-alone (CCLM50) on 32 cores in single threading (ST) mode. As shown in Fig. 5 the parallel efficiency of 50% for COSMO stand-alone in ST mode is reached at 128 cores compared to the measurements at 32 cores. In the CCLM+VEG3D coupling the weak scaling behavior of VEG3D can be neglected because COSMO-CLM dominates the coupled system’s costs. At or 4 nodes and thus the 128 cores, COSMO-CLM used in the coupling CCLM core configuration is selected as optimum.

For concurrent coupling the SMT mode with non-alternating distribution of processes is used, which is more efficient than the alternating SMT and the ST modes. The cores are shared between CCLM and the coupled component models (NEMO-MED12 and TRIMNP+VEG3D) reaches a point at which the increase in costs slightly dominates the gain in speed. From this perspective, running on 96 cores would be preferable. We nonetheless chose 128 cores for a better comparison to CCLM+CLM. Both coupled system’s time to solution is only marginally bigger than that of stand-alone COSMO-CLM: 4.0 CICE). For these couplings CCLM is the most expensive component as well and thus the reference for CCLM is CCLM50 on 16 cores (0.5 node) in SMT mode. As shown in Fig. 5 the parallel efficiency of 50% for CCLM 50% for COSMO stand-alone in SMT mode using
16 cores as reference is reached at approximately 100 cores. For CCLM+CLM and 3.7 HPSY for CCLM+VEG3D. The corresponding costs are about double the costs of the stand alone reference: 512.0 and 473.6 CHPSY, respectively. The costs of the OASIS3-MCT interpolations are 3.0 % of the total coupled system’s CHPSY in the CCLM+CLM coupling which is still acceptable. There are no interpolations performed for CCLM+VEG3D.

NEMO-MED12 scales very well in the analyzed resources range making COSMO-CLM the limiting component of the CCLM+coupling a two nodes configuration with 78 cores for CCLM and 50 cores for NEMO-MED12 coupled system. Because the load imbalance was unacceptably high at a resources distribution of 64 by 64 cores, it was decided to run NEMO-MED12 with 14 cores less and giving these to COSMO-CLM was resulting in an overall decrease in load imbalance to an acceptable 3.93 % of the total costs. Surprisingly, increasing cost. Increasing the number of cores beyond 80 for COSMO-CLM did not change much the time to solution, because COSMO-CLM already approaches the parallel-efficiency limit by using 78 cores. This prevented finding the optimum configuration using three nodes. The corresponding NEMO-MED12 measurements at 50 cores are a bit out of scaling as well. This is probably caused by the I/O which increased for unknown reasons on the machine used between the time of conduction of the first series of simulations and of the optimized simulations. A further increase in resources is not recommended because COSMO-CLM already approached the parallel-efficiency limit by using 78 cores. The coupled systems’s optimum for CCLM+TRIMNP+CICE no scalability is found for CICE. As shown in Fig. 5a parallel efficiency smaller than 50 % is found for CICE at approximately 15 cores. As shown in Fig. 5b the time to solution and costs are 4.0 for all core numbers investigated is higher for CICE than for CCLM in SMT mode. Thus, a load imbalance smaller than 5 HPSY and 512.0 CHPSY, respectively. The costs for OASIS3-MCT interpolations are negligible with 0.03 % of the total costs can hardly be found using one node. The optimum configuration found is thus a one-node configuration using the CCLM reference configuration (16 cores).

Due to CICE’s low speed up and the CCLM+MPI-ESM coupling is a combination of sequential coupling between CCLM and ECHAM and concurrent coupling between ECHAM and the ocean model MPIOM. As shown in Fig. 4a MPIOM is much cheaper than ECHAM and thus, the coupling is dominated by the sequential coupling between CCLM and ECHAM. As shown in Fig. 5b ECHAM is the most expensive component and it exhibits no decrease of time to solution by increasing the number of cores from 28 to 56, i.e. it exhibits a very low scalability. Thus, as described in the strategy for finding the fact that the time to solution of CICE is generally one order of magnitude higher than that of TRIMNP and COSMO-CLM, there is no common speed of all three components. Clearly, CICE is the limiting component in this coupled system so that more than 32 cores altogether can not be used efficiently. Considering CICE’s parallel efficiency, more than 10 cores are not feasible dividing up the rest into 16 for COSMO-CLM and 6 for TRIMNP in the optimum configuration.

The total, even if a parallel efficiency higher than 50 % for up to 64 cores (see Fig. 5) is found,
the optimum configuration is the 32 core (one node) configuration, since no significant reduction of the time to solution is 18.0 HPSY and the total costs amount to 576.0 CHPSY of which 20.9% are wasted in load imbalance can be achieved by further increasing the number of cores.

In the CCLM-MPI-ESM coupling, ECHAM is the limiting component model making it not feasible for the coupled system to run on more than 32 cores. This configuration leads to a total time of solution of 34.8 hours. An analysis of additional cost of coupling requires a definition of a reference. We use the cost of CCLM stand-alone at optimum configuration \(CCLM_{32,OC}\). We found the SMT mode with non-alternating distribution of processes and 64 cores to be the optimum configuration for CCLM resulting in a time to solution of 3.6 HPSY and total costs of 1113.4 cost of 230.4 CHPSY of which 3.6% are due to the load imbalance between MPIOM and ECHAM. The costs of OASIS3-MCT horizontal interpolations are considerably small with 0.7% of the total costs. As shown in section 4.2, SMT mode with non-alternating processes distribution is the most efficient and the scalability limit is reached at approximately 80 cores in SMT mode due to limited number of grid points used. The double of 64 cores is beyond the scalability limit of this particular model grid.

### 4.5 Extra time and costs

Figure 6 exhibits significant differences between the times to solution (vertical axis) and costs (box area) of the model components component models of the coupled systems at optimum configurations of the together with the load imbalance. It exhibits significant differences between the coupled model systems, \(CCLM_{OC}\) and \(CCLM_{32,OC}\). The direct coupling cost of the OASIS3-MCT coupler are not shown. This is due to the fact that they are negligible in comparison with the cost of the model components. This is not necessarily the case, in particular when a huge amount of fields is exchanged. The relevant steps to reduce these direct coupling cost are described in section 4.6.

Table 8 gives a summary of an analysis of each optimum configuration (line 3.1 and 3.2) using the opportunities provided by LUCIA and by additional internal measurements of timing. It focuses on the cost analysis of the COSMO-CLM stand-alone time to solution and costs. These results are given quantitatively in the columns of Table 8. Its first section summarizes the configuration of each coupling. The second section gives the absolute and relative time to solution of the coupled systems together with the relative difference between the cost of time to solution \(CS\) for the coupled system and COSMO-CLM stand-alone (and \(CCLM_{32}\)) given as \(CS - CCLM_{32}\). In the following section the absolute and relative costs are given followed by relative extra costs of OASIS3-MCT horizontal interpolation and of the load imbalance. Finally, the relative differences of (line 3.3) and provides its separation into 5 components:

1. **Costs coupled component(s):** are given between the coupled system and COSMO-CLM stand-alone \((CS−CCLM_{32})\), between the coupled and stand-alone COSMO-CLM \((CCLM−CCLM_{32})\), and
between the coupled and stand-alone COSMO-CLM using the same resources as COSMO-CLM in the coupled mode (CCLM – CCLM\textsubscript{m,m}). The relative extra time and costs are given in % of the reference CCLM\textsubscript{m} time to solution and costs, respectively. cost of additional component(s), coupled to CCLM.

2. \textit{OASIS hor. interp.:} cost of OASIS horizontal interpolations between the grids and communication between the component models.

3. \textit{load imbalance:} cost of waiting time of the component model with the shorter time to solution in case of concurrent coupling.

4. \textit{CCLM\textsubscript{sa,sc} – CCLM\textsubscript{sa}:} cost difference due to usage of another CCLM process mapping (alternating/non alternating SMT or ST mode and a different number of cores).

5. \textit{CCLM – CCLM\textsubscript{sa,sc}:} extra cost of CCLM in coupled mode. It contains additional computations in the coupling interface, differences due to different model versions (as in CCLM+CLM), differences in performance of CCLM by using the core and memory by several component models and uncertainties of measurement due to variability in performance of the computing system.

The CCLM optimum configurations of sequential couplings CCLM+CLM and CCLM+VEG3D coupling can be identified as the coupling configurations with the smallest extra time (11.1 % and 2.8 %) and extra costs (cost (122.2 % and 105.6 %) in Table\textsuperscript{8}). They use 128 cores for each component model in SMT mode with alternating processes distribution (line 1.5 in Table\textsuperscript{8}). A substantial part (56.2 %) of the extra cost in CCLM+CLM is just slightly more expensive with 11.1 and CCLM+VEG3D can be explained by a different mapping of CCLM (line 3.3.4 in Table\textsuperscript{8}). The 128 CCLM processes of our reference optimum configuration are mapped on 64 cores (CCLM\textsubscript{sa,OC} mapping). The 128 COSMO-CLM processes in optimum configuration of the coupled mode are mapped on 128 cores (CCLM\textsubscript{OC} mapping) but, in each core, memory, bandwidth and disk access are shared with a land-surface model process. These higher cost can be regarded as the price for keeping the time to solution only marginally bigger than that of CCLM\textsubscript{sa,OC} (see line 2.1 in Table\textsuperscript{8}) and avoiding of 50 % additional time and 122.2 % of idle time in sequential mode. The replacement of the inexpensive CCLM model component TERRA (1 % of CCLM\textsubscript{sa} cost) by an external land surface component model is the second important part of extra cost with 4.3 % for CLM and 19.3 % additional costs. However, the couplings with soil–vegetation models do not need to have extra costs. In this case the coupled model is replacing TERRA, which is the internal soil–vegetation model of COSMO-CLM. All other couplings need to simulate additionally the regional ocean or global earth system dynamics for VEG3D (line 3.3.1 in Table\textsuperscript{8}). The 5 times higher cost of VEG3D in comparison with CCLM is due to low scalability of VEG3D (see Fig.\textsuperscript{5}). The OASIS horizontal interpolations (line 3.3.2 in Table\textsuperscript{8}) produce 6.3 %
extra cost in CCLM+CLM. No extra cost occurs due to horizontal interpolation in CCLM+VEG3D coupling, since the same grid is used in CCLM and VEG3D, and due to load imbalance, which is obsolete in sequential coupling. The remaining extra cost are assumed to be the cost difference between the coupled CCLM and CCLM\textsubscript{sa,OC}. They are found to be 55.4\% and 29.7\% for CLM and VEG3D coupling respectively. A substantial part of the relatively high extra cost of CCLM in coupled mode of CCLM+CLM might be explained by higher cost of cosmo\_5.0\_clm1, used in CCLM+CLM, in comparison with cosmo\_4.8\_clm19, used in all other couplings (see line 1.7 in Table 8). CCLM\textsubscript{sa} performance measurements with both versions (but on a different machine than Blizzard) reveal a cosmo\_5.0\_clm1 time to solution 45\% smaller than for cosmo\_4.8\_clm19.

The coupling with the concurrent coupling of CCLM with NEMO for Mediterranean Sea (CCLM\_+NEMO-MED12) is as expensive as CCLM+CLM. The coupling with NEMO exhibits at the systems’ optimum configuration 4.0 HPSY time to solution and 512.0 CHPSY cost (line 3.1 and 3.2 in Table 8). The extra cost of 122\% are dominated by the cost of the coupled component, which are 79.9\% of the CCLM\textsubscript{sa,OC} cost. The second important cost of 16.3\% can be explained by the higher number of cores used by CCLM\textsubscript{OC} than CCLM\textsubscript{sa,OC} at optimum configurations (line 1.5 and 3.3.4 in Table 8). The load imbalance of 6.9\% of CCLM\textsubscript{sa,OC} is below the intended limit of 5\% of the cost of the coupled system. The extra cost of CCLM\textsubscript{OC} of 19\% are smaller than for the land surface scheme couplings.

The optimum configuration of the coupling with TRIMNP+CICE for the North and Baltic Sea (CCLM+TRIMNP+CICE) has a time to solution of 18 HPSY and cost of 576 CHPSY. This is 3.5 times longer than CCLM\textsubscript{sa,OC} due to lack of scalability of the sea ice model CICE and costs 1.5 times more than the optimum stand alone COSMO-CLM. The most expensive coupling presented here is the coupling with the global atmosphere (CCLM+MPI-ESM). It takes 7.5 times longer due to lack of scalability of the additional computations in MPI-ESM and costs almost four times more. Section 3.3.4, in which the CCLM+MPI-ESM extra time expensive than CCLM\textsubscript{sa,OC} (line 2.3 and costs are discussed, provides a comparison with MPI-ESM stand alone as well.

The comparison of costs of the coupled and stand-alone COSMO-CLM (Table 8 line 14) shows a major dependency on the number of allocated cores. Despite the longer runtime, COSMO-CLM coupled to TRIMNP+CICE is by 273.3 of Table 8. The dominating component of the extra cost are the cost of the coupled models. The ocean model TRIMNP cost 27.2\% and the ice model CICE 77.9\% of CCLM\textsubscript{sa,OC} cost. The second important component of extra cost is the load imbalance. Due to CICE’s low speed-up and the fact that the time to solution of CICE is generally significantly higher than that of TRIMNP and CCLM, there is no common speed of all three components. The load imbalance at optimum configuration is 71.5\% of CCLM\textsubscript{sa,OC} cost. However, a further decrease of CCLM and TRIMNP cores reduces the load imbalance but not the cost of coupling, since the time to solution of CICE is decreasing very slowly with the number of processors. The CCLM mapping used in the coupled system is 30\% cheaper than the optimum stand alone COSMO-CLM only because
of 16 cores used instead of 64. The additional costs of COSMO-CLM using 78 cores and coupled to NEMO-MED12 are 35.4% of $CCLM_{39,OC}$. This is reducing the extra cost without increasing the time to solution. The OASIS3-MCT interpolation cost of 0.8 % of COSMO-CLM using 128 cores and coupled to VEG3D and CLM are 87.2% of $CCLM_{39,OC}$ cost are negligible. The extra cost of CCLM in coupled mode are found to be 2.6% and 119.2%, respectively. An exception are the additional costs of 83.1% for COSMO-CLM using 32 cores and coupled to MPI-ESM% of $CCLM_{39,OC}$ cost only.

To quantify the additional costs by the COSMO-CLM coupling interface, all coupled COSMO-CLM components are compared to the stand-alone COSMO-CLM reference using the same configuration (thread mode and number of cores; see Table ?? line 15). The COSMO-CLM interface with the smallest additional costs of 4.9% in the most complex (see definition in Balaji et al. (2017)) and most expensive coupling presented here is the sequential coupling of CCLM atmosphere with the atmosphere of the global earth system model MPI-ESM. The letter is a concurrent coupling via OASIS3-MCT between the global atmosphere model ECHAM and the global ocean model MPIOM. At optimum configuration the time to solution of CCLM+ECHAM+MPIOM is 34.8 HPSY and the cost are 1113.6 CHPSY (line 2.1 and 3.3.1 in Table ??). It takes 7.67 times longer than $CCLM_{39,OC}$ due to lack of scalability of ECHAM in coupled mode. A model-internal timing measurement revealed no scalability and high cost of a necessary additional computation of horizontal derivatives executed in ECHAM coupling interface using a spline method. Connected herewith, the cost of ECHAM, which are 26.1% of the one of COSMO CLM coupled to NEMO-MED12, followed by 17.2% are the dominating component of the total extra cost of 383% when coupled with TRIMNP+CICE, 20.4% of $CCLM_{39,OC}$ cost. The second coupled component model MPIOM cost 20.1% when coupled to VEG3D. The additional costs of COSMO-CLM coupled to CLM are 40.9. The load imbalance using 4 cores for MPIOM and 28 for ECHAM is 17.2%. However, they are not the true additional costs due to different COSMO-CLM versions used in stand-alone and in the coupled system simulations. The coupling interface of COSMO-CLM a further reduction of the number of MPIOM cores (and increase of the number of ECHAM cores) can reduce the load imbalance but not the time to solution and cost of MPI-ESM. The cost of CCLM stand-alone using the same mapping ($CCLM_{39,3c}$) as for CCLM coupled to MPI-ESM exhibits the biggest additional costs with 76.4% is 4.3% (see section ?? for details).

Figure ?? shows no direct coupling costs of the OASIS3-MCT coupler. This is due to the fact that they are negligible in comparison with the costs of the model components. This is not necessarily the case, in particular when a huge amount of fields is exchanged. The relevant steps to reduce the direct coupling costs are described in section ?? higher than the cost of $CCLM_{39,OC}$ (line 3.3.4 in Table ??). Interestingly, the cost of OASIS horizontal interpolations is 3.3% only. This achievement is discussed in more detail in the next section. Finally, the extra cost of CCLM in coupled mode of CCLM+ECHAM+MPIOM are 77.4%. They are the highest of all couplings.
Additional internal measurements allowed to identify additional computations in CCLM coupling interface to be responsible for a substantial part of these cost. The vertical spline interpolation of the 3D fields exchanged between the models was found to consume 51.8% of $CCLM_{3D,OC}$ cost, which are 2/3 of the extra cost of $CCLM_{OC}$.

Interestingly, a direct comparison of complexity and grid point number $G$ (see definition in Balaji et al. (2017)) given in Table 3 with extra cost of coupling given in Table 8 for CCLM as $CCLM - CCLM_{sa,OC}$, are resulting from additional computations necessary for coupling. They are described in section 3.2, exhibits, that the couplings with short time to solution and lowest extra cost are those of low complexity. On the other hand, the most expensive coupling with longest time to solution is that of highest complexity and with largest number of gridpoints.

4.5.1 Direct coupling costs

4.6 Coupling cost reduction

The CCLM+MPI-ESM coupling is one of the most intensive couplings that has up to now been realized with OASIS3(-MCT) in terms of number of coupling fields and coupling time steps: 450 2D fields are exchanged every ECHAM coupling time step, that is, every ten simulated minutes (see section 3.2). Most of these 2D fields are levels of 3D atmospheric fields. We show in this section that a conscious choice of coupling software and computing platform features can have a significant impact on simulation speed and cost of time to solution and cost.

To make the CCLM+MPI-ESM coupling more efficient, all levels of a 3D variable are sent and received in one MPI message using the concept of pseudo-3D coupling, as described in section 3.2, thus reducing the number of sent and received fields (see Table 4). The change from 2D to pseudo-3D coupling lead to a decrease of the cost of the coupled system running on 32 cores by 3.7% of the coupled system, which corresponds to 35.725% of $CCLM_{sa,OC}$. Since this measured computing time does not include OASIS3-MCT interpolations, the decrease can be attributed to a reduction in MPI communications. The cost of $CCLM_{sa,OC}$ cost. At the same time the cost of the OASIS3-MCT interpolations are reduced by 24.0% by 76%, which corresponds to an overall additional reduction of 1.4% cost by 12% of the costs of the coupled system or 13.5$CCLM_{sa,OC}$ cost. The total reduction of cost by exchanging one 3D field are 34% of $CCLM_{sa,OC}$ cost.

The second optimization step is a change of hardware usage mapping of running processes on cores. Instead of non-alternating, an alternating processes distribution of cores is used. On one node, this reduced the coupled system’s distribution of processes of sequentially running component models is used such that on each core one process of each component model is started. This reduced the time to solution and cost of the coupled system running on 32 cores and using pseudo-3D coupling by 35.8%, which is 226% of $CCLM_{sa,OC}$. The expected reduction of time to solution and costs by 35.1 is 25.5%.

It is a combined effect of increasing the time to solution by changing
the mapping from 16 cores in SMT mode to 32 cores in ST mode (here $CCLM_{sa}$ measurements are used) and of reducing it by making 50%. An even higher decrease of the idle time of the cores in sequential coupling available for computations. A separate investigation of CCLM, ECHAM and MPIOM time to solution and cost revealed strong deviations from the expectation for the individual components. A higher relative decrease of 46.4% was found for MPI-ESM-ECHAM due to a dramatic reduction of the time to solution of the inefficient calculation of the derivatives (needed for coupling with COSMO-CLM only) by one process. The COSMO-CLM’s time to solution in coupled mode was reduced by 9.2%. This gain is smaller than what could have been expected from the stand-alone COSMO-CLM measurements. Going from 16 cores in SMT mode to 32 cores in ST mode is resulting in a reduction of time to solution by 25.8% only. Additional internal measurements of CCLM revealed, that the discrepancy of 16.3%. The discrepancy of 16.3% = (25.5 − 9.2)% originates from the reduced scalability of some subroutines of COSMO-CLM in coupled mode, which is probably related to sharing of storage space between COSMO-CLM and ECHAM if running on the same core in coupled mode. In particular the COSMO-CLM interface and the physics computations show almost no speed-up.

As demonstrated, the implementation of the The combined effect of usage of 3D-field exchange and of an alternating processes distribution lead to an overall reduction of the total time to solution and costs of the coupled system CCLM+MPI-ESM by approximately 4039%. This corresponds to approximately 387 and corresponds to 261% of the $CCLM_{sa}$ costs.

### 4.6.1 Additional costs and time to solution

Several of the couplings investigated exhibit unnecessarily high costs of individual components and/or a lack of scalability. This can originate from additional computations, from a different behaviour of the model components if coupled and/or from specific properties of the machine used.

The scalability results of all coupled components exhibit a weak scaling of parts of VEG3D, TRIMNP, CICE and ECHAM. In the CCLM+VEG3D coupling, this circumstance is negligible because the main costs lie with COSMO-CLM. However, all other component models make an efficient coupling at higher speed rather difficult (see Fig. [4]).

An analysis of the origin of increased time to solution and/or costs of the component models in coupled mode requires the availability of a model-internal analysis of timing. This information is available for the CCLM+MPI-ESM coupling.

Figures [22] and [22] show the time to solution and costs of the model system components, of the CCLM+MPI-ESM coupled system and of the “improved” coupled system and its components. The latter are calculated by neglecting two of the additional computations, which, first, have been found to be responsible for the majority of the additional time to solution and, second, can be replaced by significantly more efficient alternative methods.
The first computation neglected is the calculation of horizontal derivatives executed in the ECHAM component interface (see Fig. 3.2). It increases the costs from 170 HPSY (ECHAM; see Fig. ??) to 620 HPSY (ECHAM; see Fig. ??) if 32 cores are used for CCLM+MPI-ESM. This has two reasons: First, a costly third-order spline method is used. This can be replaced by a fourth-order explicit interpolation. Second, the calculation can be executed only on one core due to a lack of a halo in ECHAM needed for the exchange of neighboring grid point values among cores with a common boundary. This leads to a substantial load imbalance (not seen by LUCIA) and a fast loss of parallel efficiency with increasing number of cores. To overcome this problem, there are two possibilities: Either halos are introduced in ECHAM, which is planned for the upcoming ECHAM model version or the derivatives are calculated in COSMO-CLM and sent to ECHAM additionally to the absolute fields. The second option is the preferred one. ECHAM (improved) is the fastest and second-cheapest (after MPIOM) of the coupled models.

The second additional computation neglected is the vertical interpolation of the exchanged model variables in COSMO-CLM. It increases the costs from 310 HPSY (CCLM (improved)) to 430 HPSY (CCLM; see Fig. ??). The interpolation method used is a spline interpolation, which is rather costly interpolation and which can be replaced by a second-to-fourth order explicit interpolation.

A neglect of the two inefficient additional computations decreases the costs from 1050 (CCLM+MPI-ESM) to 480 (CCLM+MPI-ESM (improved)) CHPSY if 32 cores are used and from 3100 to 850 CHPSY if 128 cores are used. It reduces the time to solution from 34.8 HPSY to 17 HPSY if 32 cores are used and from 26 to 6.8 HPSY if 128 cores are used (see Fig. ??). Using 32 cores the costs of CCLM+MPI-ESM (improved) are 108 % higher and the time to solution is 212 % longer. Using 128 cores, the costs are 234 % higher and the time to solution is 88 % longer than for CCLM. Thus, CCLM+MPI-ESM (improved) can have a time to solution which is comparable to CCLM and other couplings at 30 % higher costs. However, this improvement of the computational performance remains for future work.

5 Conclusions

We present the couplings between the regional land-atmosphere climate model COSMO-CLM and two land surface schemes (VEG3D, CLM), two ocean models (NEMO, TRIMNP+CICE) for the Mediterranean Sea and for the North and Baltic Sea and the global atmosphere of MPI-ESM earth system model using the fully parallelized coupler OASIS3-MCT. A unified OASIS3-MCT interface (UI) was developed and successfully applied for all couplings. All couplings are organized in a least intrusive way such that the modifications of all model components are mainly limited to the call of two subroutines receiving and sending the exchanged fields (as shown in Fig. ?? to ??). The
The next step is the development of the UOI for multiple couplings which allows regional climate system modelling over Europe.

A series of simulations has been conducted aiming with an aim to analyse the computational performance of the couplings. The CORDEX-EU grid configuration of COSMO-CLM on a common computing system (Blizzard at DKRZ) has been used in order to keep the results for time to solution, costs and parallel efficiency comparable.

The results confirm the finding that the parallel efficiency is decreasing substantially if the number of grid points per core is well below 100. For the configuration used (120x110 grid points) this limits the number of nodes, which can be used efficiently, to approximately four (128 cores or 256 threads).

The LUCIA tool of OASIS3-MCT has been used to measure the computing time used by each model component and the coupler for communication and horizontal interpolation in dependence on the computing resources used. This allows to estimate an estimation of the computing time for intermediate computing resources and thus to determine determination of an optimum configuration based on a limited number of measurements. Furthermore, the scaling of each model component of the coupled system can be analysed and compared with that of the model in stand-alone mode. Thus, the additional costs of the coupling - extra cost of coupling is measured - and the origins of the relevant additional costs are measured - extra cost can be analysed.

The scaling of COSMO-CLM was found to be very similar in stand-alone and in coupled mode. The weaker scaling, which occurred in some configurations, was found to originate from additional computations which do not scale but are necessary for coupling which are not scaling. In some cases the model physics or the I/O routines exhibited a weaker scaling - most probably due to limited memory.

For the first time a sequential coupling of approximately 450 2D fields using the parallelized coupler OASIS3-MCT was investigated. It was shown that the direct costs of coupling by OASIS3-MCT (interpolation and communication) are negligible in comparison with the costs of the coupled atmosphere-atmosphere model system. We showed that the exchange of one (pseudo-)3D field instead of many 2D fields reduces the costs of communication drastically. Furthermore, the idling of cores due to sequential coupling could be avoided by a dedicated launching of one process of each of the two sequentially running models on each core making use of the multi-threading mode available on Blizzard the machine Blizzard used and on several other machines.

Inconsistencies of the time to solution of approximately 10% were found between measurements obtained from simulations conducted at different physical times. This gives a measure of the dependency of the time to solution on the status of the machine used, originating in particular from the I/O.
A strategy for finding an optimum configuration was developed. Optimum configurations were identified for all investigated couplings considering all three aspects of climate modeling performance: time to solution, \textit{costs} and parallel efficiency. The optimum configuration of coupled systems, that involve a coupled system, that involves a component not scaling well with the available resources, is suggested to have as small costs as acceptable from the point of view of the be used at minimum cost, if time to solution cannot be decreased significantly. This is the case for the CCLM+MPI-ESM and the CCLM+TRIMNP+CICE coupling couplings. An exception is the CCLM+VEG3D coupling. VEG3D was found to have a weak scaling but a small \textit{work-load} in comparison to COSMO-CLM. Thus, it has \textit{near-minimal} impact on the performance of the coupled system.

The analysis of the optimum configurations led to the identification of a weak scalability of the MPI-ESM, CICE and VEG3D model components and high costs of additional computations in COSMO CLM when coupled with MPI-ESM or CLM (see line 15, \textit{extra cost of coupling at optimum configuration using LUCIA and CCLM stand-alone performance measurements allowed to distinguish five components (line 3.3.1-3.3.5 in table 3)}. A detailed analysis of the origin of weak scalability and cost of coupled components, OASIS horizontal interpolation and communication (direct coupling cost), load imbalance (if concurrently coupled), additional/or increased costs was based on the time measurements of the subroutines of the model components which was only available for CCLM+MPI-ESM. The quantification of the additional costs at different configurations allowed to analyze the potential for improved performance and to develop a strategy by replacing the spline derivatives calculations and interpolation by explicite methods and by parallel calculation of the derivatives. A direct comparison of the land model couplings exhibits a doubling of costs minor cost of different mapping of CCLM and extra cost of CCLM in coupled mode. The letter contain in particular the cost of additional computations of coupling and extraordinary model behavior in coupled mode. This allowed to identify the bottlenecks of each coupling and to gain understanding, which are avoidable and/or dependent.

The optimum configuration of land surface scheme couplings exhibit same speed and doubling of cost in comparison with COSMO-CLM stand-alone and higher costs for CCLM. It was found to be close to its absolute optimum, since 60 % to 75 % of the extra cost of coupling are unavoidable. These are the extra cost of (1) keeping the speed of the coupled system high, resulting in an unavoidable increase of cost with core number, (2) the need of using the less efficient single threading mode to avoid 50 % of idle time of cores in sequential coupling and (3) the cost of the coupled component. The main part of high extra cost of CCLM in coupled mode (+CLM than for CCLM55.4%) could be attributed to higher cost of the model version used in CCLM+VEG3D due to higher costs of additional computations in COSMO-CLM. The direct comparison of the ocean couplings shows a doubling of the costs for NEMO and a factor of 2.5 for the CLM coupling.
The optimum configuration of the regional ocean coupling for the Mediterranean CCLM+NEMO-MED12 exhibits same speed and doubling of cost as well. In this case the cost of the ocean model are much higher and the extra cost of mapping are much smaller, which is due to usage of concurrent coupling.

The optimum configuration of the regional ocean coupling for the North and Baltic Sea CCLM+TRIMNP+CICE coupling exhibits much higher time to solution (+350%) and cost (+150%) due to lack of scaling of the CICE component model. High extra cost of load imbalance (71%) are related to the lack of scaling of CICE as well.

A direct comparison between NEMO and TRIMNP+CICE is not possible because the costs cost of NEMO-NORDIC have not been measured on the same machine and for the same configuration. The lower parallel efficiency and costs cost of TRIMNP in comparison with NEMO-MED12 might result from the smaller number of grid points in the can be more than explained by the difference in the number of gridpoints and time steps. The surface of North and Baltic Sea than in the Mediterranean Sea is approximately half of the Mediterranean surface. Furthermore, approximately a double horizontal resolution is used in the NEMO-MED12 coupling resulting in a factor of 16.

The application of the optimum configuration of the coupling between the regional and global atmosphere CCLM+MPI-ESM exhibits the longest extra time to solution (766%) and highest extra cost (383%). They were resulting from extraordinary high cost and no scalability of ECHAM (261%) and high extra cost of CCLM in coupled mode (77%). A more detailed analysis of the origins of these extra cost was possible due to availability of additional internal time measurements of the component models. This revealed that additional computations necessary for coupling are responsible for the extra costs. The lack of scaling of ECHAM was due to non-parallelised computation of derivatives in the ECHAM coupling interface. The high extra cost of CCLM in coupled mode are due to necessary additional vertical interpolation in the CCLM coupling interface.

The procedure of finding an optimum configuration presented here is was found applicable to each coupling layout investigated and thus it could be applicable to other coupled model systems as well.

The Analysis of extra cost of coupling was found to be a useful step of development of a Regional Climate System Model, which is coupling several model components. It provides useful information on the bottle necks of each coupling and allows to estimate the Bottle-necks of coupling have been identified in the CCLM+TRIMNP+CCLM and the CCLM+MPI-ESM couplings. The results for time to solution, costs cost and parallel efficiency of different couplings can serve as a starting point for finding an optimum coupling layout and configuration for multiple couplings. It is applicable to each coupling layout and thus it could be very helpful for an efficient usage of other coupled model systems as well.
Appendix A:  Source code availability

COSMO-CLM is an atmosphere model coupled to the soil-vegetation model TERRA. Other regional processes in the climate system like ocean and ice sheet dynamics, plant responses, aerosol-cloud interaction, and the feedback to the GCM driving the RCM are made available by coupling COSMO-CLM via OASIS3-MCT with other models.

The COSMO-CLM model source code is freely available for scientific usage by members of the CLM-Community (www.clm-community.eu). The CLM-Community is a network of scientists who accept the CLM-Community agreement. For details on how to become a member, please check the CLM webpage.

The current recommended version of COSMO-CLM is COSMO_131108_5.0_clm93. It comes together with a recommendation for the configurations for the European domain.

The development of fully coupled COSMO-CLM is an ongoing research project within the CLM-Community.

The unified coupling interface via OASIS3-MCT is available by contacting one of the authors and will be part of a future official COSMO-CLM version. All other components, including OASIS interface, are available by contacting the authors. The OASIS3-MCT coupling library can be downloaded at https://verc.enes.org/oasis/.

The two way coupled system CCLM+MPIESM was developed at BTU Cottbus and FU Berlin.

Please contact Andreas Wilk (will@b-tu.de) for more information about the source codes.

The Community Land Model (CLM) is freely available as part of the Community Earth System Model (CESM) package and can be obtained through a SVN server after registration. Registration and access: http://www.cesm.ucar.edu/models/cesm1.2.

For information about a possible usage of VEG3D, please contact Marcus Breil at KIT (marcus.breil@kit.edu).

The Nucleus for European Modelling of the Ocean (NEMO) is a community model. It can be adapted for regional and global applications. To access NEMO, please visit the webpage http://www.nemo-ocean.eu/ and register there with signing the CeCILL licence agreement. Please contact Jennifer Brauch (jennifer.brauch@dwd.de) to get more information about the employed NEMO configurations.

For information about the modified version of TRIMNP, please contact Ha Hagemann at HZG (ha.hagemann@hzg.de). The sea ice model CICE version 5.0 is developed at the Los Alamos National Laboratory, USA (http://oceans11.lanl.gov/trac/CICE/wiki). Please contact Ha Hagemann at HZG for more details to set up CICE for the North Sea and Baltic Sea.

5Status of October 2016
Appendix B: Model time step organisation

In the following, the time step organisation within the coupled models is described. This aims at providing a basis of understanding of the coupling between the models.

B1 COSMO-CLM

Figure 7 gives an overview of the model initialization procedure, of the Runge-Kutta time step loop and of final calculations. The subroutines that contain all modifications of the model necessary for coupling are highlighted in red.

At the beginning \((t = t_m)\) of the COSMO-CLM time step \((\Delta t)_c\) in \texttt{initialize\_loop} the lateral, top and the ocean surface boundary conditions are updated. In \texttt{organize\_data} the future boundary conditions at \(t_f \geq t_m + \Delta t_c\) on the COSMO grid are read from a file (if necessary). As next \texttt{send\_fld} and \texttt{receive\_fld} routines are executed sending the COSMO-CLM fields to or receiving them from OASIS3-MCT in coupled simulations (if necessary). The details including the positioning of the \texttt{send\_fld} routines will be explained in section 3.2 to 3.5.

At the end of the \texttt{initialize\_loop} routine the model variables available at previous \(t_p \leq t_m\) and next time \(t_m < t_f\) of boundary update are interpolated linearly in time (if necessary) and used to initialize the boundlines of the COSMO-CLM model grid at the next model time level \(t_m + (\Delta t)_c\) for the variables \(u\) and \(v\) wind, temperature and pressure deviation from a reference atmosphere profile, specific humidity, cloud liquid and ice water content, surface temperature over water surfaces and - in the boundlines only - surface specific humidity, snow surface temperature and surface snow amount.

In \texttt{organize\_physics} all tendencies due to physical parameterizations between the current \(t_m\) and the next time level \(t_m + (\Delta t)_c\) are computed in dependence on the model variables at time \(t_m\). Thus, they are not part of the Runge-Kutta time stepping. In \texttt{organize\_dynamics} the terms of the Euler equation are computed.

The solution at the next time level \(t_m + (\Delta t)_c\) is relaxed to the solution prescribed at the boundaries using an exponential function for the lateral boundary relaxation and a cosine function for the top boundary Rayleigh damping \cite{Doms and Baldauf 2015}. At the lower boundary a slip boundary condition is used together with a boundary layer parameterisation scheme \cite{Doms et al. 2011}.

B2 MPI-ESM

Figure 8 gives an overview of the ECHAM leapfrog time step (see \cite{DKRZ 1993} for details). Here the fields at time level \(t_{n+1}\) are computed by updating the time level \(t_{n-1}\) using tendencies computed at time level \(t_n\).

After model initialization in \texttt{initialize} and \texttt{init\_memory} and reading of initial conditions in \texttt{io\_restart} or \texttt{io\_initial} the time step begins in \texttt{stepon} by reading the boundary conditions.
for the coupled models in bc_list_read if necessary, in this case for the ocean model MPIOM.

In couple_get_o2a the fields sent by MPIOM to ECHAM (SSTs, SICs) for time level \( t_n \) are received if necessary.

The time loop (stepon) has three main parts. It begins with the computations in spectral space, followed by grid space and spectral-space computations. In scan1 the spatial derivatives (sym2, ewd, fft1) are computed for time level \( t_n \) in Fourier space followed by the transformation into grid-space variables on the lon/lat grid. Now, the computations needed for two-way coupling with COSMO-CLM (twc) are done for time level \( t_n \) variables followed by advection (dyn, ldo_advection) at \( t_n \), the second part of the time filtering of the variables at time \( t_n \) (tf2), the calculation of the advection tendencies and update of fields for \( t_{n+1} \) (ldo_advection). Now, the first part of the time filtering of the time level \( t_{n+1} \) (tf1) is done followed by the computation of physical tendencies at \( t_n \) (physc). The remaining spectral-space computations in scan1 begin with the reverse fourier transformation (fftd).

B3 NEMO-MED12

In Fig. 9 the flow diagram of NEMO 3.3 is shown. At the beginning the mpp communication is initialized by cpl_prism_init. This is followed by the general initialisation of the NEMO model.

All OASIS3-MCT fields are defined inside the time loop, when sbc (surface boundary conditions) is called the first time. In sbc_cpl_init the variables which are sent and received are defined over ocean and sea ice if applicable. At the end of sbc_cpl_init the grid is initialized, on which the fields are exchanged. In cpl_prism_rcv NEMO receives from OASIS3-MCT the fields necessary as initial and upper boundary conditions. NEMO-MED12 and NEMO-Nordic follow the time lag procedure of OASIS3-MCT appropriate for concurrent coupling. NEMO receives the restart files provided by OASIS3-MCT containing the COSMO-CLM fields at restart time. At all following coupling times the fields received are not the COSMO-CLM fields at the coupling time but at a previous time, which is the coupling time minus a specified time lag. If a sea ice model is used, the fluxes from COSMO-CLM to NEMO have to be modified over surfaces containing sea ice. Hereafter, NEMO is integrated forward in time. At the end of the time loop in sbc_cpl_snd the surface boundary conditions are sent to COSMO-CLM. After the time loop integration the mpp communication is finished in cpl_prism_finalize.

B4 TRIMNP+CICE

Figures 10 and 11 show the flow diagrams of TRIMNP and CICE in which red parts are modifications of the models and blue parts are additional computations necessary for coupling. First, initialization is done by calling init_mpp and cice_init in TRIMNP and CICE, respectively. In cice_init, the model configuration and the initial values of variables are set up for CICE while for TRIMNP setup_cluster is used for the same purpose. In both models the receiving
(ocn_receive_fld, ice_receive_fld) and sending (ocn_send_fld, ice_send_fld) subroutines are used in the first time step \( (t = 0) \) prior to the time loop to provide the initial forcing. The time loop of TRIMNP covers a grid loop in which several grids on higher resolutions are potentially one-way nested for specific sub-regions with rather complex bathymetry, e. g. Kattegat of the North Sea. Note that for the coupling, only the first/main grid is applied. The grid loop begins with **rcv_parent_data** that sends data from the coarser grid to the nested grid. Then, **do_update** updates the forcing data passed from COSMO-CLM and CICE as well as the lateral boundary data are read from files. After updating, the physics and dynamics computations are mainly done in **heat_flux**, **turbo_adv**, **turbo_gotm**, **do_constituent**, **do_explicit** and **do_implicit**. At the end of the grid loop, the main grid sends data to the finer grid by calling **snd_parent_data** if necessary. At the end of each time step, output and restart data are written to files. Eventually, **stop_mpp** is called at the end of the main program to de-allocate the memory of all variables and finalize the program.

The time loop of CICE has two main parts. In the first part **ice_step**, physical, dynamical and thermo-dynamical processes of the time step \( t = t_n \) are mainly computed in **step_therm1**, **step_therm2**, **step_radiation**, **biogeochemistry** and **step_dynamics**, followed by **write_restart** and **final_restart** for writing the output and restart files. Then, the time step is increased to a new time step \( t = t_{n+1} \), followed by an update of forcing data from COSMO-CLM and TRIMNP via **ice_receive_fld** if necessary and a sending of fields to COSMO-CLM and TRIMNP via **ice_send_fld**. At the end of the time loop, all file units are released in **release_all_fileunits** and **oas_ice_finalize** concludes the main program.

**B5 VEG3D**

Figure [12] shows the flow diagram of VEG3D for the coupled system. In a first step the subroutine **oas_veg3d_init** is called in order to initialize the MPI communication for the coupling. Afterwards, the model setup is specified by reading the VEG3D namelist and by loading external landuse and soil datasets. The definition of the grid and the coupling fields is done in **oas_veg3d_define**.

The main program includes two time loops. In the first time loop vegetation parameters are calculated for every simulated day. In the second loop (over the model time steps) the coupling fields from COSMO-CLM are received via OASIS3-MCT in **receive_fld_2cos** at every coupling time step. Using these updated fields the energy balance of the canopy for the current time level \( t_n \) is solved iteratively and based on this the latent and sensible heat fluxes are calculated. The heat conduction and the Richardson equation for the time level \( t_{n+1} \) are solved by a semi-implicit Crank-Nicholson method. After these calculations the simulated coupling fields from VEG3D are sent to COSMO-CLM in **send_fld_2cos**. At the end, output and restart files are written for selected time steps. The **oas_veg3d_finalize** subroutine stops the coupling via OASIS3-MCT.
CLM is embedded within the CESM modelling system and its multiple components. In the case of land-only simulations, the active components are the driver/internal coupler (CPL7), CLM and a data atmosphere component. The later is substituted to the atmospheric component used in coupled mode and provides the atmospheric forcing usually read from a file. In the framework of the OASIS3-MCT coupling, however, the file reading is deactivated and replaced by the coupling fields received from OASIS3-MCT (receive_field_2cos). The send operation (send_field_2cos) is also positioned in the data atmosphere component in order to enforce the same sequence of calls as in CESM. The definition of coupling fields and grids for the OASIS3-MCT coupling is also done in the data atmosphere component during initialization before the time loop. Additionally, the initialization (oas_clm_init) and finalization (oas_clm_finalize) of the MPI communicator for the OASIS3-MCT coupling is positioned in the CESM driver, respectively before and after the time loop. The sequence of hydrological and biogeophysical calculations during the time loop are given in black and the calls to optional modules are marked in grey.

Acknowledgements. The development of COSMO-CLM couplings would have not been possible without the continuous work done by OASIS, COSMO and CLM-Community colleagues and provision of computing time and support by computing centers. In particular we would like to thank Ulrich Schaettler (DWD) and Hans-Jürgen Panitz (KIT Karlsruhe) for source code maintenance of COSMO and COSMO-CLM. The OASIS support leading to our results received funding from the European Union Seventh Framework program under the IS-ENES2 project (grant agreement no. 312979). The overall support and provision of computing time by DKRZ Hamburg and the hosting of a developers workshop by CSCS Lugano are highly acknowledged.

Finally, we would like to highlight the contributions to the work presented here by further colleagues. First of all, Irina Fast provided the solution for dedicated distribution of model tasks on cores and the MPI-ESM version using the OASIS3-MCT coupler. Andreas Dobler (FU Berlin) made the pioneering work in coupling of COSMO-CLM using OASIS3. Sophie Valcke (CERFACS) provided the OASIS3-MCT support necessary to solve the problems of coupling with a regional model. Last but not least, Matthieu Leclair (ETH Zürich) helped to improve the manuscript a lot.
References


Table 1: **List of acronyms** used throughout the paper

<table>
<thead>
<tr>
<th>Acronym</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>COSMO</td>
<td>Limited-area model of the COnsortium for Small-scale MOdelling</td>
</tr>
<tr>
<td>COSMO-CLM</td>
<td>COSMO model in CLimate Mode</td>
</tr>
<tr>
<td>CCLM</td>
<td>Short for COSMO-CLM used in figures, tables, formulas and coupled system acronyms</td>
</tr>
<tr>
<td>$CCLM_{OC}$</td>
<td>$CCLM$ in coupled mode using the mapping of optimum processor configuration</td>
</tr>
<tr>
<td>$CCLM_{sa}$</td>
<td>$CCLM$ stand-alone, not in coupled mode</td>
</tr>
<tr>
<td>$CCLM_{sa,sc}$</td>
<td>$CCLM_{sa}$ using the same mapping as in coupled mode</td>
</tr>
<tr>
<td>$CCLM_{sa,OC}$</td>
<td>$CCLM_{sa}$ using the mapping of optimum processor configuration</td>
</tr>
<tr>
<td>CLM</td>
<td>Community Land Model of NCAR</td>
</tr>
<tr>
<td>VEG3D</td>
<td>Soil and vegetation model of KIT</td>
</tr>
<tr>
<td>NEMO</td>
<td>Community model ‘Nucleus for European Modelling of the Ocean’</td>
</tr>
<tr>
<td>TRIMNP</td>
<td>Tidal, Residual, Intertidal mudflat Model Nested parallel Processing regional ocean model</td>
</tr>
<tr>
<td>CICE</td>
<td>Sea ice model of LANL</td>
</tr>
<tr>
<td>MPI-ESM</td>
<td>Global Earth System Model of MPIfM Hamburg</td>
</tr>
<tr>
<td>ECHAM</td>
<td>Atmosphere model (ECMWF dynamics and MPIfM Hamburg physics) of MPI-ESM</td>
</tr>
<tr>
<td>MPIOM</td>
<td>MPIfM Hamburg Ocean Model of MPI-ESM</td>
</tr>
<tr>
<td>OASIS3-MCT</td>
<td>Coupling software for Earth System Models of CERFACS</td>
</tr>
<tr>
<td>CESM</td>
<td>Community Earth System Model</td>
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**Institutions**

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<th>Institution</th>
<th>Location</th>
</tr>
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<tr>
<td>MPIfM</td>
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</tr>
<tr>
<td>LANL</td>
<td>Los Alamos National Laboratory, USA</td>
</tr>
<tr>
<td>CERFACS</td>
<td>Centre Europeen de Recherche et de Formation Avancee en Calcul Scientifique, Toulouse, France</td>
</tr>
<tr>
<td>CLM-Community</td>
<td>Climate Limited-area Modelling (CLM-)Community</td>
</tr>
<tr>
<td>ECMWF</td>
<td>European Center for Medium Range Weather Forecast, Reading, Great Britain</td>
</tr>
<tr>
<td>NCAR</td>
<td>National Center for Atmospheric Research, Boulder, USA</td>
</tr>
<tr>
<td>CNRS</td>
<td>Centre National de Recherche Scientifique, Paris, France</td>
</tr>
<tr>
<td>ETH</td>
<td>Eidgenössische Technische Hochschule, Zürich, Switzerland</td>
</tr>
<tr>
<td>KIT</td>
<td>Karlsruher Institut für Technologie, Germany</td>
</tr>
<tr>
<td>GUF</td>
<td>Goethe-Universität Frankfurt am Main, Germany</td>
</tr>
<tr>
<td>HZG</td>
<td>Helmholtz-Zentrum Geesthacht, Germany</td>
</tr>
<tr>
<td>BTU</td>
<td>Brandenburgische Technische Universität Cottbus-Senftenberg, Cottbus, Germany</td>
</tr>
<tr>
<td>FUB</td>
<td>Freie Universität Berlin, Germany</td>
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**Model domains**

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<th>Domain</th>
<th>Description</th>
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<td>CORDEX-EU</td>
<td>CORDEX domain for regional climate simulations over Europe</td>
</tr>
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</table>
Table 2: **Coupled model systems**, their components and the institution at which they are used. For the meaning of acronyms see Table 1.

<table>
<thead>
<tr>
<th>Coupled model system</th>
<th>Institution</th>
<th>First coupled component</th>
<th>Second coupled component</th>
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<td>CCLM+CLM</td>
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<td>CLM</td>
<td>–</td>
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<tr>
<td>CCLM+VEG3D</td>
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<td>–</td>
</tr>
<tr>
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<td>GUF</td>
<td>NEMO-MED12</td>
<td>–</td>
</tr>
<tr>
<td>CCLM+TRIMNP+CICE</td>
<td>HZG</td>
<td>TRIMNP</td>
<td>CICE</td>
</tr>
<tr>
<td>CCLM+MPI-ESM</td>
<td>BTU and FUB</td>
<td>ECHAM</td>
<td>MPIOM</td>
</tr>
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</table>
Table 3: **Properties of the coupled model components.** For the meaning of acronyms see Table 1. The configuration used is a coarse-grid regional climate simulation configuration used for sensitivity studies, tests and continental-scale climate simulations. Model complexity is measured as the number of prognostic variables. For a comprehensive definition, see Balaji et al. (2017).

<table>
<thead>
<tr>
<th>model</th>
<th>CCLM</th>
<th>CLM</th>
<th>VEG3D</th>
<th>MPI-ESM</th>
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<tr>
<td>Full name</td>
<td>COSMO model in climate mode</td>
<td>Community Land Model</td>
<td>Vegetation model</td>
<td>Max Planck Institute Earth System Model</td>
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<tr>
<td>Institution</td>
<td>CLM-Community</td>
<td>NCAR and other institutions</td>
<td>KIT</td>
<td>MPIfM Hamburg</td>
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<td>Coupling area</td>
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<td>CORDEX-EU</td>
<td>CORDEX-EU</td>
<td>CORDEX-EU</td>
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<td>Horizontal res. (km)</td>
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<td>50</td>
<td>50</td>
<td>330</td>
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<td>40/45</td>
<td>15</td>
<td>10</td>
<td>47</td>
</tr>
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<td>300</td>
<td>300</td>
<td>600</td>
</tr>
<tr>
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<td>3118</td>
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<tr>
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<td>&lt;1</td>
<td>&lt;1</td>
<td>58</td>
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<table>
<thead>
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<th>NEMO-MED12</th>
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<th>CICE</th>
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<td>Full name</td>
<td>Nucleus for European Modelling of the Ocean - Mediterranean Sea</td>
<td>Nucleus for European Modelling of the Ocean - North and Baltic Sea</td>
<td>Tidal, Residual, Intertidal mudflat Sea Ice Model</td>
<td></td>
</tr>
<tr>
<td>Institution</td>
<td>CNRS</td>
<td>CNRS</td>
<td>Univ. Trento, HZG</td>
<td>LANL</td>
</tr>
<tr>
<td>Coupling area</td>
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<td>North and Baltic Sea</td>
<td>North and Baltic Sea</td>
<td>Baltic Sea and Kattegat</td>
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<td>12.8</td>
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<td>Nr. of levels</td>
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<td>Time step (s)</td>
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<td>Complexity</td>
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<td>8</td>
<td>11</td>
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</table>
Table 4: **Variables exchanged between CCLM and the global model MPI-ESM.** The CF standard-names convention is used. Units are given as defined in CCLM. ⨂: information is sent by CCLM; ⚫: information is received by CCLM. 3D indicates that a 3-dim. field is sent/received.

<table>
<thead>
<tr>
<th>Variable (unit)</th>
<th>CCLM+MPI-ESM</th>
</tr>
</thead>
<tbody>
<tr>
<td>Temperature (K)</td>
<td>⚫ ⨂ 3D</td>
</tr>
<tr>
<td>U-component of wind (m s(^{-1}))</td>
<td>⚫ ⨂ 3D</td>
</tr>
<tr>
<td>V-component of wind (m s(^{-1}))</td>
<td>⚫ ⨂ 3D</td>
</tr>
<tr>
<td>Specific humidity (kg kg(^{-1}))</td>
<td>⚫ ⨂ 3D</td>
</tr>
<tr>
<td>Specific cloud liquid water content (kg kg(^{-1}))</td>
<td>⚫ ⨂ 3D</td>
</tr>
<tr>
<td>Specific cloud ice content (kg kg(^{-1}))</td>
<td>⚫ ⨂ 3D</td>
</tr>
<tr>
<td>Surface pressure (Pa)</td>
<td>⚫ ⨂</td>
</tr>
<tr>
<td>Sea surface temperature SST (K)</td>
<td>⚫</td>
</tr>
<tr>
<td>Surface snow amount (m)</td>
<td>⚫</td>
</tr>
<tr>
<td>Surface geopotential (m s(^{-2}))</td>
<td>⚫</td>
</tr>
</tbody>
</table>

\[ SST = (sea\_ice\_area\_fraction \cdot T_{sea\_ice}) + (SST \cdot (1 - sea\_ice\_area\_fraction)) \]
Table 5: As Table 4 but variables exchanged between CCLM and the ocean models NEMO, TRIMNP and CICE.

<table>
<thead>
<tr>
<th>Variable (unit)</th>
<th>CCLM+ NEMO-</th>
<th>CCLM+ NEMO-</th>
<th>CCLM+ TRIMNP+</th>
</tr>
</thead>
<tbody>
<tr>
<td>Surface temperature over sea/ocean (K)</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>2 m temperature (K)</td>
<td>–</td>
<td>–</td>
<td>☀</td>
</tr>
<tr>
<td>Potential temperature NSL (K)</td>
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<td>–</td>
<td>☀</td>
</tr>
<tr>
<td>Temperature NSL (K)</td>
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<td>–</td>
<td>☀</td>
</tr>
<tr>
<td>Sea ice area fraction (1)</td>
<td>–</td>
<td>☀</td>
<td>–</td>
</tr>
<tr>
<td>Surface pressure (Pa)</td>
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<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Mean sea level pressure (Pa)</td>
<td>–</td>
<td>–</td>
<td>☀</td>
</tr>
<tr>
<td>Surface downward east- and northward stress (Pa)</td>
<td>☀</td>
<td>☀</td>
<td>–</td>
</tr>
<tr>
<td>Surface net downward shortwave flux (W m(^{-2}))</td>
<td>☀</td>
<td>☀</td>
<td>☀</td>
</tr>
<tr>
<td>Surface net downward longwave flux (W m(^{-2}))</td>
<td>–</td>
<td>–</td>
<td>☀</td>
</tr>
<tr>
<td>Non-solar radiation NSR (W m(^{-2}))</td>
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<td>☀</td>
<td>–</td>
</tr>
<tr>
<td>Surface downward latent heat flux (W m(^{-2}))</td>
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<td>–</td>
<td>☀</td>
</tr>
<tr>
<td>Surface downward heat flux HFL (W m(^{-2}))</td>
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<td>–</td>
<td>☀</td>
</tr>
<tr>
<td>Evaporation-Precipitation E – P (kg m(^{-2}))</td>
<td>☀</td>
<td>☀</td>
<td>–</td>
</tr>
<tr>
<td>Total precipitation flux TPF (kg m(^{-2}) s(^{-1}))</td>
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<td>–</td>
<td>☀</td>
</tr>
<tr>
<td>Rain flux RF (kg m(^{-2}) s(^{-1}))</td>
<td>–</td>
<td>–</td>
<td>☀</td>
</tr>
<tr>
<td>Snow flux SF (kg m(^{-2}) s(^{-1}))</td>
<td>–</td>
<td>–</td>
<td>☀</td>
</tr>
<tr>
<td>U- and V-component of 10 m wind (m s(^{-1}))</td>
<td>–</td>
<td>–</td>
<td>☀</td>
</tr>
<tr>
<td>2 m relative humidity (%)</td>
<td>–</td>
<td>–</td>
<td>☀</td>
</tr>
<tr>
<td>Specific humidity NSL (kg kg(^{-1}))</td>
<td>–</td>
<td>–</td>
<td>☀</td>
</tr>
<tr>
<td>Total cloud cover (1)</td>
<td>–</td>
<td>–</td>
<td>☀</td>
</tr>
<tr>
<td>Half height of lowest CCLM level (m)</td>
<td>–</td>
<td>–</td>
<td>☀</td>
</tr>
<tr>
<td>Air density NSL (kg m(^{-3}))</td>
<td>–</td>
<td>–</td>
<td>☀</td>
</tr>
</tbody>
</table>

NSL = the lowest (near-surface) level of the 3-dimensional variable
NSR = surface net downward longwave flux + surface downward latent and sensible heat flux
HFL = surface net downward shortwave flux + surface downward longwave flux + surface downward latent and sensible heat flux
TPF = RF + SF = convective and large-scale rainfall flux + convective and large-scale snowfall flux
E-P = -(surface downward sensible heat flux / LHV) - TPF; LHV: Latent heat of vaporization = 2.501E6 J/kg
Table 6: As Table 4 but variables exchanged between CCLM and the land surface models VEG3D and CLM.

<table>
<thead>
<tr>
<th>Variable (unit)</th>
<th>CCLM+VEG3D</th>
<th>CCLM+CLM</th>
</tr>
</thead>
<tbody>
<tr>
<td>Leaf area index (1)</td>
<td>×</td>
<td>–</td>
</tr>
<tr>
<td>Plant cover (1)</td>
<td>×</td>
<td>–</td>
</tr>
<tr>
<td>Vegetation function (1)</td>
<td>×</td>
<td>–</td>
</tr>
<tr>
<td>Surface albedo (1)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Height of lowest level (m)</td>
<td>–</td>
<td>×</td>
</tr>
<tr>
<td>Surface pressure (Pa)</td>
<td>×</td>
<td>–</td>
</tr>
<tr>
<td>Pressure NSL (Pa)</td>
<td>×</td>
<td>×</td>
</tr>
<tr>
<td>Snow flux $SF$ ($kg m^{-2} s^{-1}$)</td>
<td>×</td>
<td>×</td>
</tr>
<tr>
<td>Rain flux $RF$ ($kg m^{-2} s^{-1}$)</td>
<td>×</td>
<td>×</td>
</tr>
<tr>
<td>Temperature NSL ($K$)</td>
<td>×</td>
<td>×</td>
</tr>
<tr>
<td>Grid-mean surface temperature ($K$)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Soil surface temperature ($K$)</td>
<td></td>
<td>–</td>
</tr>
<tr>
<td>Snow surface temperature ($K$)</td>
<td></td>
<td>–</td>
</tr>
<tr>
<td>Surface snow amount (m)</td>
<td></td>
<td>–</td>
</tr>
<tr>
<td>Density of snow ($kg m^{-3}$)</td>
<td></td>
<td>–</td>
</tr>
<tr>
<td>Thickness of snow (m)</td>
<td></td>
<td>–</td>
</tr>
<tr>
<td>Canopy water amount (m)</td>
<td></td>
<td>–</td>
</tr>
<tr>
<td>Specific humidity NSL ($kg kg^{-1}$)</td>
<td>×</td>
<td></td>
</tr>
<tr>
<td>Surface specific humidity ($kg kg^{-1}$)</td>
<td></td>
<td>–</td>
</tr>
<tr>
<td>Subsurface runoff ($kg m^{-2}$)</td>
<td></td>
<td>–</td>
</tr>
<tr>
<td>Surface runoff ($kg m^{-2}$)</td>
<td></td>
<td>–</td>
</tr>
<tr>
<td>Wind speed $</td>
<td>\vec{v}</td>
<td>$ NSL (m s^{-1})</td>
</tr>
<tr>
<td>U- and V-component of wind NSL (m s^{-1})</td>
<td></td>
<td>×</td>
</tr>
<tr>
<td>Surface downward sensible heat flux ($W m^{-2}$)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Surface downward latent heat flux ($W m^{-2}$)</td>
<td></td>
<td>–</td>
</tr>
<tr>
<td>Surface direct and diffuse downwelling shortwave flux in air ($W m^{-2}$)</td>
<td></td>
<td>×</td>
</tr>
<tr>
<td>Surface net downward longwave flux ($W m^{-2}$)</td>
<td>×</td>
<td></td>
</tr>
<tr>
<td>Surface flux of water vapour ($s^{-1} m^{-2}$)</td>
<td></td>
<td>–</td>
</tr>
<tr>
<td>Surface downward east- and northward flux (U-/V-momentum flux, Pa)</td>
<td></td>
<td>×</td>
</tr>
</tbody>
</table>

NSL = the lowest (near-surface) level of the 3-dimensional variable

RF = convective and large-scale rainfall flux; SF = convective and large-scale snowfall flux

SWD_S = surface diffuse and direct downwelling shortwave flux in air
Table 7: **Measures of computational performance** used for computational performance analysis.

<table>
<thead>
<tr>
<th>Measure (unit)</th>
<th>Acronym</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>simulated years</td>
<td>sy</td>
<td>Number of simulated physical years</td>
</tr>
<tr>
<td>number of cores</td>
<td>n</td>
<td>Number of computational cores used in a simulation per model component</td>
</tr>
<tr>
<td>number of threads</td>
<td>R</td>
<td>Number of parallel processes or threads configured in a simulation per model component. On <em>Blizzard</em> at DKRZ one or two threads can be started on one core.</td>
</tr>
<tr>
<td>time to solution</td>
<td>T</td>
<td>Simulation time of a model component measured by LUCIA per simulated year</td>
</tr>
<tr>
<td>speed (HPSY⁻¹)</td>
<td>s</td>
<td>$T^{-1}$ is the number of simulated years per simulated hour by a model component</td>
</tr>
<tr>
<td>costs (CHPSY)</td>
<td>–</td>
<td>$T \cdot n$ is the core hours used by a model component running on $n$ cores per simulated year</td>
</tr>
<tr>
<td>speed-up (%)</td>
<td>SU</td>
<td>$\frac{HPSY_{1}(R_1)}{HPSY_{2}(R_2)} \cdot 100$ is the ratio of time to solution of a model component configured for reference and actual number of threads</td>
</tr>
<tr>
<td>parallel efficiency (%)</td>
<td>PE</td>
<td>$\frac{CHPSY_{1}}{CHPSY_{2}} \cdot 100$ is the ratio of core hours per simulated year for reference ($CHPSY_{1}$) and actual ($CHPSY_{2}$) number of cores</td>
</tr>
</tbody>
</table>
Figure 1: **Map of coupled system components.** All components are bounded by the COSMO-CLM extension (CORDEX-EU), except ECHAM and MPI-OM (global domain). CLM and VEG3D cover the same area than land points of COSMO-CLM. TRIMNP, CICE and NEMO-NORDIC are sharing the area 1. CICE also covers the area 4, NEMO-NORDIC the area 3, TRIMNP the areas 2, 3 and 4.
Figure 2: **Schematic processes distribution on a hypothetical computing node** with six cores (gray-shaded areas) in a) ST mode, b) SMT mode with non-alternating processes distribution and c) SMT mode with alternating processes distribution. "A" and "B" are processes belonging to two different parallel applications sharing the same node. In b) and c) two processes of the same (b) or different (c) application share one core using the simultaneous multi-threading (SMT) technique while in a) only one process per core is launched in the single-threading (ST) mode.
Figure 3: **Time to solution of model components** of the coupled systems (indicated for CCLM in brackets) and for CCLM stand-alone (CCLM$_{sa}$) in hours per simulated year (HPSY) in dependence on the computational resources (number of cores) in single threading (ST) and in multi threading (SMT) mode. The times for model components ECHAM and MPIOM of MPI-ESM are given separately. The optimum configuration of each component is highlighted by a gray dot. The hypothetical result for a model with perfect and no speed-up is given as well.
Costs (core hours per simulated year)

Resources (number of cores)

Figure 4: As Fig. 3 but for the costs of the model components in core hours per simulated year.
Figure 5: As Fig. 3 but for the parallel efficiency of the model components in % of the reference configuration.
Figure 6: **Time to solution and costs of model components at optimum configuration** of couplings investigated and of stand-alone CCLM. The boxes’ widths correspond to the number of cores used per component. The area of each box is equal to the costs (the amount of core hours per simulated year) consumed by each component calculations, including coupling interpolations. The white areas indicate the load imbalance between concurrently running components. See Table 8 for details.
Table 8: **Analysis of optimum configurations of the coupled systems (CS)** given in the table header (compare to Fig. 5). *seq* refers to sequential and *con* to concurrent couplings. *Thread mode* is either the ST or the SMT mode (see Fig. 2). *APD* indicates whether an alternating processes distribution was used or not. *levels in CCLM* gives the simulated number of levels and *CCLM version* is the COSMO-CLM model version used for coupling. Relative *Time to solution (%)* and *Cost (%)* are calculated with respect to the reference, which is the CCLM stand-alone configuration *CCLM* using 64 cores and non-alternating SMT mode. The time to solution includes the time needed for OASIS interpolations. All relative quantities in lines 2.2-2.3 and 3.2-3.3.5 are given in percent of *CCLM* time to solution (line 8) and cost (all others). *CS − CCLM* gives the differences between CS and the optimum *CCLM* configuration. This difference is separated in 5 components of cost: *coupled component* component models coupled with CCLM. *OASIS hor. interp.* all horizontal interpolations computed by OASIS. *load imbalance* load imbalance between the concurrently running models. *CCLM* − *CCLM* difference between stand-alone CCLM process mappings used in the particular coupling and for optimum configuration. *CCLM* − *CCLM* difference between coupled and stand-alone CCLM using process mapping of the coupling.

<table>
<thead>
<tr>
<th></th>
<th>CCLM+CLM</th>
<th>CCLM+VEG3D</th>
<th>CCLM+NEMO-MED12</th>
<th>CCLM+TRIMNP</th>
<th>CCLM+ECHAM+MPIOM</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.1 Type of coupling</td>
<td>seq</td>
<td>seq</td>
<td>con</td>
<td>con</td>
<td>seq + con</td>
</tr>
<tr>
<td>1.2 Thread mode</td>
<td>SMT</td>
<td>SMT</td>
<td>SMT</td>
<td>SMT</td>
<td>SMT</td>
</tr>
<tr>
<td>1.3 APD used</td>
<td>yes</td>
<td>yes</td>
<td>no</td>
<td>no</td>
<td>yes</td>
</tr>
<tr>
<td>1.4 # nodes</td>
<td>2</td>
<td>4</td>
<td>4</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>1.5 # cores per component</td>
<td>64</td>
<td>128, 128</td>
<td>128, 128</td>
<td>78, 50</td>
<td>16, 6, 10, 32, 28, 4</td>
</tr>
<tr>
<td>1.6 levels in CCLM</td>
<td>45</td>
<td>40</td>
<td>45</td>
<td>40</td>
<td>40</td>
</tr>
<tr>
<td>1.7 CCLM version</td>
<td>4.8</td>
<td>5.0</td>
<td>4.8</td>
<td>4.8</td>
<td>4.8</td>
</tr>
<tr>
<td>2.1 Time to solution (HPSY)</td>
<td>3.6</td>
<td>4.0</td>
<td>3.7</td>
<td>4.0</td>
<td>18.0</td>
</tr>
<tr>
<td>2.2 Time to solution (%)</td>
<td>100.0</td>
<td>111.1</td>
<td>102.8</td>
<td>111.1</td>
<td>450.0</td>
</tr>
<tr>
<td>2.3 <em>CS − CCLM</em>sa(%)</td>
<td>–</td>
<td>11.1</td>
<td>2.8</td>
<td>11.1</td>
<td>350.0</td>
</tr>
<tr>
<td>3.1 CS Cost (CHPSY)</td>
<td>230.4</td>
<td>512.0</td>
<td>473.6</td>
<td>512.0</td>
<td>576.0</td>
</tr>
<tr>
<td>3.2 CS Cost (%)</td>
<td>100.0</td>
<td>222.2</td>
<td>205.6</td>
<td>222.2</td>
<td>250.0</td>
</tr>
<tr>
<td>3.3 <em>CS − CCLM</em>sa(%)</td>
<td>–</td>
<td>122.2</td>
<td>105.6</td>
<td>122.2</td>
<td>150.0</td>
</tr>
<tr>
<td>3.3.1 coupled component (%)</td>
<td>–</td>
<td>4.3</td>
<td>19.7</td>
<td>79.9</td>
<td>27.2+77.9</td>
</tr>
<tr>
<td>3.3.2 OASIS hor. interp. (%)</td>
<td>–</td>
<td>6.3</td>
<td>0.0</td>
<td>0.05</td>
<td>0.76</td>
</tr>
<tr>
<td>3.3.3 load imbalance (%)</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>6.9</td>
<td>71.5</td>
</tr>
<tr>
<td>3.3.4 <em>CCLM</em>sa,sc − <em>CCLM</em>sa (%)</td>
<td>–</td>
<td>56.2</td>
<td>56.2</td>
<td>16.3</td>
<td>-30.0</td>
</tr>
<tr>
<td>3.3.5 <em>CCLM</em> − <em>CCLM</em>sa,sc (%)</td>
<td>–</td>
<td>55.4</td>
<td>29.7</td>
<td>19.0</td>
<td>2.6</td>
</tr>
</tbody>
</table>
Figure 7: Simplified flow diagram of the main program of the regional climate model COSMO-CLM, version 4.8_clm19_uoi. The red highlighted parts indicate the locations at which the additional computations necessary for coupling are executed and the calls to the OASIS interface take place. Where applicable, the component models to which the respective calls apply are given.
Figure 8: As Fig. 7 but for the global atmosphere model ECHAM of MPI-ESM.
nemogcm Main program

nemo_init Initialize the NEMO environment
cpl_prism_init Initialize the coupled-mode communication
Initialize among others: dynamics, physics, tracers and diagnostics

stp Loop over time steps

cpl_prism_snd Send fields via OASIS to CCLM
End of main program

Figure 9: As Fig. 8 but for the ocean model NEMO version 3.3.

trim cluster Main program

init mpp Initialize the environment

oas ocn init Get communicator from OASIS
setup cluster ... all Deallocate memory
oas ocn finalize Stop MPI communications with OASIS
End of main program

Figure 10: As Fig. 8 but for the ocean model TRIMNP.
Figure 11: As Fig. 8 but for the sea ice model CICE.

Figure 12: As Fig. 8 but for the soil-vegetation model VEG3D.
Figure 13: As Fig. 8 but for the Community Land Model (CLM). The gray highlighted routines are optional.