Author response to reviews for “Evaluating Simplified Chemical Mechanisms within Present-Day Simulations of CESM Version 1.2 CAM-chem (CAM4): MOZART-4 vs. Reduced Hydrocarbon vs. Super-Fast Chemistry”
By Benjamin Brown-Steiner et al.

We first want thank the reviewers for their valuable and insightful comments, and for taking the time to review our manuscript.

We now respond to the reviewer comments, which are reproduced in black text below. Our responses follow immediately in red text, and any additions to the manuscript are included in italic red text, along with Line references, which refer to their locations in the revised manuscript.

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Editor, F. O’Connor:

Dear Benjamin and co-authors,
As the topical editor for your manuscript, may I remind you that I’m requesting that the data be made available on a suitable repository with a digital object identifier (DOI) before final publication of your manuscript in GMD. Reference to this repository can then be included in your final manuscript. I trust that this will be acceptable to you.
Regards, Fiona O’Connor

We have uploaded the relevant data to a repository hosted on the MIT domain (http://dspace.mit.edu/handle/1721.1/114993). The Data/Code availability sections have been updated to direct readers to this repository, as is discussed in the comments below.

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Anonymous Referee #1
Received and published: 13 March 2018

Brown-Steiner et al present a study of how two reduced chemical mechanisms perform in a number of comparisons against the more comprehensive MOZART scheme (MO). The Reduced Hydrocarbon mechanism (RH) contains around half the number of MOZART reactions, whilst the Super-Fast mechanism (SF) is about 1/6 of MO. The work has been done to explore how much of a compromise it is by choosing one of these simple mechanisms over the more comprehensive scheme, when considering computational time gains versus accuracy of the chemical predictions.

The model runs have been conducted on a global scale for 25 years. This has enabled the authors to pull out modelled data to compare with each other, and for the time periods and locations of ozonesonde, aircraft and CASTNET observations. Given how small SF is, it performs unexpectedly well, particularly against the CASTNET data, and in some cases better than the
other two schemes. The RH scheme often tracks MO quite well, but with some exceptions, particularly for CO.
The Super-Fast mechanism could be used to explore chemical sensitivity studies in a fraction of the time it would take to run MOZART in locations of low biogenic activity.
I think the manuscript fits within the GMD journal remit and should be published. I have a few minor comments and queries.

General comments:

Please check throughout for the consistency about the length of the run. Page 6 line 1. ‘we use MERRA.. for 25 years (1990 – 2014)’. However figure 1 plots maps for the year 2015, and figure 4 shows vertical distributions for 2015? Figure 6 also looks like it starts at 1991, not 1990 and carries on beyond 2014. Same applies to the statement on page 9, end of line 13 about the run being 1990-2014. Also figure 7 ‘for the full 1990-2015 time series’, which is 26 years.

We ran the simulations for 26 years (1990 – 2015) and used the first year as spin up, so the analyses are for 25 years (1991 – 2015). We have corrected the descriptions and added a line indicating the 1990 year as spin up:

Page 6, Line 2: “…for 26 years (1990 – 2015)…”
Page 6, Lines 3-4: “The year 1990 is dropped to allow for spin-up.”
Page 9, Line 24-25: “…sites throughout the 1991 – 2015 period…”
Figure 7, Caption: “…the full 1991 – 2015…”

Section 2.2.1 MOZART-4. This section is very short and doesn’t give the reader much information about MOZART other than to go searching through the suggested literature. I think a bit more information on what the scheme includes (e.g. how many alkanes/aromatics/biogenic species are considered explicitly) and omits would be useful, particularly as it is being used as the benchmark scheme.

We have added additional details and point again to Emmons et al. (2010) for a complete description.

Section 2.2.1: “As described in detail in Emmons et al. (2010), MOZART-4 mechanism is a tropospheric mechanism that contains 85 gas-phase species and 12 bulk aerosol species, with 39 photolysis and 157 gas-phase reactions. Large alkanes, alkenes, and aromatics are lumped together (BIGALK, BIGENE, and TOLUENE, respectively), and monoterpenes are lumped together as C10H16 and treated as α-pinene.”

Page 9 line 1. Please describe what ‘BAM’ means

Page 9, Line 10: “…the Bulk Aerosol Model (BAM) (see Tilmes et al., 2015)”

Page 10. Line 20. There is a single line describing figure 6 and I then didn’t fully understand the results drawn from it. My assumption is that the range of ozone at each time step in the model has been extracted for the region and the differences in the percentiles plotted here (although the
The results say it takes 5-10 years for the models to stabilise, but the plots also show that the CASTNET observations themselves need 5-10 years to stabilize. If the models are behaving similarly to the observations, why would we need the spin up? There’s a bit of a leap of understanding, so I think a bit more description is needed. I can see why you would expect the range in predicted ozone to settle as times goes on using constant emissions— but why also in the observations?

In a concurrent paper under review in ACPD (Brown-Steiner et al., in review) we expand on the implications of this figure. We add additional clarifications of Figure 6 and point to reader to the concurrent paper for additional discussion. We also add this paper to the references.

Page 1, Line 5: “Brown-Steiner et al. (in review, ACPD) examines these implications, and also concludes that it takes approximately 10-years for long-term signals to emerge from meteorological variability. These results demonstrate the challenge in examining chemical signals in highly variable data, particularly if there are trends or changes to the ozone distribution, as is seen in the CASTNET data for the Southeastern US.”

Page 14 line 16 spelling. ‘mechanism’, not ‘mechanisms’.

Corrected.

Figures:

Figure 5, panels g,h and i. what does the 1x1 refer to?

They are individual grid cells within each region. This has been added to the caption.

Figure 5, caption: “Plots g, h, and i are individual grid cells from within each region.”

Figure 7. Titles overlap with plots.

The figure has been updated to correct this.

Figure 8. I’m struggling to see the orange N2O5 line in any of these plots. It could be that the line is hidden under the PAN+N2O5 line, but given the variation between PAN+N2O5 and N2O5 in the global ozone plot, I expected to see it?

The figure has been updated so that each line is more easily discernable.

Figure 8. Which single year are these seasonal cycles for? Why was this particular year chosen? How much variability is there between the first year run (1990) and the last (2014)?

Year 2015 was selected as a representative year, and we find some variability year-to-year due to meteorology, but all models tend to demonstrate the same year-to-year variability. For the sensitivity tests with PAN and N2O5, we only ran 2 years and selected the 2nd year (1991). We
also discovered a bug in the plotting code in the sensitivity tests which has been corrected. Some of the seasonal cycles were offset by 2 months, and but does not impact our conclusions.

Figure 8, Caption: “…single year (2015), averaged…”

Figure 9, Caption: “…SF mechanism (which were ran only for 2 years, 1990 – 1991, with 1991 being plotted here)…”

Figure 9. Please give the location of the grid cell, (lon, lat).

This has been added to the caption of Figure 9.

Figure 9, Caption: “(100˚ west and 47˚ north)”

Figure 10. please add units.

Added.

Figures, general comment:
About half way through the figures the colour scheme changes. In figures 2,3,5 and 6 MO is red, RH blue and SF is green. Later in figures 8 and 9, MO is black, RH is still blue but SF is now red. For the quick skimming reader, the assumption is that red is the benchmark scheme. It’s a bit confusing.

All figures have been updated to remain consistent with the color schemes (MO red, RH blue, SF green).

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Anonymous Referee #2
Received and published: 21 March 2018

Brown-Steiner et al. have performed an evaluation of the performance of a model of atmospheric chemistry run with three different chemical mechanisms to understand how big an impact there is by choosing a different chemical mechanism (network). This is an interesting paper, an important bit of science, and one of only a few examples in the literature to do this sort of work in a 3D sense. Evaluating the performance of these different mechanisms enables sound conclusions to be drawn about their utility. The aim is to see if a very simple mechanism, which would enable much longer (or many more) integrations as solving the coupled ODEs in the chemical network is computationally very expensive, is suitable. This is a very well written paper and I could hardly spot any typos or grammatical errors above those spotted by reviewer #1. However, I would like to see further experiments performed before I would recommend that this be published. As it stands, I don’t think the suitability of the Super Fast (SF – simple chemistry) scheme to be used beyond a present day set up has been demonstrated.

And I think this is key for the argument that the SF scheme is suitable. The present work focuses on fairly long integrations (25 years or so), where anthropogenic emissions are fixed but
interactive biogenic emissions can change as the meteorology in the model changes. Some very nice analysis is then performed against surface observations of O3 which emphasises that for these conditions, the SF scheme performs well – in accord with the other more complex schemes.

But, to be convinced that the SF scheme is suitable for long simulations of transient forcing, I would like to see simulations that test the response of the chemical schemes to the sorts of changes that have happened over the Anthropocene and for which the SF scheme may well end up being used for within CEMS (i.e. CMIP/AerChemMIP type experiments). For example, I would like to see, as a minimum, a set of simulations using ACCMIP pre-industrial emissions (you could keep the meteorology fixed as it is if that makes things easier) so that we can see what happens between these different schemes when they are perturbed with significant changes in NOx and VOC (CH4).

The Super-Fast mechanism was included in ACCMIP studies in both historical and future conditions (as reviewed in the Supplemental Material), although a full description is not in the scientific literature. We hope this manuscript allows other researchers to more easily find and utilize the SF mechanism and that this manuscript can serve as a baseline for future simulations and testing.

As this study is intended as a demonstration of the Super-Fast mechanism’s utility, rather than a comprehensive evaluation, and as we have only analyzed present-day, we have added “Present-Day” to the manuscript title “Evaluating Simplified Chemical Mechanisms within Present-Day Simulations of CESM…”

Demonstrating the capabilities of the Super-Fast mechanism for long-term transient forcing was beyond our scope and capabilities, and we agree that more work needs to be done with the Super-Fast mechanism (as well as the Reduced Hydrocarbon mechanism), and that care should always be taken when any model component is utilized outside of previously demonstrated periods and conditions. As such, we have made sure that the mechanism files are available (see reproducibility comment below), and we are in discussion as how to best integrate these mechanisms into available forms at the NCAR/CESM website.

In light of this, we have added language that makes clear the limitations of this manuscript and future research directions which will be needed to further study the utility and capabilities of the Super-Fast and Reduced Hydrocarbon mechanisms, as well as made clear where the code can be accessed (see comment below).

Abstract: “Here we present and compare three 25-year present-day offline simulations…”

Page 14, Lines 27-28: “…we have compared three chemical mechanisms of different levels of complexity within the CESM CAM-chem framework for present-day chemical and climatological conditions.”

We have also added language in the conclusions highlighting that this study is only for present-day conditions:
Page 14, Lines 36-40: “We examine present-day chemistry with MO, RH, and SF. Both MO and SF have been compared in other model intercomparisons, including for preindustrial conditions (see the Supplemental Material for additional information). We hope that the analysis presented in this paper, and the availability of the mechanism files (Supplemental Material) will provide a baseline for continuing research of both the RH and SF mechanisms.”

I also am a bit concerned with the reproducibility of these experiments outside of the team working on this. There are no mentions of compsets (is that the word used within the CESM model set ups? I’m going from here http://www.cesm.ucar.edu/models/cesm1.2/casename_conventions_cesm.html that have been used. Citing some rather old papers as the sources of the rate constants and reactions used, for example in the Reduced Hydrocarbon scheme, makes it difficult for others to test the schemes without large potential for making translational errors (I know, I have made many myself!). I would propose that the mechanism data files be made available (perhaps in a simple scv format?) or at least the compsets for these experiments be made available so that others can perform their own tests. GMD is a journal dedicated to holding high standards with code and I think that the mechanisms should be treated as a bit of complex code that should be archived in order to be more easily tested. This would be desirable but I can appreciate that this may not be top priority.

We have added to the data uploaded to the archive (http://dspace.mit.edu/handle/1721.1/114993) the chemical mechanism input files (reduced_hydrocarbon.in and superfast.in) and add additional text to the methods section and code availability section to direct readers to various CESM/NCAR resources. We clarify that we use the FMOZSOA compset for the MO simulation and make modifications to the chemical mechanism input file and speciation of species, as described in the text.

Page 6, Lines 22-25: “The chemical mechanism input files for MO is available in the standard CESM release (http://www.cesm.ucar.edu/models/cesm1.2/) and the chemical mechanism input files used for RH and SF are archived (see section on Code Availability)”

Page 6, Lines 36-39: “We use the FMOZSOA compset (see http://www.cesm.ucar.edu/models/cesm1.2/cesm/doc/modelnl/compsets.html) and make modifications to the chemical mechanism input files (see section on Coda Availability) and emission files for the following mechanisms.”

Code Availability: “The chemical mechanism files for both RH (reduced_hydrocarbon.in) and SF (superfast.in) are included in the Supplemental Material.”

Supplemental Material: “The SF mechanism is in the CESM code archive as an unsupported chemical mechanism, which can be activated using the option ‘-chem super_fast_llnl.’”

Minor corrections/comments:
Page 8, line 23: A key conclusion of Squire et al. was that sign of the response to changes in emissions of isoprene was different in SF compared to more complex schemes traceable to our best understanding of the chemistry of isoprene (ie. The MCM). I think this needs to be acknowledged here in addition to current acknowledgement that "there are biases in regions of high biogenic chemistry".

We have added language to this section to highlight this Squire et al. (2015) conclusion:

Page 8, Lines 37-40: “Schnell et al. (2015) also conclude that the SF mechanism responds differently than other more complex mechanisms, particular under different O₃ production regimes (e.g. SF shows a net increase in O₃ production when isoprene emissions increase in NOₓ-limited regions, which the other mechanisms show a net decrease, or little change).”

I note from Table S2 and from the discussion in Squire et al., that the SF scheme does not include NO₃. Presumably the bias in isoprene at night (Figure 9) could be solved by simulating NO₃ in the SF scheme? Have the authors considered this? It was not clear from the manuscript if that was tested in addition to the nice tests looking at the impacts of adding in PAN and N2O5.

The authors did not test the addition of NO₃ to the Super-Fast scheme. The addition of the PAN and N2O5 sensitivity tests are intended primarily as a demonstration of the type of simulations and sensitivity studies that the Super-Fast mechanism allows for.

To this point, we have added language in the manuscript that speculates about the addition of NO₃ to the Super-Fast mechanism:

Page 15, Lines 16-18: “The SF mechanism does not include NO₃, which may also explain some of the nighttime biases. Future simulations in which NO₃ chemistry is added to the SF mechanism may correct some of these biases.”

Table S2, reaction 14: "idential" should be "identical".

Corrected.

Figure 8: Axis labels are way too small. Please make bigger. As above for Figure 9.

Figure 8 font sizes have been increased, and we will work with the editors to see if this plot can be included as a full page. If not, we will work with the editors to make sure they are readable. Figure 9 font sizes have been increased.

How are the VOC emissions dealt with between the different schemes? I presume that there are different amounts of VOC that go into the simulations? Please can you clarify the magnitude and distribution amongst molecules of the VOC emissions. Emissions are a key part of the chemical mechanism in my opinion.
Supplemental Table S1 includes the mapping of VOC species from MO to RH (which is discussed in section 2.2.2). For SF, we mapped only the MO ISOP species directly to the SF ISOP species. We have also added some text discussing this point:

Page 8, Line 9: “We map the MO isoprene directly to the single SF isoprene species (ISOP).”
Evaluating Simplified Chemical Mechanisms within Present-Day Simulations of CESM Version 1.2 CAM-chem (CAM4): MOZART-4 vs. Reduced Hydrocarbon vs. Super-Fast Chemistry

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Abstract. While state-of-the-art complex chemical mechanisms expand our understanding of atmospheric chemistry, their sheer size and computational requirements often limit simulations to short lengths, or ensembles to only a few members. Here we present and compare three 25-year present-day offline simulations with chemical mechanisms of different levels of complexity using CESM Version 1.2 CAM-chem (CAM4): the MOZART-4 mechanism, the Reduced Hydrocarbon mechanism, and the Super-Fast mechanism. We show that, for most regions and time periods, differences in simulated ozone chemistry between these three mechanisms is smaller than the model-observation differences themselves. The MOZART-4 mechanism and the Reduced Hydrocarbon are in close agreement in their representation of ozone throughout the troposphere during all time periods (annual, seasonal and diurnal). While the Super-Fast mechanism tends to have higher simulated ozone variability and differs from the MOZART-4 mechanism over regions of high biogenic emissions, it is surprisingly capable of simulating ozone adequately given its simplicity. We explore the trade-offs between chemical mechanism complexity and computational cost by identifying regions where the simpler mechanisms are comparable to the MOZART-4 mechanism, and regions where they are not. The Super-Fast mechanism is three times as fast as the MOZART-4 mechanism, which allows for longer simulations, or ensembles with more members, that may not be feasible with the MOZART-4 mechanism given limited computational resources.
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1 Introduction

The anthropogenic influence on atmospheric chemistry is apparent at all spatial and temporal scales: human emissions have impacted local and very short-lived species (e.g. OH, see Prinn et al., 2001), very long-lived greenhouse gases (e.g. Collins et al., 2006) and everything in between (e.g. Baker et al., 2015; Solomon et al., 2016). Over the past decades, all three branches of modern atmospheric chemistry research (Abbatt et al., 2014) – observations, laboratory analysis, and modeling – have increased in both their sophistication and their capability to explain the chemistry of our atmosphere. However, while observational networks have significant growth potential (e.g. Sofen et al., 2016), and laboratory analysis still has significant challenges to overcome (Bocquet et al., 2015; Burkholder et al., 2017), chemistry modeling efforts are finding their growth potential is limited by the level of chemical complexity that can be included in models due to the constraint of the computational capabilities of even state-of-the-art supercomputers (Stockwell et al., 2012). Simulations that attempt to include all known species and reactions, such as the National Center for Atmospheric Research (NCAR) Master Mechanism (Madronich and Calvert, 1989, Aumont et al., 2000) or the Leeds Master Chemical Mechanism (Jenkin et al, 1997; Saunders et al., 2003), and even some species and reactions that have not been tested in any laboratory (e.g. Aumont et al. 2005; Szopa et al., 2005), are often limited to box-model level analysis (e.g. Emmerson and Evans, 2009; Squire et al., 2015). Modeling efforts that simulate regional- and global-scale atmospheric chemistry are forced, out of practical necessity, to utilize simplified, reduced form, and parameterized chemistry in order to address the large spatial and long temporal scales needed for policy-relevant research.

Historically, as computational capacity has increased, modeling efforts have tended to maximize model resolution and complexity. This limits the capability to perform multi-scenario or multi-model ensembles to institutions with access to significant computational capabilities and storage. One way to increase the number of scenarios, or members, in an ensemble is to reduce the complexity of the chemical mechanism. This selection of a reduced-form chemical mechanism for different applications, and the advantages of the increased computational efficiency of a simplified mechanism, is the main focus of this paper. While there is a long history of publications (see Dodge, 2000) that compare different photochemical mechanisms within box models (e.g. Milford et al., 1992; Jimenez et al., 2003; Emmerson and Evans, 2009; Knote et al., 2015), studies that compare multiple mechanisms within a single 3D global model are rare (e.g. Squire et al., 2015). This study examines three chemical mechanisms within the Community Earth System Model Community Atmosphere Model with Chemistry Version 1.2 (CESM1.2 CAM-chem; Lamarque et al., 2012) framework: the MOZART-4 mechanism, the Reduced Hydrocarbon mechanism, and the Super-Fast chemical mechanism (described in Section 2), which is one of the simplest representations of atmospheric chemistry in the published literature.

This study examines the trade-offs and possibilities that arise from selection of a chemical mechanism that is simple enough to be computationally efficient – and thus capable of long simulations, or large ensembles at the global scale – as well as sophisticated enough to simulate the major features of tropospheric chemistry at the local and regional scale. Many climate studies include little to no chemistry, or prescribed chemistry, even though chemistry-climate feedbacks are well established to impact global and regional climate (e.g. Marsh et al. 2013; Fiore et al., 2015). Indeed, coarse grid (2°x2.5°) chemistry-climate studies which conduct 1,000 or more years of simulations using complex
chemistry are notable in their rarity (for notable exceptions, see Barnes et al., 2016 and García-Menéndez et al., 2015, 2017). This paper focuses on three primary lines of inquiry focusing on tropospheric ozone. First, what is lost or gained with the selection of a simplified chemical mechanism within a global model? Second, what is the nature of the uncertainties that arise with the selection of a particular chemical mechanism? And third, what are the tradeoffs that researchers make, either intentionally or tacitly, when they apply a specific mechanism within a particular modeling framework? We focus this study on the short-lived gaseous species, in particular ozone and its precursors, that influence both the daily exposure of humans to pollutants as well as the decadal-scale global climate system. We focus primarily on a computationally efficient simulation of tropospheric gaseous chemistry within a single modeling framework, and leave further analysis of other aspects of atmospheric chemistry to future studies.

In Section 2, we describe the modeling framework, and describe each of the three aforementioned chemical mechanisms, including a detailed description and history of the Super-Fast mechanism, as it is not reported elsewhere in the literature, and the simulations and observations we use for comparison. In Section 3 we present spatial and temporal results, as well as compare various metrics of chemical accuracy. In Section 4, we explore the nature and the morphology of the chemical uncertainties, and the particular tradeoffs that are made by the selection of a single mechanism when faced with limited computational resources. We draw conclusions in Section 5.

2 Methods

Our analysis focuses on characterizing the ozone chemical uncertainties within a global chemistry model. We examine the morphology of the chemistry system, focusing specifically on the means, standard deviations, and variability (defined here as the standard deviation divided by the mean). We also include characterizations of the correlation of the ozone time series with the observations and of the extreme values (in particular the 90th and 99th percentiles) of the ozone distribution.

2.1 CESM1.2 CAM4-chem Simulations

The CESM1.2 CAM4-chem model (Tilmes et al., 2015; 2016) is a chemistry-climate model developed at the National Center for Atmospheric Research (NCAR) with other collaborators, including the U.S. Department of Energy. It has been utilized extensively in the Atmospheric Chemistry and Climate Intercomparison Project (ACCMIP) (Lamarque et al., 2013 and references therein), the Chemistry Climate Model Initiate (CCMI) (Morgenstern et al., 2017) and for a wide range of atmospheric chemistry research. We conduct our simulations using CESM CAM4-chem version 1.2 with the MOZART-4 chemical mechanism based on Emmons et al. (2010) with updates described in Tilmes et al. (2015), the Reduced Hydrocarbon mechanism (Houweling et al., 1998) as adapted to the CESM CAM-chem framework by Lamarque et al. (2008, 2010), which has a reduced form representation of hydrocarbon chemistry, and the Super-Fast mechanism (Cameron-Smith et al., 2006; Lamarque et al. 2013). Hereafter we will refer to these three mechanisms as MO, RH, and SF, respectively.
For meteorology we used the Modern-Era Retrospective analysis for Research and Applications (MERRA) reanalysis product (Rienecker et al., 2011) for 25-26 years (1990 – 2014-2015), with a 50-hour Newtonian relaxation timing (roughly 1% nudging every 30 minutes). The year 1990 is dropped to allow for spin-up. All simulations are at 1.9˚x2.5˚ resolution. Aerosols were represented by the bulk aerosol model (BAM) in the MO and RH mechanisms and is optional for the SF mechanism. The results presented here are without BAM aerosols. We keep anthropogenic emissions constant at year-2000 from the CCMI database (Lamarque et al., 2012) and include linearized chemistry for ozone in the stratosphere (McLinden et al., 2000; Hsu and Prather, 2009), and prescribe the concentration of other tracers above 50 hPa. We use an online biogenic emissions model (MEGAN; Guenther et al., 2012), and prescribed sea ice and sea surface temperatures. With the exception of a remapping of the MOZART species to the Reduced Hydrocarbon species (Supplemental Table S1), all parameterizations other than the chemical mechanism are identical between the three simulations, and thus any differences are due to differences among the mechanisms themselves. Ozone dry deposition was done as described in Val Martin et al. (2015). Because we run with prescribed meteorology, we do not include internal chemical feedback to the weather and climate other than that incorporated into the MERRA meteorology itself. All of these mechanisms can also be run with meteorology calculated internally by the CESM model, but since such simulations utilize a different number of vertical levels than simulations with prescribed meteorology, comparing to simulated meteorology runs is not straightforward, and so is omitted from the present study.

2.2 Mechanisms

Table 1 summarizes the characteristics of the three chosen mechanisms. The chemical mechanism input files for MO is available in the standard CESM release (http://www.cesm.ucar.edu/models/cesm1.2/) and the chemical mechanism input files used for RH and SF are archived (see section on Code Availability).

2.2.1 MOZART-4 (MO)

The Model for Ozone and Related Chemical Tracers, version 4 (MOZART-4) mechanism (Emmons et al., 2010; Lamarque et al., 2012; Tilmes et al., 2015) is the standard tropospheric chemical mechanism used within the CESM CAM-chem framework (Tilmes et al., 2015; 2016). It has been used in many model inter-comparison projects (e.g. Lamarque et al., 2013; Emmons et al., 2015), and extended to tagged tracer chemistry (Emmons et al., 2012). As described in detail in Emmons et al. (2010), MOZART-4 mechanism is a tropospheric mechanism that contains 85 gas-phase species and 12 bulk aerosol species, with 39 photolysis and 157 gas-phase reactions. Large alkanes, alkene, and aromatics are lumped together (BIGALK, BIGENE, and TOLUENE, respectively), and monoterpenes are lumped together as C_{10}H_{16} and treated as α-pinene. We use the FMOZSOA compset (see http://www.cesm.ucar.edu/models/cesm1.2/cesm/doc/modelInl/compsets.html) and make modifications to the chemical mechanism input files (see section on Code Availability) and emission files for the following mechanisms.
2.2.2 Reduced Hydrocarbon (RH)

The Reduced Hydrocarbon (RH) chemical mechanism (Houweling et al., 1998; Lamarque et al., 2010) is a reduced-form mechanism based on the Carbon Bond Mechanism 4 (CBM-4) (Gery et al., 1989). The CBM-4 was developed to simulate polluted regional chemistry, and the RH mechanism updated and expanded this mechanism to also be capable of simulating background low-NOx conditions (Houweling et al., 1998). As described in Houweling et al. (1998), the original RH mechanism has 30 tracers and 68 total reactions. It has been used extensively in model inter-comparisons (e.g. Pöschl et al., 2000) and is generally considered a satisfactory reduced hydrocarbon mechanism (e.g. Hauglustaine et al., 1998; Wang and Prinn, 1999; Granier et al., 2000; Pfister et al., 2014). Lamarque et al. (2008) incorporated the RH mechanism into the CESM CAM-chem framework with a few updates, and Lamarque et al. (2010) expanded it to 89 (to include the bulk aerosol model species) tracers and 202 total reactions. As the lumping of alkanes and alkenes in RH differs from the MO mechanism, a mapping between the differently aggregated species is necessary (see Supplemental Table S1).

For this work, we modified the RH mechanism to remove many of the tracers and reactions that are pertinent primarily to stratospheric chemistry (as introduced in Lamarque et al., 2008) since these simulations include specified long-lived stratospheric species (O_3, NO_x, HNO_3, N_2O, N_2O_5) as in MOZART-4 (Emmons et al., 2010). However, the unmodified RH mechanism can be run with the more complex stratospheric chemistry, but at a significant additional cost. This is not considered in this paper to allow a better comparison between the tropospheric-only mechanisms. The modified RH mechanism, which shows only minor differences in the simulated surface ozone concentration from the complete mechanism (not shown), contains 65 tracers and 127 reactions. This RH mechanism runs approximately twice as fast than the MO mechanism under our current configuration (Table 1).

2.2.3 Super-Fast (SF)

The Super-Fast (SF) mechanism is a highly simplified chemical mechanism designed to efficiently simulate background tropospheric ozone chemistry (Cameron-Smith et al., 2006, and supplementary material of Lamarque et al., 2013). It includes 15 chemical tracers with 6 photolysis reactions and 24 gas phase reactions, making it the simplest chemical mechanism to be included as a member of the ACCMIP ensembles (Lamarque et al., 2013). It was developed by the Lawrence Livermore National Laboratory (LLNL) and has not been described as implemented within the CESM code, so we include a description here and in our Supplementary Material.

Supplemental Table S2 summarizes the SF mechanism photolysis and gas-phase reactions, which consist of a basic methane oxidation scheme (CH_4, CH_3CHO, CH_3OOH, CH_2O, and CO), with basic oxidant chemistry (OH and O_3), along with simple sulfur chemistry (dimethyl sulfide (DMS), SO_2, and SO_4) and a single biogenic hydrocarbon species, isoprene (ISOP), with two oxidant pathways: ISOP + OH and ISOP + O_3. For reactions iii, vi, 10, 11, and 15 (Table S2), it is assumed that their products O, H, and CH_3OH are instantaneously converted to their ultimate products, O_3, HO_2, and HO_2, respectively. Nitric acid chemistry is limited to two reactions, one of which requires a heterogeneous reaction parameterization. Sulfur chemistry is limited to four reactions. Isoprene chemistry is highly parameterized. The reaction of isoprene with OH is based on the net effect of the reaction in the University of California Irvine (UCI) model (Wild and Prather, 2000), namely: ISOP + 2.5*OH →
This particular parameterized reaction, which when originally implemented used a negative coefficient among the products (ISOP + OH $\rightarrow$ 2$\times$CH$_3$O$_2$ – 1.5*OH) during the original implementation required a negative coefficient for the OH product, is not standard within the CESM chemical modeling framework and cannot be handled by the solver, so the equivalent triple reaction formulation of 21a, 21b, and 21c is required. The oxidation of isoprene by ozone is a simple parameterization (resulting in fractional production of only the species that already exist in the mechanism as part of the methane oxidation scheme: CH$_2$O, CH$_3$O$_2$, HO$_2$, and CO) derived from the net effect of the isoprene/ozone oxidation pathways from the full LLNL-IMPACT model (Rotman et al., 2004) and was included specifically to improve the simulation of surface ozone chemistry (Cameron-Smith et al., 2009). We map the MO isoprene directly to the single SF isoprene species (ISOP).

Much of the simplicity within the SF mechanism comes from what it does not include. Carbon chemistry is limited to the five single-carbon species used in the simple methane oxidation scheme, plus isoprene. There is no PAN (peroxy acetyl nitrate) or ammonia, and hence no nitrogen aerosols, although HNO$_3$ is created in reaction 8 and 16. These all impact ozone chemistry, but the inclusion of additional hydrocarbon, aerosol, or heterogeneous chemistry would introduce significant additional computational costs (similar to the more complete mechanisms). There are no halogen species, since this would require the inclusion of a significant number of additional chemical tracers, and as such there is no capability to describe the polar ozone hole phenomenon within the mechanism (Cameron-Smith et al., 2006), so it is implemented within Linoz using the simple loss parameterization of Cariolle, et al. (1990). The greatest simplifications in the SF mechanism arise from compacting all of the non-methane hydrocarbon chemistry (NMHC) into two isoprene reactions, and thus there is none of the complex chemistry that is required to adequately represent ozone chemistry in highly polluted regions. The simplicity of the SF mechanism allowed us to perform two short simulations in which we added reduced-form PAN and N$_2$O$_5$ chemistry (individually, and in conjunction) from the MOZART-4 mechanism into the SF mechanism, which we use as a demonstration of the type of sensitivity tests that are possible with the SF mechanism. This type of quick sensitivity test would be significantly more difficult with the more complex mechanisms, given the complexity of PAN and N$_2$O$_5$ chemistry.

The SF mechanism has been included in several model inter-comparison projects. We include an expanded review in the Supplemental Material. Unfortunately, the SF mechanism only simulates sulfate aerosol, and so was unable to be compared to the aerosol simulations of the other ACCMIP members (Lamarque et al., 2013). The SF simulations within ACCMIP demonstrated lower rates of ozone chemistry and deposition resulting in a low ozone burden bias and a high ozone lifetime bias (Young et al., 2013), and while projected changes in ozone radiative forcing fell within the ACCMIP range, the historical changes did not (Stevenson et al., 2013). Human health analysis with the SF simulations fell within the range of the other ACCMIP members (Silva et al., 2013; 2016; 2017). Squire et al. (2015) compared SF to more complicated isoprene schemes, and concluded that including the SF mechanisms is preferable to neglecting chemistry entirely, although there are biases in regions of high biogenic chemistry. Schnell et al. (2015) also conclude that the SF mechanism responds differently than other more complex mechanisms, particular under different O$_x$ production regimes (e.g. SF shows a net increase in O$_x$ production when isoprene emissions increase in NO$_x$-limited regions, whereas the other mechanisms show a net decrease, or little change). Finally, Schnell et al. (2015) compare seasonal and diurnal cycles to other mechanisms, and the SF mechanism simulates high ozone events in the
springtime, and that the SF mechanism outperforms others when compared to the observed summertime diurnal cycle.

2.3 Computational Requirements

The computational requirements of MO, RH, and SF as simulated on the NCAR Cheyenne supercomputer are summarized in Table 1. The computational cost results from both the chemical solver and the advection of the chemical tracers within CAM-chem. No load balancing was conducted, which could potentially increase the efficiency of the RH and SF mechanisms. The CESM1.2 CAM-chem model run with the SF mechanism is roughly three times faster than a run with the MO mechanism when the Bulk Aerosol Model (BAM) (see Tilmes et al., 2015) aerosols are included (which we do not examine in this present study), and a gas-phase-only simulation with the SF mechanisms increases the speeds to nearly 4 times as fast. The RH mechanism is roughly twice as fast as the MO mechanism. At higher spatial resolutions, and the computational advantage of the SF mechanism over the more complex MO and RH schemes is likely to increase, since advection of tracers typically becomes a larger fraction of the total model run-time.

2.4 Observations

The ozone observational databases are of two types: the global database is ozonesonde data compiled from Tilmes et al. (2012) while the US database comes from the EPA Clean Air Status and Trends Network (CASTNET), which has more than 90 surface observational sites within the United States and has been collecting surface meteorological and chemical data since 1990 (CASTNET, 2016 and https://www.epa.gov/castnet). We used data from all sites that reported complete ozone data from each year, after removing data that the CASTNET database marked as invalid. The number of sites that matched these criteria varied from year to year, but generally we have between 55 and 94 sites throughout the 1990–1991–2014–2015 period. The CASTNET observational network is located primarily in rural sites, and thus is a reasonable comparison to coarse grid cell model output e.g. Brown-Steiner et al., 2015; Phalitmonkiat et al., 2016. In order to compare to the CESM CAM-chem simulations, which has no emissions trend, we have detrended the CASTNET data for each region using a simple linear regression. Regional averaging is first done by averaging all observational sites within a single 1.9°x2.5” grid cell, and then averaged to the larger regions as needed. We also compare to ozone precursor species observations from Tilmes et al., (2015).

3 Results

3.1 Spatial Comparisons

The spatial distribution of ozone and related species between the three mechanisms are compared in Figure 1. Taylor-like diagrams comparing results to ozonesondes over different global regions are provided in Figure 2, and comparisons to aircraft observations in Figure 3. Globally averaged surface Daily Maximum 8-Hour (DM8HMDA8) O₃ is consistent across all mechanisms
(Table 2) with the largest spatial differences (especially with the SF mechanism) noted over regions of intense biomass burning or biogenic emissions, such as equatorial Africa and South America, as well as over northern hemisphere oceans within SF (Figure 1). Surface CO mixing ratios show small regional differences between MO and RF, while NOx mixing ratios show very small and highly localized differences (Figure 1). All three mechanisms tend to have low CO biases over much of the northern hemisphere, with SF showing the largest bias. This coincides with starkly higher NOx mixing ratios in the northern hemisphere (Figure 1, Figure 3), especially in the winter and spring seasons. This is explored in more detail below.

Zonal profiles (Figure 4) show that ozone is similar among all mechanisms for all seasons, especially in the lower troposphere. Compared to the MO mechanism, the SF mechanism simulates higher northern hemisphere ozone in the winter, and lower in the summer. Both the RH and SF mechanisms simulate lower CO mixing ratios than the MO mechanism in both the summer and winter, with the SF mechanism diverging the most in the northern hemisphere in the summer. The SF mechanism also simulates higher NOx in the northern hemisphere winter, which (as we explore below) may in part be due to the lack of PAN chemistry.

At the largest spatial scales, all three mechanisms predict similar levels of surface ozone (Figure 5, Table 2), with global surface ozone estimates of $32.6 \pm 0.93$, $33.9 \pm 0.98$, and $31.5 \pm 1.12$ ppb for MO, RH, and SF, respectively. Even at the Continental US scale, all three mechanisms estimate similar surface $O_3$ values ($56.7 \pm 3.08$, $57.7 \pm 3.23$, and $53.4 \pm 3.59$ ppb for MO, RH, and SF, respectively), which are consistent with the CASTNET observations of $56.1 \pm 5.65$ ppb. However, within the Northeastern US, the well-known high bias is apparent ($74.4 \pm 11.4$, $76.0 \pm 11.9$, $72.6 \pm 14.5$ ppb for MO, RH, and SF, respectively, while the CASTNET observations are $57.4 \pm 7.42$ ppb). The MO and RH mechanisms are nearly identical at all spatial scales, while the SF mechanism simulates larger $O_3$ variability, especially at individual grid cells within the Eastern US. Taking into account the model ozone biases, the SF is a better characterization of the ozone distribution (as compared to CASTNET) for almost every spatial scale examined within the US. Indeed, in the Southeastern US, where we expect SF to perform poorly due to the simplified biogenic species chemistry, we actually find that the SF estimates the shape of the high ozone tail better than either MO or RF: CASTNET estimates at an individual grid-cell, that the 99th percentile for $O_3$ is 18% higher than the 90th percentile (Table 2), and while MO and RH estimate only 14% higher and 14% higher, respectively, the SF estimates 29% higher. In Section 4, we explore some of the implications of these differences, and in particular whether the biases within the SF mechanism are of the same magnitude as some of the biases within the MO and RF.

Figure 6 explores this finding, which plots the percentage difference between the 99th and the 90th percentile ozone as the length of the time series included grows. This comparison allows for a comparison of the relative distribution among mechanisms, here for the higher end of ozone values, to compare the overall shape of each mechanism’s distribution when biases in the magnitudes are normalized. We note that: (1) it takes between 5 and 10 years before a consistent and stable estimate emerges with each simulation, indicating that simulations less than 10 years may be inadequate for comparisons between chemical mechanisms; (2) the CASTNET observations have a transient estimate, most notably in the Southeastern US, which indicates a divergence of the 99th and the 90th percentiles (i.e. a lengthening of the upper tail) that is not seen in the simulations; and (3) the SF mechanism is
inconsistent with the MO and RH mechanisms, which are nearly identical, but the SF mechanism estimate is also closer to the CASTNET estimate in the Midwestern and Southeastern US. Whether this is the result of fortunate biases within the SF mechanism or an implication that the more complex chemistry within the MO and RH mechanisms are underestimating the length of the ozone tail requires further study. Brown-Steiner et al. (in review, ACPD) examines these implications, and also concludes that it takes approximately 10 years for long-term signals to emerge from meteorological variability. These results demonstrate the challenge in examining chemical signals in highly variable data, particularly if there are trends or changes to the ozone distribution, as is seen in the CASTNET data for the Southeastern US.

However, while the SF mechanism performs as well as, or better than, the MO and RH mechanisms in certain regions, there are many regions – especially in the northernmost latitudes over land, and over equatorial land masses – where the SF mechanism is far less capable at simulating surface ozone than either the MO or RH mechanisms. Figure 7 plots $R^2$ values for the DM8HMDA8 $O_3$ JJA time series (1990 – 2015) at every grid cell between the MO mechanism and both RH and SF, and it is clear that the RH mechanism has very high $R^2$ values ($R^2 > 0.75$) over much of the globe. While the SF mechanism has large $R^2$ values over many regions – in particular the extratropics – over the equatorial regions, and especially over land, $R^2$ values drop below 0.5 and even 0.25.

### 3.2 Seasonal and Diurnal Comparisons

The seasonality of surface ozone is similar among all three mechanisms at the regional-scales (Figure 8), although differences occur at both the largest and smallest scales: (1) the SF mechanism simulates a dual-peaked maximum in surface ozone averaged at the global scale, a phenomenon also noted by Schnell et al. (2015); (2) this dual-peaked maximum is still apparent at the regional scales, although to a much lesser degree; and (3) the RH mechanism has a dual-peaked maximum over portions of the Southeastern US. The seasonal patterns for CO and NO$_x$ are consistent across all models, although CO is lower in both RH and SF than in MO for all seasons. RH and MO NO$_x$ levels are nearly identical, but SF simulates higher values for NO$_x$ in all seasons, and particularly in the winter and spring seasons, as already noted. HO$_x$ and isoprene seasonality is consistent across all mechanisms at most scales.

Diurnal cycles are compared for a single grid cell within the Central US in Figure 9. With the exception of isoprene within the SF mechanism, which does not adequately represent nighttime isoprene chemistry, the diurnal cycles are comparable across all mechanisms for most species. The MO and RH mechanisms are nearly identical, with the exception of CO values, as already mentioned. The SF mechanism tends to show more extreme peaks in OH and NO$_x$, and lower levels of O$_3$, CO, H$_2$O$_2$, and SO$_4^{2-}$ (Figure 9). Surface levels of O$_3$ and CO within the SF mechanisms are sensitive to the addition of PAN and N$_2$O$_5$ chemistry (the dotted lines in Figure 9), described below, although the sensitivity tends to be in the simulated magnitude and not the shape of the diurnal cycle.

Figures 8 and 9 also include two-year simulations (1990 – 1991, with year 2000 emissions) in which we included into the SF mechanism PAN and N$_2$O$_5$ chemistry taken (and reduced) from the MOZART-4 mechanism. We examine these mainly to demonstrate the potential for the modification of the SF mechanism to meet particular research needs. Largely, the addition of PAN chemistry (purple
lines) results in more substantial changes to various species than the addition of N\textsubscript{2}O\textsubscript{5} chemistry (orange lines), but their combined addition (green lines) slightly modifies the simulated large-scale values of O\textsubscript{3}, CO, HO\textsubscript{x}, and isoprene. The addition of PAN chemistry brings the SF mechanism simulations closer to the MO mechanism for the NO\textsubscript{x} and HO\textsubscript{x} seasonal cycles (Figure 8), and the CO diurnal cycle (Figure 9), but at the expense of the global-scale capability to simulate ozone and isoprene. Additional tuning of the parameterized reactions 21 and 22 (Table S2) may be able to correct these errors. Sulfate aerosol in the SF mechanisms is notably lower than both the MO and RH mechanisms, which may result from the simple aerosol scheme within the SF mechanism.

3.3 Comparison to Observations

Figure 10 compares the model estimates of surface ozone to observations (ozonesondes and CASTNET observations) for different spatial regions, as well as to each other. Generally, all three mechanisms simulate less variability over continental to global scale regions than the ozonesonde observations (Figure 10c,d,e) and show a high bias over many sites within North America, Europe, and Asia. Within the US, all mechanisms show a high bias in the Eastern US, and especially in the Northeastern US, but the variability is well-captured when compared to CASTNET (with slopes ranging from 0.61 – 1.24 in Figures 10f, g, and h). When compared to each other (Figures 10a,b,i,j), the RH mechanism and MO mechanism are nearly identical. The SF mechanism, while comparable to the MO mechanism at many sites, shows greater divergence, overestimating values in many grid cells throughout the globe (Figure 10b) and both over- and underestimating within the US (Figure 10j). Taylor-like diagrams are plotted in Supplemental Figure S1 and show the close clustering of the MO and RH mechanisms, and that the SF mechanism differs from the observations at a similar magnitude than the MO and RH mechanism for some regions, but performs poorly in other regions (especially in the tropics, where tropospheric ozone is underestimated with the SF mechanism).

4 Discussion

Our primary objective has been to determine what is lost (or gained) with the selection of a simplified chemical mechanism, which we summarize here. We mostly discuss the SF mechanism, as the tradeoffs with the RH mechanisms are straightforward: we lose very little (Figure 10a and 10i) and gain about a 100% increase in simulation speed (Table 1). Many of the things that are lost with the use of the SF mechanism are expected: we lose the capability to directly simulate small-scale features of ozone chemistry in regions that depend strongly on complex biogenic chemistry. In particular, the equatorial landmasses – especially equatorial Africa and South America – are not well simulated (Figure 7). We also lose the capability to simulate some of the short-term features that require additional chemistry, such as the night-time behavior of isoprene (Figure 9), or the cold season CO and NO\textsubscript{x} behavior (Figure 1 and 4). The addition of PAN and N\textsubscript{2}O\textsubscript{5} chemistry do not rectify the nighttime behavior of isoprene (Figure 9), but do bring the cold-season simulated CO and NO\textsubscript{x} mixing ratios closer to the MO mechanism (Figure 8). These deficiencies may result from the highly parameterized biogenic chemistry within the SF mechanisms (Supplemental Table S2), although it may also result
from the treatment of isoprene emissions, and future simulations will need to consider the trade-off between additional complexity and computational efficiency.

More surprisingly, there are several desirable capabilities that are not lost with the selection of the SF mechanism. For most regions, the selection of the SF mechanism does not degrade the estimate of surface ozone (both the magnitude and the variability), nor do we lose features of the daily variability that results from the meteorology. In many regions, and at many scales, we find that the selection of the SF mechanism introduces uncertainties that are smaller than the difference between the simulated and observed surface ozone mixing ratios (Figure 5). Surface layer ozone is adequately represented over many regions in all seasons within the SF mechanism (Figure 8), despite the high CO and low NOx levels in the winter and spring seasons (Figure 4). For these seasons, the adequate ozone representation may be the result of compensating errors, and Schnell et al. (2015) previously found comparable cases where the SF mechanism outperforms more complex models, perhaps due to various sets of compensating biases or errors.

We now turn to the main question of this research: what do we gain when we select a simplified chemical mechanism? The primary thing we gain is the capability to simulate longer periods of time, or to include more members in an ensemble, in proportion to the simplicity of the mechanism. Our results show that, without any optimization of the code, the RH mechanism is ~100% faster than the MO mechanism, and the SF mechanism is up to 200% faster than the MO mechanism (Table 1). We feel that the capability to run three SF simulations for the price of one MO simulation under different sets of initial conditions, for example, can extend the quantification of parametric uncertainties which is largely unavailable to the most complex and most computationally demanding mechanisms.

For instance, there are many research frameworks where the “three-for-one” advantage of the SF mechanism could be utilized with the MO mechanism to allow for an expanded exploration of parametric uncertainties that would not otherwise be available with the MO mechanism alone. One simulation of a 5- or 10-year time slice with the MO mechanisms could be combined with three simulations of the SF mechanism, one matching the parameters of the MO mechanism (in order to provide a consistent baseline), and the other two exploring other parameter spaces (e.g. different initial conditions, or different emission scenarios). The establishment of a baseline comparison is particularly important, since the SF mechanism is a simplified mechanism, and should not be blindly trusted to reproduce the behavior of more complex mechanisms. For example, if a research group is interested in precise estimates of ozone concentrations in regions where the biogenic influence is significant, the SF mechanism would prove insufficient. The RH mechanism may be sufficient, but the more modest increase in computational speed – a “two-for-one” advantage over the MO mechanism – may not be enough to justify the simulation. If, however, the phenomenon of interest can be shown to be within the SF mechanism capabilities (e.g. simulating regional-scale ozone, as shown in this paper), the “three-for-one” advantage of the SF mechanism is readily apparent. The SF mechanism may be particularly desirable with chemistry-climate simulations at higher spatial resolutions.

In addition, the selection of a simplified mechanism allows for the capability to easily and efficiently test new forms and new representations of chemistry without the need to painstakingly update and test all possible interactions of any addition within a complex mechanism. For example, in this study, we added a simplified PAN and N$_2$O$_5$ representation to the SF mechanism (Figure 8 and 9) to see how it improves the simulations. This exercise offered a significant capability to test, simulate, and
further learn about improving atmospheric chemistry computations. This demonstrates that a hybrid approach (or tiered approach, as recommended in Uusitalo et al., 2015) – in which complex and trusted chemical mechanisms are used to evaluate simplified mechanisms that can run for longer periods or with increased ensemble members – has the potential to maximize computational capabilities and to get the most out of atmospheric chemistry modeling.

Furthermore, the selection of a simple chemical mechanism – especially when used in conjunction with more complex mechanisms within a consistent modeling framework – allows for better quantification of the uncertainties, and the relative importance, of particular pieces of the chemistry. Here, for instance, the SF mechanism’s representation of biogenic species chemistry is insufficient to adequately represent equatorial landmasses, but the reduced form RH mechanism is nearly as capable as the MO mechanism over most regions and most species. This begs the question: is there a representation of biogenic chemistry somewhere between the RH and the SF mechanisms that can approach the efficiency of the SF mechanism and the accuracy of the RH mechanism? We hope that future research will address this question, as well as others, such as more globally oriented research pertaining to ozone budgets and the interaction between OH and CH$_4$ lifetime. In addition, comparisons of chemical mechanisms of different complexities, and particularly where the simplified mechanisms fail, could potentially identify regional chemical regimes. For instance, the SF mechanism cannot adequately represent the chemistry of equatorial forests (Figure 7), and the spatial regions that fail to simulate ozone chemistry are similar to the spatial distribution of the tropical forest chemical regime identified in Figure 4 of Sofen et al. (2016), which utilized a statistical clustering technique to identify chemical regimes. Finally, the capability to examine atmospheric chemistry complexity in a step-wise fashion could also be utilized to bridge the gap between the most complex 3D chemical models and the more efficient models utilized by the Earth Models of Intermediate Complexity (EMIC) or Integrated Assessment Model (IAM) communities.

**5 Conclusion**

In this study, we have compared three chemical mechanisms of different levels of complexity within the CESM CAM-chem framework for present-day chemical and climatological conditions. We conducted 25-year cycled emission simulations nudged to MERRA meteorology with the standard tropospheric MOZART-4 (MO) mechanism of Emmons et al. (2010), the Reduced Hydrocarbon (RH) mechanism of Houweling et al. (1998), and the Super-Fast (SF) mechanism of Cameron-Smith et al. (2006). The RH mechanism is roughly twice as efficient as the MO mechanism, and the SF mechanism is roughly three times as efficient as the MO mechanism, without any code optimization. As much as possible, we kept the parameterizations consistent across all mechanisms, although we had to remap some of the MO mechanism species to match up with the RH mechanism species. We examine present-day chemistry with MO, RH, and SF. Both MO and SF have been compared in other model intercomparisons, including for preindustrial conditions (see the Supplemental Material for additional information). We hope that the analysis presented in this paper, and the availability of the mechanism files (Supplemental Material) will provide a baseline for continuing research of both the RH and SF mechanisms.
We find that all three mechanisms successfully capture surface ozone values at the larger spatial scales, but at smaller spatial scales, and especially within the Northeastern US, all three mechanisms have surface ozone biases when compared to CASTNET observations, but that the mean values for all three mechanisms are consistent with each other at a variety of spatial scales. The SF mechanism simulations show larger ozone variability than the MO and RH simulations, although when normalizing the distributions to account for the known ozone biases, the SF mechanism represents the shape and spread of the ozone distributions better than the MO or RH mechanisms, when compared to the CASTNET observations (Figure 5).

The RH mechanism is in close agreement with the MO mechanism for nearly every metric we examined, and any differences tend to be minor (both in magnitude and in spatial extent). The SF mechanism simulates higher NO$_x$ and lower CO than the MO mechanism, and the NO$_x$ deviations are particularly large in the winter season. In addition, the SF mechanism deviates from the MO mechanism over regions of high biogenic emissions, such as equatorial Africa and South America. These large deviations within the SF mechanism are likely a result of the simplicity of the mechanism, and especially the lack of biogenic species chemistry beyond a single-species, two-reaction representation, as well as a lack of PAN and N$_2$O$_5$ chemistry (Figures 8 and 9). The SF mechanisms do not include NO$_3$, which may also explain some of the nighttime biases. Future simulations in which NO$_3$ chemistry is added to the SF mechanism may correct some of these biases. We also find that although the SF mechanism differs in the magnitude of the estimated ozone from the other two mechanisms, the simulated ozone variability is similar in all three mechanisms (Figures 4 and 10).

We find that there are significant gains that can be realized by a research approach that utilizes simulations with both a complex and a simplified chemical mechanism where the complex mechanisms are used to provide a more-trusted chemical result (especially for the mean values) and the simple mechanism could be used to efficiently simulate longer time periods to better understand the roles of meteorological variability. The capability of the SF mechanism to simulate adequate chemistry with interactive meteorology is not examined here, nor the coupling of the SF mechanism with modal aerosols, which is left for future research. These results encourage revitalizing or creating simplified chemical mechanisms within individual modeling frameworks, and examining the structural uncertainties that exist between different models with regards to simplified chemical mechanisms.

Finally, we note that there are many inherent uncertainties associated with the use and comparison of chemical mechanisms and climate-chemistry simulations, many of which are inherited with the adoption of a particular model. The CESM CAM-chem model has been used extensively to examine a variety of climate and chemistry phenomena, and uncertainties that arise from the individual choices made during the historical development of this chemical model (see Brasseur et al., 1998; Hauglustaine et al., 1998; Horowitz et al., 2003; Kinnison et al., 2007; Emmons et al., 2010) are still present in the CESM CAM-chem modeling framework, such as which scheme or parameterization was to be included and the specific metric and methodology of tuning the climate model to historical data (see Hourdin et al., 2017 and references therein). Future simulations using different model versions, or different choices of parameterizations, schemes, emissions, and other input datasets will need to examine the impact of those choices on the simulated chemical uncertainty and compare these to the uncertainty that arises from the selection of the different chemical mechanisms presented here.
Code Availability

CESM CAM-Chem code is available through the National Center for Atmospheric Research /University Corporation for Atmospheric Research (NCAR/UCAR) website (http://www.cesm.ucar.edu/models/cesm1.2/), and this project made no code modifications from the released model version. The chemical mechanism files for both RH (reduced_hydrocarbon.in) and SF (superfast.in) are available on Massachusetts Institute of Technology servers at: http://dspace.mit.edu/handle/1721.1/114993.
Data Availability

The raw model output is archived on the NCAR servers, and processed data will be made available upon publication on a public recognized repository with a unique digital object identifier (doi) on Massachusetts Institute of Technology servers at: http://dspace.mit.edu/handle/1721.1/114993.
Supplemental Link
**Author Contribution**

BBS prepared and ran the simulations and prepared the manuscript under direction and advisement of NES and RP. LE and ST aided in the development, preparation, and analysis of the simulations as well as reviewed the manuscript. JFL advised and aided in the Reduced Hydrocarbon simulation. PCS advised and aided in the Super-Fast simulation. JFL and PCS also reviewed the manuscript.
Competing Interests
The authors declare that they have no conflict of interest.
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References


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*: unmodified RH listed in the parenthesis  
**: SF + Bulk Aerosol Model (BAM) included in parentheses

**Table 1:** Summary and comparison of the MOZART-4 (MO), Reduced Hydrocarbon (RH), and Super-Fast (SF) mechanisms included in this paper. All runs were conducted on the NCAR Cheyenne system with 64 CPUs on 2 nodes without any load optimization, and the values in this table represent the cost of the entire CESM CAM-chem model, not just the chemistry component. In this study, we removed many stratospheric species (see text), so we include both the modified and unmodified (in parentheses) RH mechanisms. The MO and RH mechanism include BAM.
Table 2: Summary Statistics for the Daily Maximum 8-Hour (DM8HMDA8) O\textsubscript{3} over the globe and over the indicated regions in the US. Additional regions can be found in Supplemental Table S3.

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Comment [NES3]: Same comment as on ACPD paper – should you alter the acronym here?
Figure 1: Maps of DJF and JJA O$_3$, CO, and NO$_x$ for MO, the difference between RH and MO, and between SF and MO for the year 2015. The chemical units are in ppb. Please note the difference in the chemical scales for each panel. Cool colors for the difference panels indicate MO is higher, and warm colors indicate that RH or SF is higher.
Figure 2: Taylor-like diagrams comparing the mean and correlation of the seasonal cycle between observations (present-day ozonesonde climatology (Tilmes et al., 2012) from 1995 to 2011 for different regions (Tropics, Mid-Latitudes, and High-Latitudes) and different pressure levels (900 hPa, 250 hPa, and 50 hPa), as in Figure 12 of Tilmes et al. (2015)) and simulations (red: MO, blue: RH, green: SF).
Figure 3: Relative differences between available aircraft observations (black) and the MO, RH, and SF model configurations (colors) over different regions and seasons, averaged over 2 – 7 km, for $O_3$, $NO_x$, $CO$, $HNO_3$, $H_2O_2$, and $SO_2$ as in Figure 17 of Tilmes et al. (2015).
Figure 4: Zonal Plots of Seasonal $O_3$, CO, and NOx for MO, the difference between RH and MO, and between SF and MO for the year 2015. The vertical axis is the model level, and the chemical units are in ppb. Please note the different vertical axis in each row. Cool colors for the different panels indicate MO is higher, and warm colors indicate that RH or SF is higher.
(a) Continental US
(b) Western US
(c) Eastern US
(d) Midwestern US
(e) Northeastern US
(f) Southeastern US
(g) 1x1 Midwestern US
(h) 1x1 Northeastern US
(i) 1x1 Southeastern US
Figure 5: Surface JJA DM8HMDA8 O₃ boxplots for the 1991 – 2014 data for CASTNET (grey), MO (red), RH (blue), and SF (green) averaged over the various regions. Plots g, h, and i are individual grid cells from within each region (38.8° N and 87.5° W for g), 38.8° N and 80.0° W for h, and 33.2° N and 85.0° W for i). Global boxplots are included along with the Continental US. The units are in ppb, and for each boxplot the box contains the Inter Quartile Range (IQR), the horizontal line within the box is the median, and the whiskers extend out to the farthest point which is within 1.5 times the IQR with circles indicating any outliers. Note the scale difference between the top row and the rest of the panels.
Figure 6: The relative difference (%) between the 99\textsuperscript{th} percentile and the 90\textsuperscript{th} percentile of JJA DM344MDAS O\textsubscript{3} for CASTNET and the three mechanisms over three regions as a function of increasing length of simulation, from 1 day up to the full 25 years simulated. The vertical bars indicate the year 2000, for which the emissions for all three simulations were cycled.
Figure 7: $R^2$ values calculated at every grid-cell (for the full 1990–2015 DM84MDA8 O$_3$ JJA time series) for MO and RH (left) and MO and SF (right).
Figure 8: Seasonal time series for O$_3$, CO, NO$_x$, HO$_x$, and ISOP for MO (black/red), RH (blue), and SF (red/green) for a single year (2015), averaged over different regions. The units are in ppb. Note the different scales in each panel. Also included are three sensitivity tests conducted with the SF mechanism (which were ran only for 2 years, 1990 – 1991, with 1991 being plotted here): adding PAN chemistry (purple), adding N$_2$O$_5$ chemistry (orange), and adding both PAN and N$_2$O$_5$ chemistry (green/black).
Figure 9: Example diurnal time series for various species for MO (black-red circles), RH (blue triangles), and SF (red-green diamonds) averaged over a single grid cell in the central US (100° west and 47° north). The units are in ppb. Also included are three sensitivity tests conducted with the SF mechanism: adding PAN chemistry (purple), adding N₂O₅ chemistry (orange), and adding both PAN and N₂O₅ chemistry (greenblack).
Figure 10: Scatterplots comparing model results to observations (two center columns) and to each other (two outer columns). Global regions (left) compare model results to ozonesondes (JJA averages), while regions within the US (right) compare the model results to CASTNET surface observations (JJA 

- Scatterplots comparing model results to observations (two center columns) and to each other (two outer columns).
- Global regions (left) compare model results to ozonesondes (JJA averages), while regions within the US (right) compare the model results to CASTNET surface observations (JJA O3). For the model-to-model comparisons, grey symbols additionally compare every grid cell in the model output. The numbers indicate the slope (upper left) and R^2 values (right) for each region. Each panel is labeled with the following convention: “y-axis” vs “x-axis.”

Benjamin Brown-Steiner, Noelle E. Selin, Ronald Prinn, Simone Tilmes, Louisa Emmons, Jean-François Lamarque, Philip Cameron-Smith

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Correspondence to: Benjamin Brown-Steiner (bbrownst@aer.com)
### Supplemental Table S1: Mapping of MOZART species (rows) to the lumped Reduced Hydrocarbon mechanism species (columns)

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<td>(11) $CH_2O + OH$</td>
<td>$CO + H_2O + H_2O_2$</td>
<td>$5.00E-12 \text{ exp}(125/ T)$</td>
<td>rates identical, simplified: $H \to H_2O_2$</td>
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<tr>
<td>(12) $CH_2O + H_2O_2$</td>
<td>$CH_2OOH + O_3$</td>
<td>$4.00E-13 \text{ exp}(700/ T)$</td>
<td>identical</td>
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<td>(13a) $CH_2O + OH$</td>
<td>$CH_2O + H_2O$</td>
<td>$2.70E-12 \text{ exp}(200T/ T)$</td>
<td>different, see note C</td>
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<tr>
<td>(13b) $CH_2O + OH$</td>
<td>$CH_2O + H_2O + H_2O$</td>
<td>$1.00E-12 \text{ exp}(200T/ T)$</td>
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<td>(14) $CH_2O + NO$</td>
<td>$CH_2O + NO_2 + NO$</td>
<td>$2.80E-12 \text{ exp}(300T/ T)$</td>
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<td>(15) $CH_2O + CH_2O$</td>
<td>$2CH_2O + 0.80H_2O$</td>
<td>$9.50E-14 \text{ exp}(390T/ T)$</td>
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<tr>
<td>(16) $H_2O + NO_2$</td>
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<tr>
<td>(17a) $DMS + OH$</td>
<td>$SO_2$</td>
<td>$1.10E-11 \text{ exp}(240T/ T)$</td>
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<td>(17b) $DMS + OH$</td>
<td>$SO_2$</td>
<td>$2.00E-10 \text{ exp}(5820T/ M)/ (M^{2/3} \cdot \text{ exp}(5820T/ M))$</td>
<td>different, see note C</td>
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<tr>
<td>(18) $OH + SO_2 + OH$</td>
<td>$SO_2$</td>
<td>$k_{\text{on}} = 3.30E-31 \text{ exp}(300T/298), k_{\text{off}} = 1.60E-12; f = 0.60$</td>
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<td>(19) $H_2O_2 + SO_2$</td>
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<td>(20) $O_3 + SO_2$</td>
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<tr>
<td>(21c) $ISO + CH_2O$</td>
<td>$ISO + 0.5OH$</td>
<td>$7.00E-11 \text{ exp}(90T/ T)$</td>
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<tr>
<td>(22) $ISO + O_3$</td>
<td>$0.87CH_2O + 1.30CH_2O_2 + 0.06H_2O + 0.05CO$</td>
<td>$5.59E-15 \text{ exp}(1814T/ T)$</td>
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**NOTES:**
A: For rate: $k = 5.90E-33 \cdot T^{3/2}/(M^{1/2}O_3)^{1/2}$; $k = 1.10E-12 \cdot T/(300)^{1/3}$; $k_m = k_{\text{on}}/k_{\text{off}}$; $k_0 = 1.50E-13 \cdot T/(3000)^{1/3}$; $k_{\text{on}} = (2.10E9 \cdot T/(3000)^{1/3})/M$.

B: $HNO_3$ chemistry included only as reaction 8 and 16, with reaction 16 involving heterogeneous chemistry parameterization.

C: DMS chemistry limited only to reaction with $OH$ (reaction 17), $SO_2$ production simplified to reactions 18 with $OH$ and 19 with $O_3$.

D: Rate equations are included within the aerosol routines adapted from the MOZART--4 mechanism implementation within CAM-chem.

E: Isoprene chemistry parameterized from UCI for ISOP + $OH$ and from LLNL-IMPACT for ISOP + $O_3$, see text for full details.

**Table S2:** Full description of Super-Fast chemical mechanism as compared to the MOZART-4 mechanism of Emmons et al. (2010). Reaction rates are written out if they are of the Arrhenius form, or otherwise formulated. If the reaction rates are of the Troe form, they list the $ko$ and $ki$ parameters, as in Emmons et al. (2010). The simplifications made in
the SF are noted by indicating what species is missing or modified when compared to Emmons et al. (2010). Chemical species are the same as in Emmons et al. (2010).
Table S3: Summary Statistics for the Daily Maximum 8-Hour (MDA8) O\textsubscript{3} over the globe other regions, accompanying Table 2. The last two columns indicate the difference between the 99\textsuperscript{th} percentile and the 90\textsuperscript{th} percentile, expressed both in absolute values (ppb) and as a percent.

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Supplemental Description of the Super-Fast Chemical Mechanism

The SF mechanism is in the CESM code archive as an unsupported chemical mechanism, which can be activated using the option ‘-chem super_fast_llnl’. The SF mechanism has been included in several model inter-comparison projects, including the ACCMIP (e.g. Lamarque et al., 2013), a comparison of stratospheric dynamics and ozone production (Hsu et al., 2013), a comparison of isoprene mechanisms and ozone changes (Squire et al., 2015), and a multi-model assessment of surface ozone and observations (Schnell et al., 2015). The SF mechanism was also used to examine the role of DMS within ENSO (Xu et al., 2016). Here we briefly review the findings of these four model inter-comparison projects.

The SF only simulates sulfate (SO$_4$) and not the other aerosols, so the SF mechanism was not included in many of the ACCMIP aerosol comparisons (Lamarque et al., 2013). While the inclusion of non-sulfate aerosols within the CESM can be easily accomplished, there are two aerosol modules (either bulk or modal) to which aerosols could be added, which was beyond the scope of this project, so aerosol model capabilities are not examined in the present study.

We now summarize the ACCMIP results as they pertain to the SF mechanism. Within the ACCMIP, the SF mechanism has lower rates of ozone production and loss compared to the ACCENT models (biases of -24% and -22% respectively), as well as low ozone deposition (bias of -38%) (Young et al., 2013). In this comparison, natural emissions were not prescribed and different treatments of meteorology were used, which may account for some of the noted differences. This results in a high bias for the ozone lifetime (+3 days, or +14%), as well as a low ozone burden bias (-34 Tg, or -10%) (Young et al., 2013). In addition, the models that showed similarly low ozone production and loss rates have lower emissions of VOCs. The SF mechanism falls within the ACCMIP range for human health results due to ozone exposure (Silva et al., 2013). The SF mechanism simulated the 1850-2000 changes in the tropospheric ozone column within the range of the ACCMIP models, and projected changes to the ozone radiative forcing for future RCP scenarios also fell within the ACCMIP range (Stevenson et al., 2013). However, the calculated historical change in ozone RF fell outside of the ACCMIP range (+20% bias). The SF mechanism also has a high bias for global-mean OH (+16% compared to the ACCMIP mean) and a low bias for the calculation of the methane lifetime due to OH oxidation (-14%) (Voulgarakis et al., 2013).

The SF mechanism was tested against MOZART by Hsu et al. (2013) who concluded that the selection of a chemical mechanism was only a secondary influence on the stratospheric chemistry since they used a linearized scheme. However, the SF mechanism did produce a less stratified tropopause and a warmer troposphere due largely to the impact of ozone forcings on the simulated dynamics and thermodynamics. Unfortunately, the Hsu et al. (2013) analysis had a bug with their SF simulations, which resulted in the aerosols not being communicated to the cloud nucleation routines, but this didn’t affect their conclusions on the sensitivity of the stratosphere to uncertainty in the O$_2$ photolysis cross-section.

Squire et al. (2015) compared the SF isoprene scheme with three other schemes of much greater complexity. They concluded that the “1-species, 2-reaction” isoprene scheme from the SF mechanism, as simple as it is, is preferable to neglecting biogenic chemistry entirely, although the SF mechanism shows the highest biases in regions where isoprene chemistry is important for simulating accurate ozone concentrations. They also explored some of the other biases within the
SF mechanism scheme, which include: (1) under high-isoprene conditions, the SF mechanism overestimates O₃; (2) under low-isoprene and low-NOₓ conditions, the SF mechanism overestimates O₃; (3) due to the simplicity of SF mechanism, HOₓ is sequestered into the organic hydroperoxides, and methyl hydroperoxide (CH₃OOH) has low reactivity, which results in high levels of the peroxy radicals, an enhanced rate of CH₃O₂ + NO, and therefore a high bias (up to +80%) for ozone; and (4) the NOₓ lifetime is too short, except in high-NOₓ emission regions. They conclude that the addition of a PAN formation scheme would significantly improve the O₃ distribution. Finally, they find that many of the errors described above largely cancel each other out, which results in the globally averaged O₃ bias for SF mechanism to be small (-2.6% compared to the Master Chemical Mechanism).

The SF mechanism has a known anomalous annual cycle (see Schnell et al., 2015), in which peak ozone occurs in March/April rather than May. In the main article we show that this anomaly exists at global scales, but not within all regions. In addition, the size and extent of ozone pollution episodes is anomalously high, and these large events occur mainly in the springtime (Schnell et al., 2015). Interestingly, the SF mechanism outperforms many of the more sophisticated mechanisms in simulating the observed summertime diurnal cycle for ozone (Schnell et al., 2015).

References for Supplemental Material:


