Response to RC1:

This study developed a method to calibrate key parameters in Demeter, a community spatial downscaling model, by using a long-term global satellite-based land cover dataset. The sensitivities of the key parameters and propagation of the uncertainties in the projection were also evaluated. The parameterization in the Demeter is important for a better performance of downscaling land use and land cover data from projection at the regional level. I'd recommend accepting this paper upon some minor revisions.

- *We thank the reviewer's positive comments on the importance of this paper. Below we respond the reviewer's specific comments point-by-point.*

1. The section of introduction needs to be improved. The first paragraph discussed multiple topics such as background and motivations. They can be separated as individual paragraphs for readers' understanding. The challenges can also be briefly discussed in the introduction.

- *We have separated the second paragraph in the original manuscript into two paragraphs. In the first paragraph of the revised "introduction" section, we introduced the critical role of LULCC in the Earth system science research, followed by the statement of motivation of studying spatial downscaling of LULCC by the integrated Human-Earth system models such as GCAM. In the third paragraph, we added sentences for introducing other spatial disaggregation models as suggested by RC2, and briefly discussed the challenges of determining Demeter parameters. See Line 55-77.*

2. Although the Demeter has been published, an overview illustration of this model will be very helpful for readers to understand the work in this paper without reading the Demeter paper.

- *We added a figure to provide an overview of Demeter's key processes. Please see Figure S1 in the supplementary materials.*

3. As this paper focused on the calibration of parameters, these parameters are important and deserve some explanations. For example, it is not clear what is selection threshold.

- *We added further clarifications to the parameters and associated variables. Please see Line 105-106 and Line 115-116.*

4. I read the paper in pdf. I found the symbols in the equations do not show up. They are "sum"?

- *We have made modifications to the equations to make sure they show up correctly.*

5. Figure 7 can be improved regarding readability. For example, some boundaries of AEZ can be removed?

- *We improved the quality of Figure 7 and the related Figure S2-S6 in the supplementary file by reducing the visibility of the AEZ boundaries.*

6. Figure 8 can be improved.

- *We have improved the quality of Figure 8 and made modifications with the results of using "top 10%" parameters as suggested by reviewer 2.*

7. Figure 8: I expected the uncertainty will increase monotonously. But for some land cover types, it even decreases after the middle of the century. Any explanations?

- *We thank the reviewer identify this problem. We found a mistake in preparing Figure 8. All the uncertainties increase monotonously after the correction.*

8. This study is an important contribution to the development of the community spatial downscaling model, Demeter. It is still worth to discuss the limitations and future directions. For example, a set of global parameters were used in the Demeter. Further efforts should be made for the regional level and even AEZ level parameterization, especially with the capability of parallelized computing. The second effort should be made in the future work is the improvement of urban land use. Currently, the performance of urban land use is not good as other land cover types. It could be due to the limited consideration of complex urbanization process as well as the input historical urban data. More spatially and temporally consistent urban extent data can be explored (references: A global map of urban extent from nightlights; A global record of annual urban dynamics (1992–2013) from nighttime lights) in the future research. With some minor revisions, I would like to see this paper published.

- *We thank the reviewer's valuable suggestions. We have inserted a paragraph of discussion on the limitations of current version of Demeter and its parameterization, and pointed out future study directions such as regional/AEZ-level parameterization and improving urban parameterization with satellite-derived urban records. Please see Lines 383-401.*

Response to RC2:

General Comments: The paper presented a thorough investigation of the sensitivity of a global land cover / land use downscaling model (Demeter) to its six model parameters. The work provides essential foundation for making better use of Demeter, and is worth publishing. From a user's point of view, I would appreciate the following suggested modifications made, which collectively ask the authors to further interpret their experiment results and form actionable suggestions for Demeter applications.

- *We thank the reviewer's excellent suggestions. We have added further clarifications, suggestions and discussions accordingly, as elaborated below.*

Specific Comments:
1. The authors argued "equifinality" is present with multiparameter models like Demeter, and presented the optimal setting and top 5% performance setting. It would be useful to see the top few (say, 5-10) "equifinality" parameter settings.

- *We have illustrated the top 10% parameters and the resulted uncertainties in future LULCC downscaling in the revised Figure 2, Figure 8 and Figure S8. Overall, the top 10% parameters will result larger uncertainties than the top 5% parameters, but in a similar way. We also added a short discussion on it in the revised text. Please see Lines 252-255 and 321-322.*

2. The manuscript showed attempts to make suggestions for general users of Demeter, but they need to be more specific and explicit to be useful. For example, the ideal weight for soil nutrient is 0 (figure 2), meaning the model is better off without considering this input variable. Then, the implication for Demeter users is that, users don't need to worry if they don't have good input for this variable, and should focus on getting better quality input for variables that the model is more sensitive to.

- *We have made specific suggestions such as "the users should focus more on the quality of other parameters such as r and τ to which the model is more sensitive", and "We also noticed that the optimal weight for soil nutrient availability for calculating the suitability indices is zero (Figure 2) and the model. A possible reason is that the soil nutrient availability has similar spatial distribution as the cropland in ESA-CCI data, thus provides little additional information in constraining the downscaling processes (Figure S10). This result suggests that the users could ignore the input of soil nutrient availability if it is not available or difficult to collect, and the quantification of the downscaling uncertainty is not required". Please see our revised text in Lines 347-348 and 351-356.*

3. Regional applications of Demeter: The authors stated that different regions differ from the global "average" situation in their own ways, and regional applications of Demeter require "careful" tuning, but provided no further suggestions. Although this paper focuses on a global application of Demeter and global applications are different from regional ones, the authors have learned much about the model's sensitivity, and are better positioned than any user out there to infer what are good starting points (e.g. a range of values to try first, proper sizes of increments when changing values of specific variables) when parameterizing Demeter for regional uses.

This doesn't need to be long, but given the authors' knowledge about the topic, even some speculations would be helpful, but they need to be actionable.

- *We extended our discussion on the regional applications. Specifically, we suggest that users review local historical land use changes, and use the global optimal parameters as a starting point for further parameter adjustment in regional applications. See Line 383-387.*

4. Global applications: The authors presented the optimal set of parameters for global applications, then made some vague suggestions for (global) modeling tuning. Since the authors' experiment is global, it seems the optimal parameters have been identified for global applications. In what cases would global tuning be needed? And what are good starting points for such tuning?

- *We extended our discussion on the global applications as revisions in the Section 4. We suggest urban area downscaling will need to be improved in future applications and the calibrated parameters can be used as a good starting point in case further tuning is necessary, e.g., more detailed final land types are needed in the users' applications. See Lines 388-401 and 410-413.*

5. Demeter's residuals show very strong spatial patterns / biases (figure 7). Some explanations about why it occurred and how it may be moderated (if possible) would be useful.

- *We added a few sentences to introduce Demeter and other spatial downscaling models, as well as the differences between Demeter and the other models in introduction. Please see Lines 293-300.*

Technical Corrections:
1. The authors mentioned how Demeter compare to other spatial downscaling models, but it came up in the method section. It would be nice to see that in introduction.

- *We added a few sentences to introduce Demeter and other spatial downscaling models, as well as the differences between Demeter and the other models in introduction. Please see Lines 55-62.*

2. Many equations are not displayed properly in my copy of the manuscript. Equation (5) especially is not readable at all.

- *We have made modifications to the equations to make sure they show up correctly.*

3. Table 1 showing land cover conversion priorities must label whether rows/cols are origin/destination land cover types, because the conversion priorities are not symmetric.

- *We have added 'Origins' and 'Destinations' labels in Table 1 and descriptions in the table caption.*

4. Ln 152: "Y is the model outputs (i.e., E)" and the following equation E(Y|X) are confusing. Usually, E(Y) denotes the statistical expectation of Y.

- *We used θ and ε to replace X and Y in the calculation of Sobol indices to avoid confusion, and clarified Var and E are statistical variance and expectation.*

Response to SC1:

Dear authors, in my role as Executive editor of GMD, I would like to bring to your attention our Editorial version 1.1: http://www.geosci-model-dev.net/8/3487/2015/gmd-8-3487-2015.html
This highlights some requirements of papers published in GMD, which is also available on the GMD website in the 'Manuscript Types' section: http://www.geoscientific-modeldevelopment.net/submission/manuscript_types.html
In particular, please note that for your paper, the following requirement has not been met in the Discussions paper: "The main paper must give the model name and version number (or other unique identifier) in the title." As I understand from the abstract, the real model development published here is demeter. Therefore please add the models name and version number to the title of your revised manuscript. E.g. "Calibration and analysis of the uncertainty in downscaling global land use and land cover projections from GCAM using DEMETER (v x.y)".

- *We have updated the title as "Calibration and analysis of the uncertainty in downscaling global land use and land cover projections from GCAM using Demeter (v1.0.0)"*

Additionally, please note, that GMD is encouraging authors to provide a persistent access to the exact version of the source code used for the model version presented in the paper. As explained in https://www.geoscientific-modeldevelopment.net/about/manuscript_types.html the preferred reference to this release is through the use of a DOI which then can be cited in the paper. For projects in GitHub (such as demeter) a DOI for a released code version can easily be created using Zenodo, see https://guides.github.com/activities/citable-code/ for details.

- *We have added the DOI in the revised manuscript. See Line 60.*

Calibration and analysis of the uncertainty in downscaling global land use and land cover projections from GCAM using Demeter (v1.0.0)

Min Chen[1*], Chris R. Vernon[2], Maoyi Huang[2], Katherine V. Calvin[1], and Ian P. Kraucunas[2]

[1] Joint Global Change Research Institute, Pacific Northwest National Laboratory, College Park, Maryland 20740, United States

[2] Atmospheric Sciences and Global Change Division, Pacific Northwest National Laboratory, P.O. Box 999, Richland, Washington 99352, United States

[*]Corresponding author

Email: min.chen@pnnl.gov

Telephone: 1-301-314-6755

Fax: 1-301-314-6719

Abstract

Demeter is a community spatial downscaling model that disaggregates land use and land cover changes projected by integrated human-Earth system models. Demeter has not been intensively calibrated, and we still lack a good knowledge about its sensitivity to key parameters and the parameter uncertainties. We used long-term global satellite-based land cover records to calibrate key Demeter parameters. The results identified the optimal parameter values and showed that the parameterization substantially improved the model's performance. The parameters of intensification ratio and selection threshold were the most sensitive and needed to be carefully tuned, especially for regional applications. Further, small parameter uncertainties after calibration can be inflated when propagated into future scenarios, suggesting that users should consider the parameterization equifinality to better account for the uncertainties in the Demeter downscaled products. Our study provides a key reference for Demeter users, and ultimately contribute to reducing the uncertainties in Earth system model simulations.

Key words: Demeter; land use and land cover change; parameterization; human-Earth systems models

1. Introduction

Land Use and Land Cover Change (LULCC) represents one of the most important human impacts on the Earth system (Hibbard et al., 2017). Besides its socioeconomic effects, LULCC is directly linked to many natural land surface processes, such as land surface energy balance, carbon and water cycle (e.g., Piao *et al* 2007, Law *et al* 2018, Sleeter *et al* 2018, Pongratz *et al* 2006), and indirectly affects the climate system (e.g., Dickinson and Kennedy 1992, Findell *et al* 2017, Costa and Foley 2000). Thus, LULCC has been considered as a key process in simulating of Earth system dynamics, and LULCC inputs at appropriate time steps and spatial resolutions are required to match the setup of the Earth System Models (ESMs) and the nature of spatial heterogeneity of the Earth system processes (Brovkin et al., 2013; Lawrence et al., 2016; Prestele et al., 2017).

While recent historical LULCC information can be obtained by ground investigation or satellite remote sensing (Friedl et al., 2002; Hansen et al., 2000; Loveland et al., 2000; Zhang et al., 2003), projections of future LULCC largely rely on mathematical models that bring socioeconomic and other diverse sectoral information together in a coherent framework to simulate the interactions between natural and human systems. However, these integrated models project LULCC at subregional level, i.e., the basic spatial units that have uniform properties for every sector (e.g., agricultural, energy and water etc.), typically ranging from a few hundred to millions of square kilometers (Edmonds et al., 2012). For example, the GCAM model has been widely used to explore future societal and environmental scenarios under different climate mitigation policies which provides LULCC projections at region-agroecological or water basin level (Edmonds et al., 1997; Edmonds and Reilly, 1985; Kim et al., 2006). ESMs divide the Earth surface into a number of grid cells and the forcing data have to be available at the same spatial resolution to drive the ESMs (Taylor et al., 2012). Therefore, spatial downscaling of the subregional LULCC becomes a critical step for linking models like GCAM and ESMs to investigate the effects of the LULCC on the processes in the natural world, and further the interactions between the human and natural systems (Hibbard and Janetos, 2013; Lawrence et al., 2012).

There has been a few spatial disaggregation studies for LULCC, e.g., the Global Land Use Model (Hurtt et al., 2011) and a dynamic global land use model (Meiyappan et al., 2014) with various geographical and socioeconomic assumptions. In previous studies, we have developed a new simple and efficient LULCC downscaling model, named Demeter, to bridge GCAM and ESMs (Le Page et al., 2016; Vernon et al., 2018; West et al., 2014), and made it available online at http://doi.org/10.5281/zenodo.1214342 . Comparing to other models, Demeter makes minimal assumptions of the socioeconomic impacts. Instead, it uses a few parameters to implicitly characterize the spatial patterns of land use changes (See introductions in Section 2.1). Demeter has been successfully applied at both global (Le Page et al., 2016) and regional (West et al., 2014) levels for downscaling GCAM-projected land use and land cover changes, and has been further developed with an extensible

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output module which streamlines producing specific output formats required by various ESMs (Vernon et al., 2018). However, Demeter's parameters (discussed in Section 2.1), which conclude many geographic patterns of long-term land cover changes such as intensification and expansion, are difficult to determine by either literature review or simple mathematical calculations. Therefore, Demeter's parameter values were empirically determined and a complete analysis on Demeter's parametric sensitivity and uncertainties as well as a rigorous model calibration has not been conducted to help minimize the propagation of downscaling errors. In recent years, a growing number of long-term global remote-sensing-based LULCC datasets are made available (e.g., the Land Cover project of the European Space Agency Climate Change Initiative, MODIS Land Cover product collections 6), it becomes possible to use these datasets to calibrate Demeter parameters. The major objective of this study is to develop a framework for calibrating the key parameters of Demeter, testing and quantifying the parameter sensitivities and uncertainties, and demonstrating how the parameter uncertainties would affect downscaled products.

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2. Method

2.1 Demeter

Demeter is a land use and land cover change downscaling model, which is designed to disaggregate projections of land allocations generated by GCAM and other models. For example, GCAM projects land cover areas in each of its spatial units (e.g., region-agro-ecological zones, region-AEZ) for each land cover type, and Demeter uses gridded observational land cover data (e.g., satellite-based land cover product) as the reference spatial distribution of land cover types and allocates the GCAM-projected land area changes to grid level at a target spatial resolution, following some user-defined rules and spatial constraints (Figure S1). Below we briefly summarize the key processes of Demeter, and the detailed algorithms can be found in three earlier publications (Le Page et al., 2016; Vernon et al., 2018; West et al., 2014).

Demeter first reconciles the land cover classes defined in the parent model and the reference dataset to user-defined unified final land types (FLTs). Downscaled land cover types will be presented in FLTs. For example, if Demeter reclassifies the 22 GCAM land cover types and the 16 International Geosphere-Biosphere Programme (IGBP) land cover types from the reference dataset into 7 FLTs (Forest, Shrub, Grass, Crops, Urban and Sparse), the 7 FLTs will be the land types represented in Demeter's outputs by default. Demeter then harmonizes the GCAM-projected land cover areas and the reference dataset at the first time step (or 'base year') to make sure they are consistent with the GCAM spatial units and allocates the projected land cover changes by intensification and extensification. Intensification is the process of increasing a particular land cover in a grid cell where it already exists, while extensification creates new land cover in grid cells where it does not yet exist but is in proximity to an existing allocation. The order

of transitions among land cover types is defined by "transition priorities" during the processes of intensification and extensification. A parameter (r, from 0 to 1) is defined as the ratio of intensification, and thus 1-r of the land cover change is for extensification. Proximal relationships are defined by spatial constraints that determine the probability that a grid cell may contain a particular land use or land cover class. The current Demeter setup includes three spatial constraints: kernel density (KD), soil workability (SW) and nutrient availability (NA). KD measures the probability density of a land cover type around a given grid cell, and SW and NA are normalized scalars (0~1) for agricultural suitability. For each land cover type and grid cell, KD is calculated by the spatial distance (D) at the runtime, and SW and NA are estimated from the Harmonized World Soil Database (HWSD, FAO/IIASA/ISRIC/ISSCAS/JRC, 2012). A suitability index (SI) from 0 to 1 is defined as the weighted-average of the three spatial constraints to assess how suitable a grid cell is to receive a land cover type:

$$SI = (w_K*KD+w_S*SW+w_N*NA)/(w_K+w_S+w_N) \quad (1)$$

where w_K, w_S, and w_N are the weights for KD, SW and NA, respectively, and the sum of them is 1. In the process of extensification, Demeter ranks candidate grid cells based on their suitability indices and selects the most suitable candidate grid cells following a user-defined threshold percentage (τ) for extensification. In other words, τ determines the number of grid cells to be selected and used for the tentative and actual conversion of land cover types.

Table 1. Transition priorities by analyzing the 24-year global land cover records from the Land Cover CCI project of the European Space Agency Climate Change Initiative. The rows and columns represent the origins and destinations of the transitions, respectively. The smaller numbers indicate higher transition priorities.

Final Land Types (origins)	Final Land Types (destinations)						
	Forest	Shrub	Grass	Crop	Urban	Snow	Sparse
Forest	0	2	3	1	4	5	6
Shrub	2	0	3	1	4	5	6
Grass	1	2	0	3	5	6	4
Crop	2	3	1	0	5	6	4
Urban	1	4	3	2	0	6	5
Snow	2	3	4	1	5	0	6
Sparse	2	3	4	1	5	6	0

2.2 Calibrate Demeter with historical land cover record and sensitivity analysis

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As indicated above, users should define a few parameters including the treatment order, the transition priorities for allocating the land cover changes, the intensification ratio r, the selection threshold τ, the radius for calculating kernel density D, and weights for the spatial constraints (w_K, w_S, and w_N), in order to use Demeter for downscaling projected land cover change. These parameters were determined empirically in previous studies. Here we calibrated these parameters for Demeter using a time series of global land cover records from the Land Cover project of the European Space Agency Climate Change Initiative (referred to as CCI-LC products hereafter). The CCI-LC products have been generated by critically revisiting all algorithms required for the generation of a global land cover product from various Earth Observation (EO) instruments, thus provide a globally consistent land cover record over two decades (1992-2015). The CCI-LC products are available at 300 m spatial-resolution and annual time step and classify the global land cover into 38 groups. We reclassified the CCI-LC products into the default 7 FLTs (Table S1) and resampled them into 0.25° resolution with the official software tools, following the description of CCI-LC products in the user guide (http://maps.elie.ucl.ac.be/CCI/viewer/download/ESACCI-LC-Ph2-PUGv2_2.0.pdf). Figure 1 shows large interannual global changes for the 7 FLT areas, especially for the forests and croplands, which have decreased and increased over 0.6 million km^2 over the past two decades, respectively. We used the gridded 0.25° CCI-LC over the 24-year period as the observational data (below referred to "LC-grid-obs") and aggregated them into GCAM's region-AEZ level to produce a synthetic GCAM-projected land cover change (below referred to "LC-AEZ-syn"). In this way, we can apply Demeter to LC-AEZ-syn to calibrate Demeter with the LC-grid-obs by tuning the parameters of Demeter.

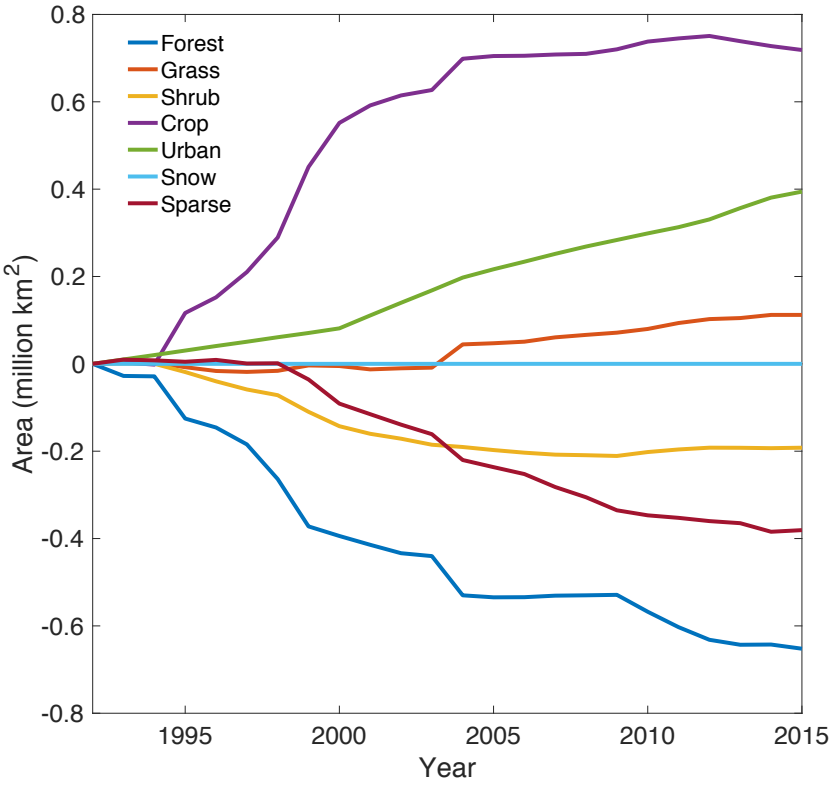

Figure 1. Interannual changes of global Final Land Types (FLTs) areas over 1992-2015 relative to 1992, as indicated by the ESA CCI-LC product.

A preliminary sensitivity analysis of Demeter indicated that the downscaled results are not sensitive to treatment order and transition priorities (Le Page et al., 2016), thus we used the default treatment order, i.e., from least to greatest: Urban, Snow, Sparse, Crops, Forest, Grass, Shrub. We decided the transition priorities by sorting the probabilities of transitioning one FLT to another based on the 24-year CCI-LC record (Table 1). To calibrate the other six parameters (r, τ, w_K, w_S, w_N and D), we sampled their values at equal intervals (Table 2) and generated all possible combination (23,100 in total) for a Monte-Carlo ensemble Demeter downscaling experiment, using LC-AEZ-syn as the input. The Monte-Carlo experiment generated 23,100 sets of downscaled 0.25-degree global land use and land cover areas, which

were compared against LC-grid-obs to calculate their similarities to the observational data, ranked by their discrepancies from the least to greatest to determine the likelihood of the parameters. We calculated the discrepancies as the root mean square error (E_y) between the downscaled and observed land cover areas for each year:

$$E_y = \sqrt{\frac{1}{G}\frac{1}{L}\sum_{g}^{G}\sum_{l}^{L}\left(Ad_{y,l,g} - Ad_{o,l,g}\right)^2} \quad (2)$$

and the average of the discrepancies over the years (E):

$$E = \frac{1}{Y}\sum_{y}^{Y} E_y \quad (3)$$

where g is the index for G grid cells over the globe (G = 265,852), l is the index for the L FLTs (L = 8), y is the index for Y years. We chose 1992, 2000, 2005, 2010 and 2015 to keep consistent with the GCAM time steps, thus Y = 5. $Ad_{y,l,g}$ and $Ao_{y,l,g}$ are the downscaled and observational land cover areas for grid cell g, FLT l and year y. The unit for E_y and E is km^2.

To test the model sensitivity to these key parameters, we conducted a sensitivity analysis using the results from the Monte-Carlo experiment. The first-order and total-order Sobol sensitivity indices were used to identify the model sensitivity to each of the six parameters (Saltelli et al., 2004). Let θ_i denotes the ith parameter (i=1,…,n, here n=6), ε is the model outputs (i.e., the discrepancies between downscaled and observed land cover areas), the first-order Sobol index (S_i) is defined as:

$$S_i = \frac{Var\left[E\left(\varepsilon \mid \theta_i\right)\right]}{Var\left(\varepsilon\right)} \quad (4)$$

Here Var and E are the statistical variance and expectation. And the total-order Sobol index (S_{Ti}) is defined as the sum of sensitivity indices at any order involving parameter θ_i, where $S_{ijk\ldots n}$ denotes the nth-order sensitivity index:

$$S_{Ti} = S_i + \sum_{j=1,j\neq i}^{n} S_{ij} + \sum_{j,k=1,j,k\neq i}^{n} S_{ijk} + \ldots + \sum_{j,k\ldots,n=1,j,k,\ldots,n\neq i}^{n} S_{ijk\ldots n} \quad (5)$$

The first-order Sobol index represents the contribution to the output variance of the main effect of θ_i, therefore it measures the effect of varying θ_i alone; and the total-order Sobol index measures the contribution to output variance of θ_i and includes all variance caused by its interactions with other parameters. Larger Sobol indices indicate higher parameter sensitivities.

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Table 2. Key parameters, and their sampling range and steps for calibration in this study.

Name	Definition	Min	Max	Sampling step
w_N	Weight of soil nutrient availability for calculating suitability index	0	1	0.2
w_S	Weight of soil workability for calculating suitability index	0	1	0.2
w_K	Weight of kernel density for calculating suitability index	0	1	0.2
r	Intensification ratio	0	1	0.1
τ	Selection threshold	0	1	0.1
D	Kernel radius	10	100	10

2.3 Propagate the parameter uncertainties to GCAM LULCC downscaling

We selected parameter combinations which produced the smallest 5% *E*s based on their rankings from the Monte-Carlo experiment, and used them as 'acceptable' parameters to represent the parameter uncertainties after calibration. We used Demeter with these parameters to downscale the GCAM-projected LULCC at 5-year time step from 2005 to 2100 under a reference scenario to examine the uncertainties of land cover areas for each FLT to demonstrate how different the downscaled LULCC can be induced by the uncertain parameters. The reference scenario is a business-as-usual case with no explicit climate mitigation efforts that reaches a higher radiative forcing level of over 7 W m^{-2} in 2100. We only saved the downscaling results in 2005, 2010, 2050 and 2100 considering the size of the output files and computational cost. Finally, we calculated the standard deviation across the downscaled land cover areas for each FLT driven by different parameter combinations, which indicates the parameter-induced model uncertainties.

3. Results

3.1 Parameter estimation and sensitivity

The Monte-Carlo Demeter experiment driven by the 23,100 ensemble parameter sets produced diverse downscaled LULCC realizations. As shown in Figure 2a, the disagreements between the downscaled FLT fraction and the reference record, measured by the average root mean square error (*E*,

Equation 3) for all the FLTs and grid cells over the five years (1992, 2000, 2005, 2010 and 2015), are mainly distributed between 8 and 17 km^2 (about 1%-3% of the area of a 0.25-degree grid cell).

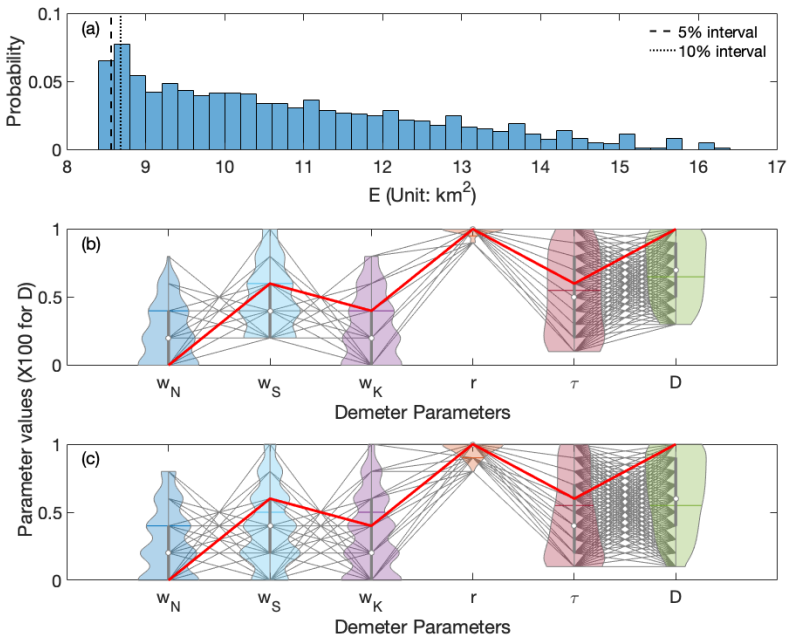

Figure 2. (a) Histogram of the *E*s, i.e., the global average discrepancies between the downscaled and observed land cover areas with the 23,100 ensemble parameter sets; the vertical dashed line in (a) shows the interval of the 'acceptable' 5% parameters, as described in Section 2.3; (b) the probability density of each of the 'acceptable' 5% parameters, as shown by the violin plots; the black lines across the six parameters show all the 'acceptable' 5% parameter sets, and the red line indicates the global optimal parameter values; the box plots and horizontal bar inside the violin plots indicate the interquartile ranges and the mean of the parameter values, respectively. (c) same as (b) but shows the 'best' 10% parameter sets. Note that the values of *D* were divided by 100 for the purpose of illustration in (b) and (c).

Figure 3 shows the relationship between the values of the six parameters and their corresponding *E*s, resulted from the Monte-Carlo experiment. We found that the *E*s are significantly correlated to all the six parameters ($p<0.01$). The intensification ratio (r) has the strongest linear correlation with the *E*s

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(R^2=0.64), followed by the selection threshold (τ) (R^2 = 0.24). Overall, the parameters w_K and τ are positively correlated with *E*s (positive slopes of the trendlines), while w_N, w_S , r and D hold negative correlations, indicating that smaller w_K and τ , and larger w_N, w_S , r and D are associated with smaller *E*s.

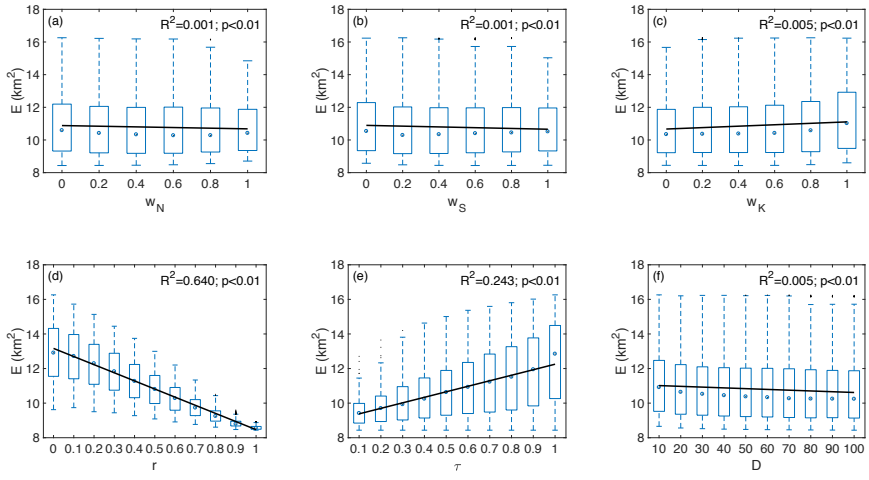

Figure 3. Relationships between the six Demeter parameters and the global average discrepancies between the downscaled and observed land cover areas (*E*s) resulted from the Monte-Carlo ensemble experiment. Box plots shows distributions of the *E*s and the solid lines show the linear trends.

Figure 4 shows the first-order and total-order Sobol indices calculated with the parameter ensemble and the associated *E*s. As indicated by the first-order Sobol indices, the intensification ratio r directly contributes about 59% to the variability of the *E*s, followed by the selection threshold τ and kernel radius D, which directly contribute 29% and 1% to the variability of the *E*s. The other parameters (w_N, w_S and w_K) have little direct contributions to the E variability. The total-order Sobol indices showed similar order of parameter importance. r and its interactions with other parameters contributed about 70% of the E variability, τ contributed about 40%, D contributed about 3%, and w_N, w_S and w_K contributed 2% respectively. It is clear that the downscaling error is most sensitive to the intensification ratio, followed by the selection threshold, but not sensitive to the kernel radius and the weighting factors of the spatial constraints.

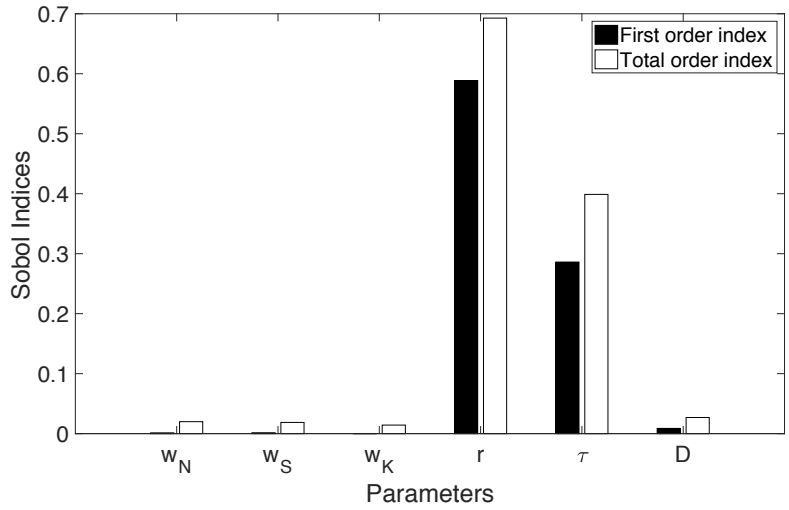

Figure 4. Sobol sensitivity indices for the six Demeter parameters. Higher indices indicate higher sensitivities.

We identified the 'best' parameters, which are associated with the lowest E, and marked them as the red line in Figure 2b. We also selected 'acceptable' parameters that have Es lower than 5% quantile in Figure 2a (hereafter referred to as 'top 5% parameters') and thus have the similar performance as the 'best' parameters (differences of E < 1%), and used them to represent the uncertainty of the parameters shown as the probability density distributions in Figure 2b. The best w_N, w_S, w_K, r, τ and D are 0, 0.6, 0.4, 1, 0.6 and 100, respectively. All the parameters are constrained with the calibration comparing to their uniform prior distributions. The intensification ratio r has been constrained into a small range (0.9-1.0 and mostly 1.0) from 0-1.0. Constraining on the other parameters are relatively weaker: w_N, w_S, and w_K have been narrowed to the ranges of 0-0.8, 0.2-1.0, and 0-0.8, and primarily distributed in 0-0.4, 0.2-0.6 and 0-0.4 (the first and third quantiles), respectively; τ and D have been constrained into the range of 0.2-1.0 and 30-100 with the first and third quantiles being 0.2-0.8 and 40-90, respectively. This analysis again indicates that r is the most sensitive parameter, therefore its posterior distribution can be significantly narrowed through the calibration. In addition, we also selected the 'acceptable' parameters that have Es lower than 10% quantile (top 10% parameters), as shown in Figure 2a and 2c. Similar distribution of top 10% parameters are found as that of the top 5% parameters, with some small extension on the ranges of 5% parameters.

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3.2 *Performance of Demeter in downscaling LULCC*

Demeter generally performs well in downscaling the synthetic land use and land cover change with small disagreements with the reference data. For all FLTs, the disagreements between the downscaled FLT fraction and the reference record in 1992 (i.e., E_{1992} in Equation 2), are close to zero since we used it as the harmonization year. The disagreements in 2000 (E_{2000}) are mainly distributed in a range between 5 and 15 km^2 (about 1%-2% of a 0.25-degree grid cell), with the median about 10 km^2 and the mean slightly above 12 km^2 (Figure 5h). The disagreements increase over years at a rate of about 1 km^2 per 5-year time step and reach 13-24 km^2 (median: 15 km^2; mean: 18 km^2) in 2015. Overall, the average disagreements over the five years (E) mainly distributed in 8-17 km^2 (also shown in Figure 2a), with the median of about 10 km^2 and the mean of about 12 km^2.

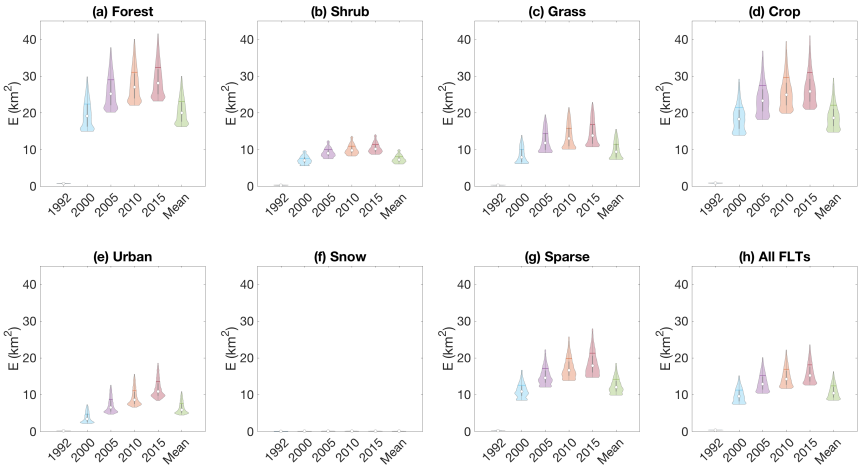

Figure 5. Possibility densities for the *E*s between downscaled and observational Final Land Type areas for 1992, 2000, 2005, 2010, 2015 and the mean of the five time-steps. The box plots and horizontal bar inside the violin plots indicate the interquartile ranges and the mean of the parameter values, respectively. Note that the *E*s for Snow are close to 0 thus not visible in the figure.

The errors for each of the FLTs follow the same increasing trend over the years. Forest and crop have the largest disagreements between the downscaled and reference distributions with the errors are primarily located in the range of 20-40 km^2 in average over the five time steps (Figure 5a,d). The errors for sparse lands are relatively smaller, which mainly fall into the range of 10-20 km^2 (Figure 5g),

followed by grass, shrub and urban, with the errors are mainly distributed in 0-10 km^2 averagely over the five years. Errors for snow is near zero since there was little areal change for this FLT in the CCI-LC record (Figure 1) and little LULCC allocation was needed in the downscaling process over the years.

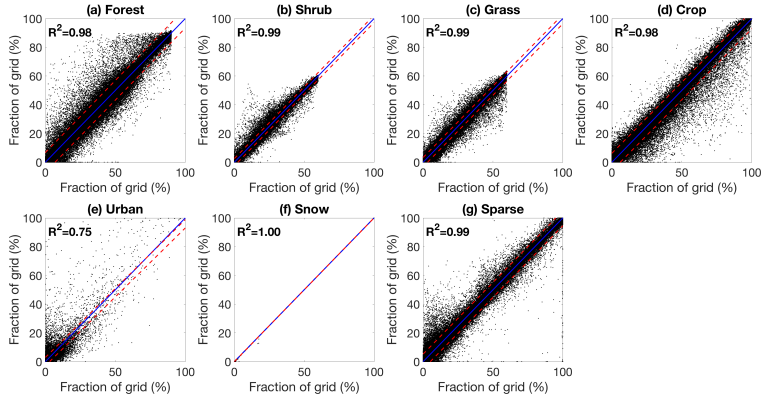

Figure 6. Comparison between the observed and downscaled Final Land Type with optimal parameters over the 265,852 0.25-degree grid cells in 2015. The blue solid lines show the 1:1 line, and the red dashed lines show the 95% confidence intervals.

Figure 6 shows the comparison between reference gridded CCI-LC FLTs and the downscaled FLTs driven by the best parameters (see Section 3.1) among the 265,852 0.25-degree grid cells in 2015. Except for urban, the downscaled land cover of other FLTs match the reference record very well (all R^2 are above 0.98). The R^2 is 1 for snow due to little change of snow and ice area in the CCI-LC record. Figure 7 demonstrates the spatial distribution of FLT fraction from the reference data and best downscaled results, together with their differences, using crop as an example. We find that the downscaled results have successfully reproduced the spatial pattern of crops from the reference data, and similar conclusions can be drawn for other FLTs (see Figure S2-S6; figure for Snow was not shown because of little change for this FLT). However, misallocation of the land cover change takes places in most region-AEZs, especially where LULCC were significant (e.g., Brazil, Eastern China, temperate Africa and Northern Euroasia; Figure 7 and S1-S5) over the study years, likely due to the application of improper global ratio of intensification. For example, the Northern China plain has experienced extensive urbanization by converting a large area of cropland into urbans during the past few decades (Liu et al., 2010). However, since the calibrated intensification ratio is high (Figure 2), Demeter tends to underestimate the urban expansion and thus overestimate cropland area at where should be urbanized. Similarly, cropland has been largely expanded and thus applying a high intensification ratio could not capture such changes.

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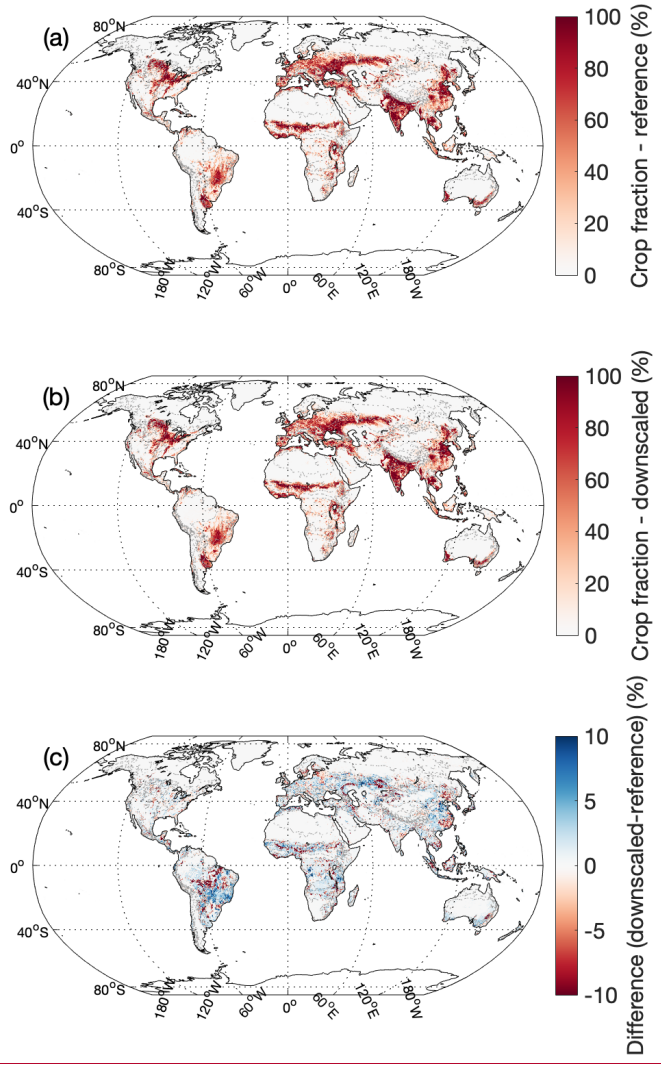

Figure 7. Spatial pattern of the observed and downscaled Crop density (measured by percentage fraction of the grid cell), and their differences in 2015. The grey dot-lines show the boundaries of the GCAM region-AEZs.

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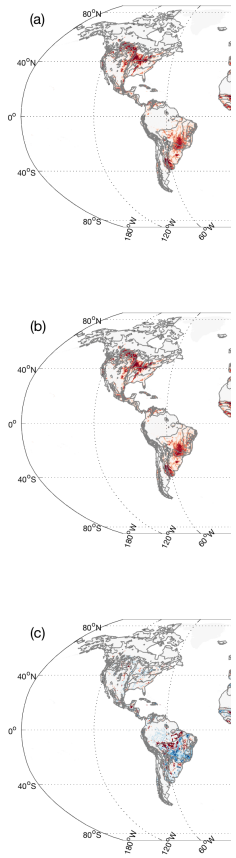

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3.3 Uncertainty propagation

While applying the 'acceptable' parameters (top 5% and 10%) in downscaling GCAM projections of LULCC under the reference scenario, we found that these well-constrained parameters induced considerable uncertainties in the downscaled results. For each grid cell, we calculated the standard deviation (σ) of the downscaled land cover areas with different parameters for each FLT. Figure 8 shows the mean σ of the 265,852 0.25-degree grid cells over the globe for 2005, 2010, 2050 and 2100, as well as the spatial variability of σ (calculated as the standard deviation over the grid cells and shown as the shaded area in Figure 8). As shown by the grey lines and shades in Figure 8, the uncertainty of top 5% parameters has minor effect on downscaled Urban and Snow areas, since GCAM projected little areal changes of urban and snow. Downscaled sparse areas were slightly affected by the choice of parameters, indicated by small mean σ (about 2 km^2 per grid cell). However, the other FLTs, including Forest, Shrub, Grass and Crop have larger σs, which also showed an increasing trend over time. The global mean σ for Forest and Shrub reached about 3 to 4 km^2 per grid cell and about 6 to 8 km^2 for Grass and Crop in 2100. The spatial variability of σ was also larger for these FLTs, for example, the standard deviation of σ reached over 15 km^2 per grid cell in 2100 for Crop, and the maximum σ can be over 350 km^2 per grid cell in some grid cells (Figure S7). Similar results can be found by using the top 10% parameters, but with slightly higher magnitudes (red lines and shaded areas in Figure 8 and Figure S8).

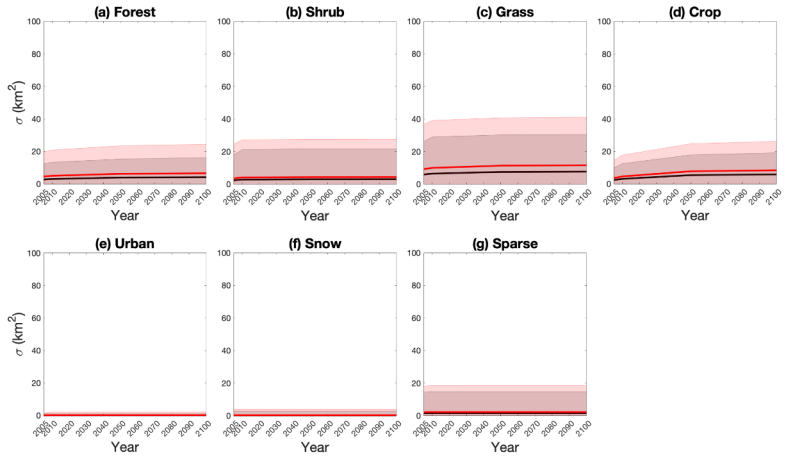

Figure 8. The Mean (shown as the solid lines) and standard deviations (σ, shown as the shaded area) for the downscaled Final Land Type (FLT) areas, when propagating the parameter uncertainties into the GCAM-projected land use and land cover change downscaling in the 21st century. The black and red colors represent using the top 5% and 10% parameters, respectively.

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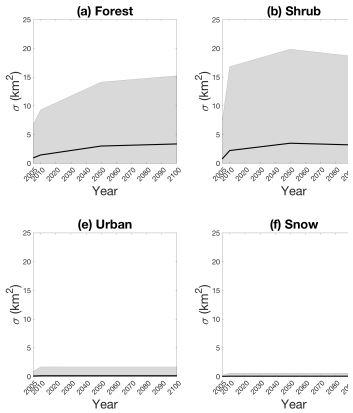

4. Discussion

To date, there has been only a handful of methods for downscaling projected global land use and land cover change. For example, Oskins *et al* (2016) fitted a statistical model relating coarse-scaled spatial patterns in land cover classes to finer-scaled land cover and other explaining variables. Many more studies used complex land use modeling approach (e.g., Houet *et al* 2017, Oskins *et al* 2016, Meiyappan *et al* 2014, Hurtt *et al* 2011, Souty *et al* 2012) that combines a variety of socioeconomic processes to provide global scale land use allocations. Our results demonstrated that Demeter is an effective tool for downscaling global land use and land cover change, although it adapts a relatively simpler approach. However, choices of parameter values are critically important for a simple model, since it is possible that some complicated processes are simplified to be represented by a single parameter. Although an uncalibrated Demeter can lead to noticeable errors and uncertainties in downscaled land cover areas, our results have shown the effectiveness of the calibration efforts in minimizing the downscaling errors and constraining the uncertainties.

A central purpose of our study is to making suggestions for setting up parameters for Demeter's global applications, shown as the global optimal values in Figure 2. Interestingly, we found that the parameters of intensification ratio (γ) and selection threshold (τ) strongly affected the downscaled results, while the weights of the spatial constraints and kernel radius showed small impacts on the results. This result indicates that the selected spatial constraints (soil workability and nutrient availability) and spatial autocorrelation (measured by kernel density) provide loose constrains on the land allocation in the downscaling process, therefore the users should focus more on the quality of other parameters such as γ and τ to which the model is more sensitive. In addition, the intensification ratio has been strictly constrained to a range close to 1.0, suggesting that the intensification of land cover, especially cropland, may be the major contributor to the global land use and land cover change, thus spatial constraints on extensification are not very effective. We also noticed that the optimal weight for soil nutrient availability for calculating the suitability indices is zero (Figure 2) and the model. A possible reason is that the soil nutrient availability has similar spatial distribution as the cropland in ESA-CCI data, thus provides little additional information in constraining the downscaling processes (Figure S10). This result suggests that the users could ignore the input of soil nutrient availability if it is not available or difficult to collect, and the quantification of the downscaling uncertainty is not required.

There has been a number of numerical methods for model calibration, such as gradient methods (Ypma, 1995), evolutionary algorithms (Ashlock, 2006), and data assimilation techniques (Kalnay, 2002). Our calibration method is relatively simpler, and the sampling steps are relatively coarse. As a result, it is possible that the calibrated parameters can be further improved with a more rigorous calibration strategy, although these biases should be small since the sampling bins are narrow and the sensitive parameters are well constrained (Figure 2). However, our method has a few advantages for this particular global land use

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and land cover change downscaling model calibration problem. First, we sampled the whole parameter space thus our Monte-Carlo downscaling experiments can well represent the parameter uncertainties. Second, the other methods mentioned above typically adjust model parameters and run the model iteratively to find the parameters to hit the local or global minimum cost function value (Chong and Zak, 2013), and thus can be very time consuming due to the size of the datasets and the difficulty of algorithm parallelization. The Monte-Carlo ensemble runs of Demeter in our method can be easily parallelized and thus is computationally efficient. Finally, the saved downscaled results from the global Monte-Carlo downscaling experiment can be reused for regional applications. Our study provided an optimal set of Demeter parameters. It is worth noting that these parameters are optimized to minimize the average discrepancies between the downscaled and historically observed land cover areas at the global scale, thus they may need to be recalibrated when Demeter is applied to a particular region. For example, the best estimate of the intensification ratio is 1 for a global downscaling experiment, probably due to that intensification is a more common phenomena than extensification during the past land use and land cover change in the past two decades as recorded by the ESA-CCI data. However, this high intensification ratio for Crop may be more realistic for the regions with long-term agricultural history (e.g., India), while it should become lower for the United States (US) where cropland extensification rapidly happened in the past century. We extracted the grid cells in the conterminous US (grid cells between 25° N and 50° N, and 125° W and 65° W) and India (grid cells between 7° N and 33° N, and 68° E and 98° E), and used them together with the same method as the global calibration to determine the optimal parameters for the US and India, which clearly showed that the intensification ratio remained 1 for India, but moved towards lower values for the US (Figure S9). Therefore, we recommend future efforts on examining reginal parameterization should be made for Demeter's applications at specific regional/AEZ levels. Since some of the key parameters have clear physical definition (e.g., the intensification ratio), while the global optimal values could be used as a starting point, it would be helpful to review the local historical land use change to infer these parameters when applying Demeter to a specific region.

In addition, although the downscaled urban land use can capture most of the variability in reality, it is clear that Demeter's performance for urban is not as good as that for other land cover types (Figure 6). On the other hand, accurate projection of the spatial extent and pattern of urbanization is getting more important for better understanding its environmental, ecological and socioeconomic impacts in such an era of rapid urbanization (Georgescu et al., 2012; Jones et al., 1990; Merckx et al., 2018; Zhang et al., 2018). Thus, a key future effort should be made for improving the downscaling accuracy of urban land use. The relative larger errors could be either due to the limited consideration of complex urbanization processes and the lack of specific parameterization of the urban land cover type. While incorporating better representation of urbanization in Demeter can be more complicated, it is possible to improve the model performance by further parameterizing the model with more historical urban data. For example,

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global satellite-observed nightlights have been used for mapping urban area (Elvidge et al., 2009; Li and Zhou, 2017b; Zhou et al., 2014) and producing a global record of annual urban dynamics (1992-2013) (Li and Zhou, 2017a), which will be particularly useful for the future calibration of Demeter on urban dynamics.

Model calibration usually can provide several sets of parameters to allow the calibrated model to give similar results, which is called equifinality (Beven and Freer, 2001). As a result, the calibrated parameters become another source of uncertainty in model-simulated results. The equifinality also exists in our calibrations. We have observed noticeable growing uncertainties in downscaled land cover areas while propagating the parameter uncertainties into the Demeter downscaling practices with GCAM projected LULCC in the 21st century. Therefore, while calibration can remarkably reduce the uncertainty of the parameters, it may be better to use sets of constrained parameters rather than a single set of 'best' parameters in the practice of Demeter, for the purpose of accounting for the parameter uncertainty and providing more reliable land use and land cover change downscaling. Moreover, it is worth noting that the calibrated parameters are tuned for FLTs, which we believe have covered most land cover types and are directly useful in most cases. When the users need to consider more FLTs in their global applications, the optimal values introduced in this study can be used as a starting point for further tuning.

5. Conclusions

We developed a Monte-Carlo ensemble experiment for Demeter, a land use and land cover change downscaling model of GCAM, analyzed the model's sensitivity to its key parameters, and calibrated the parameters to minimize the mismatch between the model-downscaled and satellite-observed land use and land cover change in the past two decades. We identified the optimal parameter values for global applications of Demeter, and showed that the parameterization of Demeter substantially improved the model's performance in downscaling global land use and land cover change. The intensification ratio and selection threshold turned out to be the most sensitive parameters, thus need to be carefully tuned, especially when Demeter is used for regional applications. Further, the small uncertainty of parameters after calibration can result in considerably larger uncertainties in the results when propagating them into the practice of downscaling GCAM projections, suggesting that Demeter users consider the parameterization equifinality to better account the uncertainties in the Demeter downscaled land use and land cover changes.

Code Availability

The source code of GCAM and Demeter is available at https://github.com/JGCRI/gcam-core

and https://github.com/IMMM-SFA/demeter. The scripts for performing the calibration and analysis are available at https://drive.google.com/open?id=1qNzh4eKgVcO_BjG2RjAw33whqxSMH8wm.

Data Availability

The ESA-CCI data was downloaded from https://www.esa-landcover-cci.org/. Other data are available at https://drive.google.com/open?id=1qNzh4eKgVcO_BjG2RjAw33whqxSMH8wm.

Author contribution

M.C. conceived the study and all the authors contributed to design the study. M.C. lead the data acquisition and performed the experiment and analysis with technical assistance from C.V.; M.C. wrote the manuscript with the inputs from all the coauthors.

Competing interests

The authors declare that they have no conflict of interest.

Acknowledgements

This research was supported by the U.S. Department of Energy, Office of Science, as part of research in Multi-Sector Dynamics, Earth and Environmental System Modeling Program.

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