

We appreciate the generally favorable nature of the peer reviews and the opportunity to enhance the paper by responding to specific comments. Reviewer comments are in italics, with author's response provided below each corresponding comment. Note that line numbers in responses refer to the revised manuscript.

**Response to RC1: Anonymous Referee #1:**

*1. The first paragraph of Sect. 1 introduces the importance of N fertilizer on agricultural land and its implication on N emissions, but neglected those from non-cultivated land. In addition, it is not clear why only NO and NO<sub>2</sub> emissions are mentioned in this paragraph, and their relationships. Merging this part with the third paragraph might improve the logic here.*

We address the importance of soil nitrogen emissions from non-cultivated (non-agricultural) land in the second paragraph of Section 1 (Lines 50-53):

“Recent studies have shown higher soil NO<sub>x</sub> even in non-agricultural areas like forests to significantly impact summertime ozone in CONUS (Hickman et al., 2010; Travis et al., 2016). Consequently, it is increasingly important to estimate both N fertilizer-induced and non-agricultural NH<sub>3</sub> and NO<sub>x</sub> emissions in air quality models.”

We adopt the reviewer's suggestion to improve the logic of the flow in the third paragraph (Lines 54-58):

“Soil NO emissions tend to peak in the summertime, when they can contribute from 15-40% of total tropospheric NO<sub>2</sub> column in the continental U.S. (CONUS) (Williams et al., 1992; Hudman et al., 2012; Rasool et al., 2016). Summer is also the peak season for ozone concentrations (Cooper et al., 2014; Strode et al., 2015) and the time when photochemistry is most sensitive to NO<sub>x</sub> (Simon et al., 2014).”

*2. L79: the impacts of N<sub>2</sub>O emissions are not introduced as that for NO<sub>x</sub>, NH<sub>3</sub>, and HONO.*

We add the following statement in Line 71:

“Soils and agriculture are the leading emitters of N<sub>2</sub>O, a potent greenhouse gas (IPCC, 2013).”

**3. L223:** *it is a little confusing on the different versions of CMAQ and the schemes of NO emission in these versions. For example, is YL or BEIS used in CMAQ? In which version. This confusing issue can also be found in later of the manuscript due to too many schemes, methods, interaction systems, datasets are introduced here. A clarification of the abbreviations and the purpose of them could be useful to readers.*

To clarify ‘CMAQ-YL’ (in Line 224) refers to the original CMAQ v5.1 which has the Yienger and Levy (1995) (abbreviated as YL) scheme for soil NO estimation used in the Biogenic Emission Inventory System (BEIS) for in-line biogenic emissions calculation in CMAQ. The term ‘CMAQ-YL’ term was used to highlight that CMAQ’s default soil scheme differs slightly from the original scheme presented by Yienger and Levy (1995) (refer to section 2.1, Lines 216-241). To further avoid confusion, we added in Line 239:

“However, for sake of simplicity we refer to ‘CMAQ-YL’ merely as ‘YL’.”

The only other two variations to this original CMAQ v5.1 code are the replacement of YL in the in-line BEIS with:

- a) ‘BDSNP’ (earlier implemented in previous version of CMAQ i.e. v5.0.2 presented in Rasool et al. (2016) and updated for v5.1 for this paper), and
- b) The new ‘Mechanistic’ (or ‘Mech.’) scheme implemented in CMAQ v5.1 and presented in this paper for the first time.

Fig. 1, Section 2.1, Tables 1 and 2 clearly described and distinguished these three variations for soil N estimation in CMAQ v5.1 (CMAQ-YL or ‘YL’ actually being the original implementation of CMAQ v5.1 available in CMAQ’s official distribution from U.S. EPA). In addition, results presented in the paper are compared between these three different schemes.

**4.** *Similar to points 3, Sect. 2.2 is a little hard to follow given different land covers are used and converted in different model.*

Table A2 gives the mapping of NLCD 40 land cover types to MODIS 24. Also, Table A1 gives the different climate zones in which the respective MODIS 24 land cover types fall. Our mechanistic scheme only uses NLCD 40 as it is the default land cover definition used in CMAQ. NLCD 40 to MODIS 24 conversion was needed only in BDSNP as it used constant soil NO emission factors related to non-agricultural land covers (classified as per MODIS 24 nomenclature), which have also been described in Rasool et al. (2016).

**5.** *Sect. 2.6, the mechanisms are very well organized and presented. But it could be better whenever the factors impacting concentrations or fluxes can be referenced (e.g., fXXX in those equations).*

We actually do reference factors affecting soil nitrogen fluxes as ‘fXXX’ (generic form of function) in Equations 2-7 in Section 2.1 (Overview of Soil N schemes) for ‘YL’, ‘BDSNP’ and ‘Mech.’ schemes. These factors or functions (fXXX) are expanded in detail in different equations throughout Sections 2.5 and 2.6.

**6.** *The model comparison and evaluation are only conducted for two months in one year (May and July of 2011). It is crucial to explain the reasons in more detail. Readers may very curious about why. For example, why not using multi-month (e.g., for a whole year) and multi-year (e.g., 5-10 years) for evaluation? Is that due to the availability of observations? If so, it would be necessary to list the available observations. Unless using two months of a single year is well justified, it could be good to use more observations for seasonality, or even interannual variability, given that the purpose of a model (and the evaluation) is to be able to simulate spatio-temporal changes.*

The period between 1 May to 1 August has been established to exhibit ~ 2/3 of the total annual soil NO<sub>x</sub> budget (Hudman et al., 2010). Hudman et al. (2012) also exhibited the soil NO<sub>x</sub> to be maximal in the months of May (onset of growing season) and July (offset of growing season). Rasool et al. (2016) also established by running a standalone BDSNP soil NO parametrization for the whole year that May and July have the highest soil NO fluxes.

The observational studies giving maximum soil NO emission rates in Table 3 happen during May and July as well. Hence, for a computationally intensive, regional-scale (Continental US i.e., CONUS) simulation like ours that involved both EPIC and CMAQ, focusing on May and July makes sense based on the above justifications. For inter- and intra-annual variability, EPIC derived soil Nitrogen pools, other relevant soil properties, emission inventories and meteorology for different years are required, which is beyond the scope of this study.

**7. Sect. 2.8, what about the validation of N<sub>2</sub>O emissions?**

We stated in Lines 647-649 in section 3.1, that:

“However, unlike NO<sub>x</sub> emissions, for N<sub>2</sub>O no background conditions or emission inventory is in place in CMAQ’s chemical transport model, so comparisons with ambient observations are not yet possible.”

This highlights the need for further work within CMAQ to include greenhouse gases like N<sub>2</sub>O, which are not accounted in its chemical transport model currently. The absence of background/initial conditions and an emissions inventory for other sources of N<sub>2</sub>O resulted in our choice to keep N<sub>2</sub>O as a separate diagnostic output of our emissions model rather than an input to chemical transport modeling.

**8. Sect. 3.1, it is not clear what is the anthropogenic emissions. Please define it? Whether emissions caused by fertilizer application are anthropogenic?**

We clarify that we were referring to anthropogenic fossil fuel emissions (Lines 621-623):

“However, the aggregated budget of soil NO is much less than anthropogenic NO<sub>x</sub> from non-soil related sources, because fossil fuel use is concentrated in a limited number of urbanized and industrial locations.”

**9. L630:** *when exactly the peak emissions happened in site observation? Are they also in May and July?*

Yes, as mentioned in response to RC1 comment #6: The observational studies giving maximal soil NO emission rates across different sites in Table 3 happen during May and July (onset and offset of growing season respectively). That is also a reason justifying the simulations during these months specifically, besides May and July also being the peak soil NO<sub>x</sub> months in the year.

**10. L638:** *differences are obvious also in Canada. It may be good to explain this too.*

BDSNP estimates higher soil NO emissions than the other models in forested regions of northeastern Canada, like due to the higher emission factor that it assigns to forest biomes (Rasool et al., 2016). The mechanistic scheme estimates lower emissions there because it tracks the actual N transformation processes.

**11. Sect.3.2,** *Why not directly compare it with observations like in Fig. S2-b. It should be mentioned that negative bias in difference means less bias compared to observation. Statistics on the mean biases from different schemes are important, and should be presented. For example, the 1:1 scatter plot compared to observations, which may quantify the improvements and disadvantages.*

Our aim is to show the difference that results from using the ‘BDSNP’ and ‘Mech.’ schemes relative to original CMAQ (i.e. ‘YL’).

We added the following in Lines 673-674 as suggested by the reviewer:

“In addition, negative bias in difference means less bias compared to observation (Figures 6-10).”

We assert that spatial plots of statistics like Mean Bias are preferable to scatter plots because they represent spatial patterns in model performance.

**12.** *Fig.10: mechanistic scheme is worse compare to that of YL in northeast US. Can it be explained?*

Mechanistic scheme estimates for total  $\text{NO}_x$  are lower than those from YL in northeast US, as evident in Figure 5. That explains the higher positive bias in  $\text{PM}_{2.5} \text{NO}_3$  in Mechanistic scheme compared to YL with respect to the observation in Figure 10. This underestimation may be attributed to lack of excess manure N that is applied to agricultural field in vicinity of animal feedlots while estimating soil N in EPIC (also described in Lines 719-727). Additionally, EPIC optimizes the fertilizer application rate to account for the modeled plant nutrient demand. This is often an underestimate of real world practices as discussed in the last paragraph of section 3.3. We are currently working on how to best address this discrepancy within the EPIC-CMAQ modeling system.

**13.** *L717: please explain the exact regions and locations.*

Specified the regions in Lines 719-722 as:

“Underestimates of soil N in some regions with an abundance of animal farms, such as parts of Colorado, New Mexico, north Texas, California, the Northeast U.S., and the Midwest, may be attributed to the lack of representation of farm-level manure N management practices, in which manure application can exceed the EPIC estimate of optimal crop demand.”

**14.** *L752-753: it could be helpful to show the general performance on the dry and wet conditions used (simulated by other models).*

Fig. S7 in supplementary material shows estimated low soil moisture to also exhibit very dry conditions in Texas for May and July 2011, while relatively moist conditions with highest soil moisture in the Northeast and Pacific Northwest primarily in May 2011. Hence, the WRF meteorological model simulation for soil moisture for both dry and wet conditions in this paper performs reasonably well in comparison to the actual reported wet and dry

conditions in 2011 as reported by NOAA's Palmer indices for wet and dry conditions across CONUS in 2011, as cited in Line 753.

**15.** *L760: it may be good to indicate from literature the importance of manure management (e.g., compared to N fertilizer) in these regions.*

We do address the detrimental impact of land application as part of manure management in Lines 722-727:

“Farms in the vicinity of concentrated animal units often apply N in excess of the crop N requirements as part of the manure management strategy, typically increasing the N emissions (Montes et al., 2013). USDA has reported that confined animal units/livestock production correlates with increasing amounts of farm-level excess N (Kellogg et al., 2000; Ribaudo and Sneeringer, 2016). Model representations of these practices are needed to better estimate the impact of nitrogen in the environment.”

To clarify the importance of manure management compared to N fertilizer in the U.S., we present the further explanation in Lines 764-773:

“In the U.S., 60 percent of Nitrogen from manure produced on animal feedlot operations cannot be applied to their own land because they are in ‘excess’ of USDA advised agronomic rates. Most U.S. counties with animal farms have adequate crop acres not associated with animal operations, but within the county, on which it is feasible to spread the excess manure at agronomic rates at certain additional cost. However, 20 percent of the total U.S. on-farm excess manure nitrogen is produced in counties with insufficient cropland for its application at agronomic rates (Gollehon et al., 2001). For areas without adequate land, alternatives to local land application such as energy production (for example, biofuel) are needed. In absence of such a mitigation strategy, excess manure N applied on soil contributes is susceptible to reactive N emissions and leaching (Ribaudo et al., 2003; Ribaudo et al., 2012).”

The following citations are added in ‘reference’ section:

Gollehon, N. R., Caswell, M., Ribaldo, M., Kellogg, R. L., Lander, C., and Letson, D.: Confined animal production and manure nutrients, United States Department of Agriculture, Economic Research Service, 2001.

Ribaldo, M., Livingston, M., and Williamson, J.: Nitrogen management on us corn acres, 2001-10, United States Department of Agriculture, Economic Research Service, 2012.

Ribaldo, M., Gollehon, N., and Agapoff, J.: Land application of manure by animal feeding operations: Is more land needed?, *Journal of Soil and Water Conservation*, 58, 30-38, 2003.

**16.** *It is the first process-based scheme in a photochemical model. But authors may need to mention where this kind of mechanisms have been used before (e.g., crop models, terrestrial vegetation models, etc.), and the advantages.*

We already have addressed the advantages of using mechanistic model like DayCENT and listed similar process-based models in Lines 369-381:

“One of the advantages of using DayCENT is its ability to simulate all types of terrestrial ecosystems. DayCENT is one of the only biogeochemical models which not only provides a process-based representation of soil N emissions, but has also been calibrated and validated across an array of conditions for crop productivity, soil C, soil temperature and water content, N<sub>2</sub>O, and soil NO<sub>3</sub><sup>-</sup> (Necpálová et al., 2015). Hence, mechanistic models like DayCENT yield more reliable results by applying validated controls of soil properties like soil temperature and moisture, which are the key process controls to nitrification and denitrification. More recent mechanistic models like DNDC, MicNit, ECOSYS, and COUPMODEL are quite similar to DayCENT in their representation of nitrification and denitrification process. However, these models have not been as widely evaluated and impose greater computational costs (Butterbach-Bahl et al., 2013). DayCENT also enhances consistency in our mechanistic model by utilizing the same C-N mineralization scheme (taken from the CENTURY model (Parton et al., 2001)) that is used in EPIC.”

**Minor remarks:**

*L346: Wang et al.: please provide the year of this publication.*

Wang et al. (1998), edited in Line 347

L457:  $\text{NH}_4$ ?

$\text{NH}_4$  changed to  $\text{NH}_4^+$  in Line 458

**Response to RC2: Anonymous Referee #2:**

*Comment 1: Figure 3. The authors explain the results due to “likely” causes. Figure 3c does not convey clearly the results intended by the authors. This part should be clarified.*

To clarify, we referred to ‘likely’ causes in Lines 610-615 as the differences between BDSNP implemented in GEOS-Chem (Hudman et al., 2012) and in CMAQ (Rasool et al., 2016) to be the finer land use definition and daily scale and finer resolution EPIC soil N data, which has been illustrated in greater detail in Rasool et al. (2016). Fig. 3c on the other hand is the nitrogen oxide flux from the mechanistic scheme, which has a dynamic representation of C-N mineralization, absent in both YL and BDSNP. We further edited Lines 612-617 as:

“Hudman et al. (2012) found nearly twice as large of a gap between BDSNP and YL in GEOS-Chem; the narrower gap here likely results from our use of sub-grid biome classification and EPIC fertilizer data (Rasool et al., 2016). The mechanistic scheme (Figure 3c) generates emission estimates that are closer to the YL scheme but with greater spatial and temporal heterogeneity, reflecting its use of a more dynamic soil N and C pools.”

*Comment 2: It also appears that the process-based methods introduced in the CMAQ framework cannot be rigorously tested due to lack of old data, which detracts somehow from the considerable efforts made to improve the accuracy in soil N emission predictions. Presentation quality is fine.*

This work highlights the scarcity and need of observation of soil nitrogen fluxes (especially  $\text{NO}_x$ , HONO and  $\text{NH}_3$  that affect air quality) on a frequent basis and in more locations.

Firstly, agricultural study sites such as the Kellogg Biological Station (<https://lter.kbs.msu.edu/datatables/177>) are quite rare and not well aligned with ambient air quality observation networks. Secondly, the N<sub>2</sub>O measured at agricultural sites is unaccounted for in most chemical transport models like CMAQ. In addition, these chamber studies are designed more with the aim of looking at difference between various management practices on a field scale, which would require running different simulations of biogeochemical models (EPIC or DAYCENT), which is computationally expensive for a regional scale (CONUS) implementation like this, but ideally extend to future research plans.

However, improvements in modeled estimates in comparison to observed OMI NO<sub>2</sub> column, measured concentrations of NO<sub>x</sub>, O<sub>3</sub>, PM<sub>2.5</sub> NO<sub>3</sub> and some available soil NO emission rates, with ‘Mechanistic’ scheme does provide an indication that we are moving towards the right direction.

**Comment 3:** *Whenever possible, authors should include estimates of estimation or observational errors (e.g. Table 3).*

Table 3 gives the comparison of maximum soil NO emission rates observed for various sites with those corresponding to the three modeling approaches presented (‘YL’, BDSNP’ and ‘Mech.’).

**Comment 4:** *Abbreviations used in tables and figures should be explained in the table titles or figure captions. Tables and figures should stand on their own.*

Edits have been made to define abbreviations at first use in both tables and figures as well.

**Comment 5:** *Since CMAQ already uses EPIC to simulate NH<sub>3</sub> bi-directional exchange, the authors should acknowledge recent documentation of process-based denitrification approaches used in EPIC: Izaurralde et al. (2017). Ecol. Modelling 359:349-362 doi:10.1016/j.ecolmodel.2017.06.007. (see line 481).*

Izaurre et al. (2017) added to line 482, with full citation in 'reference' section as:

Izaurre, R. C., McGill, W. B., Williams, J. R., Jones, C. D., Link, R. P., Manowitz, D. H., Schwab, D. E., Zhang, X., Robertson, G. P., and Millar, N.: Simulating microbial denitrification with EPIC: Model description and evaluation, *Ecological modelling*, 359, 349-362, 2017.

**Comment 6:** *The methodology and Figure 2 do not describe well the treatment of soil layer processes. EPIC simulates soil C and N transformation layer by layer up to 15. Is it the same for DayCent? How are the results from one model past to the other? Are these calculations done for the surface layer?*

EPIC is coupled with CMAQ through the FEST-C interphase to be compatible with the regional scale (CONUS) implementation in CMAQ. All EPIC output variables provided to CMAQ as input for calculating soil N emissions are for the soil depth from 0 to 1 cm and from 1 cm to 10 cm (prefixed as L1 and L2 in FEST-C), respectively. Bash et al. (2013) also modeled Ammonia evasion from soil and  $\text{NH}_4^+$  nitrification losses for CMAQ, utilizing FEST-C interphase soil layers with depths of 1 cm and 10 cm, keeping things consistent in treatment of soil layers when it comes to treatment of different soil N cycling processes.

To clarify more, DAYCENT's soil N gas sub-module was not run separately, but was ported and coded in the new 'Mech.' scheme in CMAQ and calculations in terms of soil layers were always consistent with the above-described approach for EPIC-CMAQ (i.e. top 10 cm soil layer, where the soil N cycling mostly occurs).

Briefly, the CONUS regional-scale implementation of EPIC and DAYCENT in CMAQ do not use all the soil layers except for topsoil (top 10 cm) used in the original plot-scale implementations of EPIC and DAYCENT. This is justified, as total N-cycling microbial biomass (N and C) in topsoil are about one to two orders of magnitude higher than that in subsoils (> 10 cm). This suggests that N cycling mainly occurred in topsoil, given that exponential declines in soil C and N resources occur in subsoils (Tang et al., 2018). Non-agricultural soil nutrient and properties data used in the new 'Mechanistic' scheme were

available for the top 30 cm soil layer from the most recent global compilation of such data across different biomes (Xu et al., 2015), but are still consistent with the topsoil (i.e., top 10 cm L1 + L2) configuration for N cycling as used in this work. This is supported by the fact that studies have shown topsoil depth (even 0-5 cm) mineralizable N to be representative of the 0–30 cm depth, as 0-15 cm N-cycling biomass drops considerably as it reaches 10 cm depth and is significantly higher than N-cycling biomass available at soil depths > 15 cm (Dessureault-Rompré et al., 2016).

Dessureault-Rompré, J., Zebarth, B.J., Burton, D.L. and Grant, C.A.: Depth distribution of mineralizable nitrogen pools in contrasting soils in a semi-arid climate. *Canadian Journal of Soil Science*, 96(1), pp.1-11, 2016.

Tang, Y., Yu, G., Zhang, X., Wang, Q., Ge, J., & Liu, S.: Changes in nitrogen-cycling microbial communities with depth in temperate and subtropical forest soils. *Applied Soil Ecology*, 124, 218-228, 2018.

Xu et al. (2015) is in 'reference' section in main manuscript

**Comment 7:** *The authors should mention what impact could have an increase in the spatial resolution of the simulation in order to better capture the soil / management heterogeneity.*

Spatial scale-dependent variation in soil/management heterogeneity can substantially influence how an analysis has to be approached; i.e. whether to opt for regional scale or more of plot-scale (<10m). Implications of various spatial resolution in soil ecology are manifold one of which is pertaining to microbial-plant community diversity. However, how heterogeneity in soil bacterial communities influences biogeochemical soil N cycling between local (< 10 m) and landscape (e.g., CONUS 12 km x 12 km in our case) scales still needs further research (O'Brien et al., 2016).

O'brien, S.L., Gibbons, S.M., Owens, S.M., Hampton-Marcell, J., Johnston, E.R., Jastrow, J.D., Gilbert, J.A., Meyer, F. and Antonopoulos, D.A.: Spatial scale drives patterns in soil bacterial diversity. *Environmental microbiology*, 18(6), pp.2039-2051, 2016.

1 **Mechanistic representation of soil nitrogen emissions in the**  
2 **Community Multi-scale Air Quality (CMAQ) model v 5.1**

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## 9 **Abstract**

10 Soils are important sources of emissions of nitrogen (N)-containing gases such as nitric oxide  
11 (NO), nitrous acid (HONO), nitrous oxide (N<sub>2</sub>O), and ammonia (NH<sub>3</sub>). However, most  
12 contemporary air quality models lack a mechanistic representation of the biogeochemical  
13 processes that form these gases. They typically use heavily parameterized equations to simulate  
14 emissions of NO independently from NH<sub>3</sub>, and do not quantify emissions of HONO or N<sub>2</sub>O. This  
15 study introduces a mechanistic, process-oriented representation of soil emissions of N species  
16 (NO, HONO, N<sub>2</sub>O, and NH<sub>3</sub>) that we have recently implemented in the Community Multi-scale  
17 Air Quality (CMAQ) model. The mechanistic scheme accounts for biogeochemical processes for  
18 soil N transformations such as mineralization, volatilization, nitrification, and denitrification. The  
19 rates of these processes are influenced by soil parameters, meteorology, land use, and mineral N  
20 availability. We account for spatial heterogeneity in soil conditions and biome types by using a  
21 global dataset for soil carbon (C) and N across terrestrial ecosystems to estimate daily mineral N  
22 availability in non-agricultural soils, which was not accounted in earlier parameterizations for soil  
23 NO. Our mechanistic scheme also uses daily year-specific fertilizer use estimates from the  
24 Environmental Policy Integrated Climate (EPIC v.0509) agricultural model. A soil map with sub-  
25 grid biome definitions was used to represent conditions over the continental United States. CMAQ  
26 modeling for May and July 2011 shows improvement in model performance in simulated NO<sub>2</sub>  
27 columns compared to Ozone Monitoring Instrument (OMI) satellite retrievals for regions where  
28 soils are the dominant source of NO emissions. We also assess how the new scheme affects model  
29 performance for NO<sub>x</sub> (NO+NO<sub>2</sub>), fine nitrate (NO<sub>3</sub>) particulate matter, and ozone observed by  
30 various ground-based monitoring networks. Soil NO emissions in the new mechanistic scheme  
31 tend to fall between the magnitudes of the previous parametric schemes and display much more  
32 spatial heterogeneity. The new mechanistic scheme also accounts for soil HONO, which had been  
33 ignored by parametric schemes.

## 34 1 Introduction

35 Global food production and fertilizer use are projected to double in this half-century in order to  
 36 meet the demand from growing populations (Frink et al., 1999; Tilman et al., 2001). Increasing  
 37 nitrogen (N) fertilization to meet food demand has been accompanied by increasing soil N  
 38 emissions across the globe, including in the United States (Davidson et al., 2011). N fertilizer  
 39 consumption globally has increased from 0.9 to 7.4 g N per m<sup>2</sup> cropland yr<sup>-1</sup> between 1961-2013,  
 40 with the U.S. still among the top five N fertilizer users in the world (Lu and Tian, 2017). U.S. N  
 41 fertilizer use increased from 0.28 to 9.54 g N m<sup>-2</sup> yr<sup>-1</sup> during 1940 to 2015. In the past century,  
 42 hotspots of N fertilizer use have shifted from the southeastern and eastern U.S. to the Midwest and  
 43 the Great Plains comprising the Corn Belt region (Cao et al., 2017). Recent studies have pointed  
 44 to soils as a significant source of NO<sub>x</sub> emissions, contributing ~ 20% to the total budget globally  
 45 and larger fractions over heavily fertilized agricultural regions (Jaeglé et al., 2005; Vinken et al.,  
 46 2014; Wang et al., 2017). ~~Soil NO emissions tend to peak in the summertime, when they can  
 47 contribute from 15-40% of total tropospheric NO<sub>2</sub> column in the continental U.S. (CONUS)  
 48 (Williams et al., 1992; Hudman et al., 2012; Rasool et al., 2016). Summer is also the peak season  
 49 for ozone concentrations (Cooper et al., 2014; Strode et al., 2015) and the time when  
 50 photochemistry is most sensitive to NO<sub>x</sub> (Simon et al., 2014).~~

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51 Despite the significance of NO<sub>x</sub> emissions generated by soil microbes, policies both globally and  
 52 for CONUS have focused largely on limiting mobile and point fossil fuel sources of NO<sub>x</sub> (Li et al.,  
 53 2016). Hence, it is incumbent to strategize for reduction of non-point soil sources of NO<sub>x</sub>  
 54 emissions, especially in agricultural areas. Recent studies have shown higher soil NO<sub>x</sub> even in non-  
 55 agricultural areas like forests to significantly impact summertime ozone in CONUS (Hickman et  
 56 al., 2010; Travis et al., 2016). Consequently, it is increasingly important to estimate both N  
 57 fertilizer-induced and non-agricultural NH<sub>3</sub> and NO<sub>x</sub> emissions in air quality models.

58 Soil NO emissions tend to peak in the summertime, when they can contribute from 15-40% of total  
 59 tropospheric NO<sub>2</sub> column in the continental U.S. (CONUS) (Williams et al., 1992; Hudman et al.,  
 60 2012; Rasool et al., 2016). Summer is also the peak season for ozone concentrations (Cooper et  
 61 al., 2014; Strode et al., 2015) and the time when photochemistry is most sensitive to NO<sub>x</sub> (Simon  
 62 et al., 2014). N oxides (NO<sub>x</sub> = NO + NO<sub>2</sub>) worsen air quality and threaten human health directly  
 63 and by contributing to the formation of other pollutants. NO<sub>x</sub> drives the formation of tropospheric

64 ozone and contributes to a significant fraction of both inorganic and organic particulate matter  
65 (PM) (Seinfeld and Pandis, 2012; Wang et al., 2013). Global emissions of  $\text{NO}_x$  are responsible for  
66 one in eight premature deaths worldwide as reported by the World Health Organization (Neira et  
67 al., 2014). The premature deaths are a result of the link of these pollutants to cardiovascular and  
68 chronically obstructive pulmonary (COPD) diseases, asthma, cancer, birth defects, and sudden  
69 infant death syndrome. These adverse health impacts have been shown to worsen with the rising  
70 rate of reactive N emissions from soil N cycling (Kampa and Castanas, 2008; Townsend et al.,  
71 2003).  $\text{NO}_x$  indirectly impacts Earth's radiative balance by modulating concentrations of OH  
72 radicals, the dominant oxidant of certain greenhouse gases such as methane (IPCC, ~~2007~~2013;  
73 Steinkamp and Lawrence, 2011). Nitrous acid (HONO) upon photolysis releases OH radicals  
74 along with NO, driving tropospheric ozone and secondary aerosol formation (Pusede et al., 2015).  
75 ~~Soils and agriculture are the leading emitters of  $\text{N}_2\text{O}$ . emissions from soils primarily through~~  
76 ~~agriculture significantly contribute to warming of global average temperature on the longer 20 and~~  
77 ~~100 years timescales, more than both  $\text{CO}_2$  and  $\text{CH}_4$  a potent greenhouse gas (Pinder et al.,~~  
78 ~~2012)IPCC, 2013).~~

79 Ammonia ( $\text{NH}_3$ ) also contributes to a large fraction of airborne fine particulate matter ( $\text{PM}_{2.5}$ )  
80 (Kwok et al., 2013). Elevated levels of  $\text{PM}_{2.5}$  are linked to various adverse cardiovascular ailments  
81 such as irregular heartbeat and aggravated asthma that cause premature death (Pope et al., 2009),  
82 and contribute to visibility impairment through haze (Wang et al., 2012).  $\text{NH}_3$  gaseous emissions  
83 also influence the nucleation of new particles (Holmes, 2007). Air quality models such as,  
84 Community Multiscale Air Quality (CMAQ) model and GEOS-Chem represent the bidirectional  
85  $\text{NH}_3$  exchange between the atmosphere and soil-vegetation, analyzed under varied soil, vegetative,  
86 and environmental conditions (Cooter et al., 2012; Bash et al., 2013; Zhu et al. 2015).

87  $\text{NO}_x$ ,  $\text{NH}_3$ , HONO, and  $\text{N}_2\text{O}$  are produced from both microbial and physicochemical processes in  
88 soil N cycling, predominantly nitrification and denitrification (Medinets et al., 2015; Parton et al.,  
89 2001; Pilegaard, 2013; Su et al., 2011). Nitrification is oxidation of  $\text{NH}_4^+$  to  $\text{NO}_3^-$  where  
90 intermediate species such as NO and HONO are emitted along with relatively small amounts of  
91  $\text{N}_2\text{O}$  as byproducts. Denitrification is reduction of soil  $\text{NO}_3^-$ ; it produces some NO, but  
92 predominantly produces  $\text{N}_2\text{O}$  and  $\text{N}_2$  (Firestone and Davidson, 1989; Göttsche and Conrad, 2000;  
93 Laville et al., 2011; Medinets et al., 2015). The fraction of N emitted as NO and HONO relative

94 to N<sub>2</sub>O throughout nitrification and denitrification depends on several factors: soil temperature;  
95 water filled pore space (WFPS), which in turn depends on soil texture and soil water content; gas  
96 diffusivity; and soil pH. HONO is produced during nitrification only and is a source of NO and  
97 OH after undergoing photolysis (Butterbach-Bahl et al., 2013; Conrad, 2002; Ludwig et al., 2001;  
98 Oswald et al., 2013; Parton et al., 2001; Venterea and Rolston, 2000).

99 Whether N<sub>2</sub>O or N<sub>2</sub> become dominant during denitrification depends on the availability of soil  
100 NO<sub>3</sub><sup>-</sup> relative to available carbon (C), WFPS, soil gas diffusivity, and bulk density (i.e., dry weight  
101 of soil divided by its volume, indicating soil compaction/aeration by O<sub>2</sub>). Denitrification rates are  
102 quite low even at high soil N concentrations if available soil C is absent. However, the presence  
103 of high NO<sub>3</sub> concentrations with sufficient available C is the inhibiting factor for conversion of  
104 N<sub>2</sub>O to N<sub>2</sub>, keeping N<sub>2</sub>O emissions dominant during denitrification (Weier et al., 1993; Del Grosso  
105 et al., 2000). Denitrification N<sub>2</sub>O emissions are also found to increase with a decrease in soil pH  
106 in the range of 4.0 to 8.0 generally (Liu et al., 2010). Fertilizer application and wet and dry  
107 deposition add to the soil NH<sub>4</sub> and NO<sub>3</sub> pools, which undergo transformation to emit soil N as  
108 intermediates of nitrification and denitrification (Kesik et al., 2006; Liu et al., 2006; Redding et  
109 al., 2016; Schindlbacher et al., 2004).

110 Soil moisture content is the strongest determinant of nitrification and denitrification rates and the  
111 relative proportions of various N gases emitted by each. Increasing soil water content due to  
112 wetting events such as irrigation and rainfall can stimulate nitrification and denitrification.  
113 Nitrification rates peak 2-3 days after wetting, when excess water has drained away and the rate  
114 of downward water movement has decreased. Denitrification rates substantially increase and  
115 nitrification rates become much slower in wetter soils. This is also influenced by soil texture; for  
116 instance, denitrification is favored in poorly drained clay soils and nitrification is favored in freely  
117 draining sandy soils (Barton et al., 1999; Parton et al., 2001).

118 WFPS is a metric that incorporates the above factors. Relative proportions of NO, HONO, and  
119 N<sub>2</sub>O emitted vary with WFPS. Dry aerobic conditions (WFPS ~ 0-55%) are optimal for  
120 nitrification, with soil NO dominating soil N gas emissions at WFPS ~ 30–55% (Davidson and  
121 Verchot, 2000; Parton et al., 2001). HONO emissions have been observed up to WFPS of 40%  
122 and dominate N gas emissions under very dry and acidic soil conditions (Maljanen et al., 2013;  
123 Mamtimin et al., 2016; Oswald et al., 2013; Su et al., 2011). Nitrification influences N<sub>2</sub>O

124 production within the range of 30–70% WFPS, whereas denitrification dominates N<sub>2</sub>O production  
125 in wetter soils. Denitrification N<sub>2</sub>O is limited by lower WFPS in spite of sufficient available NO<sub>3</sub><sup>-</sup>  
126 and C (Butterbach-Bahl et al., 2013; Del Grosso et al., 2000; Hu et al., 2015; Medinets et al., 2015;  
127 Weier et al., 1993). As a result, NO and HONO emissions tend to decrease with increasing water  
128 content, whereas N<sub>2</sub>O emissions increase subject to available NO<sub>3</sub><sup>-</sup> and C (Parton et al., 2001;  
129 Oswald et al., 2013).

130 Extended dry periods also suppress soil NO emissions, by limiting substrate diffusion while water-  
131 stressed nitrifying bacteria remain dormant, allowing N substrate (NH<sub>4</sub><sup>+</sup> or organic N) to  
132 accumulate (Davidson, 1992; Jaeglé et al., 2004; Hudman et al., 2010; Scholes et al., 1997). Re-  
133 wetting of soil by rain reactivates these microbes, enabling them to metabolize accumulated N  
134 substrate (Homyak et al., 2016). The resulting NO pulses can be 10–100 times background  
135 emission rates and typically last for 1–2 days (Yienger and Levy, 1995; Hudman et al., 2012;  
136 Leitner et al., 2017).

137 Higher soil temperature is critical in increasing NO emission during nitrification under dry  
138 conditions. However, N<sub>2</sub>O generated in denitrification positively correlates with soil temperature  
139 only when WFPS and N substrate availability in soil are not the limiting factors (Machefert et al.,  
140 2002; Robertson and Groffman, 2007). Recently, a nearly 38% increase in NO emitted was  
141 observed under dry conditions (~ 25-35 % WFPS) in California agricultural soils when soil  
142 temperatures rose from 30-35 to 35-40 °C (Oikawa et al., 2015). Temperature-dependent soil NO<sub>x</sub>  
143 emissions may strongly contribute to the sensitivity of ozone to rising temperatures (Romer et al.,  
144 2018). Also, some soil NO is converted to NO<sub>2</sub> and deposited to the plant canopy, reducing the  
145 amount of NO<sub>x</sub> entering the atmosphere (Ludwig et al., 2001).

146 Mechanistic models of soil N emissions already exist and are used in the earth science and soil  
147 biogeochemical modeling community (Del Grosso et al., 2000; Manzoni and Porporato, 2009;  
148 Parton et al., 2001). However, photochemical models like CMAQ have been using a mechanistic  
149 approach only for NH<sub>3</sub>, while using simpler parametric approaches for NO (Bash et al., 2013;  
150 Rasool et al., 2016). Other N oxide emissions like HONO and N<sub>2</sub>O are absent from the parametric  
151 schemes used in CMAQ (Butterbach-Bahl et al., 2013; Heil et al., 2016; Su et al., 2011).  
152 Variability in soil physicochemical properties like pH, temperature, and moisture along with

153 nutrient availability strongly control the spatial and temporal trends of soil N compounds  
154 (Medinets et al., 2015; Pilegaard, 2013).

155 EPA's Air Pollutant Emissions Trends Data shows anthropogenic sources of NO<sub>x</sub> (excluding  
156 fertilizers) fell by 60 percent in the U.S. since 1980, heightening the relative importance of soils.  
157 Area sources of NO<sub>x</sub> like soils along with less than expected reduction in off-road anthropogenic  
158 sources are believed to have contributed to a slowdown in US NO<sub>x</sub> reductions from 2011-2016  
159 (Jiang et al., 2018). Hence, accurate and consistent representation of soil N is needed to address  
160 uncertainties in their estimates.

161 Parameterized schemes currently implemented in CMAQ for CONUS like Yienger-Levy (YL) and  
162 the Berkeley Dalhousie Soil NO Parameterization (BDSNP) consider only NO expressed as a  
163 fraction of total soil N available, without differentiating the fraction of soil N that occurs as organic  
164 N, NH<sub>4</sub>, or NO<sub>3</sub> (Hudman et al., 2012; Rasool et al., 2016; Yienger and Levy, 1995). Moreover,  
165 these parametric schemes classify soil NO emissions as constant factors for different non-  
166 agricultural biomes/ecosystems, compiled from reported literature and field estimates worldwide  
167 (Davidson and Kingerlee, 1997; Steinkamp and Lawrence, 2011; Yienger and Levy, 1995). These  
168 emission factors account for the baseline biogenic NO<sub>x</sub> emissions in addition to sources from  
169 deposition (all biomes) and fertilizer (agricultural land-cover only) in the latest BDSNP  
170 parameterization (Hudman et al., 2012; Rasool et al., 2016). Despite their limitations,  
171 parameterized schemes do distinguish which biomes exhibit low NO emissions (wetlands, tundra,  
172 and temperate or boreal forests) from those producing high soil NO (grasslands, tropical savannah  
173 or woodland and agricultural fields) (Kottek et al., 2006; Rasool et al., 2016; Steinkamp and  
174 Lawrence, 2011).

175 The U.S. Environmental Protection Agency (EPA) recently coupled CMAQ with U.S. Department  
176 of Agriculture's (USDA) Environmental Policy Integrated Climate (EPIC) agro-ecosystem model.  
177 This integrated EPIC-CMAQ framework accounts for a process-based approach for NH<sub>3</sub> by  
178 modeling its bidirectional exchange (Nemitz et al., 2001; Cooter et al., 2010; Pleim et al., 2013).  
179 The coupled model uses EPIC to simulate fertilizer application rate, timing, and composition.  
180 Then, CMAQ estimates the spatial and temporal trends of the soil ammonium (NH<sub>4</sub><sup>+</sup>) pool by  
181 tracking the ammonium mass balance throughout processes like fertilization, volatilization,  
182 deposition, and nitrification (Bash et al., 2013). Using the EPIC-derived soil N pool better

183 represents the seasonal dynamics of fertilizer-induced N emissions across CONUS (Cooter et al.,  
184 2012). The coupling with EPIC reduces CMAQ's error and bias in simulating total  $\text{NH}_3 + \text{NH}_4^+$   
185 wet deposition flux and ammonium related aerosol concentrations (Bash et al., 2013). BDSNP  
186 parametric scheme implemented in CMAQ also uses the daily soil N pool from EPIC (Rasool et  
187 al., 2016).

188 Our work builds a new mechanistic approach for modeling soil N emissions in CMAQ based on  
189 DayCENT (Daily version of CENTURY model) biogeochemical scheme (Del Grosso et al., 2001;  
190 Parton et al., 2001), integrating nitrification and denitrification mechanistic processes that generate  
191 NO, HONO,  $\text{N}_2\text{O}$ , and  $\text{N}_2$  under different soil conditions and meteorology. We compare the NO  
192 and HONO emissions estimates and associated estimates of tropospheric  $\text{NO}_2$  column, ozone, and  
193  $\text{PM}_{2.5}$  with those obtained from CMAQ using the YL and BDSNP parametric schemes. For  
194 agricultural biomes, our mechanistic scheme uses daily soil N pools from the same EPIC  
195 simulations as in Rasool et al. (2016). Unlike BDSNP, which uses a total weighted soil N, the new  
196 mechanistic model tracks different forms of soil N as  $\text{NH}_4$ ,  $\text{NO}_3$ , and organic N for different soil  
197 layers and vegetation types so that, nitrification and denitrification can be represented. For non-  
198 agricultural biomes, our new mechanistic scheme uses a global soil nutrient dataset in an updated  
199 C and N mineralization framework. This enables the model to track the conversion of organic soil  
200 N to  $\text{NH}_4$  and  $\text{NO}_3$  pools on a daily scale for non-agricultural soils.

201

202

## 203 **2 Methodology**

204

### 205 **2.1 Overview of soil N schemes**

206

207 Key features of the YL and BDSNP parametric soil NO schemes and our new mechanistic scheme  
208 for soil NO, HONO, and  $\text{N}_2\text{O}$  are illustrated in Figure 1 and Table 1.

209 The YL scheme, based on Yienger and Levy (1995), parameterizes soil NO emission  
 210 ( $S_{NO_{YL}}$ , in  $ng - N m^{-2} s^{-1}$ ) in Equation 1 as a function of biome specific emissions factor  
 211 ( $A_{biome}$ ) and soil temperature ( $T_{soil}$ ).

$$212 \quad S_{NO_{YL}} = f_{\frac{w}{d}} \left( A_{biome(w/d)}, T_{soil} \right) P(\text{precipitation}) CRF(LAI, SAI) \quad (1)$$

213 The emissions factor depends on whether the soil is wet ( $A_{biome(w)}$ ) or dry ( $A_{biome(d)}$ ), with the  
 214 wet factor used when rainfall exceeds one cm in the prior two weeks. For dry soils, YL assumes  
 215 NO emissions exhibit a small and linear response to increasing soil temperatures. For wet soils,  
 216 soil NO is zero for frozen conditions, increases linearly from 0 to 10°C, and increases  
 217 exponentially from 10 to 30°C, after which it is constant. In agricultural regions, YL assumes wet  
 218 conditions throughout the growing season (May – September) and assumes 2.5% of the fertilizer  
 219 applied N is emitted as NO, in addition to a baseline NO emissions rate based on grasslands. The  
 220 pulsing term ( $P(\text{precipitation})$ ) is applied if precipitation follows at least two dry weeks. The  
 221 canopy reduction factor ( $CRF$ ) is set as a function of leaf area index ( $LAI$ ) and stomatal area index  
 222 ( $SAI$ ).

223 Biogenic Emissions Inventory System (BEIS v.3.61 used in current versions of CMAQ (v5.0.2 or  
 224 higher) estimates NO emissions from soils essentially using the same original YL algorithm as in  
 225 Equation 1, with slight updates accounting for soil moisture, crop canopy coverage, and fertilizer  
 226 application. The YL soil NO algorithm in CMAQ distinguishes between agricultural and  
 227 nonagricultural land use types (Pouliot and Pierce, 2009). Adjustments due to temperature,  
 228 precipitation (pulsing), fertilizer application, and canopy uptake are limited to the growing season,  
 229 assumed as April 1 to October 31, and are restricted to agricultural areas as defined by the Biogenic  
 230 Emissions Landuse Database (BELD). Unlike the original YL, the implementation of YL in  
 231 CMAQ (CMAQ-YL) interpolates between wet and dry conditions based on soil moisture in the  
 232 top layer (1cm). In this study, we use the Pleim-Xiu Land Surface Model (PX-LSM) in CMAQ to  
 233 compute soil temperature ( $T_{soil}$ ) and soil moisture ( $\theta_{soil}$ ).

234 Agricultural soil NO emissions are based on the baseline grassland NO emission ( $A_{grassland}$ ) plus  
 235 an additional factor ( $Fertilizer(t)$ ) that starts at its peak value during the first month of the  
 236 growing season and declines linearly to zero at the end of the growing season. The growing season

237 is defined as April-October in CMAQ-YL, rather than being allowed to vary by latitude (original  
 238 YL) or by a satellite driven analysis of vegetation (original BDSNP). A summary of the modified  
 239 YL algorithm is presented below for growing season agricultural emissions (Equation 2).

$$240 \quad S_{NO_{CMAQ-YL, \text{ Agricultural growing season}}} =$$

$$241 \quad f(A_{grassland} + Fertilizer(t), T_{soil}, \theta_{soil})P(\text{precipitation})CRF(LAI, SAI) \quad (2)$$

242 For non-growing season or non-agricultural areas throughout the year, soil NO emissions are  
 243 assumed to depend only on temperature and the base emissions for different biomes ( $A_{biome}$ ) as  
 244 provided in BEIS. CMAQ still uses the base emission for both agricultural and non-agricultural  
 245 land types with adjustments based solely on air temperature ( $T_{air, in K}$ ) as done in BEIS (Equation  
 246 3). ~~However, for sake of simplicity we refer to ‘CMAQ-YL’ merely as ‘YL’ only in figures,  
 247 conclusion, result and discussions, hereon.~~

$$248 \quad S_{NO_{CMAQ-YL, \text{ non-agricultural or non-growing season}}}$$

$$249 \quad = (A_{biome})e^{(0.04686 * T_{air} - 14.30579)} \quad (3)$$

250 The original implementation of the BDSNP scheme in CMAQ v5.0.2 was described by Rasool et  
 251 al. (2016). Here, we update that code for CMAQv5.1, but the formulation remains the same. Soil  
 252 NO emissions,  $S_{NO}$ , are computed in Equation 4 as the product of biome specific emission rates  
 253 ( $A_{biome}(N_{avail})$ ) and adjustment factors to represent the influence of ambient conditions. The  
 254 biome specific emission rates have background soil NO for 24 MODIS biome types from literature  
 255 (Stehfest and Bouwman, 2006; Steinkamp and Lawrence, 2011). Fertilizer and deposition  
 256 emission rates based on an exponential decay after input of fertilizer and deposition N are added  
 257 to background soil NO emission rates for respective biomes. BDSNP accounts for total N from  
 258 fertilizer and deposition obtained from EPIC. EPIC provides the N available from crop-specific  
 259 fertilizer soil N pool in different forms as:  $NH_4$ ,  $NO_3$ , and organic N. A final weighted total soil N  
 260 pool is used by weighting the different N forms by the fraction of each crop type in each modeling  
 261 grid. The soil temperature response  $f(T_{soil})$  is an exponential function of temperature (in K). Unlike  
 262 YL that depends solely on rainfall, BDSNP has a Poisson function  $g(\theta)$  based on soil moisture  
 263 ( $\theta$ ) that increases smoothly first until a maximum and then decreases when soil becomes water-

264 saturated. BDSNP also differentiates between wet and dry soil conditions and provides more  
 265 detailed representation than YL of pulsing following precipitation and of the CRF (described in  
 266 section 2.5).

$$267 \quad S_{NO_{BDSNP}} = A_{biome}(N_{avail}) f(T)g(\theta)P(l_{dry})CRF(LAI, Meteorology, Biome) \quad (4)$$

268 Our new mechanistic scheme computes soil emissions of NO, HONO, and N<sub>2</sub>O by specifically  
 269 representing both nitrification and denitrification. Equations 5-7 provide an overview of the  
 270 mechanistic formulation. All functions are described in greater detail in Section 2.6.4. In the  
 271 equations, the pulsing factor  $P(l_{dry})$  follows the formulation of Rasool et al. (2016). The canopy  
 272 reduction factor  $CRF(LAI, Meteorology, Biome)$  is described in section 2.5. Briefly, we note  
 273 that nitrification rates ( $R_N$  in Eq. 24,  $kg - N/ha$  per s) depend on the available NH<sub>4</sub> pool, soil  
 274 temperature ( $T_{soil}$ ), soil moisture ( $\theta_{soil}$ ), gas diffusivity ( $Dr$ ), and pH adjustment factors.  
 275 Meanwhile, denitrification rates ( $R_D$  in Eq. 25,  $kg - N/ha$  per s) depend on available NO<sub>3</sub>  
 276 pool, relative availability of NO<sub>3</sub> to C, soil temperature, gas diffusivity, and soil moisture  
 277 adjustment factors.

$$278 \quad S_{NO} = \left( \begin{array}{c} N_{NO_x} - S_{HONO} \\ + \\ D_{NO} \end{array} \right) CRF(LAI, Meteorology, Biome)$$

$$279 \quad \equiv \left( \begin{array}{c} f(NH_4, T_{soil}, \theta_{soil}, Dr, pH)P(l_{dry}) \\ + \\ f(NO_3: C, T_{soil}, \theta_{soil}, Dr) \end{array} \right) CRF(LAI, Meteorology, Biome) \quad (5)$$

$$280 \quad S_{HONO} = (HONO_f)(N_{NO_x})(f_{SWC})CRF(LAI, Meteorology, Biome)$$

$$281 \quad \equiv (HONO_f) \left( f(NH_4, T_{soil}, \theta_{soil}, Dr, pH)P(l_{dry}) \right) (f_{SWC})CRF(LAI, Meteorology, Biome) \quad (6)$$

$$282 \quad S_{N_2O} = \left( \begin{array}{c} N_{N_2O} \\ + \\ D_{N_2O} \end{array} \right) \equiv \left( \begin{array}{c} f(NH_4, T_{soil}, \theta_{soil}, Dr, pH) \\ + \\ f(NO_3: C, T_{soil}, \theta_{soil}, Dr) \end{array} \right) \quad (7)$$

283 In all our simulations, soil NH<sub>3</sub> emission is calculated based on the bi-directional exchange scheme  
 284 (Bash et al., 2013) in CMAQ.

285

## 286 **2.2 Biome classification over CONUS**

287 CMAQ uses the National Land Cover Database with 40 classifications (NLCD40,  
288 <https://www.mrlc.gov/>) to represent land cover, which is used by the YL parametric scheme.  
289 However, Steinkamp and Lawrence (2011) provide soil NO emission factors ( $A'_{biome}(N_{avail})$ )  
290 for only 24 MODIS biomes in the BDSNP parametric scheme. Thus, the initial implementation of  
291 BDSNP in CMAQ by Rasool et al. (2016) introduced a mapping between MODIS 24 and NLCD40  
292 biomes to set an emission factor for each NLCD40 biome type (see Appendix Table A2). Factors  
293 were then adjusted using Köppen climate zone classifications (Kottek et al., 2006). Whereas the  
294 original implementation of BDSNP by Rasool et al. (2016) treated each grid cell based on its most  
295 prevalent biome type, our update of BDSNP for CMAQv5.1 and our mechanistic model use sub-  
296 grid biome classification, accounting for the fraction of each biome type in each cell.

297 The latest Biogenic Emissions Landcover Database version 4 (BELD4), generated using the  
298 BELD4 tool in the SA Raster Tools system, is used to represent land cover types consistently  
299 across both the Fertilizer Emission Scenario Tool for CMAQ (FEST-C v1.2,  
300 <https://www.cmascenter.org/fest-c/>); and the Weather Research and Forecast (WRF)  
301 meteorological model (Skamarock et al., 2008)/CMAQ framework. BEIS v3.61 within CMAQ  
302 integrates BELD4 with other data sources generated at 1-km resolution to provide fractional crop  
303 and vegetation cover. U.S. land use categories are based on the 2011 NLCD40 categories. FEST-  
304 C provides tree and crop percentage coverage for 194 tree classes and 42 crops  
305 ([https://www.cmascenter.org/sa-tools/documentation/4.2/Raster\\_Users\\_Guide\\_4\\_2.pdf](https://www.cmascenter.org/sa-tools/documentation/4.2/Raster_Users_Guide_4_2.pdf)). For  
306 determining fractional crop cover, the 2011 NLCD/MODIS data was used for Canada and the U.S.  
307 in BELD4 data generation tool of FEST-C. Tree species fractional coverage is based on 2011  
308 Forest Inventory and Analysis (FIA) version 5.1. MODIS satellite products are used where detailed  
309 data is unavailable outside of the U.S.

310

## 311 **2.3 N Fertilizer**

312 The YL scheme set fertilizer-driven soil NO emissions to be proportional to fertilizer application  
313 during a prescribed growing season: May-August for the Northern Hemisphere and November-

314 February for the Southern Hemisphere (Yienger and Levy, 1995) or April-October for CMAQ-  
315 YL. Our implementations of both BDSNP parameterization and mechanistic soil N schemes into  
316 CMAQ are designed to enable the use of year- and location-specific fertilizer data with daily  
317 resolution. We use FEST-C to incorporate EPIC fertilizer application data into our CMAQ runs.  
318 EPIC estimates daily fertilizer application based entirely on simulated idealized plant demand with  
319 N stress and limitations in response to local soil and weather conditions, using linkages with WRF  
320 via FEST-C. The FEST-C interface also ensures EPIC simulations are spatially consistent with  
321 CMAQ's CONUS domain and resolution through the Spatial Allocator (SA) Raster Tools system  
322 (<http://www.cmascenter.org/sa-tools/>).

323 Because EPIC covers only the U.S., outside the U.S. BDSNP use fertilizer data regridded from  
324 Hudman et al. (2012), which scaled Potter et al. (2010) data for fertilizer N from 1994-2001 to  
325 global fertilizer levels in 2006. Our mechanistic scheme uses a more recently compiled and  
326 speciated soil N and C dataset for non-U.S. agricultural regions, regridded from Xu et al. (2015).

327

## 328 **2.4 N Deposition**

329 N deposition serves as a significant addition to the soil mineral N (inorganic N:  $\text{NH}_4^+$  and  $\text{NO}_3^-$ )  
330 pool and hence influences soil N emissions. The YL scheme does not explicitly represent N  
331 deposition but instead sets soil emissions based on biome type. In our implementation of both  
332 updated BDSNP and new mechanistic soil N schemes, hourly wet and dry deposition rates for both  
333 reduced and oxidized forms of N, computed within the CMAQ simulation, are added to the  $\text{NH}_4^+$   
334 and  $\text{NO}_3^-$  soil pools.

335

## 336 **2.5 Canopy reduction factor (CRF)**

337 CRF is used to calculate above canopy NO and HONO, assuming that some fraction of each is  
338 converted to  $\text{NO}_2$  and absorbed by leaves. Earlier global scale GEOS-Chem simulations with  
339 BDSNP had a monthly averaged CRF that reduced total soil  $\text{NO}_x$  by an average of 16% (Hudman  
340 et al., 2012).

341 The original YL soil NO scheme (Yienger and Levy, 1995) and the in-line BEIS in CMAQ set  
 342 CRF as a function of LAI and SAI. Recently, implementations of BDSNP in CMAQ and GEOS-  
 343 Chem implemented CRF as a function of wind speed, turbulence, and canopy structure (Geddes et  
 344 al., 2016; Rasool et al., 2016; Wang et al., 1998).

345 Here, we compute CRF using equations from Wang et al. (1998) for both BDSNP and the new  
 346 mechanistic scheme using spatially and temporally variable land-surface parameters: surface (2  
 347 m) temperature, solar radiation ( $W/m^2$ ), surface pressure, snow cover, wind speed ( $v_{wind}$ ), cloud  
 348 fraction, canopy structure, vegetation coverage (LAI and canopy resistances), gas diffusivity, and  
 349 deposition coefficients. The final reduction factor ( $CRF(LAI, Meteorology, Biome)$ ) for primary  
 350 biogenic soil NO emissions is based on two main factors: bulk stomatal resistance ( $R_{Bulk}$ ), and  
 351 land-use specific ventilation velocity of NO ( $v_{vent,NO}$ ), calculated based on the parameters  
 352 mentioned above (Equation 8).

$$353 \quad CRF(LAI, Meteorology, Biome) = \frac{R_{Bulk}}{R_{Bulk} + v_{vent,NO}} \quad (8)$$

354 Ventilation velocity of NO ( $v_{vent,NO}$ ) is calculated by adjusting a normalized day and night  
 355 specific velocity from Wang et al. (1998):  $10^{-2}$  and  $0.2 \times 10^{-2}$  m/s, respectively. The adjustments  
 356 are based on biome-specific LAI and canopy wind extinction coefficients ( $C_{Biome}$ ).  $C_{tropical\ rainforest}$   
 357 is the canopy wind extinction coefficient for tropical rain forests, the biome on which most canopy  
 358 uptake studies for  $NO_x$  are based (Equation 9).

$$359 \quad v_{vent,NO} = v_{vent,NO_{day/night}} \sqrt{\left(\frac{v_{wind}}{3}\right)^2 \left(\frac{7}{LAI}\right) \left(\frac{C_{tropical\ rainforest}}{C_{Biome}}\right)} \quad (9)$$

360  $R_{Bulk}$  is a combination of various canopy resistances in series and parallel: internal stomatal  
 361 resistance, cuticle resistance, and aerodynamic resistance which have biome specific normalized  
 362 values for the MODIS 24 biomes also available in the dry deposition scheme of CMAQ. These  
 363 normalized values of individual resistances are subsequently adjusted and dependent on multiple  
 364 conditions for solar radiation, surface temperature, pressure, deposition coefficients and molecular  
 365 diffusivity of  $NO_2$  in air. The calculation of  $R_{Bulk}$  based on Wang et al. (1998) has been

366 documented and shared in the open source BDSNP code repository (canopy\_nox\_mod.F) for the  
367 purpose of reproducibility, available at [https://daac.ornl.gov/cgi-bin/dsviewer.pl?ds\\_id=1351](https://daac.ornl.gov/cgi-bin/dsviewer.pl?ds_id=1351).

368

## 369 **2.6 Detailed description of the mechanistic soil N scheme**

### 370 **2.6.1 Overview**

371 Our new mechanistic soil N model tracks the  $\text{NH}_4$ ,  $\text{NO}_3$ , and organic C and N pools in soil  
372 separately, in contrast to the total N pool of BDSNP, and estimates NO, HONO, and  $\text{N}_2\text{O}$  rather  
373 than just NO (Figure 2). It uses DayCENT to represent both nitrification and denitrification. For  
374 agricultural biomes, we use speciated N and C pools from EPIC to drive DayCENT. For non-  
375 agricultural biomes, we use a C-N mineralization framework (Manzoni and Porporato, 2009) to  
376 estimate the inorganic N and C pools for DayCENT.

377 One of the advantages of using DayCENT is its ability to simulate all types of terrestrial  
378 ecosystems. DayCENT is one of the only biogeochemical models which not only provides a  
379 process-based representation of soil N emissions, but has also been calibrated and validated across  
380 an array of conditions for crop productivity, soil C, soil temperature and water content,  $\text{N}_2\text{O}$ , and  
381 soil  $\text{NO}_3^-$  (Necpálová et al., 2015). Hence, mechanistic models like DayCENT yield more reliable  
382 results by applying validated controls of soil properties like soil temperature and moisture, which  
383 are the key process controls to nitrification and denitrification. More recent mechanistic models  
384 like DNDC, MicNit, ECOSYS, and COUPMODEL are quite similar to DayCENT in the ir  
385 representation of nitrification and denitrification process. However, these models have not been as  
386 widely evaluated and impose greater computational costs (Butterbach-Bahl et al., 2013).  
387 DayCENT also enhances consistency in our mechanistic model by utilizing the same C-N  
388 mineralization scheme (taken from the CENTURY model (Parton et al., 2001)) that is used in  
389 EPIC.

390 Most stand-alone applications of DayCENT and other mechanistic models have focused on the  
391 biogeochemical, climate, and agricultural impacts of soil emissions. Our linkage of DayCENT  
392 with CMAQ provides an opportunity to for the first time estimate emissions of multiple soil N

393 species through a process-based approach and then assess their impact on atmospheric chemistry  
394 in a regional photochemical model.

### 395 **2.6.2 Agricultural regions**

396 In agricultural regions, we use EPIC to derive organic N, NH<sub>4</sub>, NO<sub>3</sub>, and C pools updated on a  
397 daily scale. EPIC follows the same approach used in the CENTURY model (Parton et al., 1994),  
398 but uses an updated crop growth model, and better represents effect of sorption on soil water  
399 content that affect leaching losses and surface to sub-surface flow of N. In contrast, CENTURY  
400 used monthly water leached below 30-cm soil depth, annual precipitation, and the silt and clay  
401 content of soil (Izaurrealde et al., 2006).

402 In EPIC, organic N residues added to the agricultural soil surface or belowground from plant/crop  
403 residues, roots, fertilizer, deposition and manure are split into two broad compartments: microbial  
404 or active biomass, and slow or passive humus. Slow or passive humus is essentially recalcitrant  
405 and non-living in nature with very slow turnover rates ranging from centuries to even thousands  
406 of years and makes up most of the organic matter. N uptake by soil microbes from organic matter,  
407 also called 'microbial biomass' or 'microbial/active N,' is the living portion of the soil organic  
408 matter, excluding plant roots and soil animals larger than  $5 \times 10^{-3} \mu\text{m}^3$ . Although, microbial  
409 biomass constitutes a small portion of organic matter (~ 2%), it is central in microbial activity, in  
410 other words conversion of organic N to inorganic N (Cameron and Moir, 2013; Manzoni and  
411 Porporato, 2009). The transformation rate of organic N to microbial N is controlled by the relative  
412 C and N content in microbial biomass, soil temperature and water content, soil silt and clay content,  
413 organic residue composition- enhanced by tillage in agricultural soil, bulk density, oxygen content,  
414 and inorganic N availability. Microbial N has quicker turnover times ranging from days to weeks  
415 compared to hundreds of years for slow or passive organic matter (Izaurrealde et al., 2006; Schimel  
416 and Weintraub, 2003). Hence, microbial biomass is the main clearinghouse and driver of C and N  
417 cycling in EPIC. Whether net mineralization of organic N to NH<sub>4</sub><sup>+</sup> occurs or net immobilization  
418 of NO<sub>3</sub><sup>-</sup> to microbial N depends strongly on the relative C and N contents in microbial biomass.  
419 Higher N content supports net mineralization, whereas higher C content supports net  
420 immobilization. C and N can also be leached or lost in gaseous forms (Izaurrealde et al., 2012).

421 We then estimate gaseous N emissions by using the organic N, NH<sub>4</sub>, NO<sub>3</sub>, and C pools provided  
 422 from EPIC/FEST-C along with relevant soil properties for agricultural biomes from the DayCENT  
 423 nitrification and denitrification sub-model, as described in Section 2.6.4 and illustrated in Figure  
 424 2.

### 425 2.6.3 Non-agricultural regions

426 We adapt the framework for linked C and N cycling from Schimel and Weintraub (2003) for non-  
 427 agricultural regions, where EPIC is not applicable. This framework accounts for the mineralization  
 428 of organic N by considering which element is limiting based on relative C to N content in microbial  
 429 biomass. If N is in excess, then mineralization of organic N producing NH<sub>4</sub><sup>+</sup> is favored. If C is in  
 430 excess, it results in overflow metabolism that results in elevated C respiration rates that are not  
 431 associated with microbial growth. The resultant inorganic N and C respiration rates are then  
 432 applied on a temporal and spatial scale consistent with those for the EPIC agricultural pool.

433 To ensure mass balance, enzyme production (Equations 11-13) and recycling mechanisms  
 434 (Equations 14-15) to replenish microbial biomass C are crucial. Similarly, net immobilization is  
 435 assumed as was done in EPIC, when we approach C saturated conditions with time to replenish  
 436 microbial N. Without such mechanisms, there is a danger to always incorrectly predict N or C-  
 437 limited state for microbes. Also, some proportion of the microbial biomass is utilized for  
 438 maintenance of living cells (only C demand) (Equation 14), while the rest accounts for decay and  
 439 regrowth (both C and N demands) (Equations 16-17, 18-19) (Schimel and Weintraub, 2003;  
 440 Manzoni and Porporato, 2009). Fractions of C and N in dying microbial biomass are recycled into  
 441 the available microbial C and N pools. Schimel and Weintraub (2003) provide values for  
 442 parameters that quantify these growth and decay processes: Fraction of Biome C to exoenzymes  
 443 ( $K_e$ ) = 0.05; microbial maintenance rate ( $K_m$ ) = 0.01 d<sup>-1</sup>; substrate use efficiency (SUE) = 0.5;  
 444 Proportion of microbial biomass that dies per day ( $K_d$ ) = 0.012 d<sup>-1</sup>; Proportion of microbial biomass  
 445 (C or N) for microbial use ( $K_r$ ) = 0.85.

$$446 R_m (\text{Respiration from maintenance}) = K_m(SMC) \quad (10)$$

$$447 R_e (\text{Respiration from enzyme production}) = ((1 - SUE)(EP_C)/SUE) \quad (11)$$

$$448 EP_C (\text{Enzyme production as C Loss/Sink}) = K_e(SMC) \quad (12)$$

449  $EP_N$  (Enzyme production as N Loss/Sink) =  
 450  $EP_C/3$  (Where 3 is the approximate C:N ratio for protien) (13)

451  $CY_C$  (Recycle from C microbial biomass) =  $K_t K_r (SMC)$  (14)

452  $CY_N$  (Recycle from N microbial biomass) =  $CY_C/C_m:N_m$  (15)

453  $H_C$  (C Death/decay) =  $K_t(1 - K_r)(SMC)$  (16)

454  $H_N$  (N Death/decay) =  $H_C/C_m:N_m$  (17)

455 If C limited or N in excess:

456  $SMC < R_m + (EP_C/SUE) + ((SMN - EP_N)(C_m:N_m/SUE))$  (18)

457  $R_g$  (Respiration from growth, C limited) =  $(1 - SUE)(SMC - (EP_C/SUE) -$   
 458  $R_m)$  (19)

459  $R_O$  (Respiration from overflow mechanism) = 0 (20)

460  $NH_4$  (From net mineralization after mass balance) =  $(SMN - EP_N - ((SMC -$   
 461  $(EP_C/SUE) - R_m)(SUE/C_m:N_m))$  (21)

462 We represent spatial heterogeneity in soil C and N by using the Schimel and Weintraub (2003)  
 463 algorithm with sub-grid land use fractions from NLCD40 to estimate the different parameters for  
 464 specific non-agricultural biomes in Equations 10-20. That allows us to account for inter-biome  
 465 variability in soil properties and organic/microbial biomass.

466 Mineralized N pools generated as  $NH_4^+$  in this framework are calculated eventually as a function  
 467 of microbial biomass and aforementioned parameters driving the net mineralization (Equations 18  
 468 and 21).

469 We map a global organic C and N pool dataset (Xu et al., 2015) onto our CONUS domain, using  
 470 biome-specific fractions from 12 different biome types for conversion of these organic pools into  
 471 microbial biomass pools (Xu et al., 2013). We map these 12 broader biome types to the 24 MODIS  
 472 biome types by the mapping shown in Table A1. To ensure consistency with the sub-grid biome  
 473 fractions for the 40 NLCD biome types (section 2.2), we map the MODIS 24 biome-specific  
 474 microbial/Organic C and N fractions to NLCD 40 ( $Cmic_{biome}$  and  $Nmic_{biome}$ ,  $biome$  represents

475 the 40 NLCD categories) by the mappings shown in Tables A2 and A3. We calculate area-  
 476 weighted microbial C and N pools ( $SMC$  and  $SMN$ ) using  $Cmic_{biome}$  and  $Nmic_{biome}$  that account  
 477 for the inter-biome variability in availability of soil microbial biomass. Also, spatial heterogeneity  
 478 in terms of vertical stratification is crucial as emission losses from N cycling primarily happen in  
 479 the top 30-cm layer. Hence we incorporate the Xu et al. (2015) data for the top 30 cm for organic  
 480 nutrient pool and microbial C:N ratio ( $C_m:N_m$ ) along with other soil properties such as soil pH,  
 481  $\theta_{soil}$ , and  $T_{soil}$ . This framework (Figure 2) enables us to estimate soil  $NH_4$ ,  $NO_3$ , and C pools from  
 482 area-weighted microbial biomass as consistently as possible with the pools that EPIC provides in  
 483 agricultural regions.

#### 484 2.6.4 DayCENT representation of soil N emissions

485 The final part of the mechanistic framework is formed by using a nitrification and denitrification  
 486 N emissions sub-model adapted from DayCENT along with nitrification and denitrification rate  
 487 calculations adapted from EPIC. Nitrification and denitrification rates are adapted from EPIC to  
 488 maintain consistency with  $NH_3$  bi-directional scheme in CMAQ, which uses the same. It should  
 489 be noted that the coupled C–N decomposition module in the EPIC terrestrial ecosystem model is  
 490 similar to that of DayCENT (Izaurrealde et al., 2012; [Izaurrealde et al., 2017](#); Gaillard et al., 2017).  
 491 EPIC simulated agricultural  $NH_4$  and  $NO_3$  soil pools are generated as described in Section 2.6.2,  
 492 whereas the non-agricultural  $NH_4$  and  $NO_3$  soil pools are calculated by the methods described in  
 493 Section 2.6.3 (Equations 22-23).  $NH_4$  and  $NO_3$  soil pools drive nitrification and denitrification as  
 494 shown in Equations 24-25. Variability in terms of soil conditions influencing N emissions in  
 495 nitrification and denitrification are introduced through the rates at which  $NH_4$  is nitrified ( $R_N$ ) and  
 496  $NO_3$  is denitrified ( $R_D$ ) (Equations 24-25).

497 The nitrification rate ( $K_N$ ) (Equation 26) is estimated based on regulators from the soil water  
 498 content, soil pH, and soil temperature ( $T_{soil}$ ), following the approach of Williams et al. (2008),  
 499 consistent with the bi-directional  $NH_3$  scheme in CMAQ (Bash et al., 2013). The nitrification soil  
 500 temperature regulator ( $f_T$ ) accounts for frozen soil with no evasive N fluxes (Equation 27). The  
 501 nitrification soil water content regulator ( $f_{SW}$ ) accounts for soil water content at wilting point and  
 502 field capacity (Equations 28-29). The regulator terms  $f_T$  and  $f_{SW}$  both get their dependent  
 503 variables from Meteorology-Chemistry Interface Processor (MCIP) (Otte and Pleim, 2010)  
 504 derived land-surface outputs. However the nitrification soil pH regulator ( $f_{pH}$ ) takes soil pH for

505 agriculture soil from EPIC and for non-agricultural soil from a separate global dataset (Xu et al.,  
 506 2015), available at both 0.01 m and 1 m depths to maintain consistency with MCIP (Equation 30).  
 507 Denitrification rate ( $K_D$ ) (Equation 31) is regulated by soil temperature (Equation 34), with WFPS  
 508 (Equation 33) acting as a proxy for  $O_2$  availability and soil moisture ( $\theta_{soil}$ ), and relative  
 509 availability of  $NO_3$  and C (Equation 32) determining  $N_2O$  or  $N_2$  emissions during denitrification  
 510 (Williams et al., 2008). Note that Equations 26 and 31 set upper limits for  $K_N$  and  $K_D$ , respectively.

$$511 \quad NO_3(kg - N/ha, after Nitrification) = NH_4 (1.0 - e^{-(K_N dt)}) \quad (22)$$

$$512 \quad NH_4(kg - N/ha, after Nitrification) = NH_4 e^{-(K_N dt)} \quad (23)$$

$$513 \quad R_N(kg - N/ha per s) = NH_4 (1.0 - e^{-(K_N dt)})/dt \quad (24)$$

$$514 \quad R_D(kg - N/ha per s) = NO_3 (1.0 - e^{-(K_D dt)})/dt \quad (25)$$

$$515 \quad K_N (s^{-1}) = \min(0.69, (f_T) (f_{SW})(f_{pH})) \quad (26)$$

$$516 \quad f_T(\text{Nitrification soil temperature regulator}) = \max(0.041(T_{soil} - 278.15), 0.0) \quad (27)$$

517  $f_{SW}$  (Nitrification soil water content regulator)

$$518 \quad = \begin{cases} 0.1, & \text{If } (\theta_{soil} \leq \text{wilting point}) \\ \max\left(0.1, 0.1 + 0.9 \sqrt{\frac{(\theta_{soil} - \text{wilting point})}{(\text{field capacity} - \text{wilting point})}} \cdot \frac{(\theta_{soil} - \text{wilting point})}{0.25(\text{field capacity} - \text{wilting point})}\right), & \\ \max\left(0.1, 1.0 - \frac{(\theta_{soil} - \text{field capacity})}{(\theta_{soil}(\text{at Saturation}) - \text{field capacity})}\right), & \text{If } (\theta_{soil} > \text{field capacity}) \end{cases} \quad (28)$$

$$519 \quad wg25 = \text{wilting point} + 0.25(\text{field capacity} - \text{wilting point}) \quad (29)$$

520  $f_{pH}$  (Nitrification soil pH regulator)

$$521 \quad = \begin{cases} 0.307(pH) - 1.269, & \text{Acidic soil } (pH < 7) \\ 1.0, & \text{Neutral soil } (7.4 > pH \geq 7) \\ 5.367 - 0.599(pH), & \text{Alkaline soil } (pH \geq 7.4) \end{cases} \quad (30)$$

$$522 \quad K_D (s^{-1}) = \min(0.01, f(WFPS, T_{soil}, NO_3:C)) \quad (31)$$

523  $f(WFPS, T_{soil}, NO_3 : C)$ , Denitrification regulators

$$524 \quad = (f_{T,D}) (f_{WFPS,D}) \left( \frac{(1.4 (LabileC)(NO_3))}{((LabileC + 17)(NO_3 + 83))} \right) \quad (32)$$

$$525 \quad f_{WFPS,D} = \min \left( 1.0, \frac{4.82}{14^{(16/(12^{(1.39(WFPS))}))}} \right) \quad (33)$$

$$526 \quad f_{T,D} = \min \left( 1.0, e^{\left( \frac{308.56}{68.02} \left( \frac{1}{T_{soil} (\text{in } K) - 227.13} \right) \right)} \right) \quad (34)$$

527 DayCENT partitions N emissions as NO<sub>x</sub> and N<sub>2</sub>O based on relative gas diffusivity in soil  
 528 compared to air (*Dr*) (Equation 35). *Dr* is calculated based on the algorithm from Moldrup et al.  
 529 (2004), which accounts for soil water content, soil air porosity, and soil type. Also, *Dr* and hence  
 530 the ratio of NO<sub>x</sub> to N<sub>2</sub>O emissions ( $r_{NO_x/N_2O}$ ) being a function of *Dr*, accounts for soil texture by  
 531 quantifying pore space, which is highest in coarse soil (Parton et al., 2001; Moldrup et al., 2004).  
 532 DayCENT assumes 2% of nitrified N ( $R_N$ ) is lost as N<sub>2</sub>O (Equation 36).  $r_{NO_x/N_2O}$  is the ratio of  
 533 NO<sub>x</sub> (both NO and HONO, which photolyses rapidly to NO) to N<sub>2</sub>O, where emissions are  
 534 expressed on g-N/hr basis. These emissions are susceptible to pulsing after re-wetting of soil in  
 535 arid or semi-arid conditions ( $P(l_{dry})$ ), as explained in section 2.1 (Equation 37). Denitrification  
 536 NO is also calculated using the overall  $r_{NO_x/N_2O}$  ratio (Equation 38) but does not experience  
 537 pulsing (Parton et al., 2001). Equation 35 does quantify  $r_{NO_x/N_2O}$  as a function of *Dr*, but as a  
 538 unitless ratio as expected.

$$539 \quad r_{NO_x/N_2O} = 15.2 + \left( \frac{35.5 \arctan(0.68 \pi ((10.0 Dr) - 1.86))}{\pi} \right) \quad (35)$$

$$540 \quad N_{N_2O} (\text{Nitrification } N_2O, g - N/hr) = 0.02 (R_N)(\text{Grid cell area}) \quad (36)$$

$$541 \quad N_{NO_x} (\text{Nitrification } NO_x, g - N/hr) = r_{NO_x/N_2O} (N_{N_2O}) P(l_{dry}) \quad (37)$$

$$542 \quad D_{NO} (\text{Denitrification } NO, g - N/hr) = r_{NO_x/N_2O} (D_{N_2O}) \quad (38)$$

543 N<sub>2</sub>O from denitrified NO<sub>3</sub> ( $R_D$ ) is calculated using the partitioning function derived by Del Grosso  
 544 et al. (2000) (Equation 39). The ratio of N<sub>2</sub> to N<sub>2</sub>O emitted as an intermediate during denitrification  
 545 ( $r_{N_2/N_2O}$ ) is dependent on WFPS (Equation 42) and the relative availability of NO<sub>3</sub> substrate and

546 C for heterotrophic respiration (Equations 40-41). The C available for heterotrophic respiration in  
 547 the surface soil layer (*LabileC*) (Equation 41) is taken from EPIC for agricultural biomes and from  
 548 Xu et al. (2015) for non-agricultural biomes.  $f(NO_3; C)$  is controlled by variability in soil texture,  
 549 accounted by a factor  $k$ , which depends on soil diffusivity at field capacity as estimated in Del  
 550 Grosso et al. (2000). Also, the  $NO_3$  pool is updated at each time step when denitrification happens  
 551 (Equation 43). Equations 40-42 also quantify  $r_{N_2/N_2O}$  as a unitless ratio, while still accounting for  
 552 variables influencing these ratios.

$$553 \quad D_{N_2O} \text{ (Denitrification } N_2O, g - N/hr) = \left( \frac{R_D}{1.0 + r_{N_2/N_2O}} \right) \text{ (Grid cell area)} \quad (39)$$

$$554 \quad r_{N_2/N_2O} = f(NO_3; C) f(WFPS) \quad (40)$$

$$555 \quad f(NO_3; C) = \begin{cases} \max \left( 0.16 (k), (k) e^{-0.8 \left( \frac{NO_3}{LabileC} \right)} \right), & \text{if } LabileC > 0 \\ 0.16 (k), & \text{if } LabileC \sim 0 \end{cases} \quad (41)$$

$$556 \quad f(WFPS) = \max (0.1, (0.015 (WFPS(\text{as fraction}) - 0.32))) \quad (42)$$

$$557 \quad NO_3 \text{ (kg - N/ha, after denitrification)}$$

$$558 \quad = \frac{R_N}{K_D} + \left( NO_3 - \frac{R_N}{K_D} \right) (e^{-(K_D dt)}) \quad (43)$$

559 HONO is emitted as an intermediate during nitrification, and has been reported in terms of a ratio  
 560 relative to NO for each of 17 ecosystems by Oswald et al. (2013). In the mechanistic scheme, the  
 561 proportions of HONO relative to total  $NO_x$  for these 17 biomes were mapped to the closest 24  
 562 MODIS type biome categories (Table A1) and then to the NLCD 40 types ( $HONO_f$ ) by the  
 563 mappings in Tables A2 and A3. This allows consistency with sub-grid land use fractions from  
 564 NLCD40. HONO emissions are further adjusted to reflect their dependence on WFPS (Oswald et  
 565 al., 2013). The adjustment factor  $f_{SWC}$  reflects observations that HONO emissions rise linearly up  
 566 to 10% WFPS and then decrease until they are negligible around ~ 40% (Su et al., 2011; Oswald  
 567 et al., 2013) (Equation 45). Subsequently, total NO emission is a sum of nitrification NO emission,  
 568 which is a difference of  $N_{NO_x}$  and  $S_{HONO}$ , and denitrification NO (Equation 46). Similarly, total  
 569  $N_2O$  is a sum of  $N_{N_2O}$  (Equation 36) and  $D_{N_2O}$  (Equation 39). The canopy reduction factor (section  
 570 2.1) is then applied to both  $S_{HONO}$  and  $S_{NO}$  (Equations 44 and 46). Finally, sub-grid scale emission  
 571 rates are aggregated for each grid cell.

$$S_{HONO} = (HONO_f)(N_{NO_x})(f_{SWC})CRF(LAI, Meteorology, Biome) \quad (44)$$

573  $f_{SWC}$  (Soil water content adjustment factor to compute HONO)

$$= \begin{cases} \frac{(HONO_f)(WFPS)}{0.1}, & \text{If } (WFPS \leq 0.10) \\ \text{(Assuming linear increase up to 10\% WFPS)} \\ \frac{(HONO_f)(0.4 - WFPS)}{(0.4 - 0.1)}, & \text{If } (WFPS \leq 0.40) \\ 0, & \text{If } (WFPS > 0.40) \end{cases} \quad (45)$$

575

$$S_{NO} = \left\{ (N_{NO_x} - ((HONO_f)(N_{NO_x})(f_{SWC}))) \right. \\ \left. + D_{NO} \right\} CRF(LAI, Meteorology, Biome) \quad (46)$$

578

## 579 2.7 Model configurations

580 We obtained from U.S. EPA a base case WRFv3.7-CMAQv5.1 simulation for 2011 with the  
581 settings and CONUS modeling domain described by Appel et al. (2017), who thoroughly evaluated  
582 its performance against observations. Here, we simulate only May and July to test sensitivity of  
583 air pollution to soil N emissions during the beginning and middle of the growing season. Each  
584 episode is preceded by a 10-day spin-up period.

585 Table 2 summarizes the WRF-CMAQ modeling configurations settings. The simulations use the  
586 Pleim-Xiu Land Surface Model (PX-LSM) (Pleim and Xiu, 2003) and the Asymmetric Convective  
587 Mixing v2 (ACM2) Planetary Boundary Layer (PBL) model. The modeling domain for CMAQ  
588 v5.1 covers the entire CONUS including portions of northern Mexico and southern Canada with  
589 12-km resolution and a Lambert Conformal projection. Vertically, we use 35 vertical layers of  
590 increasing thickness extending up to 50 hPa. Boundary conditions are provided by a 2011 global  
591 GEOS-Chem simulation (Bey et al., 2001).

592 WRF simulations employed the same options as Appel et al. (2017) (Summarized in Table 2).

593 WRF outputs for meteorological conditions were converted to CMAQ inputs using MCIP version  
594 4.2 (<https://www.cmascenter.org>). Gridded speciated hourly model-ready emissions inputs were

595 generated using Sparse Matrix Operator Kernel Emissions (SMOKE;  
596 <https://www.cmascenter.org/smoke/>) version 3.5 program and the 2011 National Emissions  
597 Inventory v1. Biogenic emissions were processed in-line in CMAQ v5.1 using BEIS version 3.61  
598 (Bash et al., 2016). All the simulations employed the bidirectional option for estimating the air–  
599 surface exchange of ammonia. We applied CMAQ with three sets of soil NO emissions: a)  
600 standard YL soil NO scheme in BEIS; b) updated BDSNP scheme for NO (Rasool et al., 2016)  
601 with new sub-grid biome classification; and c) mechanistic soil N scheme for NO and HONO.  
602

## 603 **2.8 Observational data for model evaluation**

604 To evaluate model performance for each of the three soil N cases, we employed regional and  
605 national networks: EPA’s Air Quality System (AQS; 2086 sites; <https://www.epa.gov/aqs>) for  
606 hourly NO<sub>x</sub> and O<sub>3</sub>; the Interagency Monitoring of Protected Visual Environments (IMPROVE;  
607 157 sites; <http://vista.cira.colostate.edu/improve/>) and Chemical Speciation Network (CSN; 171  
608 sites; <https://www3.epa.gov/ttnamti1/speciepg.html>) for PM<sub>2.5</sub> nitrate (measured every third or  
609 sixth day); the Clean Air Status and Trends Network (CASTNET; 82 sites; [http://](http://www.epa.gov/castnet/)  
610 [www.epa.gov/castnet/](http://www.epa.gov/castnet/)) for hourly O<sub>3</sub> and weekly aerosol PM species; and SEARCH network  
611 measurements (<http://www.atmospheric-research.com/studies/SEARCH/index.html>) of NO<sub>x</sub>  
612 concentrations in remote areas. NO<sub>2</sub> was also evaluated against tropospheric columns observed by  
613 the Ozone Monitoring Instrument (OMI) aboard NASA’s Aura satellite (Bucsela et al., 2013;  
614 Lamsal et al., 2014).  
615

## 616 **3 Results and Discussion**

### 617 **3.1 Spatial distribution of soil NO, HONO and N<sub>2</sub>O emissions**

618 Figure 3 compares the spatial distribution of soil N oxide emissions from the three schemes. The  
619 incorporation of EPIC fertilizer in BDSNP results in soil NO emission rates up to a factor of 1.5  
620 higher than in YL, consistent with the findings of Rasool et al. (2016). Hudman et al. (2012) found  
621 nearly twice as large of a gap between BDSNP and YL in GEOS-Chem; the narrower gap here  
622 likely results from our use of sub-grid biome classification and EPIC fertilizer data (Rasool et al.,  
623 [2016](#)). The mechanistic scheme (Figure 3c) generates emission estimates that are closer to the YL

624 scheme but with greater spatial and temporal heterogeneity, reflecting its use of more dynamic soil  
625 N and C pools. The agricultural plains extending from Iowa to Texas with high fertilizer  
626 application rates have the highest biogenic NO and HONO emission rate, with obvious temporal  
627 variability between May and July (Figure 3). In all of the schemes, soil N represents a substantial  
628 fraction of total NO<sub>x</sub> emissions over many rural regions, especially in the western half of the  
629 country (Figure S1). However, the aggregated budget of soil NO is much less than anthropogenic  
630 NO<sub>x</sub> from fossil fuels non-soil related sources, because ~~anthropogenic emissions are fossil fuel use~~  
631 is concentrated in a limited number of urbanized and industrial locations. The percentage  
632 contribution of soil NO to total NO<sub>x</sub> aggregated across the CONUS domain varied for May-July  
633 between: 15-20% for YL, 20-33% for updated BDSNP, and 10-13% for mechanistic schemes  
634 respectively.

635 Direct observations of soil emissions are sparse and most were reported decades ago. While the  
636 meteorological conditions will differ, these observations give us the best available indicator of the  
637 ranges of magnitudes of emission rates actually observed in the field. The sites encompass a variety  
638 of fertilized agricultural fields and fertilized and unfertilized grasslands (Bertram et al., 2005;  
639 Hutchinson and Brams, 1992; Parrish et al., 1987; Williams et al., 1991; Williams et al., 1992;  
640 Martin et al., 1998). For fair comparison, peak location/site was selected across a range of sites for  
641 a specific observation study and compared to respective peak modeled value across sites/grids in  
642 the same spatial domain. Also, for comparison with natural unfertilized grassland observational  
643 studies based in Colorado, modeled estimates from non-agricultural grids only were selected.  
644 Overall, the YL scheme and the mechanistic scheme produce emissions estimates that are roughly  
645 consistent with the ranges of emission rates observed at each site (Table 3). By contrast, BDSNP  
646 tends to overestimate soil NO compared to these observations (Table 3).

647 Table 3 also shows opposing trends for May and July soil NO estimates between YL or BDSNP  
648 and mechanistic schemes for Iowa and South Dakota fertilized fields that make up the significant  
649 part of corn-belt in U.S. For these regions, soil NO tends to be higher in July than in May in YL  
650 and BDSNP, but lower in July in the mechanistic scheme (Table 3). The U.S. Corn Belt has the  
651 most synthetic N fertilizer application in April (Wade et al., 2015), which can explain the high soil  
652 NO emissions in May that decline in July. N<sub>2</sub>O emissions have been particularly observed to be  
653 highest during May-June after April N fertilizer application in the U.S. Corn Belt, and declining

654 thereafter (Griffis et al., 2017). This is further confirmed in our estimates for soil N<sub>2</sub>O emissions  
655 from mechanistic scheme, where May estimates are higher than in July and the maximum  
656 emissions are observed in the Iowa Corn Belt (Figure 4). However, unlike NO<sub>x</sub> emissions, for N<sub>2</sub>O  
657 no background conditions or emission inventory is in place in CMAQ's chemical transport model,  
658 so comparisons with ambient observations are not yet possible.

659

### 660 **3.2 Evaluation with PM<sub>2.5</sub>, ozone, and NO<sub>x</sub> observations**

661 Model results with the three soil N schemes are compared with observational data from IMPROVE  
662 and CSN monitors for PM<sub>2.5</sub> NO<sub>3</sub> component, AQS monitors for NO<sub>x</sub> and ozone, and CASTNET  
663 monitors for ozone. Both YL and the new mechanistic schemes exhibit similar ranges of biases for  
664 these pollutants (see Figures S2, S3, S4, S5 and S6 in supplementary material). Use of the  
665 mechanistic scheme in place of YL changes soil N emissions by less than 25 ng-N m<sup>-2</sup> s<sup>-1</sup> in most  
666 regions, corresponding to NO<sub>x</sub> concentration changes of less than 1 ppb (Figure 5). CASTNET  
667 and IMPROVE monitors tend to be more remote than AQS and CSN monitors, many of which are  
668 located in urban regions.

669 At AQS monitors, switching between soil N schemes changes MB for O<sub>3</sub> by up to ~ 1.5 ppb (Figure  
670 6), whereas absolute MB of models versus observations is up to ~ 10 ppb (Figure S2). For NO<sub>x</sub>,  
671 the maximum difference in MB between soil N schemes is ~ 0.4 ppb (Figure 7), compared to  
672 maximum absolute MB of ~ 10 ppb between model and observations (Figure S3). For CASTNET  
673 monitors, the differences in MB for O<sub>3</sub> between soil N schemes can reach a maximum of ~ 1.5 ppb  
674 (Figure 8), compared to 6 ppb maximum absolute MB of models versus observations (Figure S4).  
675 Similarly, for IMPROVE PM<sub>2.5</sub> NO<sub>3</sub>, maximum difference in MB between soil N schemes is ~  
676 0.06 µg/m<sup>3</sup> (Figure 9), compared to maximum absolute MB of 0.4 µg/m<sup>3</sup> (Figure S5). For CSN  
677 PM<sub>2.5</sub> NO<sub>3</sub>, the maximum MB difference between soil N schemes is ~ 0.1 µg/m<sup>3</sup> (Figure 10),  
678 compared to maximum absolute MB of ~ 50 µg/m<sup>3</sup> (Figure S6). Similar trends are observed for  
679 both May and July as illustrated in Figures 6-10.

680 Overall, the mechanistic scheme tends to reduce CMAQ's positive biases for pollutants across the  
681 Midwest and eastern US, whereas BDSNP worsens overestimations in these regions for both May

682 and July 2011 (Figures 6-10). In addition, negative bias in difference means less bias compared to  
683 observation (Figures 6-10). One reason for the differences is that the mechanistic scheme  
684 recognizes dry conditions in unirrigated fields in these regions, whereas the low WFPS threshold  
685 in BDSNP ( $\theta = 0.175 \text{ (m}^3/\text{m}^3)$ ) treats most of these regions as wet and thus higher emitting.

### 686 3.2.1 Evaluation with South Eastern Aerosol Research and CHaracterization 687 (SEARCH) Network NO<sub>x</sub> measurements

688 We analyzed how the choice of soil NO parameterization affects NO<sub>x</sub> concentrations in non-  
689 agricultural regions by using SEARCH network measurements ([http://www.atmospheric-  
690 research.com/studies/SEARCH/index.html](http://www.atmospheric-research.com/studies/SEARCH/index.html)). Six SEARCH sites located in the southeastern U.S.  
691 are evaluated for May and July 2011: Gulfport, Mississippi (GFP) urban coastal site ~1.5 km from  
692 the shoreline, Pensacola – outlying (aircraft) landing field (OLF) remote coastal site near the Gulf  
693 ~20 km inland, Atlanta, Georgia–Jefferson Street (JST) and North Birmingham, Alabama (BHM);  
694 both urban inland sites, and Yorkville, Georgia (YRK) and Centreville, Alabama (CTR), remote  
695 inland forest sites.

696 Across the southeastern U.S. during these episodes, BDSNP estimated higher emissions than YL  
697 and the mechanistic scheme estimated lower emissions (Figure 3). Also, CMAQ with each scheme  
698 overestimated NO<sub>x</sub> observed at each SEARCH site (Figure 11). Thus, shifting from YL to BDSNP  
699 worsens mean bias (MB) for NO<sub>x</sub>, while the mechanistic scheme reduces MB. The impacts are  
700 most pronounced at the rural Centerville site (Figure 11).

701

### 702 3.3 Evaluation with OMI satellite NO<sub>2</sub> column observations

703 Tropospheric NO<sub>2</sub> columns observed by OMI and available publicly at the NASA archive  
704 ([http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/omno2\\_v003.shtml](http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/omno2_v003.shtml); Bucsela et al., 2013;  
705 Lamsal et al., 2014) are used to evaluate the performance of CMAQ under the three soil NO<sub>x</sub>  
706 schemes. To enable a fair comparison, the quality-assured/quality-checked (QA/QC) clear-sky  
707 (cloud radiance fraction < 0.5) OMI NO<sub>2</sub> data are gridded and projected to our CONUS domain  
708 using ArcGIS 10.3.1. CMAQ NO<sub>2</sub> column densities in molecules per cm<sup>2</sup> are generated from  
709 CMAQ through vertical integration using the variable layer heights and air mass densities in these

710 tropospheric layers. These NO<sub>2</sub> column densities are then extracted for 13:00-14:00 local time  
711 across the CONUS domain, to match the time of OMI overpass measurements.

712 We compared CMAQ simulated tropospheric NO<sub>2</sub> columns with OMI data for four broad regions  
713 that showed the highest sensitivity to the soil N schemes. For May 2011, the mechanistic scheme  
714 produces higher estimates of NO<sub>2</sub> than YL in the western U.S. and Texas, and lower estimates in  
715 the rest of the agricultural Great Plains. In July however, the mechanistic scheme produces lower  
716 estimates than YL in each of these regions, but the differences are narrower than in May (Figure  
717 12). Switching from YL to our updated mechanistic scheme improved agreement with OMI NO<sub>2</sub>  
718 columns in the western U.S. (for May only), Montana, North and South Dakota, North and South  
719 Carolina and Georgia (July only), and Oklahoma and Texas (red boundaries). However, switching  
720 from YL to mechanistic scheme worsens underpredictions of column NO<sub>2</sub> in the rest of the  
721 Midwest (black boundaries) during both May and July (Figures 12 and 13). The mechanistic  
722 scheme improves model performance in the southeastern U.S. and many portions of the central  
723 and western U.S. (Table 4). Overestimation is exhibited for the eastern U.S. across all soil N  
724 schemes and can be attributed more to the current emission inventory in CMAQ overestimating  
725 NO<sub>2</sub> vertical column density in this region of CONUS (Kim et al., 2016). For Texas and Oklahoma,  
726 the mechanistic scheme performs better than YL but still underestimates OMI observations in  
727 May, and performs well in July (Figure 13).

728 Underestimates of soil N in some regions with an abundance of animal farms, such as, parts of  
729 Colorado, New Mexico, North Texas, California, the Northeast U.S. and the Midwest, may be  
730 attributed to the lack of representation of farm-level manure N management practices, in which  
731 manure application can exceed the EPIC estimate of optimal crop demand. Farms in the vicinity  
732 of concentrated animal units often apply N in excess of the crop N requirements as part of the  
733 manure management strategy, typically increasing the N emissions (Montes et al., 2013). USDA  
734 has reported that confined animal units/livestock production correlates with increasing amounts of  
735 farm-level excess N (Kellogg et al., 2000; Ribaud and Sneeringer, 2016). Model representations  
736 of these practices are needed to better estimate the impact of nitrogen in the environment.

737

## 738 4 Conclusions

739 Our implementation of a mechanistic scheme for soil N emissions in CMAQ provides a more  
740 physically based representation of soil N than previous parametric schemes. To our knowledge,  
741 this is the first time that soil biogeochemical processes and emissions across a full range of nitrogen  
742 compounds have been simulated in a physically realistic manner in a regional photochemical  
743 model. Our mechanistic scheme directly simulates nitrification and denitrification processes,  
744 allowing it to consistently estimate soil emissions of NO, HONO, NH<sub>3</sub>, and N<sub>2</sub>O (Figures 1 and  
745 2). The mechanistic scheme also updates the representation of the dependency of soil N on WFPS  
746 by utilizing parameters like water content at saturation, wilting point, and field capacity and their  
747 impact on gas diffusivity (Del Grosso et al., 2000; Parton et al., 2001).

748 Overall, the magnitudes of soil NO<sub>x</sub> emissions predicted by the mechanistic scheme are similar to  
749 those predicted by the YL parametric scheme, and smaller than those predicted by the BDSNP  
750 scheme. In dry conditions, soil NO has been shown to be highest as compared to wet conditions  
751 with lowest, explained by sustained high nitrification rates due to high gas diffusivity in dry  
752 conditions (Homyak et al., 2014). Arid soils or dry season with adequate soil N due to asynchrony  
753 between soil C mineralization and nitrification have been shown to shut down plant N uptake  
754 through high gas diffusivity, causing NO emissions to increase (Evans and Burke, 2013; Homyak  
755 et al., 2016). Mechanistic scheme exhibits this spatial variability in soil NO depending on dry or  
756 wet conditions, since it accounts for their dependence on soil moisture and gas diffusivity, as well  
757 as the C and N cycling that leads to adequate soil N.

758 Spatial patterns of NO<sub>x</sub> emissions differ across the schemes and episodes (Figure 3), but generally  
759 show highest emissions in fertilized agricultural regions. During the episodes considered here,  
760 Texas experienced severe to extreme drought, while parts of the Northeast and Pacific Northwest  
761 were unusually wet  
762 ([http://www.cpc.ncep.noaa.gov/products/analysis\\_monitoring/regional\\_monitoring/palmer/2011/](http://www.cpc.ncep.noaa.gov/products/analysis_monitoring/regional_monitoring/palmer/2011/)  
763 ). Testing for other time periods is needed to see how results differ during different seasons and as  
764 drought conditions vary. Model evaluation will also depend on the meteorological model's skill in  
765 capturing dry and wet conditions.

766 The lower emissions of the mechanistic scheme reduce the overprediction biases for ground-based  
767 observations of ozone and PM nitrate that had been reported by Rasool et al. (2016) for the BDSNP  
768 scheme (Figures 6-10). The mechanistic scheme reduced overpredictions of NO<sub>x</sub> concentrations  
769 at SEARCH sites in the southeastern U.S. (Figure 11). However, changes in performance for  
770 simulating satellite observations of NO<sub>2</sub> columns were mixed (Figures 12-13). The  
771 underestimation of NO<sub>2</sub> by CMAQ with the mechanistic scheme in agricultural regions of the  
772 Midwest may be partially attributed to neglecting manure management practices from livestock  
773 operations. In the U.S., 60 percent of Nitrogen from manure produced on animal feedlot operations  
774 cannot be applied to their own land because they are in 'excess' of USDA advised agronomic rates.  
775 Most U.S. counties with animal farms have adequate crop acres not associated with animal  
776 operations, but within the county, on which it is feasible to spread the excess manure at agronomic  
777 rates at certain additional cost. However, 20 percent of the total U.S. on-farm excess manure  
778 nitrogen is produced in counties with insufficient cropland for its application at agronomic rates  
779 (Gollehon et al., 2001). For areas without adequate land, alternatives to local land application such  
780 as energy production (for example, biofuel) are needed. In absence of such a mitigation strategy,  
781 excess manure N applied on soil contributes is susceptible to reactive N emissions and leaching  
782 (Ribaudó et al., 2003; Ribaudó et al., 2012).

783 Although this work represents the most process-based representation of soil N ever introduced to  
784 a regional photochemical model, limitations remain. EPIC still lacks complete representation of  
785 farming management practices like excess N applied as part of nutrient management from  
786 livestock, which can increase soil N pools and associated emissions. Developing and evaluating  
787 these models to addresses management decisions is challenging as they are often regionally  
788 specific and based on expert knowledge including regional and global economics and  
789 biogeochemical processes that have yet to be codified into a predictive system. Some aspects of  
790 soil N biogeochemistry remain insufficiently understood, especially as they relate to HONO  
791 emissions. Nevertheless, the mechanistic approach introduced here will make it possible to  
792 incorporate future advancements in understanding C and N cycling processes.

793 For future work, there is a need for more accurate representation of actual farming practices beyond  
794 the generalizations made by the EPIC model. Model development should be continued to better  
795 constrain N sources such as rock weathering, which are still ignored for estimating soil N

796 emissions. Recently, Houlton et al. (2018) postulated that bedrock weathering can contribute an  
797 additional 6-17 % to global inorganic soil N for different natural biomes. There is also a need for  
798 more field observations of soil N emissions to better evaluate the spatial and temporal patterns  
799 simulated by the models.

800

### 801 **Code availability**

802 The modified and new source code, inputs, and sample outputs along with the user manual giving  
803 details on implementing the new mechanistic module in-line with CMAQ Version 5.1, as used in  
804 this work are available on the Oak Ridge National Laboratory Distributed Active Archive Center  
805 for Bio-geochemical Dynamics (Rasool et al., 2018; <https://doi.org/10.3334/ORNLDAAAC/1661>).  
806 Source codes for CMAQ version 5.1 and FEST-C version 1.2 are both open-source, available with  
807 applicable free registration at <http://www.cmascenter.org>. Advanced Research WRF model  
808 (ARW) version 3.7 used in this study is also available as a free open-source resource at  
809 [http://www2.mmm.ucar.edu/wrf/users/download/get\\_source.html](http://www2.mmm.ucar.edu/wrf/users/download/get_source.html).

810

### 811 **Author contribution**

812 Quazi Rasool developed the model code with Jesse Bash. Quazi Rasool performed the simulations  
813 and analysis. Quazi Rasool prepared the manuscript with extensive reviews and edits from Jesse  
814 Bash and Daniel Cohan.

815

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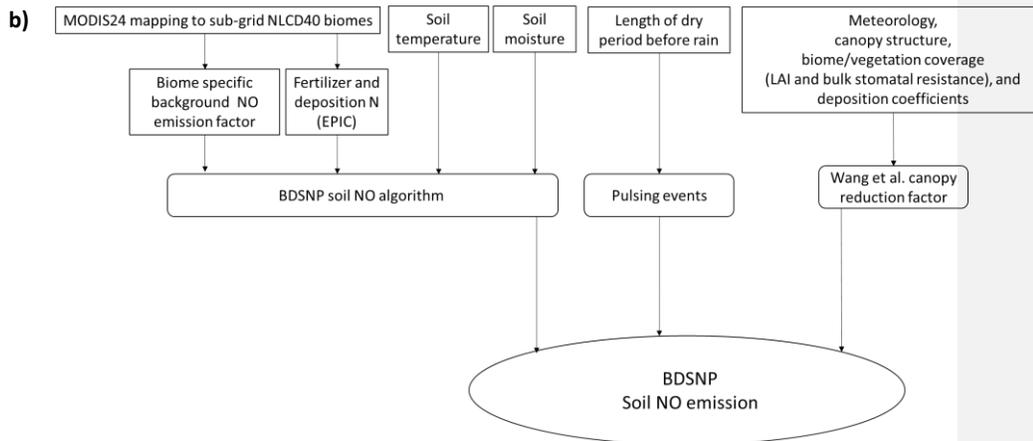
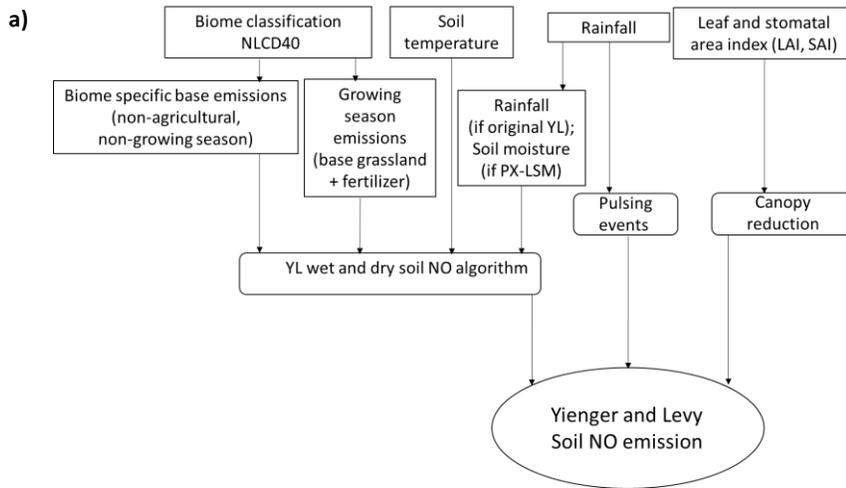
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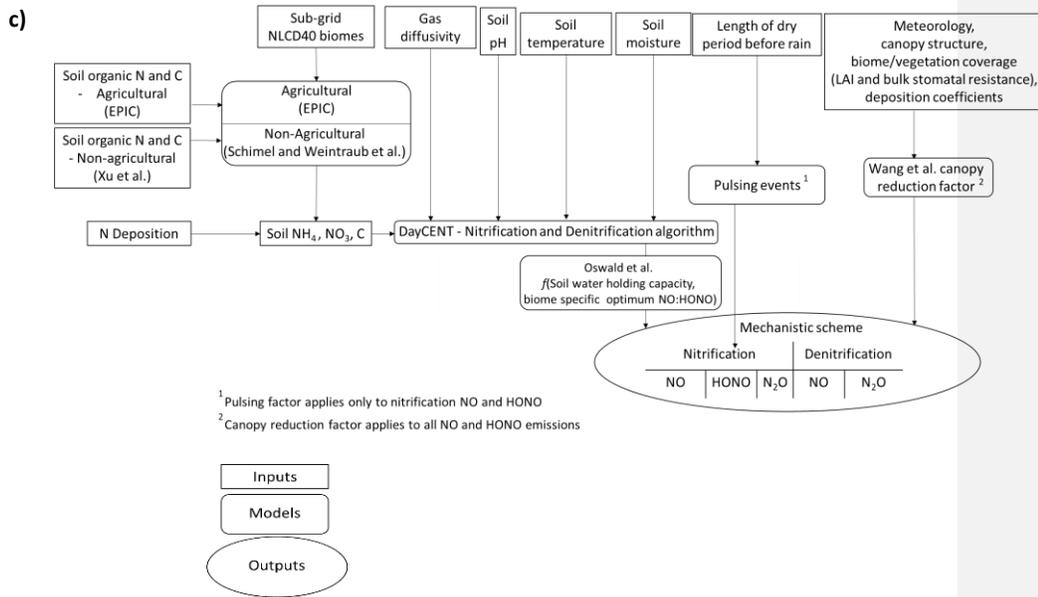
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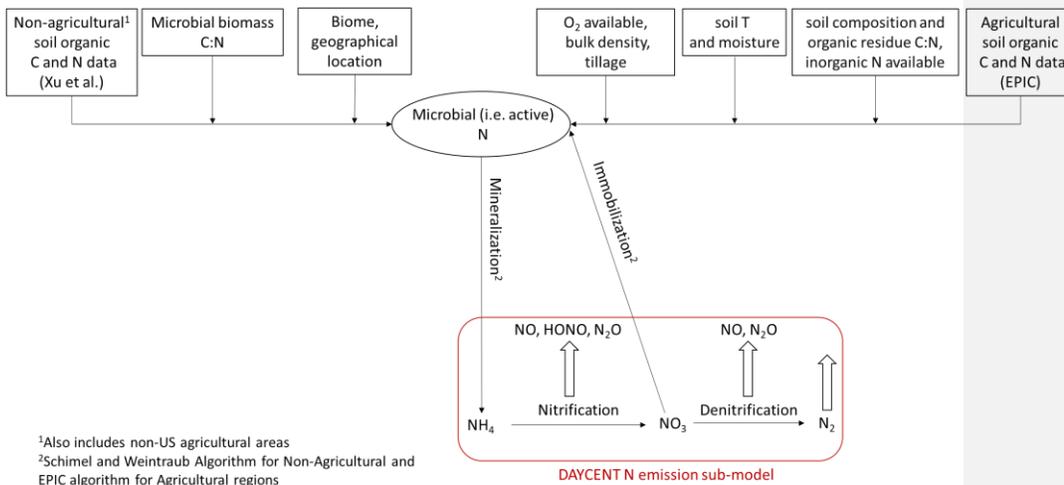
1142 **Figure 1** Flowchart of the a) [Yienger and Levy, 1995 \(YL\)](#), b) [Berkley Dalhousie Soil  \$\text{NO}\_x\$](#)   
 1143 [Parametrization \(BDSNP\)](#), and c) Mechanistic schemes for soil Nitrogen (N) emissions as  
 1144 implemented in CMAQ.

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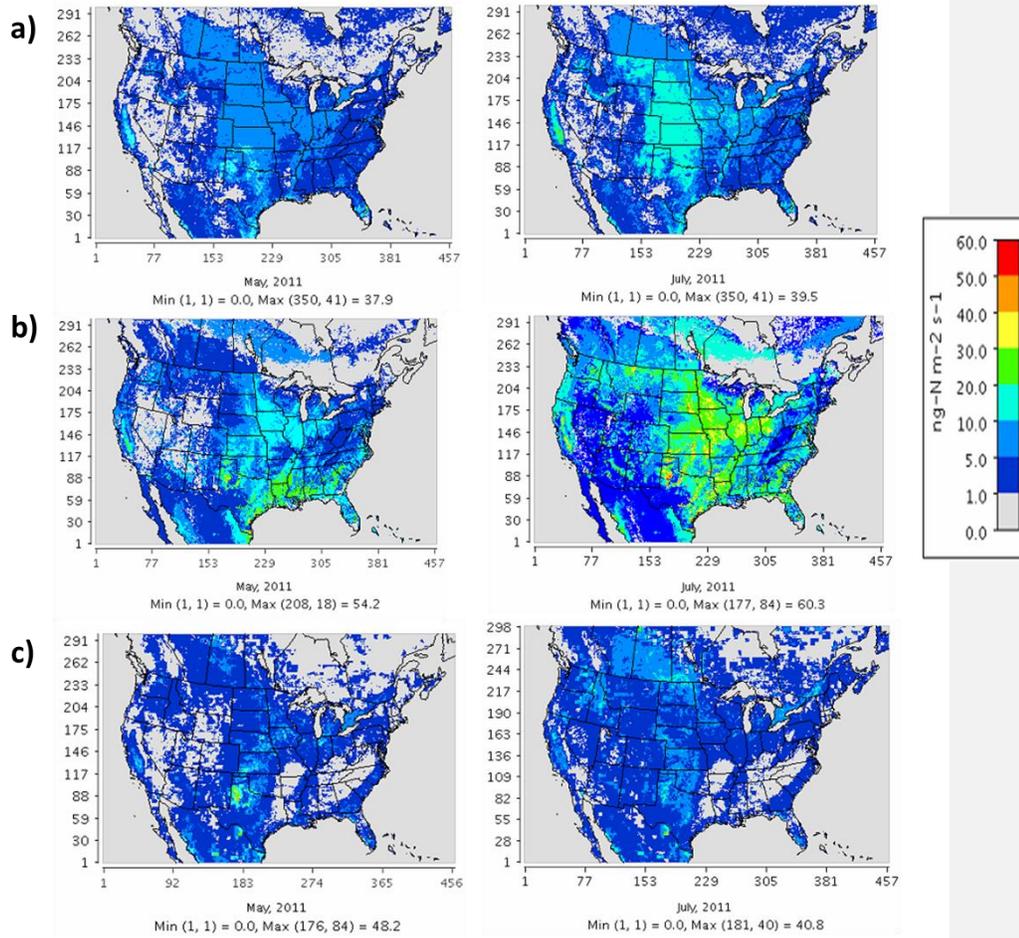
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1150 **Figure 2** Schematic for N transformation to estimate soil pools of ammonium ( $\text{NH}_4$ ) and nitrate  
 1151 ( $\text{NO}_3$ ) and resultant nitrification and denitrification N emissions in the mechanistic model.



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1153 **Figure 3** Soil N oxide emissions on a monthly average basis for May (left) and July (right) 2011

1154 for: a) YL scheme (NO), b) Parameterized BDSNP scheme (NO) and c) Mechanistic scheme (NO

1155 + HONO).

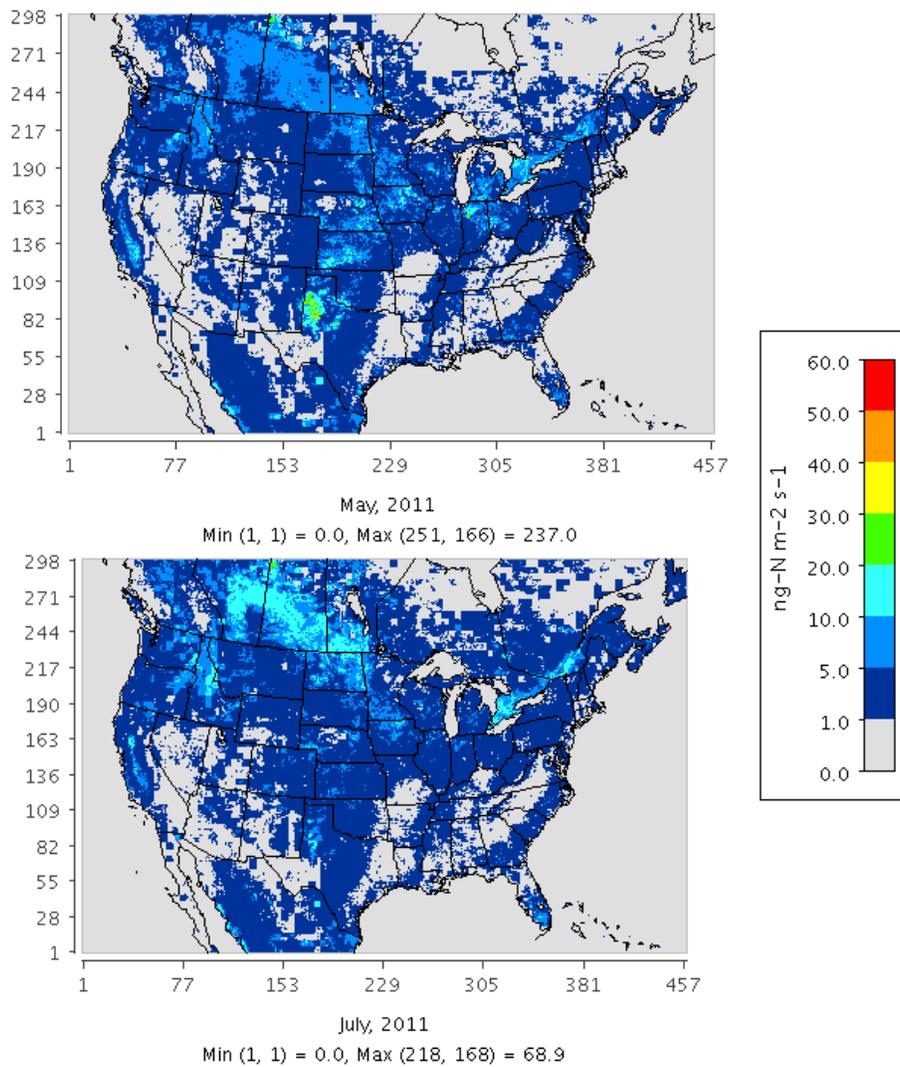
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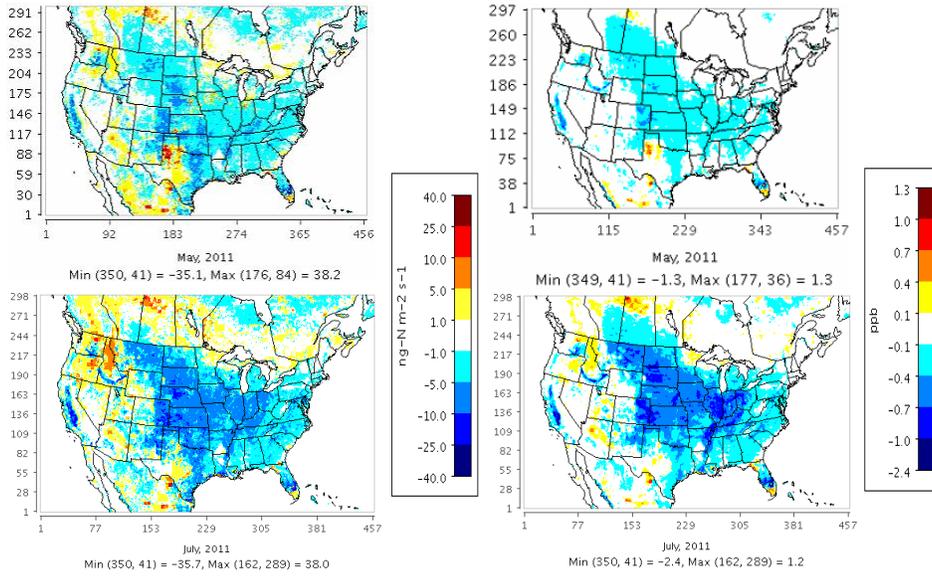
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 1162 **Figure 4** Soil N<sub>2</sub>O emissions on a monthly average basis for May (top) and July (bottom) 2011  
 1163 estimated from mechanistic scheme.

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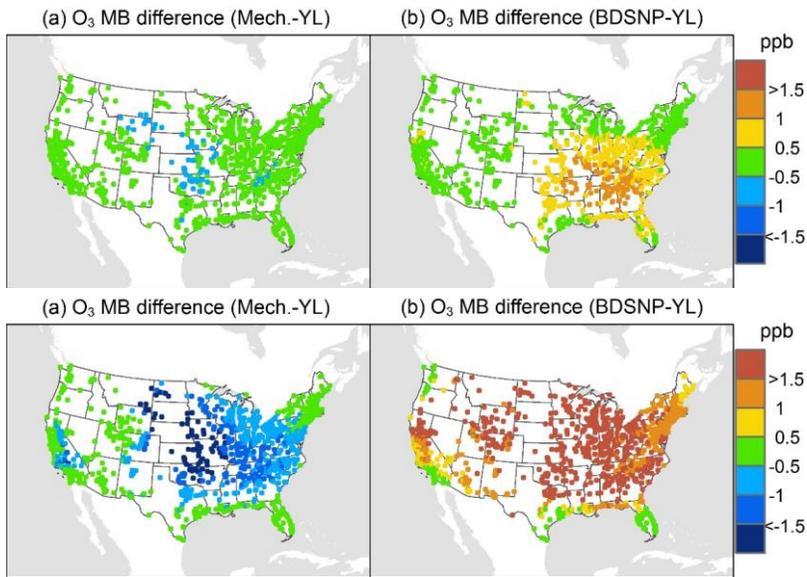
1169 **Figure 5** Total NO<sub>x</sub> (NO + NO<sub>2</sub>) concentration sensitivity (right) to changes in soil NO<sub>x</sub> emissions  
1170 (left) on a monthly average basis for May (top) and July (bottom) 2011, when switching from YL  
1171 scheme (NO) to Mechanistic scheme (NO + HONO).

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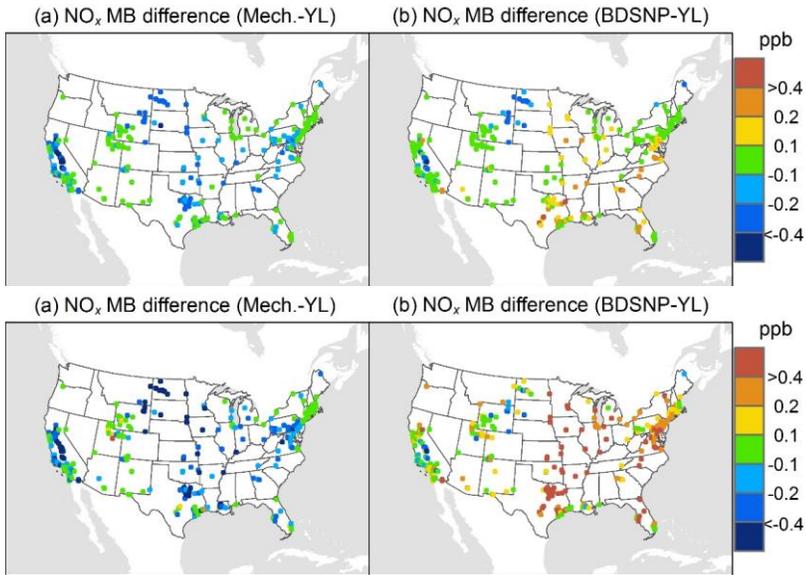
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**Figure 6** Change in average monthly mean bias (MB) of Community Multiscale Air Quality (CMAQ) model evaluated against EPA's Air Quality System (AQS) O<sub>3</sub> observations for May (top) and July (bottom) 2011 when switching to Mechanistic (a) or BDSNP (b) scheme from YL.



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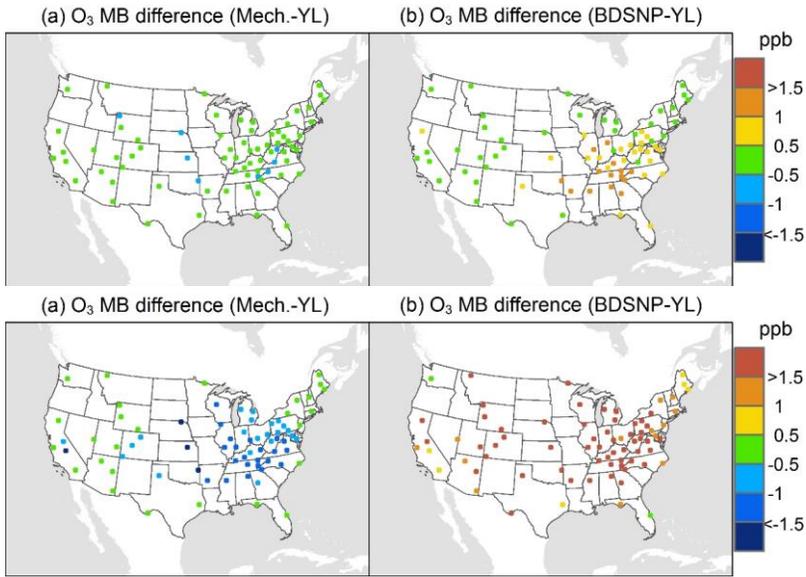
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**Figure 7** Change in average monthly MB of CMAQ evaluated against EPA's AQS NO<sub>x</sub> observations for May (top) and July (bottom) 2011 when switching to Mechanistic (a) or BDSNP (b) scheme from YL.

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1192 **Figure 8** Change in average monthly MB of CMAQ evaluated against [EPA's Clean Air Status and](#)  
1193 [Trends Network \(CASTNET\)](#) O<sub>3</sub> observations for May (top) and July (bottom) 2011 when  
1194 switching to Mechanistic (a) or BDSNP (b) scheme from YL.

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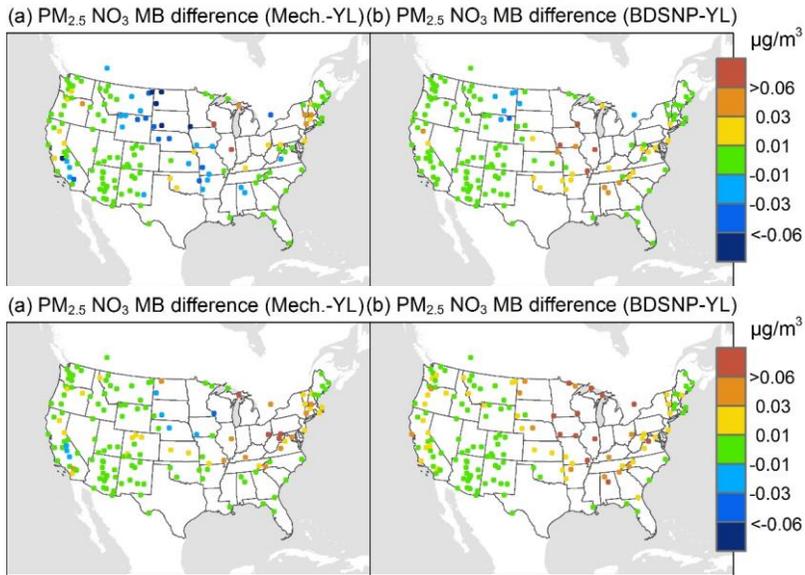
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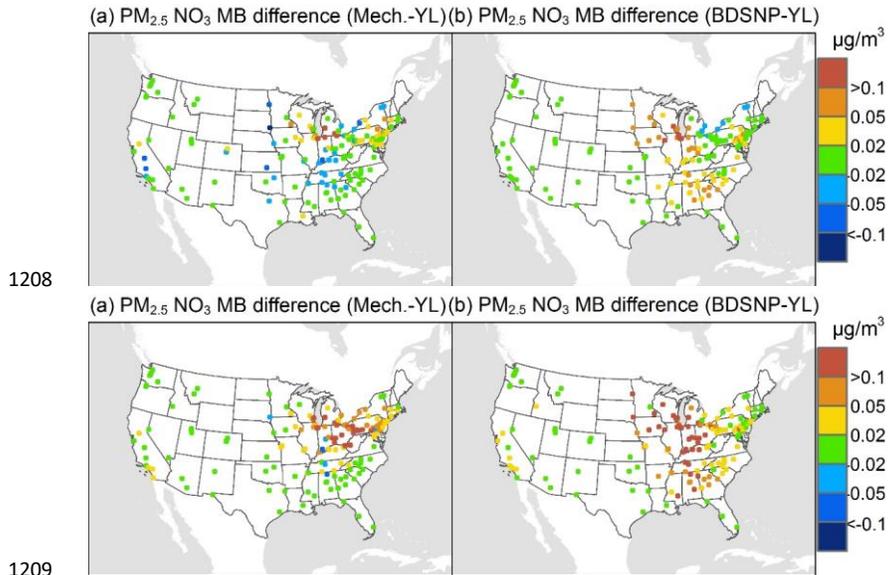
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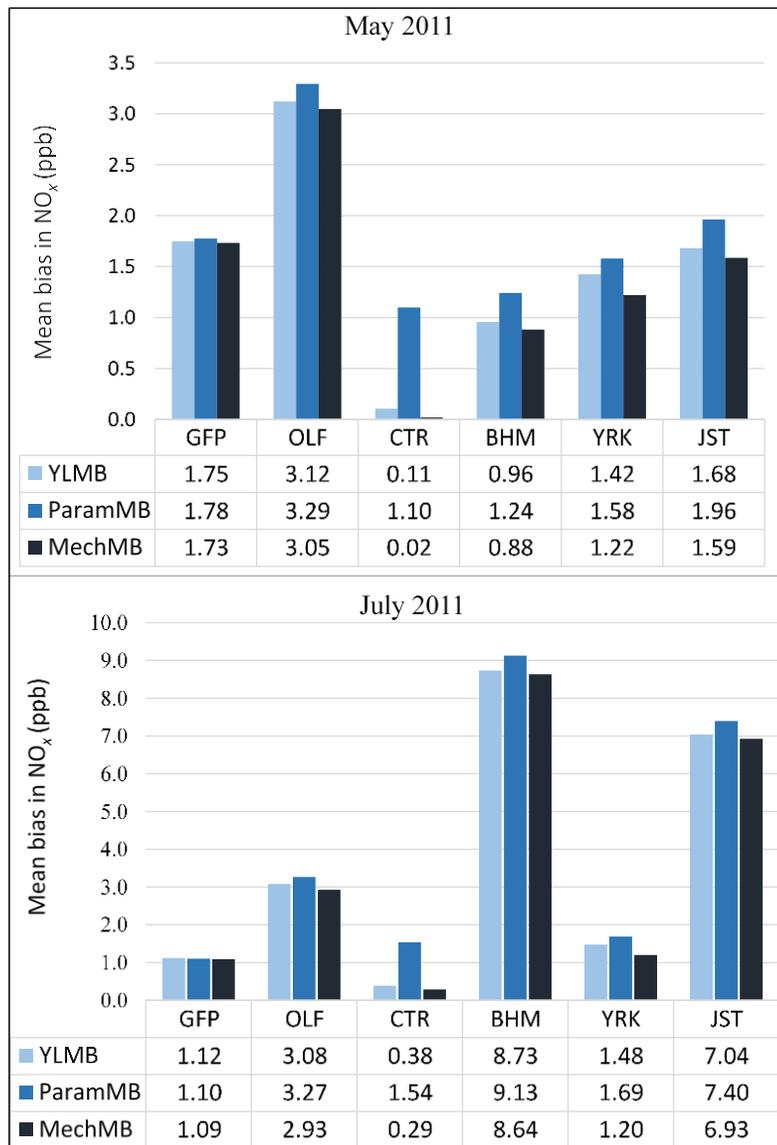
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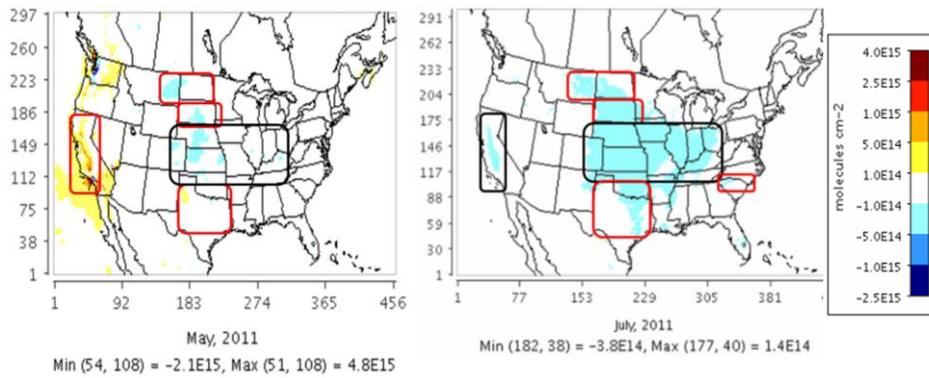
**Figure 9** Change in average monthly MB of CMAQ evaluated against [Interagency Monitoring of Protected Visual Environments \(IMPROVE\)](#)  $PM_{2.5}$   $NO_3$  observations for May (top) and July (bottom) 2011 when switching to Mechanistic (a) or BDSNP (b) scheme from YL.



**Figure 10** Change in average monthly MB of CMAQ evaluated against [Chemical Speciation Network \(CSN\)](#)  $PM_{2.5}$   $NO_3$  observations for May (top) and July (bottom) 2011 when switching to Mechanistic (a) or BDSNP (b) scheme from YL.

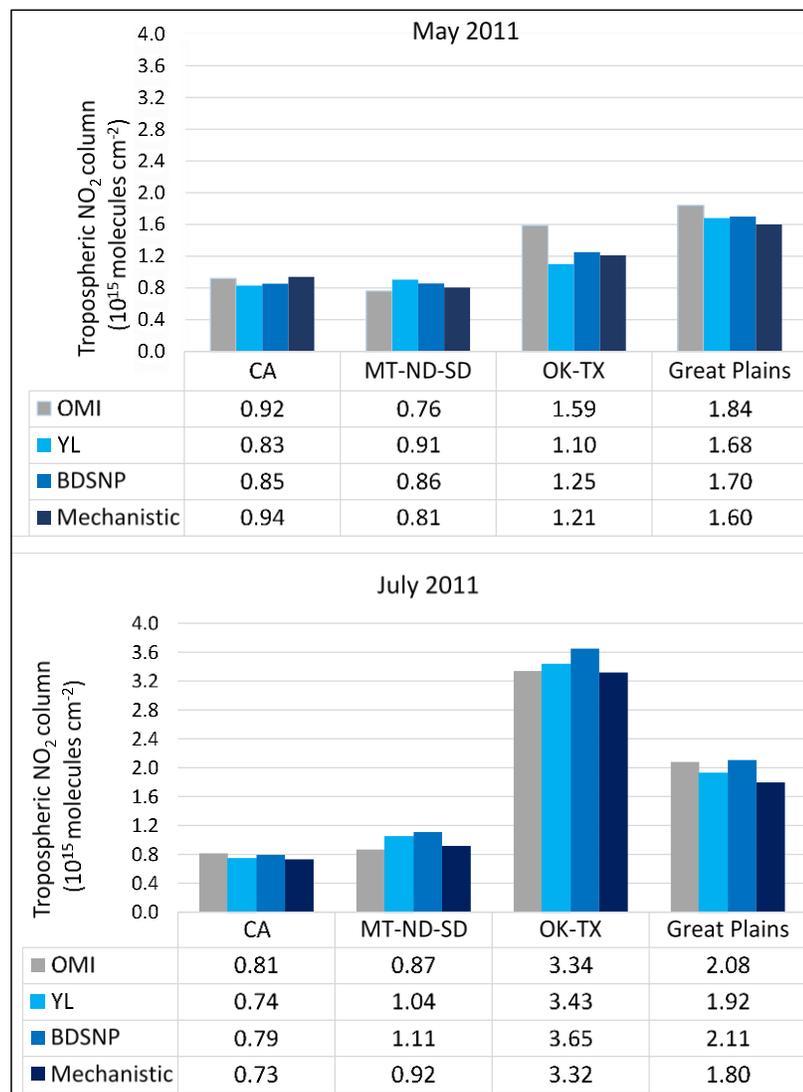


1213  
 1214 **Figure 11** Comparison of average monthly (May and July 2011) MB for CMAQ NO<sub>x</sub> with (a) YL  
 1215 (b) BDSNP parameterized and (c) Mechanistic schemes compared to [South Eastern Aerosol](#)  
 1216 [Research and CHaracterization \(SEARCH\)](#) NO<sub>x</sub> observations in non-agricultural remote regions.



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 1218 **Figure 12** Impact of switching from YL scheme to Mechanistic scheme on CMAQ tropospheric  
 1219 NO<sub>2</sub> column density at [NASA's Ozone Monitoring Instrument \(OMI\)](#) overpass time (13:00-14:00  
 1220 local time) on a monthly average (May and July 2011) basis.

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 1228 **Figure 13** Comparison of average monthly (May and July 2011) OMI NO<sub>2</sub> column densities with  
 1229 CMAQ tropospheric NO<sub>2</sub> column density using YL, BDSNP, and Mechanistic schemes. Regions  
 1230 are depicted in Figure 12.

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1233 **Table 1:** Comparison of approaches of the parametric and mechanistic soil N emissions models.

	<b>YL Parametric Model</b>	<b>BDSNP Parametric Model</b>	<b>Mechanistic Model</b>
<b>Approach</b>	Yienger and Levy equations for NO	Hudman et al. equations for NO	DayCENT sub-model representing nitrification, denitrification, and mineralization for NO, HONO, and N <sub>2</sub> O
<b>Species Emitted/Output</b>	NO	NO	NO, HONO, NH <sub>3</sub> , N <sub>2</sub> O
<b>Biome/Land use classification</b>	CMAQ default NLCD40	Sub-grid biome classification; MODIS 24 mapped from NLCD40	Sub-grid biome classification from NLCD40
<b>Soil N Data Source</b>	Fertilizer N in growing season wet emission factor	EPIC (Fertilizer N + Deposition (wet and dry) N from CMAQ)	EPIC (Fertilizer N + Deposition (wet and dry) N from CMAQ); Xu et al. (2015) for non-agricultural soil
<b>Agricultural biome</b>	Biome specific NO emission factors	NO emissions derived from total EPIC N	EPIC C and N pools used in DayCENT scheme Nitrification NO, HONO and N <sub>2</sub> O; Denitrification NO and N <sub>2</sub> O
<b>Nonagricultural biome</b>	Biome specific NO emission factors	Biome specific NO emission factors	Schimel and Weitraub equations for N and C pools used in DayCENT to derive nitrification and denitrification emissions
<b>Variables Considered</b>	Soil T, rainfall, and biome type	Total soil N, soil T, soil moisture, rainfall, and biome type	Soil water content (irrigated and unirrigated), T, NH <sub>4</sub> <sup>+</sup> , NO <sub>3</sub> <sup>-</sup> , gas diffusivity, and labile C by soil layer
<b>Pulsing</b>	$f(\text{precipitation})$	$f(I_{dry})$ , with exponential decay with change in soil moisture	Same as BDSNP
<b>CRF</b>	$f(LAI, SAI)$	$f(LAI, Meteorology, Biome)$	Same as BDSNP

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1238 **Table 2** Modeling configuration used for the WRF-CMAQ simulations.

<b>WRF/MCIP</b>			
<b>Version:</b>	ARW V3.7	<b>Shortwave radiation:</b>	RRTMG Scheme
<b>Horizontal resolution:</b>	CONUS (12kmX12km)	<b>Surface layer physic:</b>	PX LSM
<b>Vertical resolution:</b>	35layer	<b>PBL scheme:</b>	ACM2
<b>Boundary condition:</b>	NARR 32km	<b>Microphysics:</b>	Morrison double-moment scheme
<b>Initial condition:</b>	NCEP-ADP	<b>Cumulus parameterization:</b>	Kain-Fritsch scheme
<b>Longwave radiation:</b>	Rapid Radiation Transfer Model Global (RRTMG) Scheme	<b>Assimilation:</b>	Analysis nudging above PBL for temperature, moisture and wind speed
<b>BDSNP</b>			
<b>Horizontal resolution:</b>	Same as WRF/MCIP	<b>Emission factor:</b>	Steinkamp and Lawrence (2011)
<b>Soil Biome type:</b>	Sub-grid biome fractions from WRFv3.7	<b>Fertilizer database:</b>	EPIC 2011 based from FEST-C v1.2
<b>CMAQ</b>			
<b>Version:</b>	5.1	<b>Anthropogenic emission:</b>	NEI 2011 v1
<b>Horizontal resolution:</b>	Same as WRF/MCIP	<b>Biogenic emission:</b>	BEIS v3.61 in-line
<b>Initial condition:</b>	Pleim-Xiu (MET) GEOS-Chem (CHEM)	<b>Boundary condition:</b>	Pleim-Xiu (MET) GEOS-Chem (CHEM)
<b>Aerosol module:</b>	AE6	<b>Gas-phase mechanism:</b>	CB-05
<b>Simulation Case Arrangement (in-line with CMAQ)</b>			
1. <b>YL:</b>	WRF/MCIP-CMAQ with standard YL soil NO scheme		
2. <b>BDSNP (EPIC with new Biome ):</b>	WRF/MCIP-BDSNP-CMAQ with EPIC and new sub-grid biome fractions		
3. <b>Mechanistic Scheme:</b>	WRF/MCIP-Mechanistic soil N-CMAQ with EPIC (agricultural US) and Xu et al. (2015) (non-US agricultural and all non-agricultural in CONUS), new sub-grid biome fractions		
<b>Simulation Time Period</b>			
May 1-31 and July 1-31, 2011 (10 day spin-up for each) for CMAQ simulation with <b>in-line YL, updated BDSNP and Mechanistic modules</b>			
<b>Model Performance Evaluation</b>			
USEPA Clean Air Status and Trends Network (CASTNET) and <a href="#">Air Quality System (AQS)</a> data for ozone Interagency Monitoring of Protected Visual Environments (IMPROVE ) and Chemical Speciation Network (CSN) (Malm et al., 1994) for PM <sub>2.5</sub> Nitrate AQS and <a href="#">South Eastern Aerosol Research and CHaracterization (SEARCH)</a> for NO <sub>x</sub> concentrations <a href="#">NASA's Ozone Monitoring Instrument (OMI) NO<sub>2</sub></a> satellite retrieval product as derived in Lamsal et al., 2014 for <a href="#">tropospheric NO<sub>2</sub> column</a>			

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1241 **Table 3** NO emission rates ( $\text{ng-N m}^{-2} \text{s}^{-1}$ ) observed in field studies in agricultural and grassland  
 1242 locations, and modeled by CMAQ with the three soil N schemes for May and July 2011. Observed  
 1243 and modeled values are from peak location/site within a range of values across sites.

Location (Study)	Observed peak summertime soil NO	Mechanistic soil NO <sup>b</sup>		YL soil NO		BDSNP soil NO	
		May 2011	July 2011	May 2011	July 2011	May 2011	July 2011
<b>Iowa fertilized fields</b> (Williams et al., 1992)	18.0	17.1	13.0	8.2	11.4	20.1	41.7
<b>Montana fertilized fields<sup>a</sup></b> (Bertram et al., 2005)	12.0	7.8	14.2	7.1	12.9	9.8	42.3
<b>South Dakota fertilized fields</b> (Williams et al., 1991)	10.0	11.7	10.0	8.0	13.9	18.4	54.6
<b>Texas grasses and fields (both fertilized)</b> (Hutchinson and Brams, 1992)	43.0	52.5	45.0	15.0	15.9	54.1	60.3
<b>Colorado natural grasslands</b> (Parrish et al., 1987; Williams et al., 1991; Martin et al., 1998)	10.0	7.9	11.5	9.7	15.3	18.6	33.2

1244 <sup>a</sup> Derived from SCIAMACHY NO<sub>2</sub> columns

1245 <sup>b</sup> Mechanistic scheme estimates are NO + HONO emission rates

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1249 **Table 4** Statistical performance of CMAQ modeled (with YL, updated BDSNP, and Mechanistic  
 1250 schemes) tropospheric NO<sub>2</sub> column for May 2011 with OMI NO<sub>2</sub> observations for sensitive sub-  
 1251 domains for CONUS.

	Domains	Correlation (r <sup>2</sup> )			NMB (%)			NME (%)			
		YL	BDSNP	Mech.	YL	BDSNP	Mech.	YL	BDSNP	Mech.	
May	<b>California</b>	0.86	0.86	0.85	-18.6	-17.0	-5.1	35.5	35.4	33.6	
	<b>OK-TX</b>	0.19	0.30	0.30	-30.7	-21.7	-23.7	32.2	24.3	25.8	
	<b>MT-ND</b>	0.35	0.34	0.34	+24.9	+13.4	+11.1	38.3	35.0	34.3	
	<b>SD</b>	0.15	0.16	0.16	+13.4	+11.8	+0.8	27.5	28.6	25.2	
	<b>Great Plains</b>	0.68	0.69	0.68	-11.0	-8.7	-14.7	27.8	26.8	29.5	
	<b>NC-SC-GA</b>	0.65	0.65	0.65	-4.7	-1.3	-7.0	28.9	27.7	29.9	
	<b>CONUS</b>	0.71	0.71	0.70	-10.9	-9.3	-10.6	38.2	37.3	38.6	
	July	<b>California</b>	0.78	0.78	0.79	-17.4	-11.5	-19.0	40.8	41.3	41.8
		<b>OK-TX</b>	0.79	0.79	0.79	+3.0	+9.3	-0.6	17.2	18.0	18.1
		<b>MT-ND</b>	0.44	0.40	0.43	28.5	41.6	13.0	31.6	42.9	23.5
<b>SD</b>		0.25	0.16	0.18	15.5	18.8	0.6	20.1	22.8	16.7	
<b>Great Plains</b>		0.69	0.71	0.69	-16.8	-8.6	-22.8	25.4	20.4	30.0	
<b>NC-SC-GA</b>		0.55	0.54	0.55	25.4	31.1	20.9	30.0	33.3	28.8	
<b>CONUS</b>		0.74	0.75	0.72	-12.0	-5.9	-15.0	35.7	34.3	37.4	

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1259 **Appendix**

1260 **Table A1** List of 24 MODIS soil biome based C<sub>mic</sub>, N<sub>mic</sub> and HONO<sub>f</sub> emission factors (%)  
 1261 derived from Xu et al. (2013) and Oswald et al. (2013)

ID	MODIS land cover	Köppen main climate <sup>c</sup>	C <sub>mic</sub> %	N <sub>mic</sub> %	HONO <sub>f</sub> %
1	Water	--	0	0	0
2	Permanent wetland	--	1.20	2.58	0
3	Snow and ice	--	0	0	0
4	Barren	D,E	5.02	5.72	48
5	Unclassified	--	0	0	0
6	Barren	A,B,C	5.02	5.72	48
7	Closed shrub land	--	1.43	2.33	35.5
8	Open shrub land	A,B,C	1.43	2.33	41
9	Open shrub land	D,E	1.43	2.33	41
10	Grassland	D,E	2.09	4.28	22
11	Savannah	D,E	1.66	3.61	41
12	Savannah	A,B,C	1.66	3.61	41
13	Grassland	A,B,C	2.09	4.28	22
14	Woody savannah	--	2.09	4.28	41
15	Mixed forest	--	1.29	2.8	13
16	Evergreen broadleaf forest	C,D,E	0.99	2.62	9
17	Deciduous broadleaf forest	C,D,E	1.16	2.42	11
18	Deciduous needle. forest	--	1.79	3.08	8.5
19	Evergreen needle. forest	--	1.76	4.18	8.5
20	Deciduous broadleaf forest	A,B	1.16	2.42	11
21	Evergreen broadleaf forest	A,B	0.99	2.62	9
22	Cropland	--	1.67	2.53	42.9
23	Urban and build-up lands	--	0	0	0
24	Cropland/nat. veg. mosaic	--	1.46	2.62	43.5

1262 <sup>c</sup> A-equatorial, B-arid, C-warm temperature, D-snow, E-polar

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1265 **Table A2** Mapping table to create the MODIS 24 soil biome map based on NLCD40 MODIS land  
 1266 cover categories for updated BDSNP parameterization

NLCD ID	NLCD40 MODIS CATEGORY (40)	MODIS ID	SOIL BIOME CATEGORY (24)
1	Evergreen Needle leaf Forest	19	Evergreen Needle leaf Forest
2	Evergreen Broadleaf Forest	16 and 21	Evergreen Broadleaf Forest
3	Deciduous Needle leaf Forest	18	Dec. Needle leaf Forest
4	Deciduous Broadleaf Forest	17 and 20	Dec. Broadleaf Forest
5	Mixed Forests	15	Mixed Forest
6	Closed shrublands	7	Closed shrublands
7	Open shrublands	8 and 9	Open shrublands
8	Woody Savannas	14	Woody savannah
9	Savannas	11 and 12	Savannah
10	Grasslands	10 and 13	Grassland
11	Permanent Wetlands	2	Permanent Wetland
12	Croplands	22	Cropland
13	Urban and Built Up	23	Urban and build-up lands
14	Cropland-Natural Vegetation Mosaic	24	Cropland/nat. veg. mosaic
15	Permanent Snow and Ice	3	Snow and ice
16	Barren or Sparsely Vegetated	6	Barren
17	IGBP Water	1	Water
18	Unclassified	4	Barren <sup>d</sup>
19	Fill value	5	Unclassified <sup>d</sup>
20	Open Water	1	Water
21	Perennial Ice-Snow	3	Snow and ice
22	Developed Open Space	23	Urban and build-up lands
23	Developed Low Intensity	23	Urban and build-up lands
24	Developed Medium Intensity	23	Urban and build-up lands
25	Developed High Intensity	23	Urban and build-up lands
26	Barren Land (Rock-Sand-Clay)	24	Cropland/nat. veg. mosaic
27	Unconsolidated Shore	24	Cropland/nat. veg. mosaic
28	Deciduous Forest	16 and 21	Evergreen Broadleaf Forest
29	Evergreen Forest	19	Evergreen Needle leaf Forest
30	Mixed Forest	15	Mixed Forest
31	Dwarf Scrub	8 and 9	Open shrublands
32	Shrub-Scrub	8 and 9	Open shrublands
33	Grassland-Herbaceous	10 and 13	Grassland
34	Sedge-Herbaceous	14	Woody savannah
35	Lichens	10 and 13	Grassland
36	Moss	10 and 13	Grassland
37	Pasture-Hay	24	Cropland/nat. veg. mosaic
38	Cultivated Crops	22	Cropland
39	Woody Wetlands	2	Permanent Wetland
40	Emergent Herbaceous Wetlands	2	Permanent Wetland

1267 <sup>d</sup> NLCD categories 18 and 19 were mapped as MODIS category 1 (Water) in Rasool et al. (2016), which have been  
 1268 corrected here.

1269 **Table A3** Microbial/Organic biomass C and N % and HONO/N<sub>NO<sub>x</sub></sub> % mapped to respective  
 1270 NLCD40 MODIS land-cover categories based on Xu et al. (2013) estimates

NLCD ID	NLCD40 MODIS CATEGORY (40)	Cmic %	Nmic %	HONO <sub>f</sub> %
1	Evergreen Needle leaf Forest	1.76	4.18	8.5
2	Evergreen Broadleaf Forest	0.99	2.62	9
3	Deciduous Needle leaf Forest	1.79	3.08	8.5
4	Deciduous Broadleaf Forest	1.16	2.42	11
5	Mixed Forests	1.29	2.80	13
6	Closed shrublands	1.43	2.33	35.5
7	Open shrublands	1.43	2.33	41
8	Woody Savannas	2.09	4.28	41
9	Savannas	1.66	3.61	41
10	Grasslands	2.09	4.28	22
11	Permanent Wetlands	1.2	2.58	0
12	Croplands	1.67	2.53	42.9
13	Urban and Built Up	0	0	0
14	Cropland-Natural Vegetation Mosaic	1.46	2.62	43.5
15	Permanent Snow and Ice	0	0	0
16	Barren or Sparsely Vegetated	5.02	5.72	48
17	IGBP Water	0	0	0
18	Unclassified	5.02	5.72	48
19	Fill value	0	0	0
20	Open Water	0	0	0
21	Perennial Ice-Snow	0	0	0
22	Developed Open Space	0	0	0
23	Developed Low Intensity	0	0	0
24	Developed Medium Intensity	0	0	0
25	Developed High Intensity	0	0	0
26	Barren Land (Rock-Sand-Clay) <sup>e</sup>	0	0	0
27	Unconsolidated Shore <sup>e</sup>	0	0	0
28	Deciduous Forest	0.99	2.62	9
29	Evergreen Forest	1.76	4.18	8.5
30	Mixed Forest	1.29	2.8	13
31	Dwarf Scrub	1.43	2.33	41
32	Shrub-Scrub	1.43	2.33	41
33	Grassland-Herbaceous	2.09	4.28	22
34	Sedge-Herbaceous	2.09	4.28	41
35	Lichens	2.09	4.28	22
36	Moss	2.09	4.28	22
37	Pasture-Hay <sup>f</sup>	0	0	43.5
38	Cultivated Crops <sup>f</sup>	0	0	42.9
39	Woody Wetlands	1.2	2.58	0
40	Emergent Herbaceous Wetlands	1.2	2.58	0

1271 <sup>e</sup> NLCD classes 26 and 27 constituting of rocks mostly. <sup>f</sup> Cmic and Nmic for US croplands classified under NLCD classes  
 1272 37 and 38 are kept as zero to prevent double counting, as they are accounted for by EPIC N data.