

### **Anonymous Referee #1**

RC1: The manuscript “Realized ecological forecast through interactive Ecological Platform for Assimilating Data into model (EcoPAD)” by Y. Huang et al. presents the development of a web-based software system for quantitative ecological forecasting. The system is based on the availability of observational data, a process-oriented model, an algorithm for assimilating the observations into the model and a web-based workflow. Furthermore the paper describes the application of EcoPAD to the Spruce and Peatland Responses Under Climatic and Environmental change (SPRUCE) experiment in North Minnesota using the Terrestrial ECOSystem (TECO) model and a Markov Chain Monte Carlo assimilation technique in forecasting carbon fluxes and pools.

The manuscript is mostly well written, however, at times (sections 1 and 2) it reads more like a ‘sales pitch’ for EcoPAD with quite a few repetitive elements (e.g. the list of elements included in the workflow appears at multiple places) and at other times (section 3) it reads as a review on the previous applications of EcoPAD. So in essence, my major concern is that there is little new science in the current version of the manuscript except for the technical engineering of the web-based software system, which in itself is not described in great detail. My recommendation is to focus the manuscript on these technical developments and provide a more in-depth description of the technical details of this system, however, I am not sure if this then still fits to GMD because the web-based software system development is very much focussed on information technology developments.

**Response: We appreciate the Reviewer’s valuable suggestions. The manuscript is organized to express the motivation of building EcoPAD, or why we need a platform like EcoPAD in ecological forecasting (Introduction, section 1), the technical support (section 2) and what we can benefit from EcoPAD (or its application and scientific values, sections 2, 3). The technical engineering is an important part of EcoPAD and the manuscript. The functionality of EcoPAD or the role of EcoPAD in advancing ecological forecasting is built upon the technical elements. But the manuscript is not only about technical details. Equally important is what we can benefit from such a platform for ecological forecasting. And the goal of the technical advances is to improve ecological forecasting. We emphasize that iterative interactions between model and data, as well as between modellers and experimenters, are valuable for ecological forecasting.**

**We do not agree with the Reviewer that there is little new science in the manuscript. The near real time ecological forecasting itself is a new scientific advance in ecology. In addition, we integrated different case studies to illustrate how different components contribute to improve ecological forecasting. Case 3 and Case 4 comes from previous studies. Case 3 is about uncertainty and Case 4 is related to biophysical estimation. Cases 1, 2, and 5 are new case studies from this manuscript. Case 1 focuses on the communication between modellers and experimenters. We believe that good ecological forecasting is built upon efforts from both modellers and experimenters. Even though this case is not direct technical advance, the techniques embedded in EcoPAD allow near- and real-time**

interactions between modellers and experimenters. This itself represents an important advance for scientific research that is enabled by modelling. Case 2 is related to acclimation or shift in parameter values. Case 5 compares realised vs. unrealised forecasting. The focus of this study is ecological forecasting. The practice of ecological forecasting is still at its early stage and good forecasting needs to integrate resources from different aspects. Each case study provides valuable information from different perspectives. But none of these cases alone guarantees good ecological forecasting. We keep Case 3 and Case 4 as they reflect important aspects, i.e. uncertainty and boundary conditions, that lead to good ecological forecasting. We have a section discuss the implications of these case studies for better ecological forecasting (section 4.2). And please also refer to our responses to Reviewer 2.

RC1: Another concern relates to the use of the tool by the 'general public' or even experimentalists lacking the background knowledge on data assimilation as promoted by the authors of the manuscript. The concern is that with such a level of automation (essentially only clicking a button on a webpage to get the results of a complex data assimilation experiments) of a very complex system involving experts' concepts from multiple disciplines the user could easily lose the connection to the underlying tools, such as the capability of the ecological model and the data assimilation algorithm. Both components may not be fit for the user's purpose, so a misuse (even and especially unconsciously) of the system can easily happen without the user being able to notice because the user is not an expert of either the ecological model nor the data assimilation algorithm. An erroneous result (which can easily happen if e.g. some observations used in the assimilation are outliers or the assimilation algorithm produces parameter values outside of physical meaningful values etc) of such an automated system could be taken as real and thus be misused. In that sense there should be some caution in promoting this system to non-specialist users.

**Response: We agree with the Reviewer that there are risks of misuses. Tool itself does not necessary equal to misuse. It depends on the people who use it and how it is used. Misuse is not unique to web-based simulation and can also occur to non-web-based model simulation and data assimilation. For example, sometimes people who run complex process-based models, such as these embedded in big Earth system models, may not necessary know how different components of the model work. Or an experienced modeler of carbon cycling may not know much about how hydrology in the model works. In these situations, there are also risks of misuse. This is why we emphasize effective communication between different experts. Experimenters may not know the technical details of how to build a model or how to code the data assimilation algorithm, but it is not to say they do not need to know how the system works. The communication between modelers and experimenters help the experimenters to understand what works in the background, what is the meaning of a parameter or process, what they can, or cannot do with the platform. The platform is carefully designed to avoid potential errors. For example, the experimenter is asked to prescribe the minimal and maximum values of the parameter they are interested in, avoiding the situation of non-meaningful parameter values. When it comes to**

outliers in observations or physical/biological boundaries of a parameter, actually, experimenters are more experienced than modellers in making judgements. And normally modellers consult experimenters on the quality and to which degree we can trust and use observation data. The observational data we used in EcoPAD-SPURCE went through the quality control from experimenters. We promote the hands-on experience for the 'general public' with prescribed examples to connect the 'general public' and ecological research. It is not to say we expect the 'general public' to understand the result displayed from the webpage without any guidance or consultancy with a specialist. We still need the modellers to support these activities and play an important role.

Nevertheless, we do not rule out the possibility of potential errors, it is good to be cautious. EcoPAD archives relevant model parameters, boundary conditions, model structure and observational data for each modelling activity. If there are erroneous results, they can be traced through the archives. It does not provide a mechanism to detect unaware erroneous results, but it helps in the situation when people suspect that there are errors.

Detailed comments:

LI 31-33: This sentence is hard to understand, what are updated data?

**Response: We changed "updated data" into "new data".**

L40: What is your definition of near real-time?

**Response: In the SPRUCE study, EcoPAD is setup to automatically update forecasting every week and is adaptable to different updating frequency depending on the research goal. In this specific case, we refer to "weekly" as near real-time.**

LI 67-73: Maybe put a 'e.g.' in front of the mentioned references because these are only examples and there are many more possible references to cite as examples.

**Response: Good suggestion. We add 'e.g.'**

LI 92-94: Unrepresented processes and unknown parameter values are two different reasons for large uncertainties in simulating ecological systems.

**Response: We agree that unrepresented processes and unknown parameter values can be two different reasons for large uncertainties in ecological modelling. But uncertainty of parameters sometimes also contains information about unrepresented processes. The separation between processes and parameters are context and scale dependent. For example, the decomposition of soil organic matter or litter can be represented through the parameter decomposition rate. The uncertainty of decomposition rate partly reflects unrepresented processes such as microbial dynamics.**

LI 98/99: 'to communicate model with data' seems to be a weird expression.

**Response: We change this expression to "to combine model with data".**

LI 122/123 Model improvements do not necessarily happen after the end of an field experiment, other ways of improving a model rely on literature or new theoretical understanding.

**Response: We agree that there are other ways to improve model. We add " Data-informed" at the beginning of the sentence.**

L 128: Interactive ecological forecasting does not require web-based technology.

**Response: We modify the sentence to "The web-based technology facilitates interactions". There are different levels of "interactive", in this manuscript "The interactive feature of EcoPAD (v1.0) is reflected in the iterative model updating and forecasting through dynamically integrating models with new observations, bidirectional feedbacks between experimenters and modellers, and flexible user-model communication through web-based simulation, data assimilation and forecasting." (Lines 191-194, tracked manuscript)**

LI 148/149: This sentence is hard to understand, please clarify what you mean here.

**Response: We rewrite this part as "The iterative model-data integration provides an approach to constantly improve ecological forecasting and is an important step especially for realizing near real-time ecological forecasting." And we explained that "Instead of projecting into future through assimilating observations only once, the iterative forecasting constantly updates forecasting along with ongoing new data streams or/and improved models."**

L 175: Do you mean 'quantitative' forecasting?

**Response: Yes.**

L 220: Please specify in the manuscript how this is done.

**Response: We add "Each project has a separate folder where data are stored. Data are generally separated into two categories. One is used as boundary conditions for modelling and the other category is related to observations which are used for data assimilation. Scheduled sensor data are appended to existing data files with prescribed frequency." (Lines 254-258, tracked Manuscript )**

LI 226/227: It would be interesting to see more details on how the data assimilation system can be independent on the specific ecological model. Usually, in a data assimilation system the underlying model and the applied data assimilation algorithm are closely connected on a code level.

**Response: We agree that there are connections between different components. We added "Linkages among the workflow, data assimilation system and ecological model are based on messaging. For**

example, the data assimilation system generates parameters that are passed to ecological models. The state variables simulated from ecological models are passed back to the data assimilation system. Models may have different formulations. As long as they take in the same parameters and generate the same state variables, they are functionally identical from the “eye” of the data assimilation system.” (Lines 256-262, tracked manuscript )

LI 241-246: Hard to understand, maybe split in two sentences.

**Response: We rewrite this part as “SOM decomposition modelling follows the general form of the Century model [Parton et al., 1988] as in most earth system models. SOM is divided into pools with different turnover times (the inverse of decomposition rates) which are modified by environmental factors such as the soil temperature and moisture.”**

LI 249-252: Again, hard to understand, maybe split in two sentences.

**Response: We rewrite the sentence as “Data assimilation is growing in importance as the process-based ecological models, despite largely simplifying the real systems, are in great need to be complex enough to address sophisticated ecological issues. These ecological issues are composed of an enormous number of biotic and abiotic factors interacting with each other.”**

LI 257-259: The underlying principle of Bayesian modelling is that the ingredients are specified by probability density functions.

**Response: It is not clear to us what information the Reviewer intended to add here.**

LI 264/265: Complicated formulation, essentially what you want to say is that the posterior uncertainty is smaller than the prior after assimilating observations.

**Response: We agree that what we want to express is that the posterior uncertainty is likely to be smaller than the prior after assimilating observations. We elaborate on this part because some readers of the manuscript might be ecologist/experimentalist with limited background in modelling and Bayesian statistics.**

LI 267-269: Please specify in the manuscript how you choose between DA techniques and what are the criteria for the selection.

**Response: Please refer to our response to L 401. EcoPAD is open to different DA techniques.**

LI 271-273: Again, hard to understand, maybe split in two sentences.

**Response: We delete “which makes Bayesian inference, especially these with multi-dimensional integrals, workable”.**

L 275: What are the various uncertainty sources and why do other methods do not take all these sources into account? Please specify in the manuscript.

**Response: We remove the statement “is advantageous for better ecological forecasting as it” as it is not the objective of this manuscript to compare different data assimilation techniques.**

LI 296/297: What is a good management in the sense here?

**Response: Good management is a subjective term. Nowadays Ecologists are working with large and heterogeneous ecological datasets routinely. Good management can broadly refer to management that improves the efficiency of activities that involve these large and heterogeneous ecological datasets.**

LI 394/395: What are youngster? And why should they study ecological dynamics through their phones and tablets opposed to seniors or others?

**Response: Youngster is a random example, instead of all-inclusive listing. We use youngster to delegate people who are not experts in ecology. We do not think we intend to have implicit meaning that says seniors or others should not do it. We apologize if we made readers feel in such way. To reduce over interpretation, we replaced youngster with “Non-ecologists, such as youngsters”.**

L 401: Doesn't that contradict your earlier statement that you need to choose a DA technique that is fit for purpose (LI 267-269)?

**Response: LI 267-269 states “EcoPAD is open to different data assimilation techniques depending on the ecological questions under study since the scientific workflow of EcoPAD is independent on the specific data assimilation algorithm. For demonstration, the Markov chain Monte Carlo (MCMC) [Xu et al., 2006] is described in this study.” We choose a DA technique for demonstration purposes and we do not state that only the chosen DA technique fits. Instead, we think our system is open to different DA techniques and L401 is not in contradiction with our previous statement.**

LI 428-430: How is the automated forecast done? And who is analysing the results of the automated forecast? I suppose if something goes wrong in the automated processing and forecasting an experimentalist won't be able a) notice that something went wrong and b) would be able to fix the bug/problem in the modelling chain.

**Response: EcoPAD-SPRUCE is built upon the teamwork. There are both modellers and experimenters. We emphasize the interaction between experimenters and modellers, as illustrated through the section 3.4.1. Modellers built the automated forecasting algorithm/code and experimenters also played an important role, such as, in preparing observations and interpretation of the modelling results. Experimenters are not good at finding out software bugs, but they might be more experienced**

**in telling whether the modelling results make sense in reality. Details about how the automated forecast is done can be found in Section 3.3.**

LI 443-446: It seems that there is a misconception between parameters and parameterisations: parameters should be invariant in time otherwise they are not parameters but a result of a parameterisation that depends on independent inputs. Could you please clarify this point in the manuscript.

**Response: We think the statement that whether a parameter should be time-independent is context dependent. People commonly link a parameter to a constant that does not change with time. But parameter does not equal to constant. The wiki takes parameter as “A parameter, generally, is any characteristic that can help in defining or classifying a particular system (meaning an event, project, object, situation, etc.). That is, a parameter is an element of a system that is useful, or critical, when identifying the system, or when evaluating its performance, status, condition, etc.”** (<https://en.wikipedia.org/wiki/Parameter>). And it is not uncommon to find “time-varying parameters” or “time-variant parameters” in literature, e.g., Tucci 1995, Lauzon and Bates, 1991; Zellner et al., 1991; Zeng et al., 1998; Jiang et al., 2015.

L 500: What are the SPRUCE communities doing with the results?

**Response: The results are used mostly for research. From the modelling part, Case 5 (section 3.4.5) is based on this part and ongoing studies are using these archived near-time forecasting to track the time-shift in acclimation and to track model elements that contribute to reducing forecasting uncertainty. The experimenters may adjust their sampling scheme, e.g., the sampling frequency or additional variables to be measured to reduce the forecasting uncertainty.**

L 512: ‘help experimenters think’ is an interesting expression.

**Response: We do not understand what the Reviewer intended to express here.**

LI 712-714 Could you please clarify this statement. I don’t think this is true, complex models can of course assimilate pool-related data, see e.g. Thum et al., 2017.

**Response: The sentence is “In the past, complex models could not assimilate pool-related data to constrain their parameter estimation due to insurmountable computational demand in large scale studies.” The context is “large scale studies”. Thum et al., 2017 is about site level studies, not large-scale studies. For example, Bloom et al., 2016 assimilated large-scale pool-based observations. So we deleted this paragraph.**

LI 729-732: Again, hard to understand, please clarify what you want to say here.

**Response: We replace it with “Parameter values derived under the ambient condition was not applicable to the warming treatment in our methane case due to acclimation”.**

Figure 7: This figure is hard to understand and also the caption doesn't help much to understand the panels. What exactly has been changed between S1-S3? What is realised and unrealised forecasting? And there seems to be no difference in time-scale among the panels.

**Response: The differences between S1-S3 are weather forcings and are indicated by “The upper panels show 3 series of forecasting with updated vs. stochastically generated weather forcing (Lines 1352-1353, tracked manuscript)”. We changed “realised” and “unrealised” to “updated” and “un-updated” respectively to reduce confusion. S1 is “un-updated” forecasting and the forecasting is generated with stochastically generated weather forcings over our whole forecasting period (2015-2024). S2 and S3 are updated forecasting. S2 is updated through replacing the stochastically generated weather forcings by measured real weather forcings from January 2015 to July 2016. And S2 then forecasts the period from August 2016 to 2024 with updated states. S3 is updated with measured forcings from January 2015 to December 2016 and forecast after the end of the real measured forcing. The timing of updating is randomly chosen for demonstration purposes. We added specific time-periods hopefully to make it clear about when measured vs. stochastically generated forcings are used. We also cleared it in the description with “ red corresponds to updated forecasting with two stages, that is, updating with measured weather forcing from January 2015 to July 2016 followed by forecasting with 100 stochastically generated weather forcing from August 2016 to December 2024 (S2)” (Lines 1355-1357, tracked manuscript).**

Typos: LI 126, 140, 154, 160, 187, 324, 456, 566

**Response: We correct typos throughout the manuscript.**

#### **References:**

**Bloom, A. A., J. F. Exbrayat, I. R. van der Velde, L. Feng, and M. Williams (2016), The decadal state of the terrestrial carbon cycle: Global retrievals of terrestrial carbon allocation, pools, and residence times, Proceedings of the National Academy of Sciences of the United States of America, 113(5), 1285-1290, doi:10.1073/pnas.1515160113**

**Jiang, C., L. H. Xiong, D. B. Wang, P. Liu, S. L. Guo, and C. Y. Xu (2015), Separating the impacts of climate change and human activities on runoff using the Budyko-type equations with time-varying parameters, Journal of Hydrology, 522, 326-338, doi:10.1016/j.jhydrol.2014.12.060**

**Lauzon, A. M., and J. H. T. Bates (1991), ESTIMATION OF TIME-VARYING RESPIRATORY MECHANICAL PARAMETERS BY RECURSIVE LEAST-SQUARES, Journal of Applied Physiology, 71(3), 1159-1165.**

Zellner, A., C. Hong, and C. K. Min (1991), FORECASTING TURNING-POINTS IN INTERNATIONAL OUTPUT GROWTH-RATES USING BAYESIAN EXPONENTIALLY WEIGHTED AUTOREGRESSION, TIME-VARYING PARAMETER, AND POOLING TECHNIQUES, *Journal of Econometrics*, 49(1-2), 275-304, doi:10.1016/0304-4076(91)90016-7

Zeng, Z., R. M. Nowierski, M. L. Taper, B. Dennis, and W. P. Kemp (1998), Complex population dynamics in the real world: Modeling the influence of time-varying parameters and time lags, *Ecology*, 79(6), 2193-2209, doi:10.2307/176721

Tucci, Marco P. 1995, Time-varying parameters: a critical introduction. *Structural Change and Economic Dynamics*, Volume 6, Issue 2, June 1995, Pages 237-260.

## **Anonymous Referee #2**

This study, “Realized ecological forecast through interactive Ecological Platform for Assimilating Data into model (EcoPAD),” by Huang et al. introduces a web-based platform for data-model integration framework that “can” be used for ecological forecasting. The manuscript introduces the conceptual components of the framework relatively well, albeit with too much generic details on databases, web-based workflow, metadata, data API, which are not the focus of GMDD. Even though I feel that the platform presented in the manuscript has a huge potential, I find the current state of the platform, and the example cases presented are not mature yet (with only one model, one data assimilation, one site). There is a little scientific advance from the study with results based on previous studies. The results and evidences presented, therefore, do not back the claim of ‘have-it-all’ platform that can be used by the scientists and ‘citizens’ alike. I think the manuscript should focus on specifically “what has been done” with thorough scientific discussion, and not “what can potentially be done.” This would help judge if the platform is truly flexible and interactive.

**Response: We apologize if our manuscript gave the reviewer an impression that EcoPAD is a “have-it-all” platform. As a matter of fact, this manuscript presents the first version of EcoPAD, starting from one model, one data assimilation and its application at one site. Please also refer to our responses to your last two comments below about why we think one model and one detailed long-term site is also important for ecological research. We agree that this version is not the final version of the platform. We add the version number v1.0. In fact, we are currently incorporating the second model into EcoPAD and implementing at two more sites. We hope the functionality of EcoPAD expands as we incorporate more models and more data assimilation techniques into it and it is applied to more sites. Section 4.4 Future developments discusses the future work.**

Meanwhile, we think this platform is a significant advance in ecological forecasting and should be shared timely with the community to be a benefit from future researches. We appreciate that the Reviewer agrees that this platform has a huge potential in advancing ecological forecasting. Good ecological forecasting relies on integrative and cumulative efforts from multiple sectors of the research community. The work presented here is multi-faceted. It includes the realized near real-time ecological forecasting, the interactive model-experiment system, technical components and specific model elements (model structure, parameter and boundary condition) that affect forecasting. And it involves both modellers and experimenters. The realized near real-time ecological forecasting itself is new and a significant scientific breakthrough in ecological research. The interactive model-experiment system facilitated by EcoPAD is a new paradigm to promote the communication between modellers and experimenters. For the section related to specific model elements, there are 4 case studies, 2 from previous results and 2 from this study. We mentioned 2 cases from previous studies to keep the integrity of the manuscript. But it is not reasonable to assume these 2 cases cover the majority of what we have delivered in this manuscript.

**We think it is necessary to have the description of the web-based scientific workflow. For one thing, it is relatively new in ecological literature. And on the other hand, the functionality of EcoPAD needs the support of the scientific workflow.**

**We did not claim that the system can be used by the scientists and the citizen alike. We hope our revised manuscript make it clearer. The functionality of EcoPAD is multifaceted. It serves to help ecological forecasting and the priority task of EcoPAD is to improve researches related to ecological forecasting. Meanwhile, the web-based modelling and visualizations help broadly disseminate results of scientific research and extend the service of ecological research to the citizen. Good ecological forecasting need to integrate merits from multiple research communities and be beneficial to the society. EcoPAD is built upon integrating advances from process-based models, observations, data assimilation, information technology and human resources. It incorporates multiple elements, but it is not a “have-it-all” platform.**

The web-based workflow can be viewed as a specific technological advancement in the field of ecological forecasting, but web-based frameworks have been around for a while in the field of geoscience, e.g., PEcAn (as cited in the manuscript in line 138) and PALS. Therefore, I do not agree that it is already the first flexible framework as the manuscript claims. In fact, such claims are not always necessary, but that might just be my personal opinion.

**Response: We agree that the web-based workflow itself has been applied to geoscience for a while. But a platform, such as EcoPAD, that uses the workflow to automate data transfer and processing from sensor networks to ecological forecasting through data management, model simulation, data assimilation, forecasting and visualization is, to the best of our knowledge, among the first. We claimed that the system became the first system to enable interactive model-experiment (ModEx) integration. Based on our knowledge, ModEx is a term that emerged from a workshop organized by Dr. Yiqi Luo in 2012. Although ModEx has been practised for many projects, near-time interactive ModEx was enabled for the first time by EcoPAD. It relies on timely forecasting and bidirectional feedbacks between modellers and experimenters. It works hand-in-hand between modellers and experimenters within the life-cycle of field experimentation, which is not common. Technically, EcoPAD also has its uniqueness. Nevertheless, we agree with the Reviewer that it is not always necessary to claim who is first and we removed such expressions.**

The quality of the figures should be improved, and the redundant information in the schematics should be eliminated. Also, the sources of the images used in the figures are not shown in the respective figures or captions. In general, the schematics can be more technical to suit the expertise of the reader-base of the GMDD.

**Response: We modify Figures 1,3,5 to reduce redundant information and deleted Figure 4. We add description of image sources to the caption of Figure 1: “Images from the SPRUCE field experiments (<https://mnspruce.ornl.gov/>) are used to represent data collection and the flowchart of TECO model is used to delegate ecological models”. Technical details related to Figure 1 are presented through Figures 2 and 3.**

The manuscript has several Grammatical errors and typos. At times, it feels like even a simple “spelling checker” has not be run through the whole manuscript once. At the same time, some paragraphs are excellently written without a blip.

**Response: We go through the manuscript carefully and correct the Grammatical errors and typos.**

Major comments:

- The manuscript does not clarify what the “ecological forecasting” means. In the first paragraph, there are several examples of “ecological forecasting” from previous studies. In the end, the EcoPAD seems to be simulating the carbon stocks and fluxes, which is just an aspect of ecological forecasting. The title should be revised to be more specific to the scope and capabilities of EcoPAD.

**Response: We started the first paragraph with “One ambitious goal of ecology as a science discipline is to forecast states and services of ecological systems. Forecasting in ecology.....”. Ecological forecasting broadly refers to “Forecasting in ecology”. So ecological forecasting covers multiple aspects that ecology covers. We introduced the scientific workflow of EcoPAD that wraps models, observations and data assimilation techniques. We emphasized that the scientific workflow is independent on the specific models. We took the SPRUCE project as an example to illustrate the scientific functionality of EcoPAD. Biogeochemistry is the main focus of the SPRUCE project and the model we wrapped in EcoPAD scientific workflow is a biogeochemical model that simulates carbon stocks and fluxes. No matter which aspect of ecology the model focus on, the functionality and workflow are similar as what we have illustrated through the biogeochemical example. We think the title is reasonable.**

- There is no specific section on the benchmarking of the performance of the EcoPAD simulations. This is a critical step to have a reliable platform that can be used for forecasting. Therefore, evaluation of model performance should be presented in detail in this manuscript.

**Response: Simulations have been evaluated in individual studies. For example, the paper by Huang et al. evaluated biophysical modelling of soil thermal dynamics, snow cover and frozen depths with observations. Jiang et al. (2018) evaluated biogeochemical modelling of carbon pools and fluxes with observations. And Ma et al. (2017) evaluated methane modelling against observation. In the future, we will evaluate accuracy of forecast results and attribute mismatches between forecasts and observations to several sources, such as forcing, model structure, parameterization, and initial values.**

- The examples presented here are for SPRUCE sites. It is not clear whether EcoPAD can be used easily in other sites, even though manuscript ends with statements on how the framework can easily be implemented for different FLUXNET sites and at continental scales. If such implementations are simple as the manuscript claims, they should be the main focus of the manuscript because the results presented here have been, at least partly, used in previous studies.

**Response: We remove the expression of 'easy' throughout the manuscript as it is contextual dependent and the perception differs among people with different backgrounds. Please refer to our initial response related to the main focus and the novelty of this manuscript and to your last comment about expanding this study spatially.**

- Once again, the results presented here just seem like a summary and discussion of previously published manuscripts from the main author and/or co-authors of the manuscript. In fact, I found the results presented in the Appendix A2 to be far more interesting than the results presented in the main text. There should be discussion on why most of the parameters are not well-constrained (Figure A5, right panel), or why Q10 parameters for CH<sub>4</sub> is not as well constrained as those for r and why they differ for different temperature treatments (Figure 6). I understand that there may be counter argument on this issue being out of the scope of the current paper, but, it is necessary to discuss how these potentially unconstrained parameters affect the forecast skills of EcoPAD. After all, general public, who do not understand the technical and scientific details, may easily be misinformed with the uncertain forecast of EcoPAD.

**Response: We greatly appreciate reviewer's interest in issues on constraining parameters. It is surely related to the forecast skills of EcoPAD v1.0 as rightfully pointed out by the reviewer. We even more appreciate the reviewer being considerate that the detailed discussion about constraining parameters is "out of the scope of the current paper". It is difficult to balance different elements of the manuscript. For example, Reviewer 1 suggested to focus on the scientific workflow while this Reviewer suggested that the information about the scientific workflow was too much. It is a good idea to dig deep into how not well constrained parameters affect forecasting. The impact of not well constrained parameters is reflected in forecasting uncertainty, which is also an important topic we emphasized in this manuscript. Unconstrained parameters may result in high forecasting uncertainty and therefore low reliability of forecasting result. We added "Not well constrained parameters, for example, caused by lack of information from observational data, contribute to high forecasting uncertainty and low reliability of forecasting results (Lines 797-798, tracked manuscript)." to the section on implications for better forecasting, and also suggested that "....or to what extent unconstrained parameters affect forecasting uncertainty are all valuable questions (Lines 843-844, tracked manuscript)." in the part on forecasting uncertainty.**

- It is not clear from the manuscript what models or assimilation methods are currently available. There are several instances of “ensembles” and “structural uncertainty” but as far as I could decipher, EcoPAD only has one model and one data assimilation method until now. This is also relevant to explaining how EcoPAD can be used to quantify uncertainty from different sources. Such quantification of uncertainty would require factorial experiments with multiple model structures, process/mechanism formulations, cost functions, optimization/assimilation schemes with multiple observational constraints, and so on. It is not clear if EcoPAD already has such functionalities or if it is yet another potential use. If so, an explanation of how “ecologists” can add such functionalities would be useful. For example, is the interested developer responsible for creating a separate docker that satisfy all the system requirements for his/her own system? I could not test “adding functionality” because it requires registration to the system.

**Response: We apologize for the ambiguity. Yes. What presented in this manuscript are based on one model and one data assimilation method. We clarified this point with “Case studies presented in earlier sections are based primarily on one model (Lines 901-902, tracked manuscript)” in the revised manuscript. We also added one paragraph in the future developments section to discuss the concerns raised by the Reviewer.**

**“With these improvements, one goal of EcoPAD is to enable the research community to understand and reduce forecasting uncertainties from different sources and forecast various aspects of future biogeochemical and ecological changes as data become available. The example of Jiang et al. [2018] partitioned forecasting uncertainty from forcings and parameters. An exhaustive understanding of forecasting uncertainty in ecology need to also consider model structures, data assimilation schemes as well as different ecological state variables. Researchers interested in creating their own multiple model and/or multiple assimilation scheme version of EcoPAD can start from the GitHub repository (<https://github.com/ou-ecolab> ) where the source code of the EcoPAD workflow is archived. To add a new variable that is not forecasted in the EcoPAD-SPRUCE example, it requires modellers and experimenters to work together to understand their process-based models, their observations and how messaging works in the workflow of EcoPAD following the example of EcoPAD-SPRUCE. To add a new model or a new data assimilation scheme for variables that are forecasted in EcoPAD-SPRUCE, researchers need to create additional dockers and mount them to the existing workflow with the knowledge of how information are passed within the workflow.”**

Specific comments:

Line 53-55: The manuscript does not have any results or discussion on this, and thus this sentence should be removed from the abstract and the whole manuscript.

**Response: We have examples (e.g., the youngster example and the TreeWatch.Net) and a short discussion (the last paragraph of section 4.4) related to this part. Nevertheless, this part is not the**

main part of this manuscript and we remove it from the abstract. That being said, we think it is important to make scientific research approachable to the general public.

Line 61: one science - a science?

**Response: We change “one” to “a”**

Line 62: Isn't forecasting always for future?

**Response: We remove “future”**

Line 87-88: what are the “relevant mechanisms” that the previous systems are lacking and how does EcoPAD, and TECO therein, address these shortcomings?

**Response: The context of “relevant mechanisms” is comparing the non-parametric approach vs. process-based approach in long-term ecological prediction. For example, we can derive the relationship between net primary production (NPP) and light availability based on, say, 10 years' measurement. But to predict NPP of the next 100 years, this empirical NPP-light relationship has limited capacity. The NPP-light relationship may fail to capture the impact of CO<sub>2</sub> fertilization or water stress etc. under new conditions. In this case, physiological processes related to NPP coded in process-based models (e.g., the Farquhar photosynthetic scheme) are “relevant mechanisms”.**

Line 110: one-directionary – unidirectional

**Response: We change one-directionary to unidirectional**

Line 114-128: I think the CARDAMOM model-data fusion system (Bloom et al., 2016) deserves a mention in this paragraph (<http://www.pnas.org/content/113/5/1285>) and in further discussions.

**Response: Thanks for suggesting this valuable reference. CARDAMOM is a specific study that applies the data assimilation method. We add it into sections when we mention Bayesian data assimilation and emergent ecological relationships. DART and CCDAS cited here are more about the software environment that makes it easier to conduct data assimilation. And we think it may not be appropriate to cite CARDAMOM here and we also remove the reference to GEMS.**

Line 132, 141, 146, 147, 252: spelling errors. I am not mentioning all the places here. Please check the whole manuscript carefully.

**Response: We correct typos throughout the manuscript.**

Line 151-153: It's not clear what this sentence means.

**Response: We rewrite this part as “Forecasting is likely to be improved unidirectionally in which either only models are updated through observations, or only data collections/field experimentations are**

improved according to theoretical/model information, but not both. Ecological forecasting can also be bidirectionally improved so that both models and field experimentations are optimized hand in hand over time.”

Line 176: qualitative means better quality or is it quantitative?

**Response: We change “qualitative” to “quantitative”.**

Line 210: Should clarify what ‘big data’ means in this context. Diverse data?

**Response: We rewrite this part as “The ‘big data’ ecology generates a large volume of very different datasets across various scales.” So the ‘big data’ refers to both diverse data and the large volume of data.**

Line 215: cite FLUXNET

**Response: We add the reference: Baldocchi, D., E. Falge, L. H. Gu, R. Olson, D. Hollinger, S. Running, P. Anthoni, C. Bernhofer, K. Davis, R. Evans, J. Fuentes, A. Goldstein, G. Katul, B. Law, X. H. Lee, Y. Malhi, T. Meyers, W. Munger, W. Oechel, K. T. P. U, K. Pilegaard, H. P. Schmid, R. Valentini, S. Verma, T. Vesala, K. Wilson, and S. Wofsy (2001), FLUXNET: A new tool to study the temporal and spatial variability of ecosystem-scale carbon dioxide, water vapor, and energy flux densities, Bulletin of the American Meteorological Society, 82(11), 2415-2434, doi:10.1175/1520-0477(2001)082<2415:fanfts>2.3.co;2**

Line 305: MongDB – MongoDB

**Response: We correct.**

Line 338: May be better to define what IT stands for, just for the sake of completeness.

**Response: We add “information technology” before “IT”.**

Line 345: Does docker have a website or citation?

**Response: We add the docker webpage: <https://www.docker.com/>**

Line 348-350: Isn't it redundant (unnecessary duplication) to include input data in the docker?

**Response: It is necessary to have the input data in the docker. Each docker is an independent and complete unit that is capable of fulfilling a certain task requested by a user, for example, run a model simulation. This design makes the system easily portable and is not limited by the operation or filesystems, programming language or specific model requirement.**

Line 381: I think the web-based platform is for job submission and not web-based simulation.

**Response:** The web-based platform is supported by the scientific flow, observational data, ecological models and data assimilation techniques. It receives requests from the user/command, triggers the task (model simulation, data assimilation or forecasting), carries out the task and displays the results. It is not just for job submissions.

Line 404: clarify what 'scientific values' means.

**Response:** By "scientific values", we refer to the biological, physical or chemical meaning associated with each parameter. We modify "scientific values" to "different biological, physical or chemical meanings".

Line 415-422: Bayesian statistics has been used in previous ecosystems studies. Please find and cite these previous studies.

**Response:** We add the references:

**Bloom, A. A., J. F. Exbrayat, I. R. van der Velde, L. Feng, and M. Williams (2016), The decadal state of the terrestrial carbon cycle: Global retrievals of terrestrial carbon allocation, pools, and residence times, Proceedings of the National Academy of Sciences of the United States of America, 113(5), 1285-1290, doi:10.1073/pnas.1515160113**

**Ellison, A. M. (2004), Bayesian inference in ecology, Ecology Letters, 7(6), 509-520, doi:10.1111/j.1461-0248.2004.00603.x**

**Jiang, J., Y. Huang, S. Ma, M. Stacy, Z. Shi, D. M. Ricciuto, P. J. Hanson, and Y. Luo (2018), Forecasting responses of a northern peatland carbon cycle to elevated CO<sub>2</sub> and a gradient of experimental warming, Journal of Geophysical Research: Biogeosciences, doi:10.1002/2017jg004040**

Line 433-438: I wonder if experimental/observational setup can be carried out in such short notice.

**Response:** It depends. As methane is a routinely measured item of the project. If the person is already familiar with methane measurements, one week is enough for preparing. How it operates in practice depends on management. The example here is to show that experimenters can benefit from model information.

Line 473: Is +0 the same as control experiment?

**Response:** This experiment has CO<sub>2</sub> fertilization and warming treatments. There are ambient and +0 °C plots. The difference between ambient and +0 °C treatment plots is the open-topped and controlled-environment enclosure. Ambient plot has no enclosure. We added this explanation to the section related to SPRUCE project. We discard the expression of "control experiment" as it may refer to both.

Line 479: Is there any difference between data-model integration and data-model communication? If so, this should be clearly stated at the beginning. Both have been used frequently in the manuscript.

**Response: We do not differ between data-model integration and data-model communication.**

Section 3.3: Is this process done systematically or through personal communication? If systematically, how are the updates (both of models and experiments) carried out theoretically and technically?

**Response: The near real time forecasting is done automatically. However, before setting up the automatic forecasting system, there are extensive non-automatic detailed communication, for example, about the unit of data from sensor vs. model. Experimenters can check forecasting results from the webpage. He or she may adjust the experimental plan, for example, change the date of measurements or make measurements of a new variable. However, the system cannot automatically incorporate measurements of a new variable without additional work of a modeller. The near real time forecasting is automated. But the loop of prediction-question-discussion-adjustment-prediction and benefits from the simultaneous updates of both models and experiments, as we showed in section 3.4.1, need interactive and non-automated communications among modellers and experimenters.**

Line 548-552: In figure 6, it seems like the parameter 'r' is not well constrained for higher treatments of temperature. Discuss the reasons for this.

**Response: Thank you for your comment. From Figure 6, the parameter 'r' was constrained across all treatment temperatures. We calculated the Variance-Mean-ratio (VMR, a value larger than 1 indicates the distribution is constrained) to determine the dispersion of a probability distribution. VMR values for +4.5 °C to +9 °C are 2.1, 2.1, 2.1, 1.7, 1.2, which are all significantly larger than 1.0 based on the t-test. The Reviewer might refer to why the spread or variation of the posterior distribution of the +9 °C treatment is larger than treatments with lower temperatures. The posterior distribution combines information from both the model and data. Neither the model nor the observations are perfect. We have fewer observation data points in higher temperature treatments. And variations from observations are larger in higher temperature treatments. In addition, the model may not be adequate to capture ecosystem responses to extreme temperature changes (i.e., higher temperature changes, e.g., +9 °C and +6.75 °C).**

Line 580: EcoPAD only includes one model, but the sentence says that it relies on ensembles of ecosystem models. This statement is misleading.

**Response: We add "will" to this sentence. And we check throughout the manuscript to correct locations where there could be confusions about what has been done and what will be done. We have a section "future developments" to clarify that multiple models are the future development plan.**

Line 586-611: Summary of Jiang et al., 2018 and Huang et al., 2017. So, the results presented in current study are specific for the models and tools used in those previous studies? If there is any additional scientific advancement in EcoPAD, this should be highlighted here.

**Response: This manuscript focuses on ecological forecasting. EcoPAD is the platform or the tool to help the study of ecological forecasting. We emphasize that integrative efforts are important for better ecological forecasting. The integration relies on advancements from observation, process-based models, data assimilation or parameterization techniques, cyberinfrastructures, human power from both modellers and experimenters etc. We listed 5 cases to illustrate different components that are critical for ecological forecasting and can benefit from the EcoPAD platform. For integrity, we explained studies from Jiang et al., 2018 and Huang et al., 2017. Please also refer to our response to Reviewer 1.**

Line 620-630: To what extent does the parameter ranges depend on the uncertainty in the forcing? Is there a particular reason why the parameter values are randomly sampled from the posterior? Doesn't it make sense to use the posterior distributions to get the parameter ranges within certain confidence intervals?

**Response: Parameter uncertainties (or parameter ranges) are obtained through assimilation observations from 2011 to 2014. In this period, the forcing is the real observed forcing. We do not have complete quantification of measurement uncertainties for each forcing and we did not account for measurement uncertainties of forcing variables. Parameter uncertainties generated in this study come from observational uncertainties of carbon variables.**

**The posterior integrates information from both the prior and observation. It is the best knowledge we can know about parameters. From the posterior distribution, we can get the parameter ranges within certain confidence intervals. However, whether information of parameter ranges alone can be used to derive forecasting uncertainty (or range) depends on complex interactions among parameters, model structures and boundary conditions etc. In non-linear models or there are non-linear interactions among parameters or when the posterior distribution is non-normal, it is not easy to directly propagate parameter range to forecasting uncertainties.**

Line 631-642: It is not clear if GPP has an effect on carbon stocks in the TECO model.

**Response: There is a link between GPP and soil carbon stocks in the TECO model. GPP affects litterfall and therefore the input into soil carbon stocks. As Figure 7 shows, when the difference between GPP is different scenarios (S1, S2, S3) is close to zero, the differences in soil carbon stocks keep growing despite under the same randomly generated forcing. That means, the alternation of soil carbon stocks, no matter it is caused by changed GPP or environmental conditions, affects soil carbon prediction in a longer time scale compared to GPP.**

Line 668: It is not clear how these 'scientific' information is directly useful for general public.

**Response: We remove the "general public".**

Line 680-681: I am not convinced that all 7 characteristics of EcoPAD have been backed by evidences presented in this manuscript. At least, this has not been clearly presented in the manuscript.

**Response: We did not elaborate on these 7 characteristics that are embedded in the system design, especially the workflow. From the previous comment, the Reviewer think it is not necessary to elaborate on the workflow. These characteristics are spread over the scientific workflow section. We do not plan to further elaborate on each characteristic and we do not have to repeat it here. So we removed this sentence.**

Line 688-705: The discussion here should be divided into the users (those who run the model) and developers (those who add processes and methods to EcoPAD). Since the developers need to carry out a lot of set-up using the GitHub repository, the web-based platform seems more suited to the users. This limits the options of the users to only the ones already available in EcoPAD, which is, as of now, only one model and one data-assimilation system for one site. As such, the potential applications of the model are not applicable to the web-based system. This should be clearly mentioned in the abstract, main text, and the conclusions.

**Response: EcoPAD is designed to satisfy the demand of people with different backgrounds. Users of EcoPAD range from people who want to expand and add more components to EcoPAD (developer from the Reviewer's viewpoint) to people who can only use the existing EcoPAD-SPRUCE example. The set-up of GitHub repository is not as easy as using the existing EcoPAD-SPRUCE example, but this is not to say developers do not benefit from such platform. Section 2.3 summarizes how users (including developers) can benefit from the EcoPAD framework.**

Line 722: 'model structure' - In this use, does it mean different formulations of one process as in Jiang et al., 2018?

**Response: Difference in model structure refers to any difference other than parameter values in formulations. It might be formulations of one process or multiple processes.**

Line 744-745: What about the interactions between fluxes and pools?

**Response: It is not clear what this question refers to.**

Line 787-788: Assuming this statement is based on Table 1. But, it is not clear if the table is just a hypothetical example or based on the actual experience.

**Response: The SPRUCE project involves more than 100 scientists with different backgrounds. The discussion started from a teleconference after the delivery of model results, unfortunately, we did not**

record the teleconference. However, the discussion continued through emails. If necessary, we can show the email communications.

Line 790-791: I just wonder if it is too risky for experimenters to invest resources on carrying out experiments recommended by modellers who used one-single model?

**Response: Ideally, results or recommendations would be more reliable with multiple models. As a first step, one-single model provides valuable information. We emphasize on the uncertainty of forecasting. Potential results from alternative model structures are likely to be covered, to some extent, by forecasting uncertainty resulted from parameter uncertainties. We also emphasize on the iterative model updates to rely on information from observations. We agree with the Reviewer that one-single model is not the best choice, and it is valuable to incorporate more models in future studies.**

Line 804-817: I think these tasks of including several sites or using EcoPAD at continental studies should be a part of this manuscript. As I have mentioned previously, the results presented here have been published in previous studies. Using it in different ecosystems will validate the scientific soundness of EcoPAD and it will provide sufficient evidence of its potential wide-scale applications.

**Response: We agree with the Reviewer that it is meaningful to expand the application of EcoPAD spatially. We argue that it is equally important to focus on one detailed long-term manipulative ecological study to comprehensively introduce EcoPAD. We chose the SPRUCE experiment as a case to apply EcoPAD partly because the valuable scientific information it provides, and also because the rare opportunities to comprehensively illustrate the functionality of EcoPAD. For example, one of the opportunities is the intensive interactions between modellers and experimenters facilitated by EcoPAD. Both modelling and field experimentation are involved through the life-cycle of the project, which creates the opportunity to illustrate the bidirectional feedback between model forecasting and field experimentation. We are applying EcoPAD to different sites (e.g., precipitation manipulation sites, ecotrons) with different versions of models. However, as a start, we think it is worthwhile to elaborate the technical support and functionality of EcoPAD through EcoPAD-SPURCE.**

#### References:

- Huang YY, Jiang J, Ma S, Ricciuto D, Hanson PJ, Luo YQ (2017) Soil thermal dynamics, snow cover, and frozen depth under five temperature treatments in an ombrotrophic bog: Constrained forecast with data assimilation. *Journal of Geophysical Research-Biogeosciences*, 122, 2046-2063.
- Jiang J, Huang Y, Ma S *et al.* (2018) Forecasting responses of a northern peatland carbon cycle to elevated CO<sub>2</sub> and a gradient of experimental warming. *Journal of Geophysical Research: Biogeosciences*.

**Ma S, Jiang J, Huang YY *et al.* (2017) Data-Constrained Projections of Methane Fluxes in a Northern Minnesota Peatland in Response to Elevated CO<sub>2</sub> and Warming. *Journal of Geophysical Research-Biogeosciences*, 122, 2841-2861.**

**~~Realized~~Realised ecological forecast through interactive Ecological Platform for  
Assimilating Data into model (EcoPAD v1.0)**

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**Abstract.** Predicting future changes in ecosystem services is not only highly desirable but also becomes feasible as several forces (e.g., available big data, developed data assimilation (DA) techniques, and advanced cyberinfrastructure) are converging to transform ecological research to quantitative forecasting. To realize ecological forecasting, we have developed an Ecological Platform for Assimilating Data (EcoPAD) into models. EcoPAD ([v1.0](#)) is a web-based software system that automates data transfer and ~~processes~~processing from sensor networks to ecological forecasting through data management, model simulation, data assimilation, forecasting and visualization. It facilitates interactive data-model integration from which model is recursively improved through updated data while data is systematically refined under the guidance of model. EcoPAD ([v1.0](#)) relies on data from observations, process-oriented models, DA techniques, and the web-based workflow.

We applied EcoPAD ([v1.0](#)) to the Spruce and Peatland Responses Under Climatic and Environmental change (SPRUCE) experiment at North Minnesota. The EcoPAD-SPRUCE realizes fully automated data transfer, feeds meteorological data to drive model simulations, assimilates both manually measured and automated sensor data into Terrestrial ECOSystem (TECO) model, and recursively forecast responses of various biophysical and biogeochemical processes to five temperature and two CO<sub>2</sub> treatments in near real-time (weekly). ~~The near real-time forecasting~~Forecasting with EcoPAD-SPRUCE has revealed that ~~uncertainties or~~ mismatches in forecasting carbon pool dynamics are more related to model (e.g., model structure, parameter, and initial value) than forcing variables, opposite to forecasting flux variables. EcoPAD-SPRUCE quantified acclimations of methane production in response to warming treatments through shifted posterior distributions of the CH<sub>4</sub>:CO<sub>2</sub> ratio and temperature sensitivity (Q<sub>10</sub>) of methane production towards lower values. Different case studies indicated

that realistic forecasting of carbon dynamics relies on appropriate model structure, correct parameterization and accurate external forcing. Moreover, EcoPAD-SPRUCE stimulated active feedbacks between experimenters and ~~modelers so as~~ modellers to identify model components to be improved and additional measurements to be made. It becomes the ~~first~~ interactive model-experiment (ModEx) system and opens a novel avenue for interactive dialogue between ~~modelers and experimenters~~ modellers and experimenters. Altogether, EcoPAD (v1.0) acts to integrate multiple sources of information and knowledge to best inform ecological forecasting.

~~EcoPAD also has the potential to become an interactive tool for resource management, to stimulate citizen science in ecology, and transform environmental education with its easily accessible web interface. —~~

**Key words:**

Data assimilation, SPRUCE, carbon, global change, real time, acclimation, forecast

## 1. Introduction

One ambitious goal of ecology as ~~one~~ science discipline is to forecast ~~future~~-states and services of ecological systems. Forecasting ~~futures~~ in ecology is not only desirable for scientific advances in this discipline but also has practical values to guide resource management and decision-making toward a sustainable planet earth. The practical need for ecological forecasting is particularly urgent in this rapidly changing world, which is experiencing unprecedented natural resource depletion, increasing food demand, serious biodiversity crisis, accelerated climate changes, and widespread pollutions in the air, waters, and soils [Clark *et al.*, 2001; Mouquet *et al.*, 2015]. As a result, a growing number of studies have been reported in the last several decades on forecasting of e.g. phenology [Diez *et al.*, 2012], carbon dynamics [Gao *et al.*, 2011; Luo *et al.*, 2016; Thomas *et al.*, 2017], species dynamics [Clark *et al.*, 2003; Kearney *et al.*, 2010], pollinator performance [Corbet *et al.*, 1995], epidemics [Ong *et al.*, 2010], fishery [Hare *et al.*, 2010], algal bloom [Stumpf *et al.*, 2009], crop yield [Bastiaanssen and Ali, 2003], biodiversity [Botkin *et al.*, 2007], plant extinction risk [Fordham *et al.*, 2012], and ecosystem service [Craft *et al.*, 2009]. Despite its broad applications, ecological forecasting is still sporadically practiced and lags far behind demand due to the lack of infrastructure that enables timely integration of models with data. This paper introduces the fully interactive infrastructure, the Ecological Platform for Assimilating Data (EcoPAD) into models, to inform near-time ecological forecasting with iterative data-model integration.

Ecological forecasting relies on both models and data. However, currently the ecology research community has not yet adequately integrated observations with models to inform best forecast. Forecasts generated from scenario approaches are qualitative and scenarios are often not based on ecological knowledge [Coreau *et al.*, 2009; Coreau *et al.*, 2010]. Data-driven

forecasts using statistical methods are generally limited for extrapolation and sometimes contaminated by confounding factors [Schindler and Hilborn, 2015]. Recent emergent mechanism-free non-parametric approach, which depends on the statistical pattern extracted from data, is reported to be promising for short-term forecast [Sugihara et al., 2012; Perretti et al., 2013; Ward et al., 2014], but has limited capability in long-term prediction due to the lack of relevant ecological mechanisms. Process-based models provide the capacity in long-term prediction and the flexibility in capturing short-term dynamics on the basis of mechanistic understanding [Coreau et al., 2009; Purves et al., 2013]. Wide applications and tests of process-based models are limited by their often complicated numerical structure and sometimes unrealistic parameterization [Moorcroft, 2006]. The complex and uncertain nature of ecology precludes practice of incorporating as many processes as possible into mechanistic models. Our current incomplete knowledge about ecological systems or unrepresented processes under novel conditions is partly reflected in model parameters which are associated with large ~~uncertainty-uncertainties~~. Good forecasting therefore requires effective communication between process-based models and data to estimate realistic model parameters and capture context-dependent ecological phenomena.

Data-model fusion, or data-model integration, is an important step to ~~communicate model~~ combine models with data. But previous data-model integration ~~activities~~ activities have mostly been done in an *ad hoc* manner instead of being interactive. For example, data from a network of eddy covariance flux tower sites across United States and Canada was compared with gross primary productivity (GPP) ~~estimates~~ estimated from different models [Schaefer et al., 2012]. Luo and Reynolds [1999] used a model to examine ecosystem responses to gradual as in the real world vs. step increases in CO<sub>2</sub> concentration as in elevated CO<sub>2</sub> experiments. Parton et

al. [2007] parameterized CO<sub>2</sub> impacts in an ecosystem model with data from a CO<sub>2</sub> experiment in Colorado. Such model-experiment interactions encounter a few issues: 1) Models are not always calibrated for individual sites and, therefore, not accurate; 2) It is not very effective because it is usually one-time practice without many iterative processes between experimenters and ~~modelers~~modellers [Dietze et al., 2013; Lebauer et al., 2013]; 3) It is usually ~~one-~~directionaryunidirectional as data is normally used to train models while the guidance of model for efficient data collection is limited; and 4) It is not streamlined and could not be disseminated with common practices among the research community [Dietze et al., 2013; Lebauer et al., 2013; Walker et al., 2014].

A few research groups have developed data assimilation systems to ~~facilitate~~facilitate data-model integration in a systematic way. For example, data-model integration systems, such as the Data Assimilation Research Testbed - DART [Anderson et al., 2009], the ~~General Ensemble Biogeochemical Modeling System - GEMS~~ [Tan et al., 2005] [2009] and the Carbon Cycle Data Assimilation Systems - CCDAS [Scholze et al., 2007; Peylin et al., 2016], combine various data streams (e.g., FLUXNET data, satellite data and inventory data) with process-based models through data assimilation algorithms such as the Kalman filter [Anderson et al., 2009] and variational methods [Peylin et al., 2016]. These data ~~assimilation~~assimilation systems automate model parameterization and provided an avenue to systematically improve models through combining as much data as possible. ~~Model-Data-informed model~~ improvements normally happen after the ending of ~~an~~ field experiment and the interactive data-model ~~intergration~~integration is limited as feedbacks from models to ongoing ~~experiment~~experimental studies are not adequately ~~realized~~realised. In ~~addition~~addition, wide applications of these data assimilation systems in ecological forecasting are constrained by limited user interactions with

its steep learning curve to understand these systems, especially for ~~experimentersexperimenters~~ who have limited training in ~~modelingmodelling~~.

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~~Realizing interactive ecological forecasting requires~~The web-based technology ~~facilitates~~ ~~interactions. Web-based modelling, which provides user-friendly interfaces to facilitate~~run ~~models in the background, is usually supported by the~~ scientific workflow, the sequence of processes through which a piece of work passes from initiation to completion. ~~Web-based~~

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~~modeling, which provides user friendly interfaces to run models in the background, is uaully supported by scientific workflow.~~For example, TreeWatch.Net has recently been developed to make use of -high precision individual tree monitoring data to parameterize process-based tree models in real-time and to assess instant tree hydraulics and carbon status with online result visualization [Steppe *et al.*, 2016]. Although the web portal of TreeWatch.Net is currently

limited to ~~the purpose of~~ visualization ~~purposes~~, it largely broadens the application of data-model integration and strengthens the interaction ~~of modeling results with~~~~between modelling researches~~ ~~and~~ the general public. The Predictive Ecosystem Analyzer (PEcAn) is a scientific workflow that wraps around different ecosystem models and manages the flows of information coming in and out of the model [Lebauer *et al.*, 2013]. PEcAn enables web-based model ~~simulations~~simulations.

Such a workflow has advantages, for ~~exampleexample~~, making ecological ~~modelingmodelling~~ and analysis convenient, transparent, reproducible and adaptable to new questions [Lebauer *et al.*, 2013], and encouraging user-model interactions. PEcAn uses the Bayesian meta-analysis to synthesize plant trait data to estimate model parameters and associated ~~uncertanties~~uncertainties.

~~i.e., the prior information for process-based models.~~ Parameter uncertainties are ~~propogated~~propagated to model ~~uncertanties~~uncertainties and displayed as outputs. It is still not fully interactive in the way that states are not updated ~~iteractively~~iteratively according to

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observations and the web-based data assimilation and then ~~ecological~~ ecological forecasting have not yet been fully ~~realized~~ realised.

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The iterative model-data ~~intergration~~ integration provides an approach to constantly improve ecological forecasting and is an important step ~~to realize real~~ especially for realizing near real-time ecological forecasting. Instead of projecting into future ~~only one time~~ through ~~assimulating available~~ assimilating observations, ~~interactive only once, the iterative~~ forecasting constantly updates forecasting ~~as soon as along with ongoing~~ new data ~~stream arrives~~ streams or/and ~~model is modified~~ improved models. Forecasting is likely to be improved unidirectionally in which ~~either only~~ models are ~~constantly~~ updated through observations, or ~~only~~ data collections/field experimentations are ~~regularly~~ improved according to theoretical/model information, ~~but not both~~. Ecological forecasting can also be bidirectionally improved so that both models and field ~~experimetations~~ experimentations are optimized hand in hand over time. Although the ~~bidirectional~~ bidirectional case is rare in ecological forecasting, the unidirectional iterative forecasting has been reported. One excellent example of forecasting through dynamically and repeatedly integrating data with models is from infectious disease studies [*Ong et al.*, 2010; *Niu et al.*, 2014]. Dynamics of infectious diseases are ~~traditionally~~ traditionally captured by Susceptible-Infected-Removed (SIR) models. In the forecasting of the Singapore H1N1-2009 infections, SIR model parameters and the number of individuals in each state were updated daily, combining data renewed from local clinical reports. The evolving of the epidemic related parameters and states were captured through iteratively assimilating observations to inform forecasting. As a result, the model correctly forecasted the timing of the peak and declining of the infection ahead of time. Iterative forecasting dynamically integrates data with model and makes best use of both data and theoretical understandings of ecological processes.

The aim of this paper is to present a fully interactive platform, a web-based Ecological Platform for Assimilating Data into models (EcoPAD, v1.0), to best inform ecological forecasting. The interactive feature of EcoPAD (v1.0) is reflected in the iterative model updating and forecasting through dynamically integrating models with new observations, bidirectional feedbacks between experimenters and ~~modelers~~modellers, and flexible user-model communication through web-based simulation, data assimilation and forecasting. Such an interactive platform provides the infrastructure to effectively integrate available resources, from both models and data, ~~modelers~~modellers and experimenters, scientists and the general public, to improve scientific understanding of ecological processes, to boost ecological forecasting practice and transform ecology towards ~~qualitative~~quantitative forecasting.

In the following sections, we first describe the system design, major components and functionality of EcoPAD-(v1.0). We then use the Spruce and Peatland Responses Under Climatic and Environmental change (SPRUCE) experiment [*Hanson et al., 2017*] as a testbed to elaborate new opportunities brought by the platform. We finally discuss implications of EcoPAD (v1.0) for better ecological forecasting.

## **2 EcoPAD: system design, components, and functionality**

### **2.1 General description: web-based data assimilation and forecast**

EcoPAD (v1.0, [https://ecolab.nau.edu/ecopad\\_portal/](https://ecolab.nau.edu/ecopad_portal/)) focuses on linking ecological experiments/data with models and allows easily accessible and reproducible data-model integration with interactive web-based simulation, data assimilation and forecast capabilities. Specially, EcoPAD (v1.0) enables the automated near time ecological forecasting which works hand-in-hand between ~~modelers~~modellers and experimenters and updates periodically in a

manner similar to the weather forecasting. The system is designed to streamline web request-response, data management, ~~modeling~~modelling, prediction and visualization to boost the overall throughput of observational data, promote data-model communication, inform ecological forecasting and improve scientific understanding of ecological processes.

To realize such data-informed ecological forecasting, the essential components of EcoPAD (v1.0) include experiments/data, process-based models, data assimilation techniques and the scientific workflow (Figures 1-3). The scientific workflow of EcoPAD (v1.0) that wraps around ecological models and data assimilation algorithms acts to move datasets in and out of structured and ~~eataloged~~catalogued data collections (metadata catalog) while leaving the logic of the ecological models and data assimilation algorithms untouched (Figures 1, 3). Once a user makes a request through the web browser or command line utilities, the scientific workflow takes charge of triggering and executing corresponding tasks, be it pulling data from a remote server, running a particular ecological model, automating forecasting or making the result easily understandable to users (Figures 1, 3). With the workflow, the system is agnostic to operation system, environment and programming language and is built to horizontally scale to meet the demands of the model and the end user community.

## 2.2 Components

### 2.2.1 Data

Data is an important component of EcoPAD (v1.0) and EcoPAD (v1.0) offers systematic data management to digest diverse data streams. The ‘big data’ ecology generates ~~plethora~~a large volume of very different datasets across various scales [Hampton *et al.*, 2013; Mouquet *et al.*, 2015]. These datasets might have high temporal resolutions, such as those from real time

ecological sensors, or the display of spatial information from remote sensing sources and data stored in the geographic information system (GIS). These datasets may also include, but are not limited to, inventory data, laboratory measurements, FLUXNET databases or from long-term ecological networks [[Baldocchi et al., 2001](#); [Johnson et al., 2010](#); [Robertson et al., 2012](#)]. Such data contain information related to environmental forcing (e.g., precipitation, temperature and radiative forcing), site characteristics (~~including~~ e.g., soil texture, and species composition) and biogeochemical information. Datasets in EcoPAD ([v1.0](#)) are derived from other research projects in comma separated value files or other loosely structured data formats. These datasets are first described and stored with appropriate metadata via either manual operation or scheduled automation from sensors. Each project has a separate folder where data are stored. Data are generally separated into two categories. One is used as boundary conditions for modelling and the other category is related to observations that are used for data assimilation. Scheduled sensor data are appended to existing data files with prescribed frequency. Attention is then spent on how the particular dataset varies over space (x, y) and time (t). When the spatiotemporal variability is understood, it is then placed in metadata records that allow for query through its scientific workflow.

### **2.2.2 Ecological models**

Process-based ecological model is another essential component of EcoPAD (Figure 1). In this paper, the Terrestrial ECOsystem (TECO) model is applied as a general ecological model for demonstration ~~purpose~~ purposes since the workflow and data assimilation system of EcoPAD ([v1.0](#)) are relatively independent on the specific ecological model. Linkages among the workflow, data assimilation system and ecological model are based on messaging. For example, the data assimilation system generates parameters that are passed to ecological models. The state

variables simulated from ecological models are passed back to the data assimilation system.  
Models may have different formulations. As long as they take in the same parameters and generate the same state variables, they are functionally identical from the “eye” of the data assimilation system.

TECO simulates ecosystem carbon, nitrogen, water and energy dynamics [Weng and Luo, 2008; Shi et al., 2016]. The original TECO model has 4 major submodules (canopy, soil water, vegetation dynamics and soil carbon/nitrogen) and is further extended to incorporate methane biogeochemistry and snow dynamics [Huang et al., 2017; Ma et al., 2017]. As in the global land surface model CABLE [Wang and Leuning, 1998; Wang et al., 2010], canopy photosynthesis that couples surface energy, water and carbon fluxes is based on a two-big-leaf model [Wang and Leuning, 1998]. Leaf photosynthesis and stomatal conductance are based on the common scheme from Farquhar et al. [1980] and Ball et al. [1987] respectively. Transpiration and associated latent heat losses are controlled by stomatal conductance, soil water content and the rooting profile. Evaporation losses of water are balanced between the soil water supply and the atmospheric demand which is based on the difference between saturation vapor pressure at the temperature of the soil and the actual atmospheric vapor pressure. Soil moisture in different soil layers is regulated by water influxes (e.g., precipitation and percolation) and effluxes (e.g., transpiration and runoff). Vegetation dynamic tracks processes such as growth, allocation and phenology. Soil carbon/nitrogen module tracks carbon and nitrogen through processes such as litterfall, soil organic matter (SOM) decomposition and mineralization. SOM decomposition ~~modeling~~modelling follows the general form of the Century model [Parton et al., 1988] as in most ~~earth~~Earth system models ~~in which~~, SOM is divided into pools with different turnover

times (the inverse of decomposition rates) which are modified by environmental factors such as the soil temperature and moisture.

### 2.2.3 Data assimilation

Data assimilation is a cutting-edge statistical approach that integrates data with model in a ~~systematic~~systematic way (Figure 2). Data assimilation is growing in importance as the process-based ecological models, despite largely simplifying the real systems, are in great need to be complex enough to address sophisticated ecological issues ~~that~~. These ecological issues are composed of an enormous number of biotic and abiotic factors interacting with each other. Data assimilation techniques provide a framework to combine models with data to estimate model parameters [Shi *et al.*, 2016], test alternative ecological hypotheses through different model structures [Liang *et al.*, 2015], assess information content of datasets [Weng and Luo, 2011], quantify uncertainties [Weng *et al.*, 2011; Keenan *et al.*, 2012; Zhou *et al.*, 2012], derive emergent ecological relationships [Bloom *et al.*, 2016], identify model errors and improve ecological predictions [Luo *et al.*, 2011b]. Under the Bayesian paradigm, data assimilation techniques treat the model structure, initial and parameter values as priors that represent our current understanding of the system. As new information from observations or data becomes available, model parameters and state variables can be updated accordingly. The posterior distributions of estimated parameters or state variables are imprinted with information from both the model and the observation/data as the chosen parameters act to reduce mismatches between observations and model simulations. Future predictions benefit from such constrained posterior distributions through forward ~~modeling~~modelling (Figure A1). As a result, the probability density function of predicted future states through data assimilation normally has a narrower

spread than that without data assimilation when everything else is equal [Luo *et al.*, 2011b; Weng and Luo, 2011; Niu *et al.*, 2014].

EcoPAD (v1.0) is open to different data assimilation techniques depending on the ecological questions under study since the scientific workflow of EcoPAD ~~is (v1.0) is relatively~~ independent on the specific data assimilation algorithm. For demonstration, the Markov chain Monte Carlo (MCMC) [Xu *et al.*, 2006] is described in this study.

MCMC is a class of sampling algorithms to draw samples from a probability distribution obtained through constructed Markov Chain to approximate the equilibrium distribution, ~~which makes Bayesian inference, especially these with multi-dimensional integrals, workable.~~ The Bayesian based MCMC method ~~is advantageous for better ecological forecasting as it~~ takes into account various uncertainty sources which are crucial in interpreting and delivering forecasting results [Clark *et al.*, 2001]. In the application of MCMC, the posterior distribution of parameters for given observations is proportional to the prior distribution of parameters and the likelihood function which is linked to the fit/match (or cost function) between model simulations and observations. EcoPAD (v1.0) currently adopts a batch mode, that is, the cost function is treated as a single function to be minimized and different observations are standardized by their corresponding standard deviations [Xu *et al.*, 2006]. For simplicity, we assume uniform distributions in priors, and Gaussian or multivariate Gaussian distributions in observational errors, which can be ~~easily~~ operationally expanded to other specific distribution forms depending on the available information. Detailed description is available in Xu *et al.* [2006].

#### 2.2.4 Scientific workflow

EcoPAD (v1.0) relies on its scientific workflow to interface ecological models and data assimilation algorithms, managing diverse data streams, automates iterative ecological

forecasting in response to various user requests. Workflow is a relatively new concept in the ecology literature but essential to realize real or near-real time forecasting. Thus, we describe it in ~~details~~detail below. The essential components of a scientific workflow of EcoPAD (v1.0) include the metadata catalog, web application-programming interface (API), the asynchronous task/job queue (Celery) and the container-based virtualization platform (Docker). The workflow system of EcoPAD (v1.0) also provides structured result access and visualization.

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#### 2.2.4.1 Metadata catalog and data management

Datasets can be placed and queried in EcoPAD (v1.0) via a common metadata catalog which allows for effective management of diverse data streams. Calls are common for good management of current large and heterogeneous ecological datasets [Ellison, 2010; Michener and Jones, 2012; Vitolo et al., 2015]. Kepler [Ludascher et al., 2006] and the Analytic Web [Osterweil et al., 2010] are two example systems that ~~endeavor~~endeavour to provide efficient data management through storage of metadata including clear documentation of data provenance. Similarly to these systems, EcoPAD (v1.0) takes advantage of modern information technology, especially the metadata catalog, to manage diverse data streams. The EcoPAD (v1.0) metadata schema includes description of the data product, security, access pattern, and timestamp of last metadata update *etc.* We use ~~MongDB~~MongoDB (<https://www.mongodb.com/>), a NoSQL database technology, to manage heterogeneous datasets to make the documentation, query and storage fast and convenient. Through ~~MongDB~~MongoDB, measured datasets can be easily fed into ecological models for various purposes such as to initialize the model, calibrate model parameters, evaluate model structure and drive model forecast. For datasets from real time ecological sensors that are constantly updating, EcoPAD (v1.0) is set to automatically fetch new data streams with adjustable frequency depending on research needs.

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#### 2.2.4.2 Web API, asynchronous task queue and docker

The RESTful application-programming interface (API) which can deliver data to a wide variety of applications is the gateway of EcoPAD (v1.0) and enables a wide array of user-interfaces and data-dissemination activities. Once a user makes a request, such as through clicking on relevant buttons from a web browser, the request is passed through the Representational State Transfer (i.e., RESTful) API to trigger specific tasks. The RESTful API bridges the talk between the client (e.g., a web browser or command line terminal) and the server (Figure 3). The API exploits the full functionality and flexibility of the HyperText Transfer Protocol (HTTP), such that data can be retrieved and ingested from the EcoPAD (v1.0) through the use of simple HTTP headers and verbs (e.g., GET, PUT, POST, *etc.*). Hence, a user can incorporate summary data from EcoPAD (v1.0) into a website with a single line of html code. Users will also be able to access data directly through programming environments like R, Python and Matlab. Simplicity, ease of use and interoperability are among the main advantages of this API which enables web-based ~~modeling~~modelling.

Celery (<https://github.com/celery/celery>) is an asynchronous task/job queue that ~~run~~ ~~at~~runs in the background (Figure 3). The task queue (i.e., Celery) is a mechanism used to distribute work across work units such as threads or machines. Celery communicates through messages, and EcoPAD (v1.0) takes advantage of the RabbitMQ (<https://www.rabbitmq.com/>) to manage messaging. After the user ~~submit~~submits a command, the request or message is passed to Celery via the RESTful API. These messages may trigger different tasks, which include, but not limited to, pull data from a remote server where original measurements are located, access data through metadata catalog, run model simulation with user specified parameters, conduct data assimilation which recursively updates model parameters, forecast future ecosystem status and

post-process of model results for visualization. The broker inside Celery receives task messages and handles out tasks to available Celery workers which perform the actual tasks (Figure 3). Celery workers are in charge of receiving messages from the broker, executing tasks and returning task results. The worker can be a local or remote computation resource (e.g., the cloud) that has connectivity to the metadata catalog. Workers can be distributed into different information technology (IT) infrastructures, which makes EcoPAD (v1.0) workflow easily expandable. Each worker can perform different tasks depending on tools installed in each worker. And one task can also be distributed into different workers. In such a way, EcoPAD (v1.0) workflow enables parallelization and distributed computation of actual modeling/modelling tasks across various IT infrastructures, and is flexible in implementing additional computational resources by connecting additional workers.

Another key feature that makes EcoPAD (v1.0) easily portable and scalable among different operation systems is the utilization of the container-based virtualization platform, the docker- (<https://www.docker.com/>). Docker can run many applications which rely on different libraries and environments on a single kernel with its lightweight containerization. Tasks that execute TECO in different ways are wrapped inside different docker containers that can “talk” with each other. Each docker container embeds the ecosystem model into a complete filesystem that contains everything needed to run an ecosystem model: the source code, model input, run time, system tools and libraries. Docker containers are both hardware-agnostic and platform-agnostic, and they are not confined to a particular language, framework or packaging system. Docker containers can be run from a laptop, workstation, virtual machine, or any cloud compute instance. This is done to support the widely varied number of ecological models running in various languages (e.g., Matlab, Python, Fortran, C and C++) and environments. In addition to

wrap the ecosystem model into a docker container, software applied in the workflow, such as the Celery, Rabbitmq and MongoDB, are all lightweight and portable encapsulations through docker containers. Therefore, the entire EcoPAD (v1.0) is readily portable and applicable in different environments.

#### 2.2.4.3 Structured result access and visualization

EcoPAD (v1.0) enables structured result storage, access and visualization to track and analyze data-model fusion practice. Upon the completion of the model task-completion, the model wrapper code calls a post processing callbackcall-back function. This callbackcall-back function allows for model specific data requirements to be added to the model result repository. Each task is associated with a unique task ID and model results are stored within the local repository that can be queried by the unique task ID. The easy-store and query of model results are realizedrealised via the MongoDB and RESTful API (Figure 3). Researchers are authorized to review and download model results and parameters submitted for each model run through a web accessible URL (link). EcoPAD (v1.0) webpage also displays a list of historical tasks (with URL) performed by each user. All current and historical model inputs and outputs are available to download, including the aggregated results produced for the graphical web applications. In addition, EcoPAD (v1.0) also provides a task report that contains all-inclusive recap of parameters submitted, task status, and model outputs with links to all data and graphical results for each task. Such structured result storage and access make sharing, tracking and referring to modelingmodelling studies instant and clear.

#### 2.3 Scientific functionality

Scientific functionality of EcoPAD (v1.0) includes web-based model simulation, estimating model parameters or state variables, quantifying uncertainty of estimated parameters

and projected states of ecosystems, evaluating model structures, assessing sampling strategies, ~~and~~ and conducting ecological forecasting. ~~These~~ These functions can be organized to answer various scientific questions. In addition to the general description in this section, the scientific functionality of EcoPAD (v1.0) is also illustrated through a few case studies in the following sections.

EcoPAD (v1.0) is designed to perform web-based model simulation, which greatly reduces the workload of traditional model simulation through manual code compilation and execution. This functionality opens various new opportunities for ~~modelers~~ modellers, experimenters and the general public. Model simulation and result analysis are automatically triggered after a ~~simple~~ click on the web-embedded button (Appendices Figures A2, A3 A6). Users are freed from repeatedly compiling code, running code and writing programs to ~~analyze~~ analyse and display model results. Such ease of use has great potential to popularize complex ~~modeling~~ modelling studies that are difficult or inaccessible for experimenters and the general public. As illustrated through the outreach activities from the TreeWatch.Net [*Steppe et al.*, 2016], the potential functionality of such web-based model simulation goes beyond its scientific value as its societal and educational impacts are critical in solving ecological issues. The web-based model simulation also frees users from model running environment, platform and software. Users can conduct model simulation and do analysis as long as they have internet access. For example, ecologists can conduct model simulation and diagnose the underlying reasons for a sudden increase in methane fluxes while they are making measurements in the field. ~~Youngsters~~ Non-ecologists, such as youngsters, can study ecological dynamics through their phones or tablets while they are waiting for the bus. Resource managers can make timely assessment of different resource utilization strategies on spot of a meeting.

EcoPAD [\(v1.0\)](#) is backed up by data assimilation techniques, which facilitate inference of model parameters and states based on observations. Ecology have witnessed a growing number of studies focusing on parameter estimation using inverse ~~modeling~~[modelling](#) or data assimilation as large volumes of ecological measurements become available. To satisfy the growing need of model parameterization through observations, EcoPAD [\(v1.0\)](#) streamlines parameter estimations and updates. Researchers can ~~easily~~ review and download files that record parameter values from EcoPAD [\(v1.0\)](#) result repository. Since these parameters may have different ~~scientific values~~[biological, physical or chemical meanings](#), the functionality of EcoPAD [\(v1.0\)](#) related to parameter estimations can potentially embrace diverse subareas in ecology. For example, soil scientists can study the acclimation of soil respiration to manipulative warming through shifts in the distribution of the decomposition rate parameter from EcoPAD ~~(v1.0)~~. The threshold parameter beyond which further harvesting of fish might cause a crash of fish stocks can be ~~easily~~ extracted through fish stock assessment models and observations if mounted to EcoPAD ~~(v1.0)~~.

EcoPAD [\(v1.0\)](#) promotes uncertainty analysis, model structure evaluation and error identification. One of the advantages of the Bayesian statistics is its capacity in uncertainty analysis compared to other optimization techniques [Xu *et al.*, 2006; Wang *et al.*, 2009; Zhou *et al.*, 2012]. Bayesian data assimilation (e.g., MCMC) takes into account observation uncertainties (errors), generates distributions of model parameters and enables tracking of prediction uncertainties from different sources-[\[Ellison, 2004; Bloom \*et al.\*, 2016; Jiang \*et al.\*, 2018\]](#). Uncertainty analysis through data assimilation applied to areas such as ecosystem phenology, fish life cycle and species migration [Clark *et al.*, 2003; Cook *et al.*, 2005; Crozier *et al.*, 2008; Luo *et al.*, 2011b], can potentially take advantage of EcoPAD [\(v1.0\)](#) platform to provide critical

information for well informed decisions in face of pressing global change challenges. In addition, the archive capacity of EcoPAD (v1.0) facilitates future inter-comparisons among different models or different versions of the same model to evaluate model structures and to disentangle structure uncertainties and errors.

The realization of both the near-time and long-term ecological forecast is one of the key innovations of EcoPAD (v1.0). Forecasting capability of EcoPAD (v1.0) is supported by process-based ecological models, multiple observational or experimental data, inverse parameter estimation and uncertainty quantification through data assimilation, and forward simulation under future external conditions. The systematically constrained forecast from EcoPAD (v1.0) is accompanied by uncertainty/confidence estimates to quantify the amount of information that can actually be utilized from a study. The automated near time forecast, which is constantly adjusted once new observational data streams are available, provides experimenters advanced and timely information to assess and adjust experimental plans. For example, with forecasted and displayed biophysical and biochemical variables, experimenters could know in advance what the most likely biophysical conditions are. Knowing if the water table may suddenly go aboveground in response to a high rainfall forecast in the coming week, could allow researcher to emphasize measurements associated with methane flux. In such a way, experimenters can not only rely on historical ecosystem dynamics, but also refer to future predictions. Experimenters will benefit especially from variables that are difficult to track in field due to situations such as harsh environment, shortage in man power or on instrument limitation.

Equally important, EcoPAD (v1.0) creates new avenues to answer classic and novel ecological questions, for example, the frequently reported acclimation phenomena in ecology. While growing evidence points to altered ecological functions as organisms adjust to the rapidly

changing world [Medlyn *et al.*, 1999; Luo *et al.*, 2001; Wallenstein and Hall, 2012], traditional ecological models treat ecological processes less dynamical, as the governing biological parameters or mechanisms fails to explain such biological shifts. EcoPAD (v1.0) facilitates the shift of research paradigm from a fixed process representation to a more dynamic description of ecological mechanisms with constantly updated and archived parameters constrained by observations under different conditions. Specifically to acclimation, EcoPAD (v1.0) promotes quantitatively evaluations while previous studies remain mostly qualitative [Wallenstein and Hall, 2012; Shi *et al.*, 2015]. We will further illustrate how EcoPAD (v1.0) can be used to address different ecological questions in the case studies of the SPRUCE project.

### 3 EcoPAD performance at testbed - SPRUCE

#### 3.1 SPRUCE project overview

EcoPAD (v1.0) is being applied to the Spruce and Peatland Responses Under Climatic and Environmental change (SPRUCE) experiment located at the USDA Forest Service Marcell Experimental Forest (MEF, 47°30.476' N, 93°27.162' W) in northern Minnesota [Kolka *et al.*, 2011]. SPRUCE is an ongoing project focuses on long-term responses of northern peatland to climate warming and increased atmospheric CO<sub>2</sub> concentration [Hanson *et al.*, 2017]. At SPRUCE<sub>2</sub> ecologists measure various aspects of responses of organisms (from microbes to trees) and ecological functions (carbon, nutrient and water cycles) to a warming climate. One of the key features of the SPRUCE experiments is the manipulative deep soil/peat heating (0-3 m) and whole ecosystem warming treatments (peat + air warmings) which include tall trees (> 4 m) [Hanson *et al.*, 2017]. Together with elevated atmospheric CO<sub>2</sub> treatments, SPRUCE provides a platform for exploring mechanisms controlling the vulnerability of organisms, biogeochemical

processes and ecosystems in response to future novel climatic conditions. The SPRUCE peatland is especially sensitive to future climate change and also plays an important role in feeding back to future climate change through greenhouse gas emissions as it stores a large amount of soil organic carbon. Vegetation in the SPRUCE site is dominated by *Picea mariana* (black spruce) and *Sphagnum spp* (peat moss). The studied peatland also has an understory which include ericaceous and woody shrubs. There are also a limited number of herbaceous species. The whole ecosystem warming treatments include a large range of both aboveground and belowground temperature manipulations (ambient, control plots of + 0 °C, +2.25 °C, +4.5 °C, +6.75 °C and +9 °C) in large 115 m<sup>2</sup> open-topped enclosures with elevated CO<sub>2</sub> manipulations (+0 or +500 ppm).

[The difference between ambient and +0 treatment plots is the open-topped and controlled-environment enclosure.](#)

The SPRUCE project generates a large variety of observational datasets that reflect ecosystem dynamics from different scales and are available from the project webpage (<https://mnspruce.ornl.gov/>) and FTP site (<ftp://sprucedata.ornl.gov/>). These datasets come from multiple sources: half hourly automated sensor records, species surveys, laboratory measurements, laser scanning images *etc.* Involvements of both ~~modeling~~modelling and experimental studies in the SPRUCE project create the opportunity for data-model communication. Datasets are pulled from SPRUCE archives and stored in the EcoPAD ([v1.0](#)) metadata catalog for running the TECO model, conducting data-model fusion or forecasting. The TECO model has been applied to simulate and forecast carbon dynamics with productions of CO<sub>2</sub> and CH<sub>4</sub> from different carbon pools, soil temperature response, snow depth and freeze-thaw cycles at the SRPUCE site [*Huang et al.*, 2017; *Ma et al.*, 2017; *Jiang et al.*, 2018].

### 3.2 EcoPAD-SPRUCE web portal

We assimilate multiple streams of data from the SPRUCE experiment to the TECO model using the MCMC algorithm, and forecast ecosystem dynamics in both near time and for the next 10 years. Our forecasting system for SPRUCE is available at [https://ecolab.nau.edu/ecopad\\_portal/](https://ecolab.nau.edu/ecopad_portal/). From the web portal, users can check our current near- and long-term forecasting results, conduct model simulation, data assimilation and forecasting runs, and ~~analyze~~analyze/visualize model results. Detailed information about the interactive web portal is provided in the Appendices.

### 3.3 Near time ecosystem forecasting and feedback to experimenters

As part of the forecasting functionality, EcoPAD-SPRUCE automates the near time (weekly) forecasting with continuously updated observations from SPRUCE experiments (Figure 54). We set up the system to automatically pull new data streams every Sunday from the SPRUCE FTP site that holds observational data and update the forecasting results based on new data streams. Updated forecasting results for the next week are customized for the SPRUCE experiments with different manipulative treatments and displayed in the EcoPAD-SPRUCE portal. At the same time, these results are sent back to SPRUCE communities and displayed together with near-term observations for experimenter's reference.

### 3.4 New approaches to ecological studies towards better forecasting

#### 3.4.1 Case 1: Interactive communications among ~~modelers~~modellers and experimenters

EcoPAD-SPRUCE provides a platform to stimulate interactive communications between ~~modelers~~modellers and experimenters. Models require experimental data to constrain initial conditions and parameters, and to verify model performance. A reasonable model is built upon correct interpretation of information served by experimenters. Model simulations on the other

hand can expand ~~hypotheses~~hypothesis testing, and provide thorough or advanced information to improve field experiments. Through recursively exchanging information between ~~modelers~~modellers and experimenters, both models and field experiments can be improved. As illustrated in Figure 54, through extensive communication between ~~modelers~~modellers and experimenters, ~~modelers~~modellers generate model predictions. Model predictions provide experimenters advanced information, help experimenters think, question and understand their experiments. Questions raised by experimenters stimulate further discussion and communication. Through communication, models or/and measurements are adjusted. With new measurements or/and strengthened models, a second round of prediction is highly likely to be improved. As the loop of prediction-question-discussion-adjustment-prediction goes on, forecasting is informed with best understandings from both data and model.

We illustrate how the prediction-question-discussion-adjustment-prediction cycle and stimulation of ~~modeler~~modeller-experimenter communication improves ecological predictions through one episode during the study of the relative contribution of different pathways to methane emissions. An initial methane model was built upon information (e.g., site characteristics and environmental conditions) provided by SPRUCE field scientists, taking into account important processes in methane dynamics, such as production, oxidation and emissions through three pathways (i.e., diffusion, ebullition and plant-mediated transportation). The model was used to predict relative contributions of different pathways to overall methane emissions under different warming treatments after being constrained by measured surface methane fluxes. Initial forecasting results which indicated a strong contribution from ebullition under high warming treatments were sent back to the SPRUCE group. Experimenters doubted about such a high contribution from the ebullition pathway and a discussion was stimulated. It is difficult to

accurately distinguish the three pathways from field measurements. Field experimenters provided potential avenues to extract measurement information related to these pathways, while ~~modelers~~ modellers examined model structure and parameters that may not be well constrained by available field information. Detailed discussion is provided in Table 1. After extensive discussion, several adjustments were adopted as a first step to move forward. For example, the three-porosity model that was used to simulate the diffusion process was replaced by the Millington-Quirk model to more realistically represent methane diffusions in peat soil; the measured static chamber methane fluxes were also questioned and scrutinized more carefully to clarify that they did not capture the episodic ebullition events. Measurements such as these related to pore water gas data may provide additional inference related to ebullition. The updated forecasting is more reasonable than the initial results although more studies are in need to ultimately quantify methane fluxes from different pathways.

### **3.4.2 Case 2: Acclimation of ecosystem carbon cycling to experimental manipulations**

As a first step, CH<sub>4</sub> static chamber flux measurements were assimilated into TECO to assess potential acclimation phenomena during methane production under 5 warming treatments (+0, +2.25, +4.5, +6.75, +9 °C). Initial results indicated a reduction in both the CH<sub>4</sub>:CO<sub>2</sub> ratio and the temperature sensitivity of methane production based on their posterior distributions (Figure 65). The mean CH<sub>4</sub>:CO<sub>2</sub> ratio decreased from 0.675 (~~control~~ +0 °C treatment) to 0.505 (+9 °C ~~treatment~~), while the temperature sensitivity (Q<sub>10</sub>) for CH<sub>4</sub> production decreased from 3.33 (~~control~~ +0 °C) to 1.22 (+9 °C treatment). Such shifts quantify potential acclimation of methane production to warming and future climate warming is likely to have a smaller impact on emission than most of current predictions that do not take into account of acclimation.

Despite these results are preliminary as more relevant datasets are under collection with current ongoing warming manipulation and measurements, assimilating observations through EcoPAD (v1.0) provides a quantitative approach to timely assess acclimation through time. *Melillo et al.* [2017] revealed that the thermal acclimation of the soil respiration in the Harvard Forest is likely to be phase (time) dependent during their 26-year soil warming experiment. EcoPAD (v1.0) provides the possibility in tracing the temporal path of acclimation with its streamlined structure and archive capacity. *Shi et al.* [2015] assimilated carbon related measurements in a tallgrass prairie into the TECO model to study acclimation after 9-years warming treatments. They revealed a reduction in the allocation of GPP to shoot, the turnover rates of the shoot and root carbon pools, and an increase in litter and fast carbon turnovers in response to warming treatments. Similarly, as time goes on, the SPRUCE experiment will generate more carbon cycling related datasets under different warming and CO<sub>2</sub> treatments, which can be mounted to EcoPAD (v1.0) to systematically quantify acclimations in carbon cycling through time in the future.

### **3.4.3 Case 3: Partitioning of uncertainty sources**

Uncertainties in ecological studies can come from observations (include forcing that drives the model), different model structures to represent the real world and the specified model parameters [*Luo et al.*, 2016]. Previous studies tended to focus on one aspect of the uncertainty sources instead of disentangling the contribution from different sources. For example, the model intercomparison projects (MIPs), such as TRENDY, focus on uncertainty caused by different model structures with prescribed external forcing [*Sitch et al.*, 2008]. *Keenan et al.* [2012] used data assimilation to constrain parameter uncertainties in projecting Harvard forest carbon dynamics. *Ahlstrom et al.* [2012] forced one particular vegetation model by 18 sets of forcings

from climate models of the Coupled Model Intercomparison Project Phase 5 (CMIP5), while the parameter or model structure uncertainty is not taken into account.

EcoPAD (v1.0) is designed to provide a thorough picture of uncertainties from multiple sources especially in carbon cycling studies. Through focusing on multiple instead of one source of uncertainty, ecologists can allocate resources to areas that cause relative high uncertainty. Attribution of uncertainties in EcoPAD ~~relies~~(v1.0) will rely on an ensemble of ecosystem models, the data assimilation system and climate forcing with quantified uncertainty. ~~For example,~~ *Jiang et al.* [2018] focused specifically on the relative contribution of parameter uncertainty vs. climate forcing uncertainty in forecasting carbon dynamics at the SPRUCE site. Through assimilating the pre-treatment measurements (2011-2014) from the SPRUCE experiment, *Jiang et al.* [2018] estimated uncertainties of key parameters that regulate the peatland carbon dynamics. Combined with the stochastically generated climate forcing (e.g., precipitation and temperature), *Jiang et al.* [2018] found external forcing resulted in higher uncertainty than parameters in forecasting carbon fluxes, but caused lower uncertainty than parameters in forecasting carbon pools. Therefore, more efforts are required to improve forcing measurements for studies that focus on carbon fluxes (e.g., GPP), while reductions in parameter uncertainties are more important for studies in carbon pool dynamics. ~~Such kind~~ Despite *Jiang et al.* [2018] does not quantify model structure uncertainty, the project of incorporating multiple models inside EcoPAD (v1.0) is in progress, and future uncertainty assessment ~~benefits will~~ benefit from EcoPAD (v1.0) with its systematically archived model simulation, data assimilation and forecasting.

#### **3.4.4 Case 4: Improving biophysical estimation for better ecological prediction**

Carbon cycling studies can also benefit from EcoPAD (v1.0) through improvements in external forcing biophysical estimation. Soil environmental condition is an important regulator of belowground biological activities and also feeds back to aboveground vegetation growth. Biophysical variables such as soil temperature, soil moisture, ice content and snow depth, are key predictors of ecosystem dynamics. After constraining the biophysical module by detailed monitoring data from the SPRUCE experiment through the data assimilation component of EcoPAD, (v1.0), *Huang et al.* [2017] forecasted the soil thermal dynamics under future conditions and studied the responses of soil temperature to hypothetical air warming. This study emphasized the importance of accurate climate forcing in providing robust thermal forecast. In addition, *Huang et al.* [2017] revealed non-uniform responses of soil temperature to air warming. Soil temperature responded stronger to air warming during summer compared to winter. And soil temperature increased more in shallow soil layers compared to deep soils in summer in response to air warming. Therefore, extrapolating of manipulative experiments based on air warming alone may not reflect the real temperature sensitivity of SOM if soil temperature is not monitored. As robust quantification of environmental conditions is known to be a first step towards better understanding of ecological process, improvement in soil thermal predictions through EcoPAD (v1.0) data assimilation system is helpful in telling apart biogeochemical responses from environmental uncertainties and also in providing field ecologists beforehand key environmental conditions.

#### **3.4.5 Case 5: How do updated model and data contribute to reliable forecasting?**

Through constantly adjusted model and external forcing according to observations and weekly archived model parameter, model structure, external forcing and forecasting results, the contribution of model and data updates can therefore be tracked through comparing forecasted

vs. realized simulations. For example, Figure 76 illustrates how realized external forcing (compared to stochastically generated forcing) and shifts in ecosystem state variables shape ecological predictions. Similarly as in other EcoPAD-SPURCE case studies, TECO is trained through data assimilation with observations from 2011-2014 and is used to forecast GPP and total soil organic carbon content at the beginning of 2015. For demonstrating purpose, Figure 76 only shows 3 series of forecasting results instead of updates from every week. Series 1 (S1) records forecasted GPP and soil carbon with stochastically generated weather forcing from January 2015-December 2024 (Figure 7a6a,b cyan). Series 2 (S2) records simulated GPP and soil carbon with observed climate forcing from January 2015 to July 2016 and forecasted GPP and soil carbon with stochastically generated forcing from August 2016 - December 2024 (Figure 7a6a,b red). Similarly, the stochastically generated forcing in Series 3 (S3) starts from January 2017 (Figure 7a6a,b blue). For each series, predictions were conducted with randomly sampled parameters from the posterior distributions and stochastically generated forcing. We displayed 100 mean values (across an ensemble of forecasts with different parameters) corresponding to 100 forecasts with stochastically generated forcing.

GPP is highly sensitive to climate forcing. The differences between the realized (S2, 3) and initial forecasts (S1) reach almost  $800 \text{ gC m}^{-2} \text{ year}^{-1}$  (Figure 7e6c). The discrepancy is strongly dampened in the following 1-2 years. The impact of realized forecasts is close to 0 after approximately 5 years. However, soil carbon pool shows a different pattern. Soil carbon pool is increased by less than  $150 \text{ gC m}^{-2}$ , which is relative small compared to the carbon pool size of *ca.*  $62000 \text{ gC m}^{-2}$ . The impact of realized forecasts grows with time and reaches the highest at the end of the simulation year 2024. GPP is sensitive to the immediate change in climate forcing while the updated ecosystem status (or initial value) has minimum

impact in the long-term forecast of GPP. The impact of updated climate forcing is relatively small for soil carbon forecasts during our study period. Soil carbon is less sensitive to the immediate change of climate compared to GPP. However, the alteration of system status affects soil carbon forecast especially in a longer time scale.

Since we are archiving ~~realized~~updated forecasts every week, we can track the relative contribution of ecosystem status, forcing uncertainty and parameter distributions to the overall forecasting patterns of different ecological variables and how these patterns evolve in time. In addition, as growing observations of ecological variables (e.g., carbon fluxes and pool sizes) become available, it is feasible to diagnose key factors that promote robust ecological forecasting through comparing the archived forecasts vs. observation and analysing archives of model parameters, initial values and climate forcing *etc.*

## **4 Discussion**

### **4.1 The necessity of interactive infrastructure to realize ecological forecasting**

Substantial increases in data availability from observational and experimental networks, surges in computational capability, advancements in ecological models and sophisticated statistical methodologies and pressing societal need for best management of natural resources have shifted ecology to emphasis more on quantitative forecasts. However, quantitative ecological forecast is still young and our knowledge about ecological forecasting is relatively sparse, inconsistent and disconnected [Luo *et al.*, 2011b; Petchey *et al.*, 2015]. Therefore, both optimistic and pessimistic viewpoints exist on the predictability of ecology [Clark *et al.*, 2001; Beckage *et al.*, 2011; Purves *et al.*, 2013; Petchey *et al.*, 2015; Schindler and Hilborn, 2015]. Ecological forecasting is complex and advantages in one single direction, for example,

observations alone or statistical methodology alone, is less likely to lead to successful forecasting compared to approaches that effectively integrate improvements from multiple sectors.

Unfortunately, ~~realized~~realised ecological forecasting that integrates available resources is relative rare due to lack of relevant infrastructures.

EcoPAD (v1.0) provides such effective infrastructure with its interactive platform that rigorously integrates merits from models, observations, statistical advance, information technology and human resources from ~~experimenter, modeler as well as the general public~~experimenters and modellers to best inform ecological forecasting, boost forecasting practice and delivery of forecasting results. Interactions enable exchanging and extending of information so as to benefit from collective knowledge. For example, manipulative studies will have a much broader impact if the implications of their results can be extended from the regression between environmental variable and ecosystem response, such as be integrated into an ecosystem model through model-data communication. Such an approach will allow gaining information about the processes responsible for ecosystem's response, constraining models, and making more reliable predictions. Going beyond common practice of model-data assimilation from which model updating lags far behind observations, EcoPAD (v1.0) enables iterative model updating and forecasting through dynamically integrating models with new observations in near real-time. This near real-time interactive capacity relies on its scientific workflow that automates data management, model simulation, data simulation and result visualization. The ~~open, timely, convenient, transparent, flexible, reproducible and traceable characteristics of this platform, also thanks to its scientific workflow, encouraged~~system design encourages thorough interactions between experimenters and ~~modelers~~modellers. Forecasting results from SPRUCE were timely shared among research groups with different background through the web interface. Expertise

from different research groups was integrated to improve a second round of forecasting. Again, thanks to the workflow, new information or adjustment is ~~relatively easy to incorporate~~incorporated into ~~future~~-forecasting efficiently, making the forecasting system fully interactive and dynamical.

We also benefit from the interactive EcoPAD (v1.0) platform to broaden user-model interactions and to broadcast forecasting results. Learning about the ecosystem models and data-model fusion techniques may lag one's productivity and even discourage learning the ~~modeling~~modelling techniques because of their complexity and long learning curve. Because EcoPAD (v1.0) can be accessed from a web browser and does not require any coding from the user's side, the time lag between learning the model structure and obtaining model-based results for one's study is minimal, which opens the door for non-~~modeler~~modeller groups to "talk" with models. The online storage of one's results lowers the risk of data loss. The results of each model run can be easily tracked and shared with its unique ID and web address. In addition, the web-based workflow also saves time for experts with automated model running, data assimilation, forecasting, structured result access and instantaneous graphic outputs, bringing the possibility for thorough exploration of more essence part of the system. The simplicity in use of EcoPAD (v1.0) at the same time may limit their access to the code and lowers the flexibility. Flexibility for users with higher demands, for example, those who wanted to test alternative data assimilation methods, use a different carbon cycle model, change the number of calibrated parameters, include the observations for other variables, is provided through the GitHub repository (<https://github.com/ou-ecolab>). This GitHub repository contains code and instruction for installing, configuring and controlling the whole system, users can easily adapt the workflow to wrap their own model based on his or her needs.

In addition to benefit from its workflow, the advantage of EcoPAD is also reflected in its data assimilation capacity especially for land carbon studies. One focus of EcoPAD is to constrain parameters of terrestrial carbon models to predict long-term carbon dynamics (e.g., 100 years) which are determined more by parameters than initial values of state variables [Weng and Luo, 2011]. EcoPAD incorporates the Bayesian framework, especially the MCMC method, to constrain parameters. In comparison, DART uses the Ensemble Kalman Filter to adjust model state variables, instead of parameters, to match observations over time. In the past, complex models could not assimilate pool-related data to constrain their parameter estimation due to insurmountable computational demand in large-scale studies. For example, CCDAS normally only assimilates flux-based data [Peylin et al., 2016]. EcoPAD is flexible in assimilating both pool- and flux-based data into complex models so that both fluxes and turnover rates of pools can be constrained with its matrix representation [Hararuk et al., 2014; Luo, 2017] and its capability to wrap different models.

#### 4.2 Implications for better ecological forecasting

Specifically to reliable forecasting of carbon dynamics, our initial exploration from EcoPAD-SPRUCE indicates that realistic model structure, correct parameterization and accurate external environmental conditions are essential. Model structure captures important known mechanisms that regulate ecosystem carbon dynamics. Adjustment in model structure is critical in our improvement in methane forecasting. Model parameters may vary between observation sites, change with time or environmental conditions [Medlyn et al., 1999; Luo et al., 2001]. A static or wrong parameterization misses important mechanisms (e.g., acclimation and adaptation) that regulate future carbon dynamics. Not well constrained parameters, for example, caused by lack of information from observational data, contribute to high forecasting uncertainty and low

reliability of forecasting results. Correct parameterization is especially important for long-term carbon pool predictions as parameter uncertainty resulted in high forecasting uncertainty in our case study [Jiang *et al.*, 2018]. ~~Although the picture about how neglecting of parameter shift affects carbon predictions has not yet been fully revealed from EcoPAD-SPRUCE as field measurements are still ongoing, our initial exploration indicates non-negligible acclimation of ecosystem methane production in response to warming.~~2018]. Parameter values derived under the ambient condition was not applicable to the warming treatment in our methane case due to acclimation. External environmental condition is another important factor in carbon predictions. External environmental condition includes both the external climatic forcing that is used to drive ecosystem models and also the environmental condition that is simulated by ecosystem models. As we showed that air warming may not proportionally transfer to soil warming, realistic soil environmental information needs to be appropriately represented to predict soil carbon dynamics [Huang *et al.*, 2017]. The impact of external forcing is especially obvious in short term carbon flux predictions. Forcing uncertainty resulted in higher forecasting uncertainty in carbon flux compared to that from parameter uncertainty [Jiang *et al.*, 2018]. Mismatches in forecasted vs. ~~realized~~realised forcing greatly increased simulated GPP and the discrepancy diminished in the long run. Reliable external environmental condition, to some extent, reduces the complexity in diagnosing ~~modeled~~modelled carbon dynamics.

Pool-based vs. flux-based predictions are regulated differently by external forcing and initial states, which indicates that differentiated efforts are required to improve short vs. long-term predictions. External forcing, which has not been well emphasized in previous carbon studies, has strong impact on short term forecasting. The large response of GPP to forecasted vs. ~~realized~~realised forcing as well the stronger forcing-caused uncertainty in GPP predictions

indicate correct forcing information is a key step in short term flux predictions. In this study, we stochastically generated the climate forcing based on local climatic conditions (1961-2014), which is not sufficient in capturing local short-term climate variability. As a result, ~~realized~~updated GPP went outside our ensemble forecasting. On the other hand, parameters and historical information about pool status are more important in long-term pool predictions. Therefore, improvement in long-term pool size predictions cannot be reached by accurate climatic information alone. Instead, it requires accumulation in knowledge related to site history and processes that regulate pool dynamics.

Furthermore, reliable forecasting needs understanding of uncertainty sources in addition to the future mean states. Uncertainty and complexity are major reasons that lead to the belief in “computationally irreducible” and low intrinsic predictability of ecological systems [Coreau *et al.*, 2010; Beckage *et al.*, 2011; Schindler and Hilborn, 2015]. Recent advance in computational statistical methods offers a way to formally accounting for various uncertainty sources in ecology [Clark *et al.*, 2001; Cressie *et al.*, 2009]. And the Bayesian approach embedded in EcoPAD (v1.0) brings the opportunity to understand and communicate forecasting uncertainty. Our case study revealed that forcing uncertainty is more important in flux-based predictions while parameter uncertainty is more critical in pool-based predictions. Actually, how forecasting uncertainty ~~in carbon forecasting~~ changes with time, what are the dominate ~~sources~~contributor of forecasting uncertainty (e.g., parameter, initial condition, model structure, observation errors, forcing ~~etc.~~under different conditions.), how uncertainty sources interact among different components, or to what extent unconstrained parameters affect forecasting uncertainty are all valuable questions that can be explored through EcoPAD-(v1.0).

### **4.3 Applications of EcoPAD to manipulative experiments and observation sites**

Broadly speaking, data-model integration stands to increase the overall precision and accuracy of model-based experimentation [Luo *et al.*, 2011b; Niu *et al.*, 2014]. Systems for which data have been collected in the field and which are well represented by ecological models therefore have the capacity to receive the highest benefits from EcoPAD (v1.0) to improve forecasts. In a global change context, experimental manipulations including ecosystem responses to changes in precipitation regimes, carbon dioxide concentrations, temperatures, season lengths, and species compositional shifts can now be assimilated into ecosystem models [Xu *et al.*, 2006; Gao *et al.*, 2011; Lebauer *et al.*, 2013; Shi *et al.*, 2016]. Impacts of these global change factors on carbon cycling and ecosystem functioning can now be measured in a scientifically transparent and verifiable manner. This leads to ecosystem ~~modeling~~modelling of systems and processes that can obtain levels of confidence that lend credibility with the public to the science's forward progress toward forecasting and predicting [Clark *et al.*, 2001]. These are the strengths of a widely-available interface devoted to data-model integration towards better forecasting.

The data-model integration framework of EcoPAD (v1.0) creates a smart interactive model-experiment (ModEx) system. ModEx has the capacity to form a feedback loop in which field experiment guides ~~modeling~~modelling and ~~modeling~~modelling influences experimental focus [Luo *et al.*, 2011a]. We demonstrated how EcoPAD (v1.0) works hand-in-hand between ~~modelers~~modellers and experimenters in the life-cycle of the SPRUCE project. Field experiment from SPRUCE community provides basic data to set up the ecosystem model and update model parameters recursively, while the forecasting from ecosystem ~~modeling~~modelling informs experimenters the potential key mechanisms that regulate ecosystem dynamics and help experimenters to question and understand their measurements. The EcoPAD-SPRUCE system operates while experimenters are making measurements or planning for future researches.

Information is constantly fed back between ~~modelers~~modellers and experimenters, and simultaneous efforts from both parties illustrate how communications between model and data advance and shape our understanding towards better forecasts during the lifecycle of a scientific project. ModEx can be ~~easily~~ extended to other experimental systems to: 1, predict what might be an ecosystem's response to treatments once experimenter selected a site and decided the experimental plan; 2, assimilate data experimenters are collecting along the experiment to constrain model predictions; 3, project what an ecosystem's responses may likely be in the rest of the experiment; 4, tell experimenters what are those important datasets experimenters may want to collect in order to understand the system; 5, periodically updates the projections; and 6, improve the models, the data assimilation system, and field experiments during the process.

In addition to the manipulative experimental, the data assimilation system of EcoPAD (v1.0) can be used for automated model calibration for FLUXNET sites or other observation networks, such as the NEON and LTER [Johnson *et al.*, 2010; Robertson *et al.*, 2012]. The application of EcoPAD (v1.0) at FLUXNET, NEON or LTER sites includes three steps in general. First, build the climate forcing in the suitable formats of EcoPAD (v1.0) from the database of each site; Second, collect the prior information (include observations of state variables) in the data assimilation system from FLUXNET, NEON or LTER sites; Third, incorporate the forcing and prior information into EcoPAD (v1.0), and then run the EcoPAD (v1.0) with the dynamic data assimilation system. Furthermore, facing the proposed continental scale ecology study [Schimel, 2011], EcoPAD (v1.0) once properly applied could also help evaluate and optimize field deployment of environmental sensors and supporting cyberinfrastructure, that will be necessary for larger, more complex environmental observing systems being planned in the US and across different continents. Altogether, with its milestone

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concept, EcoPAD (v1.0) benefits from observation and ~~modeling~~modelling and at the same time advances both observation and ~~modeling~~modelling of ecological studies.

#### 4.4 Future developments

As we indicated, EcoPAD (v1.0) will expand as time goes on. The system is designed to incorporate multiple ~~biogeochemical~~process-based models, diverse data assimilation techniques and various ~~ecosystem~~ecological state variables for different ecosystems. Case studies presented in earlier sections are based primarily on one model. A multiple (or ensemble) model approach is helpful in tracking uncertainty sources from our process understanding. With rapid evolving ecological knowledge, emerging models with different hypotheses, such as the microbial-enzyme model [Wieder *et al.*, 2013], enhance our capacity in ecological prediction but can also benefit from rapid tests against data if incorporated into EcoPAD- (v1.0). In addition to MCMC [Braswell *et al.*, 2005; Xu *et al.*, 2006], a variety of data assimilation techniques have been recently applied to improve models for ecological forecasting, such as the EnKF [Gao *et al.*, 2011], Genetic Algorithm [Zhou and Luo, 2008] and 4-d variational assimilation [Peylin *et al.*, 2016]. Future development will incorporate different optimization techniques to offer users the option to search for the best model parameters by selecting and comparing the possibly best method for their specific ~~studystudies~~. We focus mostly on carbon related state variables in the SPRUCE example, and the data assimilation system in EcoPAD (v1.0) needs to include more observed variables for constraining model parameters. For example, the NEON sites not only provide measured ecosystem CO<sub>2</sub> fluxes and soil carbon stocks, but also resources (e.g., GPP/Transpiration for water and GPP/intercepted PAR for light) use efficiency [Johnson *et al.*, 2010]. ~~With these improvements, one goal of the EcoPAD is to enable the research community~~

~~to run models and forecast various aspects of future biogeochemical changes as data becomes available,~~

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With these improvements, one goal of EcoPAD (v1.0) is to enable the research community to understand and reduce forecasting uncertainties from different sources and forecast various aspects of future biogeochemical and ecological changes as data become available. The example of Jiang *et al.* [2018] partitioned forecasting uncertainty from forcings and parameters. An exhaustive understanding of forecasting uncertainty in ecology need to also consider model structures, data assimilation schemes as well as different ecological state variables. Researchers interested in creating their own multiple model and/or multiple assimilation scheme version of EcoPAD (v1.0) can start from the GitHub repository (<https://github.com/ou-ecolab> ) where the source code of the EcoPAD (v1.0) workflow is archived. To add a new variable that is not forecasted in the EcoPAD-SPRUCE example, it requires modellers and experimenters to work together to understand their process-based model, their observations and how messaging works in the workflow of EcoPAD (v1.0) following the example of EcoPAD-SPRUCE. To add a new model or a new data assimilation scheme for variables that are forecasted in EcoPAD-SPRUCE, researchers need to create additional dockers and mount them to the existing workflow with the knowledge of how information are passed within the workflow.

The power of EcoPAD (v1.0) not only lies in its scientific values, but also in the potential service it can bring to the society. Forecasting with carefully quantified uncertainty is helpful in providing support for natural resource manager and policy maker [Clark *et al.*, 2001]. It is always difficult to bring the complex mathematical ecosystem models to the general public, which creates a gap between current scientific advance and public awareness. The web-based

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interface from EcoPAD (v1.0) makes ~~modeling~~modelling as easy as possible without losing the connection to the mathematics behind the models. It will greatly transform environmental education and encourage citizen science [Miller-Rushing et al., 2012; Kobori et al., 2016] in ecology and climate change with future outreach activities to broadcast the EcoPAD (v1.0) platform.

## 5 Conclusion

The fully interactive web-based Ecological Platform for Assimilating Data (EcoPAD) into models aims to promote data-model integration towards predictive ecology through bringing the complex ecosystem model and data assimilation techniques ~~easily~~accessible to different audience. It is supported by meta-databases of biogeochemical variables, libraries of modules of process models, toolbox of inversion techniques and ~~easily~~the scalable scientific workflow. Through these components, it automates data management, model simulation, data assimilation, ecological forecasting, and result visualization, providing an open, convenient, transparent, flexible, scalable, traceable and readily portable platform to systematically conduct data-model integration towards better ecological forecasting.

We illustrated several of its functionalities through the Spruce and Peatland Responses Under Climatic and Environmental change (SPRUCE) experiment. The iterative forecasting approach from EcoPAD-SPRUCE through the prediction-question-discussion-adjustment-prediction cycle and extensive communication between model and data creates a new paradigm to best inform forecasting. In addition to forecasting, EcoPAD enables interactive web-based approach to conduct model simulation, estimate model parameters or state variables, quantify uncertainty of estimated parameters and projected states of ecosystems, evaluate model structures, and assess sampling strategies. Altogether, EcoPAD-SPRUCE creates a smart

interactive model-experiment (ModEx) system from which experimenters can know what an ecosystem's response might be at the beginning of their experiments, constrain models through collected measurements, predict ecosystem's response in the rest of the experiments, adjust measurements to better understand their system, periodically update projections and improve models, the data assimilation system, and field experiments.

Specifically to forecasting carbon dynamics, EcoPAD-SPRUCE revealed that better forecasting relies on improvements in model structure, parameterization and accurate external forcing. Accurate external forcing is critical for short-term flux-based carbon predictions while right process understanding, parameterization and historical information are essential for long-term pool-based predictions. In addition, EcoPAD provides an avenue to disentangle different sources of uncertainties in carbon cycling studies and to provide reliable forecasts with accountable uncertainties.

**Code availability:**

EcoPAD portal is available at [https://ecolab.nau.edu/ecopad\\_portal/](https://ecolab.nau.edu/ecopad_portal/) and code is provided at the GitHub repository (<https://github.com/ou-ecolab>).

**Data availability:**

Relevant data for this manuscript is available at the SPRUCE project webpage (<https://mnspruce.ornl.gov/>) and the EcoPAD web portal ([https://ecolab.nau.edu/ecopad\\_portal/](https://ecolab.nau.edu/ecopad_portal/)). Additional data can be requested from the corresponding author.

**Competing interests:**

The authors declare that they have no conflict of interest.

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## Tables

Table 1. Discussion stimulated by EcoPAD-SPRUCE forecasting among ~~modelers~~ modellers and experimenters on how to improve predictions of the relative contribution of different pathways of methane emissions

	Discussion
1	No strong bubbles are noted at field and a non-observation constrained <del>modeling</del> <u>modelling</u> study at a similar site from another project concluded minor ebullition contribution, which are at odds with TECO result.
2	CH <sub>4</sub> :CO <sub>2</sub> ratio might explain the discrepancy. The other <del>modeling</del> <u>modelling</u> study assumed that decomposed C is mainly turned into CO <sub>2</sub> and a smaller fraction is turned into CH <sub>4</sub> . The large CH <sub>4</sub> :CO <sub>2</sub> ratio at this site may result in higher CH <sub>4</sub> flux. It seems that the most "flexible" term is ebullition because any "excess" (above saturation) CH <sub>4</sub> is immediately released to ebullition, while the plant transport term is constrained by vegetation data.
3	Experimental researches on the relative contribution to methane emission from three different pathways are rare.
4	Current available observations include net surface flux of methane from the large collars, incubation data that should represent methane sources within the profile, and gas/DOC profile data that can indicate active zones within the peat profile. What are additional data needed to constrain relative contribution of different pathways?
5	I had always thought that peatlands don't bubble much, but the super-sensitive GPS measurements found movements of the surface of the GLAP peatlands consistent with degassing events, and subsurface radar images did show layers that were interpreted as bubble-layers.
6	Pore water gas data, perhaps N <sub>2</sub> or Ar may shed some light on the relative importance of ebullition.
7	It is really hard to accurately distinguish the three pathways. It has to rely on multiple approaches. Particularly for the SPRUCE site, the vegetation cover varies, vegetation species varies. How many channels each species has affect the transport? Meanwhile, the presence of plant (even not vascular plant) will lead to more gas transport, but as bubbles, rather than plant-mediated transport.
8	It depends on model structure and algorithm to simulate diffusion, vascular, and ebullition. Most models assume a threshold to allow ebullition. Diffusion is treated in similar ways as ebullition in some models (most one layer or two layers models). For the multiple layers models, the diffusion occurs from bottom to top mm by mm, layer by layer, therefore, the gas diffusion from top layer to atmosphere is considered the diffusion flux. If that is the case, the time step and wind speed and pressure matter (most models do not consider wind and pressure impacts). Plant transport is really dependent on the parameter for plant species, aerenchyma, etc. The gas transportability of plant is associated with biomass, NPP, or root biomass, seasonality of plant growth, etc. in models. All these differences might cause biases in the final flux.
9	With only the CH <sub>4</sub> emission data cannot constrain the relative contribution of three pathways. Concentration data in different soil layers may help constrain.
10	Diffusion coefficient calculation in TECO adopts the "three-porosity-model" which is ideal for mineral soil, but may not fit the organic soil. "Millington-Quirk model" for should be a better choice for peat soil.
11	The boundary condition should be taken care of, but it brings in more uncertainties including the wind speed and piston velocity, etc.,
12	CH <sub>4</sub> emissions captured in static chambers does not include the episodic ebullition events. So (1) the static chambers underestimate the total methane emission and (2) might need to exclude the ebullition pathway when using the observation data to constrain the CH <sub>4</sub> emission. But this point seems haven't been paid attention to in other models.

## Figure Legends

**Figure 1** Schema of approaches to forecast future ecological responses from common practice (the upper panel) and the Ecological Platform for Assimilation of Data (EcoPAD) (bottom panel). The common practice makes use of observations to develop or calibrate models to make predictions while the EcoPAD approach advances the common practice through its fully interactive platform. EcoPAD consists of four major components: experiment/data, model, data assimilation and the scientific workflow- (green arrows or lines). Data and model are iteratively integrated through its data assimilation systems to improve forecasting. And its near-real time forecasting results are shared among research groups through its web interface to guide new data collections. The scientific workflow enables web-based data transfer from sensors, model simulation, data assimilation, forecasting, result analysis, visualization and reporting, encouraging broad user-model interactions especially for the experimenters and the general public with limited background in modeling-modelling. Images from the SPRUCE field experiments (<https://mnspruce.ornl.gov/>) are used to represent data collection and the flowchart of TECO model is used to delegate ecological models.

**Figure 2** The data assimilation system inside the Ecological Platform for Assimilation of Data (EcoPAD) towards better forecasting of terrestrial carbon dynamics

**Figure 3** The scientific workflow of EcoPAD. The workflow wraps ecological models and data assimilation algorithms with the docker containerization platform. Users trigger different tasks through the Representational State Transfer (i.e., RESTful) application-programming interface (API). Tasks are managed through the asynchronous task queue, Celery. Tasks can be executed concurrently on a single or more worker servers across different scalable IT infrastructures.

MongoDB is a database software that takes charge of data management in EcoPAD and RabbitMQ is a message broker.

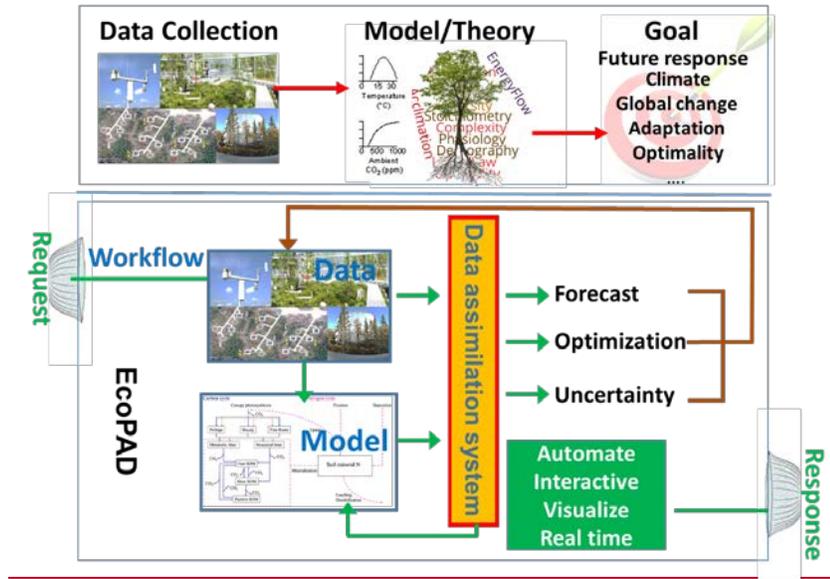
**Figure 4.** ~~Near time forecasting of EcoPAD-SPRUCE. EcoPAD automatically synchronizes real time observations from environmental sensors managed by the SPRUCE experimental communities. Data from observations are assimilated and used to update forecasting. Weekly forecasting results are displayed in the EcoPAD-SPRUCE web portal ([http://ecolab.cybercommons.org/ecopad\\_portal/](http://ecolab.cybercommons.org/ecopad_portal/)) as well as sent back to the experimental groups to guide future experimental design and sampling.~~

**Figure 5.** Schema of interactive communication between ~~modelers~~modellers and experimenters through the prediction-question-discussion-adjustment-prediction cycle to improve ecological forecasting. The schema is inspired by an episode of experimenter-~~modeler~~modeller communication stimulated by the EcoPAD-SPRUCE platform. The initial methane model constrained by static chamber methane measurements was used to predict relative contributions of three methane emission pathways (i.e., ebullition, plant mediated transportation (PMT) and diffusion) to the overall methane fluxes under different warming treatments (+ 0 °C, +2.25 °C, +4.5 °C, +6.75 °C and +9 °C). The initial results indicated a dominant contribution from ebullition especially under +9 °C which was doubted by experimenters. The discrepancy stimulated communications between ~~modelers~~modellers and experimenters with detailed information listed in Table 1. After extensive discussion, the model structure was adjusted and field observations were ~~reevaluated~~re-evaluated. And a second round of forecasting yielded more reliable predictions.

**Figure 65.** Posterior distribution of the ratio of CH<sub>4</sub>:CO<sub>2</sub> (panel a) and the temperature sensitivity of methane production (Q<sub>10\_CH4</sub>, panel b) under 5 warming treatments.

**Figure 7.** ~~Realized~~ 6. Updated vs. ~~unrealized~~ un-updated forecasting of gross primary production (GPP, panels a,c) and soil organic C content (SoilC, panels b,d). The upper panels show 3 series of forecasting with ~~different~~ updated vs. stochastically generated weather forcing. Cyan indicates forecasting with 100 stochastically generated weather forcing from January 2015 to December 2024 (S1); red corresponds to ~~realized~~ updated forecasting with two stages, that is, updating with measured weather forcing from January 2015 to July 2016 followed by forecasting with 100 stochastically generated weather forcing from August 2016 to December 2024 (S2); and blue shows ~~realized~~ updated forecasting with measured weather forcing from January 2015 to December 2016 followed by forecasting with 100 stochastically generated weather forcing from January 2017 to December 2024 (S3). The bottom panels display mismatches between ~~realized~~ updated forecasting (S2,3) and the original ~~unrealized~~ un-updated forecasting (S1). Red displays the difference between S2 and S1 (S2-S1) and blue shows discrepancy between S3 and S1 (S3-S1). Dashed green lines ~~indicates~~ indicate the start of forecasting with stochastically generated weather forcing. Note that the left 2 panels are plotted on yearly time-scale and the right 2 panels show results on monthly time-scale.

Figure 1



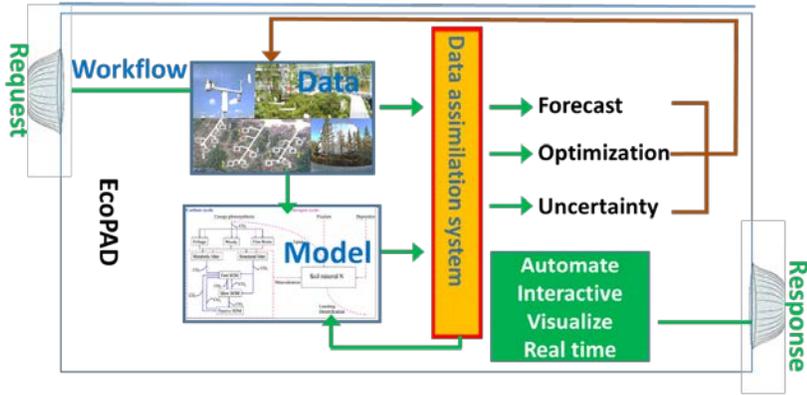
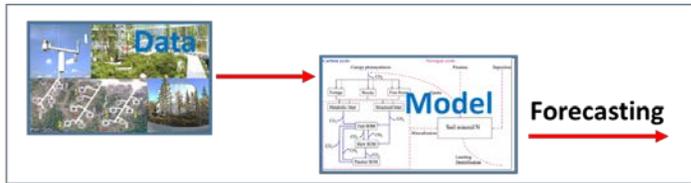


Figure 2

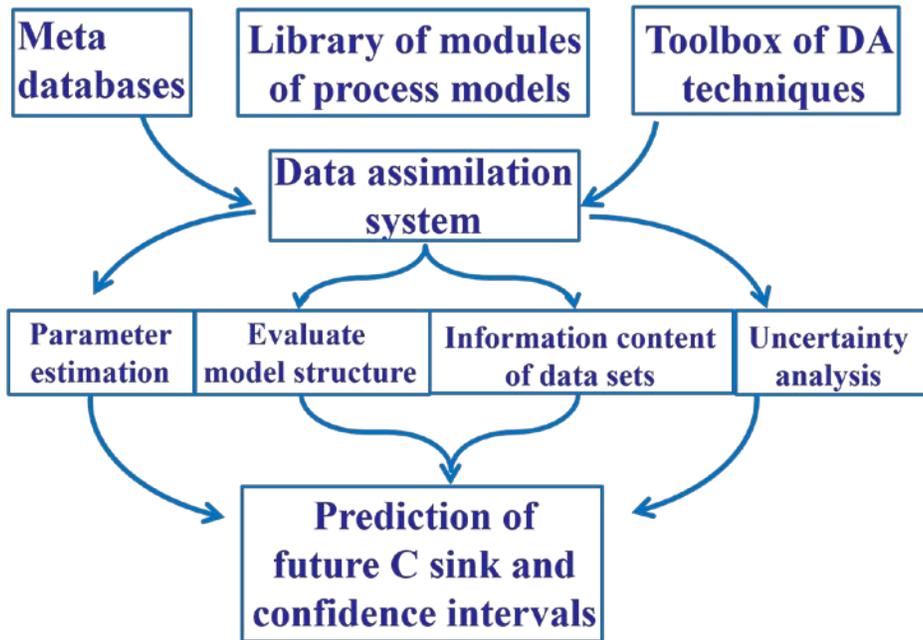
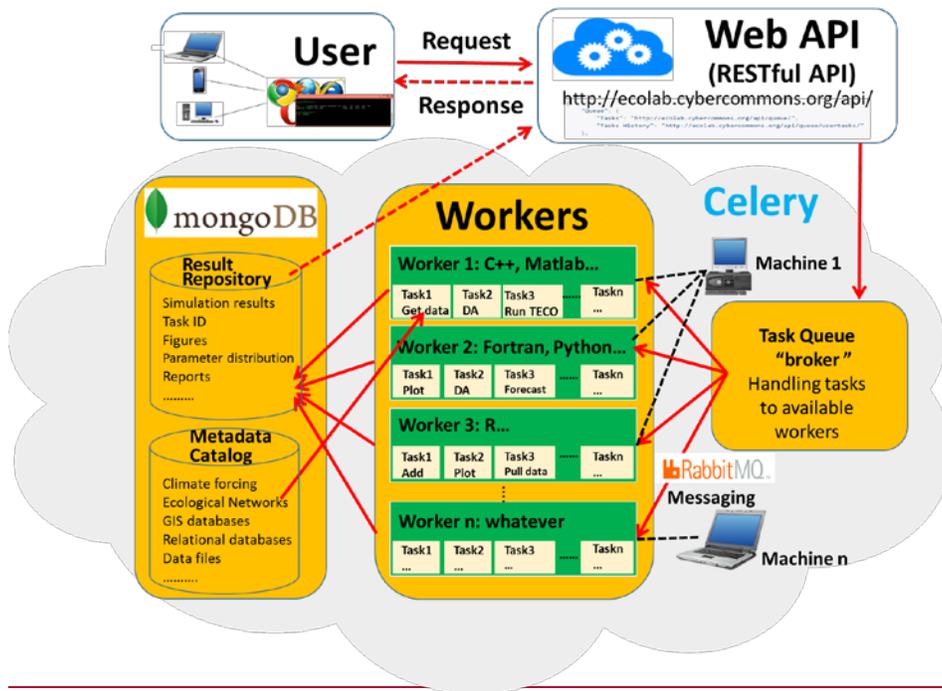
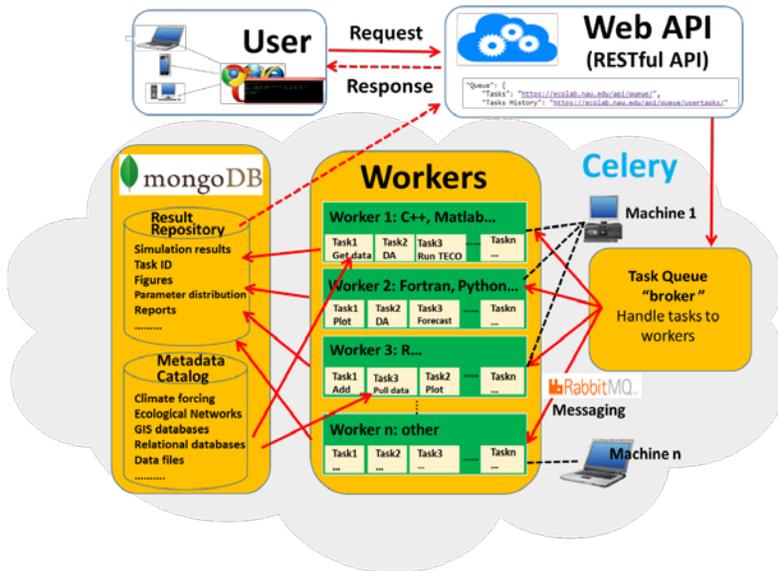


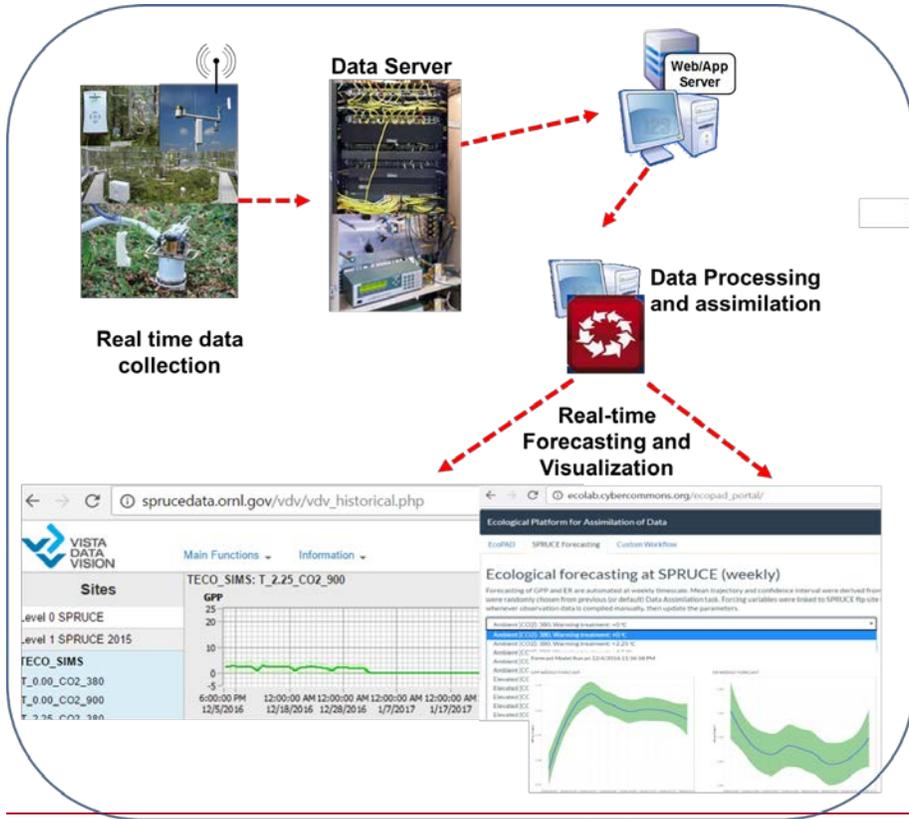
Figure 3





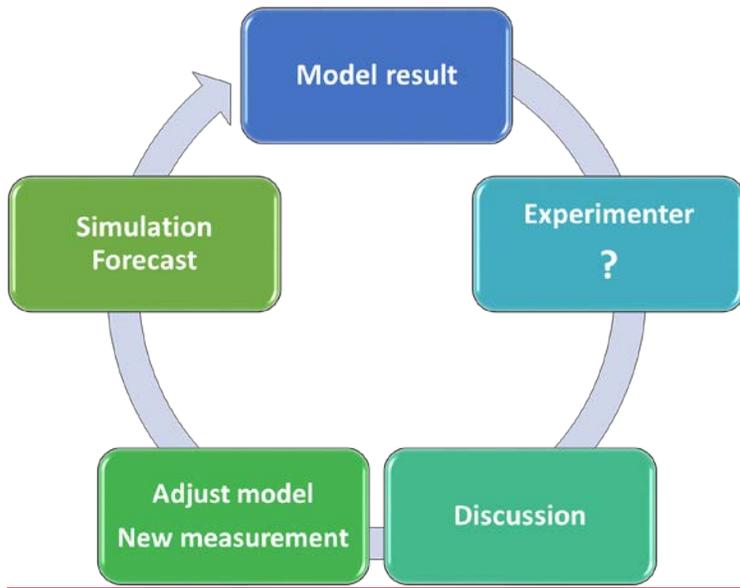
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2 **Figure 4**



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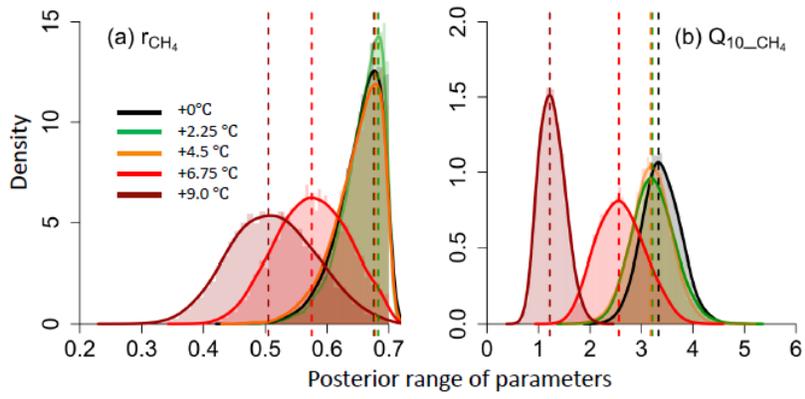


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7 **Figure 5**

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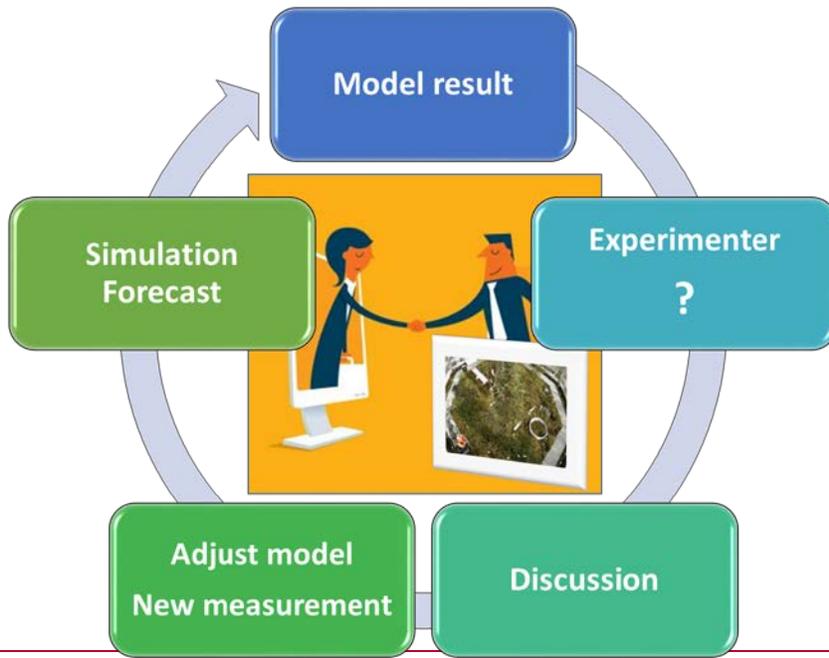
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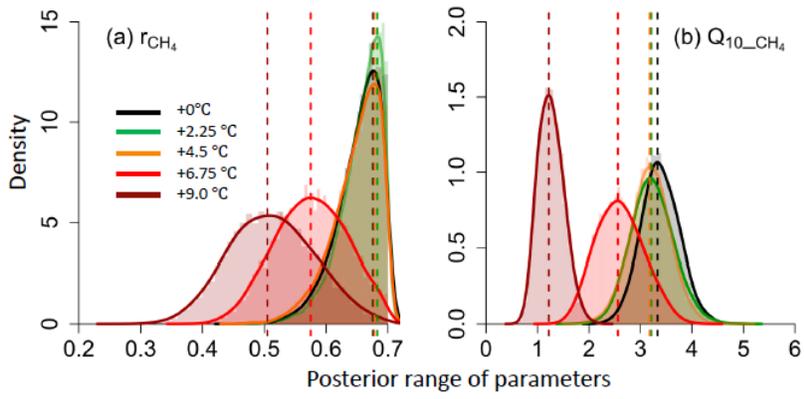
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17 **Figure 6**

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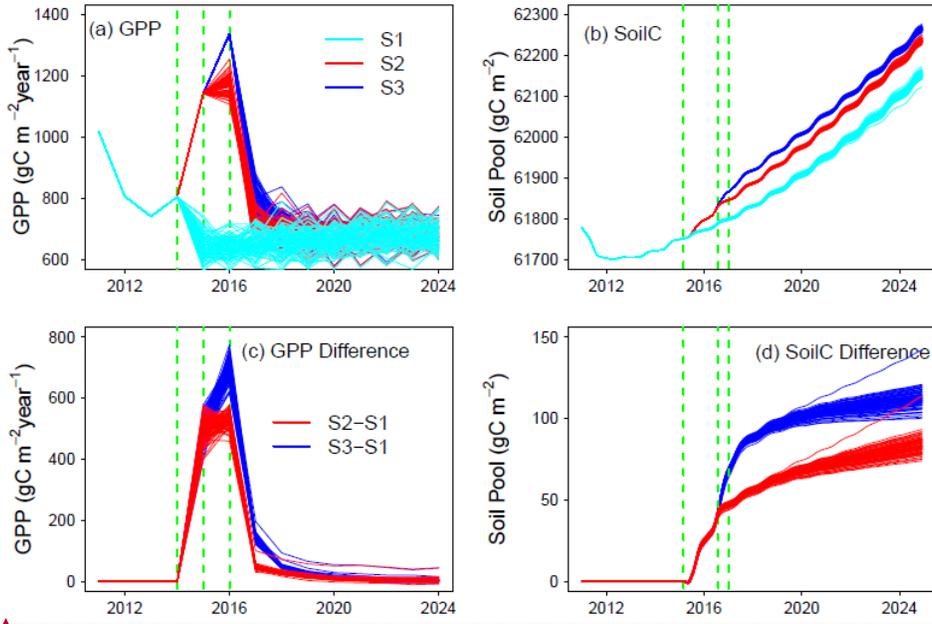
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24 **Figure 7**



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