



1 **Taiwan Earth System Model Version 1: Description and Evaluation of Mean**  
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15 **Abstract.**

16 The Taiwan Earth System Model (TaiESM) version 1 is developed based on Community Earth  
17 System Model version 1.2.2 of National Center for Atmospheric Research. Several innovated  
18 physical and chemical parameterizations, including trigger functions for deep convection, cloud  
19 macrophysics, aerosol, and three-dimensional radiation–topography interaction, as well as a one-  
20 dimensional mixed-layer model optional for the atmosphere component, are incorporated. The  
21 precipitation variability, such as diurnal cycle and propagation of convection systems, is improved in  
22 TaiESM. TaiESM demonstrates good model stability in the 500-year preindustrial simulation in  
23 terms of the net flux at the top of the model, surface temperatures, and sea ice concentration. In the  
24 historical simulation, although the warming before 1935 is weak, TaiESM well captures the  
25 increasing trend of temperature after 1950. The current climatology of TaiESM during 1979–2005 is  
26 evaluated by observational and reanalysis datasets. Cloud amounts are too large in TaiESM, but their  
27 cloud forcing is only slightly weaker than observational data. The mean bias of the sea surface  
28 temperature is almost zero, whereas the surface air temperatures over land and sea ice regions exhibit  
29 cold biases. The overall performance of TaiESM is above average among models in Coupled Model  
30 Intercomparison Project phase 5, particularly that the bias of precipitation is smallest. However,  
31 several common discrepancies shared by most models still exist, such as the double Intertropical  
32 Convergence Zone bias in precipitation and warm bias over the Southern Ocean.

33



34 **1. Introduction**

35 The Earth system model (ESM) is a state-of-the-art tool that can simulate the long-term  
36 evolution of the climate system including the atmosphere, ocean, land, and cryosphere and provide  
37 future projections from the scientific aspect to study the impact of global climate change on the  
38 natural environment, ecosystem, and human society (IPCC 5th Assessment Report, 2013). Because  
39 of the constraint of computing power, the spatial resolution of ESMs participated in the Coupled  
40 Model Intercomparison Project Phase 5 (CMIP5; Taylor et al. 2012) is generally on the order of  
41 approximately 100 km. However, this coarse resolution is unsuitable for climate studies in the  
42 Taiwan area because this island is 400 km long and 150 km wide, which occupies only several grid  
43 boxes in these ESMs. For the Taiwanese scientific community, developing a global model to provide  
44 climate data in various future scenarios with high temporal resolutions—daily or hourly—for  
45 dynamical or statistical downscaling is desirable. Taiwan’s National Science Council (now Ministry  
46 of Science and Technology) has accordingly launched a project to increase climate modeling  
47 capability and capacity in Taiwan, the core component of which is Taiwan Earth System Model  
48 (TaiESM) development.

49 In Taiwan, manpower and expertise for climate research are limited; thus, we could not create an  
50 ESM from scratch. Therefore, TaiESM version 1 is developed on the basis of the Community Earth  
51 System Model version 1.2.2 (CESM1.2.2; Hurrell et al., 2013) from National Center for  
52 Atmospheric Research (NCAR) sponsored by National Science Foundation and the Department of  
53 Energy of the United States. TaiESM consists of the Community Atmosphere Model version 5.3  
54 (CAM5), Community Land Model version 4 (CLM4), Parallel Ocean Program version 2 (POP2), and  
55 Community Ice Code version 4 (CICE4). We replace or modify existing parameterizations in CAM5,  
56 including new trigger functions for the deep convection scheme (Wang et al., 2015), new cloud  
57 macrophysics scheme for cloud fraction calculation (Wang et al., 2018, Shiu et al., 2018), and a  
58 three-moment aerosol scheme (Chen et al., 2013). A novel parameterization for the impact of three-



59 dimensional (3D) radiation–topography interactions (Lee et al., 2013) is added to CLM4. In addition,  
60 a one-dimensional (1D) mixed-layer ocean model with a high vertical resolution (Tsuang et al., 2009)  
61 is used for CAM5 with slab ocean simulation in TaiESM.

62 An object of TaiESM development is to improve the simulations of climate variability in various  
63 spatial and temporal scales for more reliable climate projections in Taiwan. Weather and climate in  
64 Taiwan is deeply affected by capricious East Asia/western North Pacific monsoon and typhoons. In  
65 addition, because of its small size and steep terrain, predicting the frequencies of severe weather and  
66 heavy precipitation in Taiwan is highly difficult (Hsu et al., 2011). Therefore, the parameterizations  
67 selected for TaiESM are for enhancing variability simulation. The trigger functions for the deep  
68 convection scheme in TaiESM, adopted from National Centers for Environmental Prediction (NCEP)  
69 Global Forecast System (GFS) with Simplified Arakawa–Schubert scheme (SAS; Pan and Wu, 1995;  
70 Han and Pan, 2011), aim to improve the timing of convective precipitation occurrence. As  
71 demonstrated by Lee et al. (2008), by using GFS, these trigger functions are key to improved  
72 simulations of the diurnal rainfall cycle over the Southern Great Plains (SGP) in the United States.  
73 The parameterization for 3D radiation-topography interactions account for the effects of shadows  
74 and reflections from subgrid topographic variation on the surface solar flux (Lee et al., 2011) for  
75 application to general circulation models (GCMs). The high-resolution 1D mixed-layer model can  
76 resolve fast change in the skin temperature of the sea surface (Tu et al., 2005).

77 The organization of this paper is as follows: Section 2 describes TaiESM, particularly the new  
78 and modified schemes different from CESM1.2.2. Section 3 presents the design of model  
79 experiments. Sections 4 and 5 provide the description of TaiESM performance in preindustrial and  
80 historical simulations, respectively. Summary and conclusions are given in Section 6.

81

## 82 **2. Model description**

83 The development of TaiESM is based on CESM1.2.2, in which the ocean, sea ice, and river



84 components, as well as the infrastructure of the model, remain unchanged. For the atmosphere,  
85 several physical and chemical parameterizations are modified, as two trigger functions are added to  
86 the default deep convection scheme, and cloud macrophysics and aerosol schemes are replaced. A  
87 parameterization of surface albedo adjustment is added to CLM4 to account for the topographic  
88 effect on surface solar radiation. In addition, a 1D mixed-layer ocean model is integrated to TaiESM  
89 for simulations of CAM5 coupled with a slab ocean.

90

## 91 **2.1. Atmosphere**

92 The atmosphere model in TaiESM is based on CAM version 5.3 (Neale et al., 2010). The  
93 dynamic core is finite volume (Lin, 2004) in a hybrid sigma-pressure vertical coordinate. The Rapid  
94 Radiative Transfer Model for GCMs (RRTMG; Iacono et al., 2008) with two-stream approximation,  
95 correlated  $k$ -distribution, and Monte Carlo Independence Column Approximation (McICA; Pincus et  
96 al., 2003) is employed to calculate radiative fluxes and heating rates in the atmosphere. The shallow  
97 convection and moist turbulence schemes are based on those reported by Park and Bretherton (2009)  
98 and Bretherton and Park (2009), respectively. A two-moment cloud microphysics scheme (Morrison  
99 and Gettelmen, 2008) is used to predict changes in the mass and number of cloud droplets and to  
100 diagnose stratiform precipitation.

101

### 102 **2.1.1. Trigger function for deep convection**

103 Convective trigger function is a critical part of the cumulus parameterization scheme to  
104 determine the initiation of precipitating convection and thus has a critical role in rainfall variability  
105 simulation. With the Zhang–McFarlane scheme framework (Zhang and McFarlane, 1999; Neale et  
106 al., 2008), TaiESM has adopted two convection triggers proposed by Wang et al. (2015): unrestricted  
107 launching level (ULL) and convective inhibition (CIN). Wang et al. (2015) reported significant  
108 improvements in the diurnal rainfall peak at the Atmospheric Radiation Measurement (ARM) SGP



109 site, mainly because of the suppression of daytime spurious convection by the CIN trigger and  
110 initiation of nighttime mid-level convection by ULL trigger. ULL may also aid in improving diurnal  
111 rainfall phase in many other areas worldwide when implemented in the newly developed Energy  
112 Exascale Earth System Model version 1 (E3SMv1) of the U.S. Department of Energy (Xie et al.,  
113 2019).

114 Similar to that in GFS, improvement in the diurnal rainfall cycle is found in TaiESM. Figure 1  
115 displays local times (LTs) of the diurnal rainfall peak occurrence, referred to as the peak phase from  
116 the 11-year (2001–2011) Tropical Rainfall Measuring Mission (TRMM) merged satellite data  
117 (Huffman et al. 2007) and the historical model runs during 1979–2005. Two distinct changes in  
118 diurnal rainfall cycle are found in TaiESM compared with those in CESM1.2.2. First, the diurnal  
119 rainfall peak over the tropical lands, such as the Central Africa and the Amazon basin, are delayed to  
120 14–18 LT from the 12–14 LT peak phase of CESM1.2.2. A similar delay is also observed in islands  
121 such as Borneo. Second, nocturnal rainfall in TaiESM is increased compared with that in CESM1.2.2,  
122 particularly in coastal and topographical regions where propagating convective organizations  
123 emitting from the coastline or topographical regions (Kikuchi and Wang, 2010), demonstrated as the  
124 gradual phase change in Figure 1, such as the eastern slope of the Rocky Mountains.

125 Figure 2 shows the Hovmöller diagram of longitude and local time for TaiESM, CESM1.2.2,  
126 and TRMM observations over SGP (35°N–40°N, 90°W–110°W). Convection occurs at 104°W in the  
127 evening and propagates eastward in the observation (Carbone and Tuttle, 2008). In CESM1.2.2,  
128 convection occurs in the early afternoon and peaks before midnight, but it is stationary at the same  
129 location. TaiESM successfully captures the eastward propagation of the rainfall and a better  
130 occurrence time of convection in the late afternoon, as well as the more realistic rainfall intensity.  
131 This result is consistent with the single-column model tests of Wang et al. (2015), indicating that  
132 their proposed convective trigger may be the cause of these improvements. Furthermore, Wang and  
133 Hsu (2019) demonstrate that the improvement of nocturnal rainfall over SGP is mainly from the



134 superior response of the ULL + CIN convective trigger to the low-level convergence between the  
135 branch of mountain-plain solesoid and low-level jet from Gulf of Mexico. With the horizontal  
136 resolution at an order of 100 km, this result suggests that the convective trigger of TaiESM captures  
137 the large-scale preconditioning associated with the convective organization there (Dirmeyer et al.,  
138 2011), rather than only the convective systems itself.

139

#### 140 **2.1.2. Cloud fraction**

141 The cloud macrophysics scheme used in TaiESM is the GFS–TaiESM–Sundqvist (GTS) scheme.  
142 It was first developed for the NCEP GFS model and has been further used for the TaiESM. Similar  
143 to that in many numerical weather prediction and global climate models, the GTS scheme is based on  
144 the Sundqvist scheme (Sundqvist et al., 1989), which calculates changes in cloud condensates in a  
145 grid box on the basis of the budget equation for relative humidity (RH) with large-scale advection.  
146 The CAM5 macrophysics (Park et al., 2014) follows this approach and assumes empirical values of  
147 critical RH ( $RH_c$ ) as the threshold of condensation. The key difference of the GTS scheme from the  
148 CAM5 macrophysics is the re-derivation of the equation relating the change in the subgrid-scale  
149 cloud condensate using the distribution width of mixing ration of total water ( $q_i$ ) to replace  $RH_c$ , as  
150 indicated in Tompkins (2005). The unnecessary use of  $RH_c$  is consequently removed to allow an  
151 improved correlation among cloud fraction, RH, and condensates.

152 Figure 3 illustrates cloud fraction as a function of RH of water vapor ( $q_v/q_s$ ) and RH of  
153 condensates ( $q_l/q_s$ ) for the CAM5 macrophysics and the GTS schemes with uniform and triangular  
154 probability density functions (PDFs) of  $q_i$  in a grid box. Given the same RH of water vapor, the PDF-  
155 based calculation allows larger cloud fraction if more cloud condensates exist in the grid than the  
156 CAM5 macrophysics. The difference in cloud fraction produced by two PDFs is small, implying that  
157 this scheme might not be very sensitive to the shape of the distribution. The triangular PDF provide  
158 additionally rapid changes in cloud fraction when the RH of condensates and water vapor changes,



159 and it is used as the default PDF of the GTS scheme.

160

### 161 **2.1.3. Aerosol**

162 The aerosol parameterization used in TaiESM is the Statistical-Numerical Aerosol  
163 Parameterization (SNAP; Chen et al., 2013). SNAP is a bulk parameterization, and the modal  
164 approach (Seigneur et al., 1986; Whitby and McMurry, 1997) is adapted to describe the particle size  
165 distribution. In contrast to conventional aerosol parameterizations in most ESMs, changes in the  
166 zeroth moment (number), second moment (surface area), and third moment (mass) due to physical  
167 processes are tracked in SNAP. The physical processes included in SNAP are emission, nucleation,  
168 coagulation, condensation, mixing, as well as dry and wet deposition. SNAP has been applied to the  
169 US EPA Models-3/Community Multi-scale Air Quality (CMAQ; Byun and Schere, 2006) modeling  
170 system and been verified by observations (Chen et al., 2013; Tsai et al., 2015) with Weather  
171 Research and Forecasting Model (WRF; Skamarock et al., 2008).

172

### 173 **2.2. Land**

174 The land model in TaiESM is CLM4 (Oleson et al., 2010; Lawrence et al., 2011). The surface  
175 albedo is primarily a function of vegetation, soil moisture, solar zenith angle, as well as snow  
176 reflectivity calculated by the Snow, Ice, and Aerosol Radiative Model (SNICAR; Flanner and Zender,  
177 2006), which considers the aerosol deposition of black carbon and dust, effective size of snow grains,  
178 and vertical profile of heating. As the albedo of a grid box is determined, it is then adjusted to  
179 include the topographic effect on surface solar radiation.

180 The parameterization for 3D radiation–topography interactions is to evaluate the impact of  
181 topography on surface solar radiation, including insolation on various slopes and aspects, shadow  
182 cast by nearby mountains, and reflections between surfaces (Lee et al., 2013). It is developed on the  
183 basis of the numbers of “exact” Monte Carlo calculation that simulates the scattering, reflection, and



184 absorption of photons within the 3D atmosphere and surface (Chen et al., 2006; Liou et al., 2007;  
185 Lee et al., 2011). The parameterization adjusts surface albedo so that the solar radiation absorbed by  
186 the surface in the land model corresponds with the results of the Monte Carlo calculation. Several  
187 topographic variables are used for input, including the slope, aspect, sky view factor, terrain  
188 configuration factor, standard deviation of elevation within a grid box, and solar zenith and azimuth  
189 angles. Gu et al. (2012) and Liou et al. (2013) demonstrate that this topographic effect can increase  
190 the amount of snowpack in the valley and enhance the snowmelt in mountains in the WRF  
191 simulations over the western United States. Lee et al. (2015, 2019) also demonstrate that  
192 incorporating this parameterization to the Community Climate System Model version 4 (CCSM4)  
193 can significantly improve the surface energy budget over the Rocky Mountains and the Tibetan  
194 Plateau and thus reduce the systematic cold bias in the CMIP5 models.

195

### 196 **2.3. Ocean and sea ice**

197 The sea ice and dynamic ocean components of TaiESM are from the CICE4 (Hunke and  
198 Lipscomb, 2008) and POP2 (Smith et al., 2010) of Los Alamos National Laboratory, respectively.  
199 The CICE4 and POP2 configurations in the fully coupled TaiESM simulations are identical to those  
200 in CESM1.2.2. To save computational resources, a zero-dimensional slab ocean model without  
201 dynamical process is commonly used to simulate the thermodynamic interaction between the  
202 atmosphere and ocean. In TaiESM, a 1D mixed-layer model is coupled with the atmosphere  
203 component to reveal the impact of the fast evolution in upper ocean layers.

204 The one-column ocean model Snow–Ice–Thermocline (SIT; Tu and Tsuang, 2005; Tsuang et al.  
205 2009) is designed to simulate the sea surface temperature (SST) and upper ocean temperature  
206 variations with a high vertical resolution, including cool skin, diurnal warm layer, and mixed-layer of  
207 the upper ocean. SIT calculates changes in temperature, momentum, salinity, and turbulent kinetic  
208 energy driven by vertical fluxes parameterized using the classical K approach. Cool skin is derived



209 by considering merely molecular transport for vertical diffusion of heat in the skin layer, where the  
210 skin layer thickness is calculated as described by Artale et al. (2002). Beneath the skin layer, eddy  
211 diffusivity is determined according to a second-order turbulence closure approach (Gaspar et al.,  
212 1990), and the 1-m vertical discretization is deployed down to a 10-m depth for resolving diurnal  
213 warm layer. Because of the lack of ocean circulation in the one-column ocean model, the calculated  
214 ocean temperatures are weakly nudged to climatology for ocean below 10-m depth to avoid climate  
215 drift. SIT and AGCM exchange SST and fluxes at every time step in tropics (30°S–30°N), whereas  
216 climatological SST drives the AGCM elsewhere. Note that SIT is not integrated with the dynamic  
217 ocean model (POP2); therefore, fully coupled TaiESM simulations do not include SIT.

218

### 219 **3. Experiment design**

220 The horizontal resolution of the atmosphere and land in TaiESM is 0.9° latitude by 1.25°  
221 longitude, with 30 vertical layers in the atmosphere. The ocean and sea ice components use the same  
222 horizontal resolution with 320 × 384 grid points (approximately 1°) and 60 vertical layers in the  
223 ocean. Currently, TaiESM is calibrated only to this set of resolutions, in which several microphysical  
224 properties of clouds are modified to minimize radiation imbalance at the top of the atmosphere  
225 (TOA). Additional model tuning would be required for stable simulations at higher or lower  
226 resolutions.

227 TaiESM is spun-up using CMIP5 preindustrial conditions, such as greenhouse gas  
228 concentrations, surface aerosol emissions, solar constant, and land-use types. Because TaiESM is  
229 considerably similar to CESM1.2.2, we use the model restart files of CESM1.2.2 for the 1850 control  
230 run as the initial condition to reduce the computation effort, particularly for the ocean component  
231 that may need more than a thousand years to reach a steady state. The spin-up integration continues  
232 for 500 years, and the climate state at the end of year 500 is used as the initial condition for the 500-  
233 year preindustrial control (hereafter piControl) simulation. The historical simulation then starts at the



234 end of piControl (i.e., year 1000) with observationally based forcing, including changes in the solar  
235 constant, greenhouse gas concentrations, surface aerosol emission, and volcanic eruptions, from  
236 1850 to 2005.

237

#### 238 **4. Model stability in piControl run**

239 In this section, the global means of several climatological variables in piControl run of TaiESM  
240 are evaluated. The climate drift from CESM1.2.2 initial conditions to TaiESM equilibrium during the  
241 spin-up is also assessed to represent differences between the two models caused by the new or  
242 modified physical processes in TaiESM.

243

##### 244 **4.1. Time series of climate states**

245 Figure 4 illustrates the time series of several global mean variables in TaiESM piControl. The  
246 long-term global mean TOA net flux is  $0.086 \text{ W m}^{-2}$ , and it decreases by  $0.0054 \text{ W m}^{-2}$  in 500 years  
247 but insignificantly. Furthermore, the mean surface net flux is  $0.081 \text{ W m}^{-2}$  with an almost identical  
248 decreasing trend as TOA net flux. The imbalance at TOA causes heating of the whole model system,  
249 and the less imbalance at the surface indicates a smaller part of excessive energy remains in the  
250 atmosphere in piControl. Consequently, the long-term trend of surface air temperature (SAT) is  
251  $0.0088 \text{ K century}^{-1}$  in 500 years, which is significant. By contrast, the trend of SST is  $0.0047 \text{ K}$   
252  $\text{century}^{-1}$ , only about half of the SAT trend and insignificant. By breaking down the surface net flux,  
253 we found that the energy exchange between the atmosphere and land is less than  $10^{-5} \text{ W m}^{-2}$ ,  
254 whereas the net flux into the ocean is  $0.114 \text{ W m}^{-2}$  (figures not shown). The excessive energy enters  
255 the deep ocean and leads to a steady increase in global mean ocean temperature of  $0.030 \text{ K century}^{-1}$ .  
256 Therefore, even after a 1000 years' simulation, the system does not reach the thermodynamic  
257 equilibrium. In addition, considering that the heat capacity of the entire ocean is approximately 1000  
258 times larger than the atmosphere, the heating rates of the atmosphere caused by the residual net flux



259 (0.005 W m<sup>-2</sup>) is too small compared with the heating rate of the ocean. It implies that an unknown  
260 energy leak may exist in the coupling between the atmosphere and ocean, which requires further  
261 investigation in programming to fix this problem.

262 The annual mean time series of sea ice area in the Northern Hemisphere (NH) and Southern  
263 Hemisphere (SH) are exhibited in the bottom panels of Figure 4. The Arctic sea ice has a small but  
264 significant trend of  $-0.01 \times 10^6 \text{ km}^2 \text{ century}^{-1}$ , corresponding to the slight warming of the entire  
265 model fairly well. By contrast, the linear trend of the sea ice area in the Southern Ocean over the  
266 500-year span is almost zero, even though the variation is much larger. The minimal change in the  
267 sea ice area indicates that the energy gain of the cryosphere could be negligible compared with other  
268 model components.

269 The global mean sea surface salinity (SSS) reduces significantly by  $-0.0036 \text{ g kg}^{-1} \text{ century}^{-1}$ .  
270 However, it can be found that SSS is almost constant with a slope of about  $10^{-4} \text{ g kg}^{-1} \text{ century}^{-1}$  after  
271 year 700. On the other hand, there is a small but significant decreasing trend of the global mean  
272 ocean salinity of  $1.3 \times 10^{-4} \text{ g kg}^{-1} \text{ century}^{-1}$ , which is very close to the trend of SSS in the last 300  
273 years. This reduction is probably related to the additional freshwater flux from the decrease in Arctic  
274 sea ice area. In addition, the long-term mean of evaporation minus precipitation (E - P) is  $-1.16 \text{ mm}$   
275  $\text{day}^{-1}$ , and it may also contribute to the freshening of the ocean.

276

#### 277 **4.2. Comparison with CESM**

278 The long-term means of several variables in piControl runs performed by CESM1.2.2 and  
279 TaiESM are listed in Table 1. The TOA net flux in TaiESM and CESM1.2.2 are both within  $0.09 \text{ W}$   
280  $\text{m}^{-2}$ . The magnitude of imbalance is acceptable, but it could lead to warming of the entire Earth  
281 system. The SAT and SST in TaiESM are higher than those in CESM1.2.2 by 0.42 and 0.23 K,  
282 respectively. Shortwave (SW) net flux at TOA in TaiESM is larger than CESM1.2.2 by  $2.24 \text{ W m}^{-2}$ ,  
283 which might be the primary cause of higher surface temperatures and consequently result in larger



284 longwave (LW) net flux at TOA of  $2.23 \text{ W m}^{-2}$ . The difference in the clear-sky net SW flux at TOA  
285 is only  $0.66 \text{ W m}^{-2}$ , suggesting that the surface albedo difference is small, whereas the contribution  
286 from the difference in cloud reflection is larger. Although the high and low cloud covers in TaiESM  
287 are larger than those in CESM1.2.2, the magnitude of SW cloud forcing (SWCF) is smaller in  
288 TaiESM. It indicates that clouds in TaiESM are less reflective than that those in CESM1.2.2. By  
289 contrast, the differences in clear-sky net LW flux at TOA and LW cloud forcing (LWCF) are 1.67  
290 and  $0.59 \text{ W m}^{-2}$ , respectively; therefore, the warmer surface and atmosphere have greater  
291 contribution to addition outgoing longwave radiation (OLR) in TaiESM. However, the amount of  
292 high cloud in TaiESM is substantially larger than that in CESM1.2.2. This implies that the high  
293 clouds in TaiESM could be optically thinner. The relation between cloud forcing and cloud cover in  
294 SW and LW in TaiESM must be due to the GTS scheme, which can produce larger fraction but less  
295 dense clouds compared with the cloud microphysics scheme in CAM5.

296

## 297 **5. Historical simulation**

298 In this section, we evaluate the performance of TaiESM historical simulation with the  
299 observation or reanalysis data. The temporal evolution of global mean temperature from the  
300 preindustrial to present day is assessed. The mean states of the current climate, defined as the period  
301 of 1979–2005, in the historical simulation are used for comparison.

302

### 303 **5.1. Global mean temperature evolution**

304 Figure 5 illustrates changes in global mean near-surface temperature anomaly of TaiESM and  
305 two observations, Berkeley Earth Surface Temperature (BEST; Rohde et al., 2013) and Goddard  
306 Institute for Space Studies Surface Temperature (GISTEMP; Lenssen et al., 2019), by using the  
307 mean temperature of 1951–1980 as the benchmark. The warming trend of TaiESM is weaker than  
308 the observation data during 1850–1935. The evolution of SAT in TaiESM exhibits fluctuation



309 similar to observations, particularly before 1900, but with smaller amplitudes. The magnitudes of  
310 cooling induced by major volcanic eruptions, such as Krakatoa (1883), Santa Maria (1902), Agung  
311 (1963), and Pinatubo (1991), in TaiESM is close to those in the observational data, implying that the  
312 radiative forcing due to stratospheric aerosols is in good agreement with the observations. After 1950,  
313 the change in SAT of TaiESM follows the observations and captures the trend of global warming  
314 very well. The warming rate of TaiESM during 1950–2005 is  $1.12 \text{ K century}^{-1}$ , comparable with  
315  $1.16$  and  $1.27 \text{ K century}^{-1}$  of BEST and GISTEMP, respectively.

316

## 317 **5.2. Cloud and radiation**

318 Figure 6a demonstrates the comparison in the total cloud fraction between TaiESM and  
319 Moderate Resolution Imaging Spectroradiometer (MODIS) Level 3 product during 2001–2012.  
320 TaiESM overestimates the total cloud fraction by approximately 3% globally with a root mean  
321 square difference (RMSD) of 14.07. Almost all of the Arctic Ocean is overcast in TaiESM, which is  
322 approximately 30% higher than observational data. Cloud fraction is also severely overestimated  
323 over the Antarctic continent and the Southern Ocean. TaiESM produces too much cloud over the  
324 southern branch of the Intertropical Convergence Zone (ITCZ) in the central and eastern Pacific,  
325 implying the prevalence of double ITCZ, which will be discussed in a subsequent section. Excessive  
326 amount of clouds is also noted in the maritime continent, western equatorial Indian Ocean, and most  
327 of the land areas. By contrast, cloud fraction is remarkably underestimated in the Amazon basin and  
328 the subtropical ocean, particularly the stratocumulus near the western coasts of continents. Compared  
329 with the synergic CloudSat and Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) data  
330 during 2006–2010 (Kay and Gettelman, 2009), low clouds in TaiESM are systematically  
331 underestimated over the entire tropical and subtropical regions, as shown in Figure 6b, whereas they  
332 are overestimated in high-latitude areas. The total cloud fraction in the tropics is high because of  
333 excessive high cloud in the model (Figure 6c).



334 Clouds can substantially modulate the radiation field because of its high reflectivity in SW and  
335 high absorptivity in LW. Figure 7a illustrates the comparison of SWCF in TaiESM with that in  
336 Clouds and the Earth's Radiant Energy System–Energy Balanced and Filled data (CERES–EBAF;  
337 Kato et al., 2018) over 2000–2015. In terms of the global mean, SWCF in TaiESM is very close to  
338 that of the observational data by  $0.19 \text{ W m}^{-2}$  larger. Although there is excessive cloud over the polar  
339 regions, such as the Southern Ocean near the Antarctic continent and almost all of the Arctic Ocean,  
340 in TaiESM, SWCF is not as strong as that in the observational data. It indicates that polar cloud in  
341 TaiESM is too thin optically, probably because of the GTS cloud macrophysics scheme. In the  
342 subtropical and tropical regions, SWCF generally follows the spatial pattern of total cloud fraction  
343 that a larger cloud fraction produces stronger SWCF, such as the storm track in the North Pacific,  
344 southern branch of ITCZ, maritime continent, western tropical Indian Ocean, and south of the Sahara  
345 Desert. However, SWCF is too strong over the Amazon basin in TaiESM, even though there is  
346 underestimated amount of clouds. By contrast, because of underestimated total cloud fraction, SWCF  
347 in TaiESM is too weak over the stratocumulus areas off the California and Peru coasts as well as  
348 over the subtropical Pacific, Atlantic, and Indian Oceans in the SH.

349 The global mean of LWCF in TaiESM is significantly weaker than that in CERES–EBAF by  
350  $4.31 \text{ W m}^{-2}$ . As illustrated in Figure 7b, TaiESM underestimates LWCF worldwide, and the  
351 magnitude of LWCF bias generally follows the bias of high cloud. Positive LWCF bias only exists in  
352 some regions over the tropical ocean with too many high clouds in TaiESM. However, although  
353 more high clouds exist along the northern branch of ITCZ, LWCF is weaker in the model. The  
354 remarkable negative LWCF bias seems incompatible with the overestimated high clouds because  
355 more high clouds should be able to intercept more LW radiation from the surface. This inconsistency  
356 is probably due to the lower altitude of the high clouds or the less dense clouds in TaiESM.

357

### 358 **5.3. Surface temperature**



359 Figure 8a illustrates the comparison of SST between TaiESM and Hadley Centre Sea Ice and  
360 Sea Surface Temperature dataset (HadISST; Rayner et al., 2003). The regions with a long-term mean  
361 sea ice concentration larger than 15% are not used for calculations of the mean and RMSD. The  
362 global mean bias of SST in TaiESM is 0.01 K with an RMSD of 1.05 K. The overestimated SST  
363 over the Southern Ocean and subtropical South Pacific is probably induced by additional downward  
364 SW radiation because of the inaccurate microphysical properties of polar clouds (Kay et al., 2016)  
365 and the negative bias of cloud fraction as shown in Figure 6a. The warm bias in the major upwelling  
366 regions off the western coasts of Americas and Africa is a common deficiency in many climate  
367 models (Griffies et al., 2009), caused by insufficient spatial resolution of the atmosphere and ocean.  
368 Warm bias can also be found in North Atlantic including the coast of North America, Labrador Sea,  
369 and south of Greenland. Negative biases exist in most of the North Pacific and subtropical North  
370 Atlantic, probably because of overestimated wind stress in these regions.

371 Although the SST bias in TaiESM is very small, the global mean SAT in TaiESM is  
372 substantially colder than the observational data by 0.49 K with an RMSD of 1.68 K. This result  
373 indicates that the temperature over land and sea ice in TaiESM is severely underestimated (Figure  
374 8b). Cold bias exists over most of the polar regions, the Tibetan Plateau, and tropical land areas (e.g.,  
375 Amazonia, Central Africa, and Southeast Asia). It must be due to the excessive cloud that reflects  
376 excessive sunlight. SAT bias over the ocean generally follows SST bias, except that the SAT bias in  
377 the subtropical South Pacific is very small despite the warm SST bias.

378

#### 379 **5.4. Precipitation**

380 Figure 9 illustrates the mean precipitation over 1979–2005 in TaiESM and Global Precipitation  
381 Climatology Project (GPCP; Huffman et al., 2009) 1-Degree Daily (1-DD) data. TaiESM  
382 overestimates the global precipitation by 0.38 mm day<sup>-1</sup> with an RMSD of 1.11 mm day<sup>-1</sup>. The most  
383 pronounced bias in TaiESM is the double ITCZ—a common issue in most contemporary GCMs (Lin,



384 2007, Hirota and Takayabu, 2013) and in CESM1.2.2 (Wang et al., 2015). The precipitation rates of  
385 both the northern and southern ITCZ branches are extremely strong. The overly intense convection  
386 strengthens the subsidence and consequently produces too little rainfall along the equator.  
387 Precipitation is also overestimated in the maritime continent, while it is severely underestimated in  
388 Borneo. In TaiESM, the land–sea contrast in precipitation is not as apparent as in the observation  
389 over the warm pool region. The South Pacific convergence zone (SPCZ) is also too strong and too  
390 parallel to the ITCZ. The dipole bias in the tropical Indian Ocean, excessive rainfall in the western  
391 part and scant rainfall in the eastern part, still exists as in NCAR models (Gent et al., 2011). There is  
392 also a double ITCZ bias in the Atlantic Ocean that the southern branch is too strong and the northern  
393 branch is too weak. In South America, precipitation over the Amazon basin is considerably  
394 underestimated, whereas excessive orographic precipitation can be found along the Andes (Cook et  
395 al., 2012).

396

### 397 **5.5. Sea ice**

398 Figure 10 presents the annual mean of sea ice concentration in the Arctic Ocean and Southern  
399 Ocean in TaiESM, and the black lines indicate the 15% mean concentration from the National Snow  
400 and Ice Data Center (NSIDC) Climate Data Record (CDR) of passive microwave sea ice  
401 concentration version 3 (Peng et al., 2013), during 1979–2005. In the NH, TaiESM severely  
402 overestimates sea ice concentration over the North Pacific, particularly in the Sea of Okhotsk.  
403 TaiESM also overestimates sea ice in the Barents Sea and near the east coast of Greenland but  
404 slightly underestimates sea ice in Labrador Sea. In the SH, sea ice in TaiESM is generally in  
405 agreement with the observation. Excessive sea ice is noted in the area south of New Zealand, but in  
406 the Indian Ocean region, sea ice is scant. This deviation follows the SST bias presented previously.

407 Figure 11 illustrates the temporal evolution of the annual sea ice concentration in TaiESM  
408 compared with that in the CDR. The change in NH sea ice in TaiESM generally captures the trend in



409 the observation before 2002. However, there is an increase in TaiESM in the last 4 years, in contrast  
410 to an accelerated reduction in observational data. This sea ice increase could be a fluctuation in a  
411 climate simulation, and it requires longer integration for additional investigation. In SH, a decreasing  
412 trend of the sea ice concentration can be found in TaiESM, whereas it remains almost unchanged in  
413 observational data. Because there is no land–sea model in TaiESM, the discharge of the ice sheet  
414 from Antarctic continent to Southern Ocean, the major source of SH sea ice, cannot be simulated  
415 accurately. Consequently, the sea ice concentration in the SH could be controlled primarily by  
416 temperature in TaiESM, leading to an unrealistic temporal evolution.

417

#### 418 **5.6. Comparison with CMIP5 models**

419 The overall performance of TaiESM historical simulation during 1979-2005 is evaluated by  
420 comparing with other CMIP5 models following the metrics introduced by Gleckler et al. (2008).  
421 Figure 12 shows the normalized space-time root-mean-square-error (RMSE) of selected variables  
422 from TaiESM, several CMIP5 models, and multi-model ensemble (MME) against reanalysis and  
423 observation datasets. The reference data of air temperatures (TA), zonal and meridional wind  
424 velocities (UA and VA), and geopotential height (ZG) at various pressure levels, as well as the  
425 surface air temperature (TAS), are from Collaborative Reanalysis Technical Environment (CREATE)  
426 Multi-Reanalysis Ensemble version 2 (MRE2; Potter et al., 2018). The observational precipitation  
427 (PR) data is from GPCP. Upward longwave radiation in the total sky (RLUT) and clear sky  
428 (RLUTCS) and upward shortwave radiation in the total sky (RSUT) and clear sky (RSUTCS) are  
429 from CERES-EBAF. It is expected that the errors of CMIP5 MME are generally the smallest.  
430 TaiESM has smallest bias in PR among all CMIP5 models, and its performance in RSUT and RLUT  
431 is also very good. The relative poor performance in TAS is primarily due to the cold bias over land  
432 and sea ice areas. The RMSEs of all variables in TaiESM are smaller than the median CMIP5 error,  
433 indicating that the performance of TaiESM is above average among all CMIP5 models. In particular,



434 RMSEs of PR, RLST, and RLUT of TaiESM are among the smallest

435

## 436 **6. Summary and conclusions**

437 This paper documents the TaiESM version 1, developed on the basis of CESM1.2.2, with  
438 revised physical and chemical parameterizations, including 1) trigger functions for deep convection,  
439 which can improve the variability simulation in convective rainfall; 2) GTS cloud macrophysics  
440 scheme to avoid artificial RH threshold for cloud formation; 3) three-moment SNAP aerosol scheme;  
441 4) 3D radiation–topography interactions to account for the impact of shading and reflection on  
442 shortwave radiation in mountains. A 1D mixed-layer ocean model is incorporated to the atmosphere  
443 component to simulate the thermodynamic air-sea interaction, but it is not used for fully coupled  
444 simulations.

445 TaiESM stability is assessed using 500-year piControl. Although constant imbalance in the net  
446 flux at the TOA exists, the drifts of global mean SAT and SST are very small, with long-term trends  
447 of 0.0088 and 0.0047 K century<sup>-1</sup>, respectively. The excessive energy enters the deep ocean and  
448 leads to continuous warming by 0.030 K century<sup>-1</sup>. The drifts in the sea ice concentration in both NH  
449 and SH are both small because of the nearly zero net energy flux from the atmosphere to sea ice.  
450 However, the global mean SSS and total ocean salinity both demonstrate significantly decreasing  
451 trends.

452 For the historical evolution of SAT, the warming of TaiESM from 1850 to 1935 is too weak  
453 compared with the observation. After 1950, TaiESM satisfactorily captures the trend of global  
454 warming with a heating rate of 1.12 K century<sup>-1</sup> comparable to the observation of 1.16 K century<sup>-1</sup>.

455 The current climatology of TaiESM during 1979–2005 is generally in agreement with the  
456 observations. The overall performance of TaiESM is better than the median of CMIP5 models,  
457 particularly that the RMSE of precipitation is smallest. There are too many clouds in TaiESM,  
458 whereas the SWCF and LWCF are almost similar to and weaker than the observation, respectively.



459 This result implies that the new cloud macrophysics scheme produces larger amount but optically  
460 thinner clouds. SST in TaiESM is very close to the observation, whereas SAT is significantly colder,  
461 implying remarkably underestimated SAT over land and sea ice surfaces. TaiESM produces  
462 excessive precipitation, and the biases of double ITCZ and dipole in the tropical Indian Ocean exist,  
463 whereas there is a severe dry bias in the Amazon basin. The trend of the NH sea ice concentration in  
464 TaiESM follows the observation well, whereas it might not capture the accelerating reduction in the  
465 21st century.

466 This paper focuses on the evaluation of long-term climatological state and evolution of global  
467 mean quantities in TaiESM in preindustrial and historical simulations. The other part of the  
468 characteristics of an ESM, climate variability, is also very critical to the performance of a model, and  
469 it requires additional in-depth research. Further investigation of climate variability in TaiESM,  
470 including the El-Niño and Southern Oscillation, intraseasonal oscillation, monsoon, and extreme  
471 precipitation, will be documented in the follow-up papers.

472

473 *Code and data availability.* The model code of TaiESM version 1 is available at  
474 <https://doi.org/10.5281/zenodo.3626654>. Output data of TaiESM using CMIP5 forcing, including  
475 preindustrial and historical simulations, are available at  
476 <http://cclics.rccc.sinica.edu.tw/index.php/databases/data.html>.

477

478 *Author contributions.* HHH is the initiator and the primary investigator of the TaiESM project. WLL  
479 is the main model developer and writes the majority part of the paper. YCW is the developer and  
480 writer of trigger functions for deep convection. YCW and CJS are the developer and writers of cloud  
481 macrophysics. ICT and JPC are the developers and writers of SNAP aerosol scheme. CYT and YYL  
482 are developers of 1D mixed-layer model and CYT is the writer of this section. YLP helps develop  
483 the theoretical basis of trigger functions for deep convection and cloud macrophysics.



484

485 *Competing interests.* The authors declare that they have no conflict of interest.

486

487 *Acknowledgements.* The contribution from WLL, YCW, CJS, ICT, CYT, YYL, and HHH to this  
488 study is supported by Ministry of Science and Technology of Taiwan under contracts MOST 106-  
489 2111-M-001-002, MOST 106-2111-M-034-002, and MOST 106-2111-M-001-005. JPC is also  
490 supported by MOST 107-2111-M-001-012. We thank the computational support from National  
491 Center for High-performance Computing of Taiwan. This manuscript is edited by Wallace Academic  
492 Editing.

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661



Variable	CESM1.2.2	TaiESM
SAT (°C)	13.16	13.58
SST (°C)	19.52	19.75
TOA net flux (W m <sup>-2</sup> )	0.080	0.089
TOA net SW flux (W m <sup>-2</sup> )	237.79	240.03
TOA net LW flux (W m <sup>-2</sup> )	237.71	239.94
TOA clear-sky net SW flux (W m <sup>-2</sup> )	285.41	286.07
TOA clear-sky net LW flux (W m <sup>-2</sup> )	260.35	262.02
SWCF (W m <sup>-2</sup> )	-47.62	-46.05
LWCF (W m <sup>-2</sup> )	22.67	22.08
High cloud cover (%)	37.81	45.61
Low cloud cover (%)	41.96	41.99

662

663 **Table 1.** Long-term global means of selected climatological variables from CESM1.2.2 and TaiESM

664



665 **Figure List**

666

667 **Figure 1.** Peak phase of diurnal rainfall cycle over three major tropical regions: Central Africa,  
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675 **Figure 3.** Theoretical calculations of cloud fraction as a function of RH for water vapor and  
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679 **Figure 4.** A 500-year time series of annual mean climatological quantities in TaiESM piControl  
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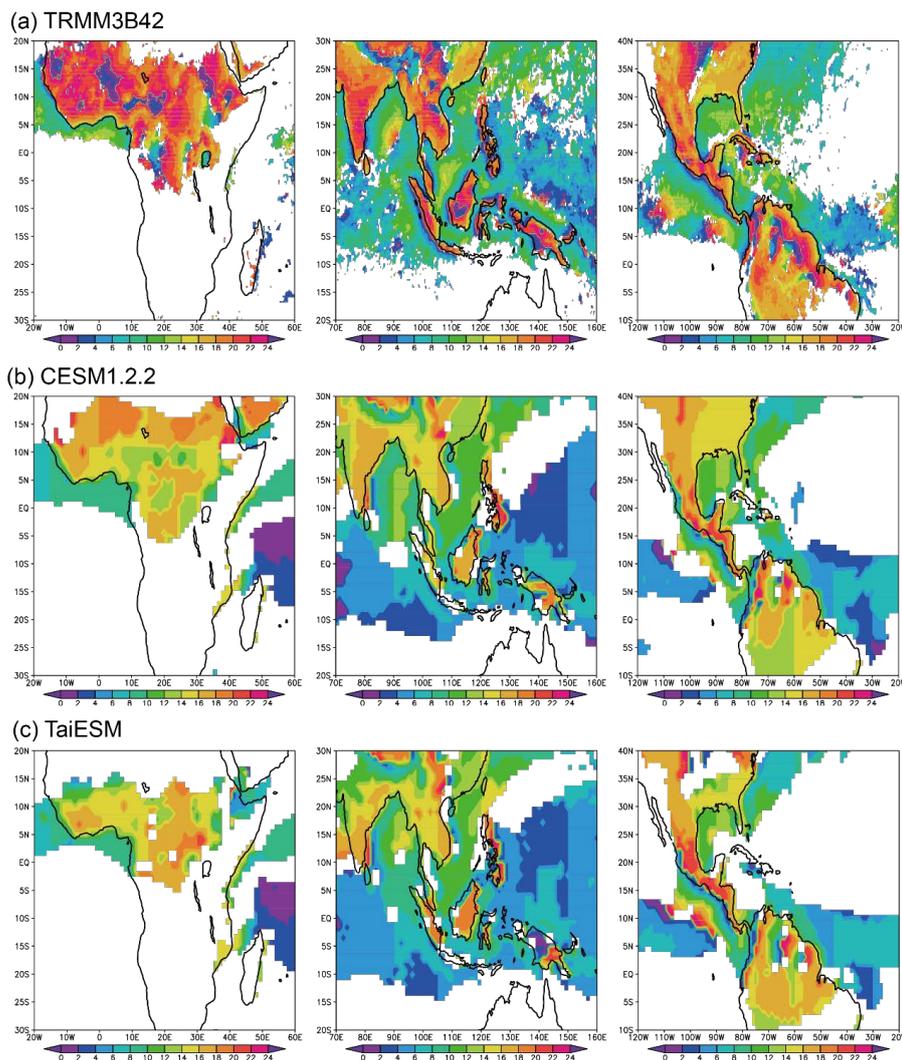
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704 **Figure 11.** Time series of annual mean total sea ice area for both NH and SH from TaiESM  
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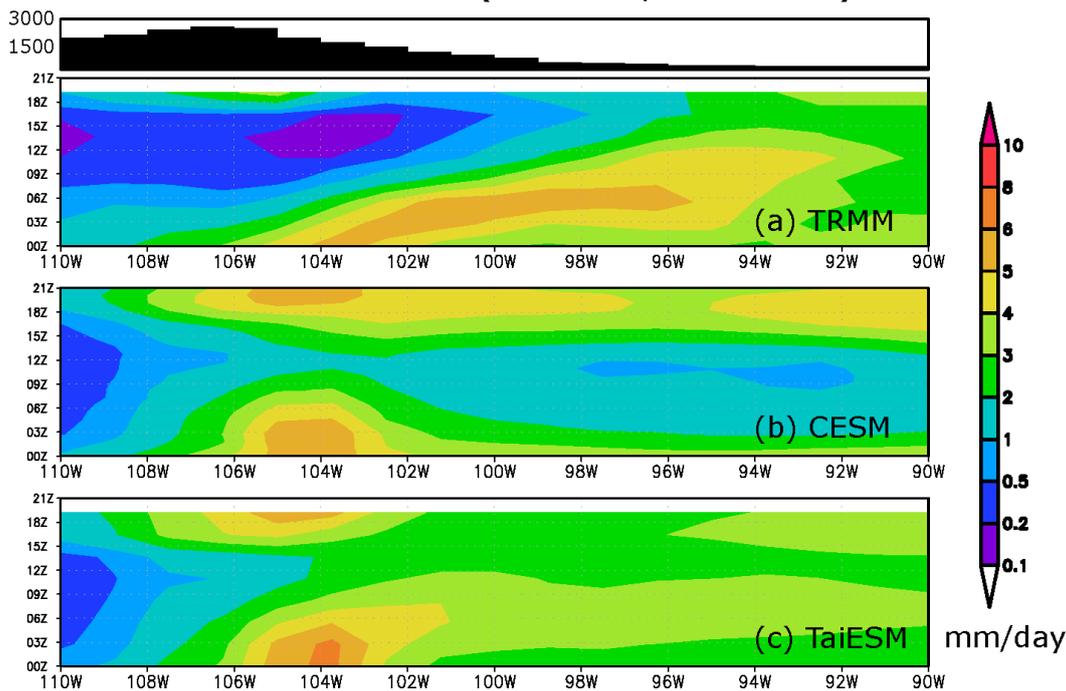
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### Southern Great Plains (35-40N,90-110W)

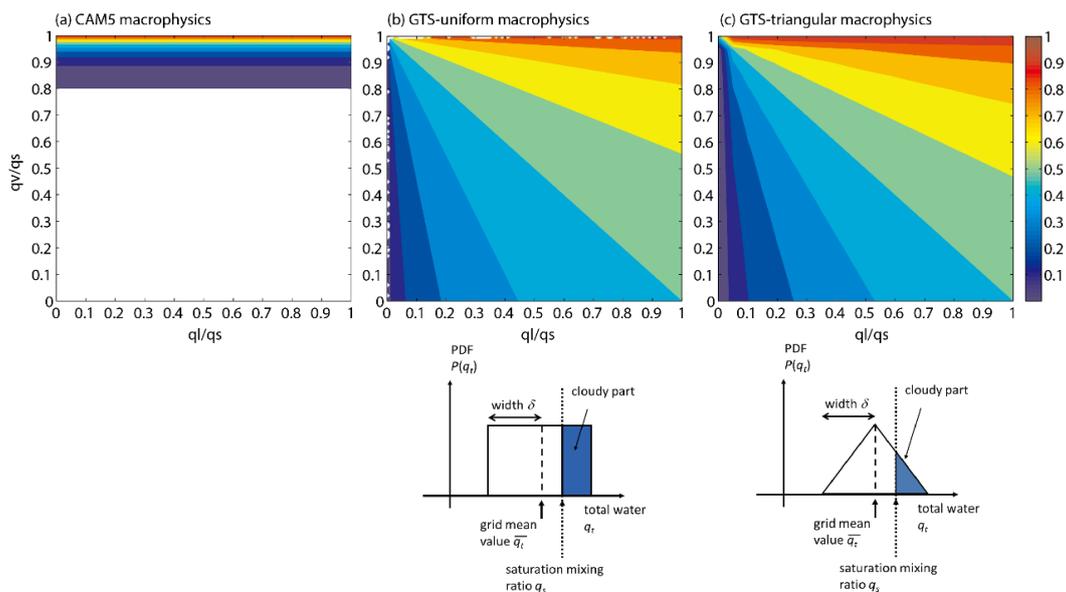


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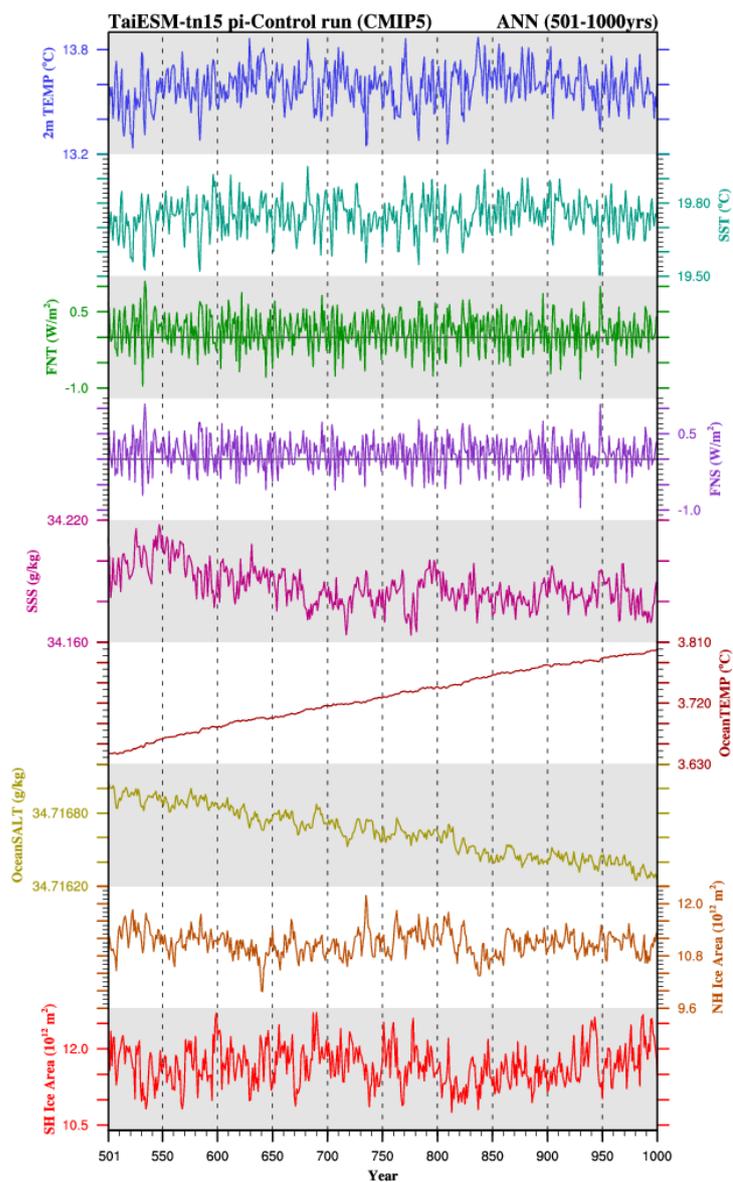


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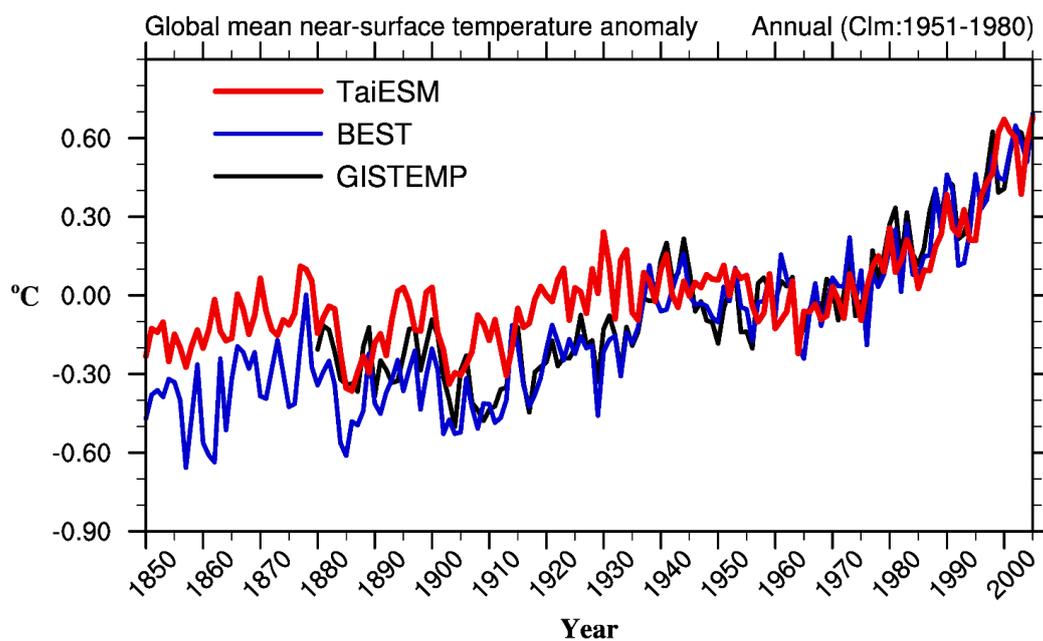
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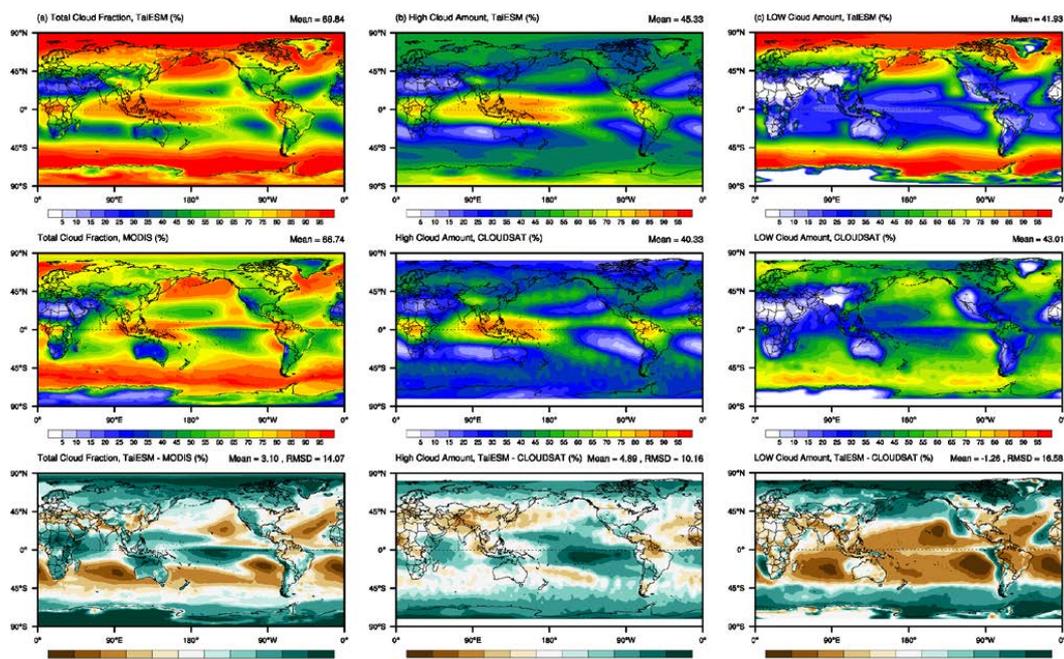


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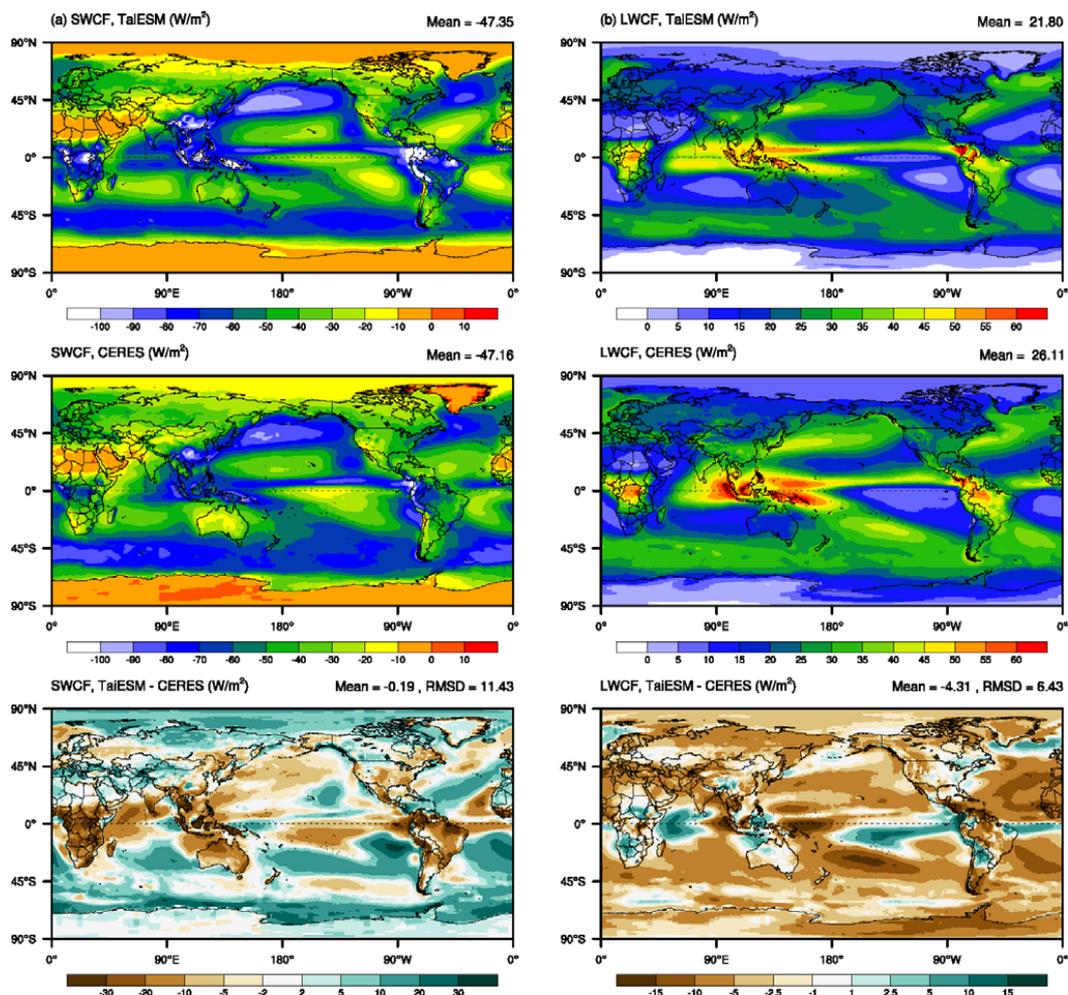


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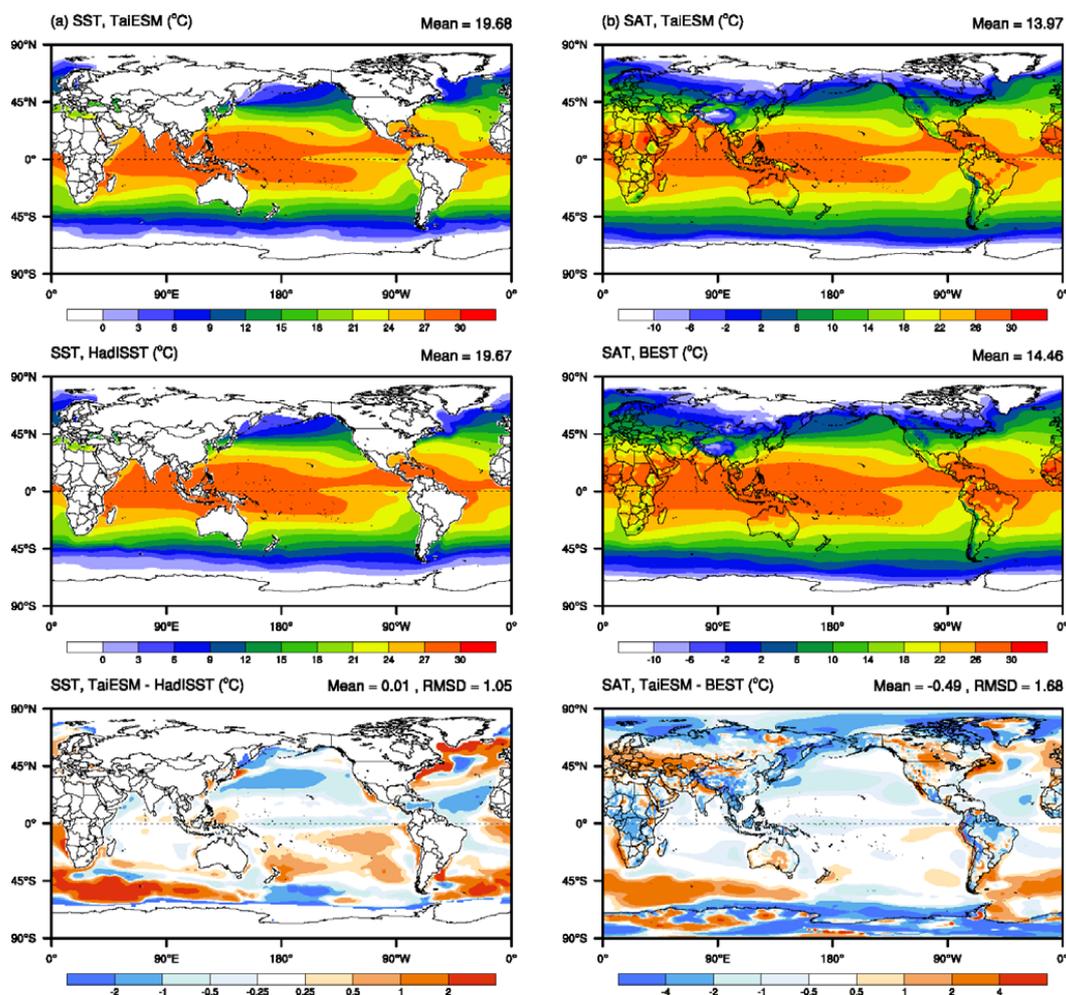
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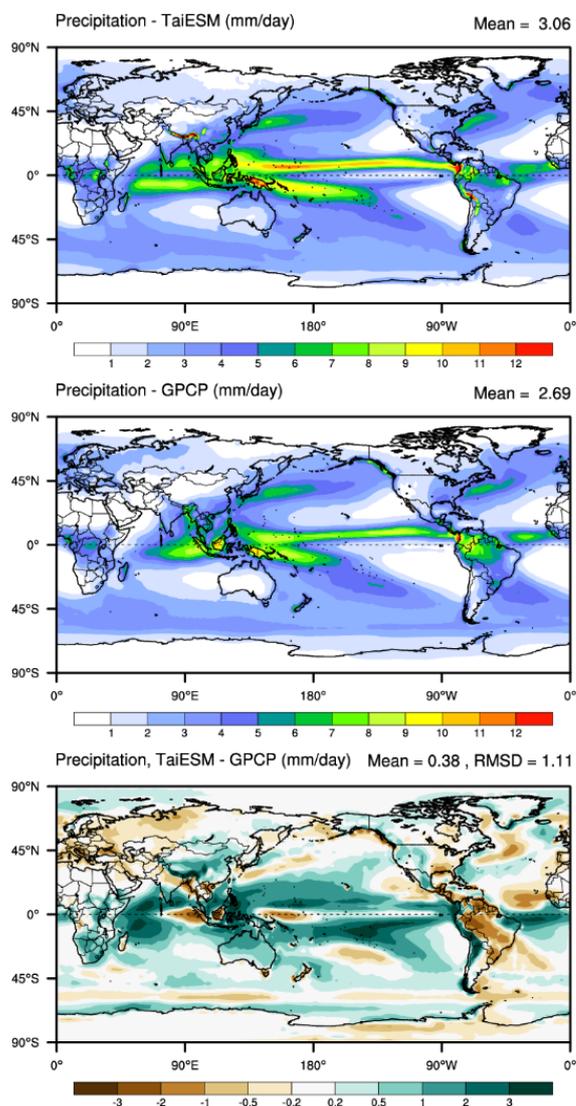
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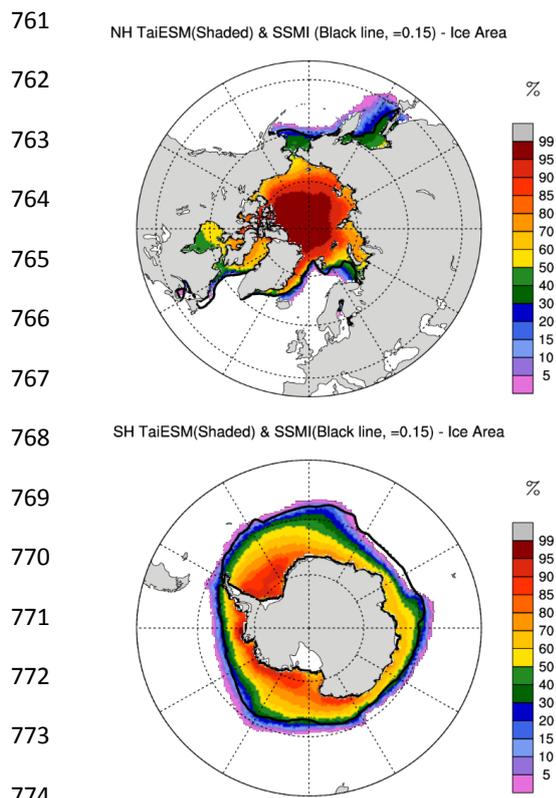
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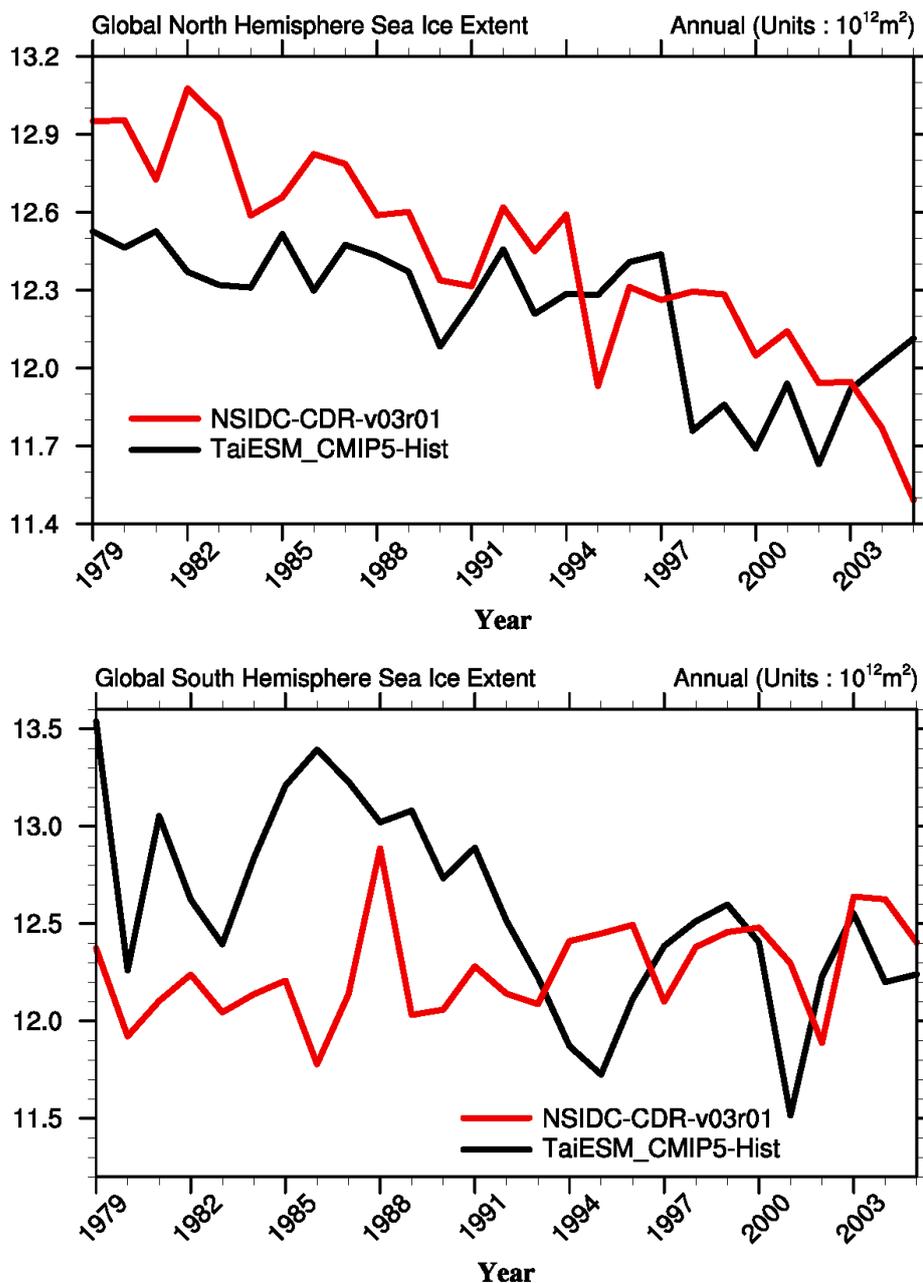


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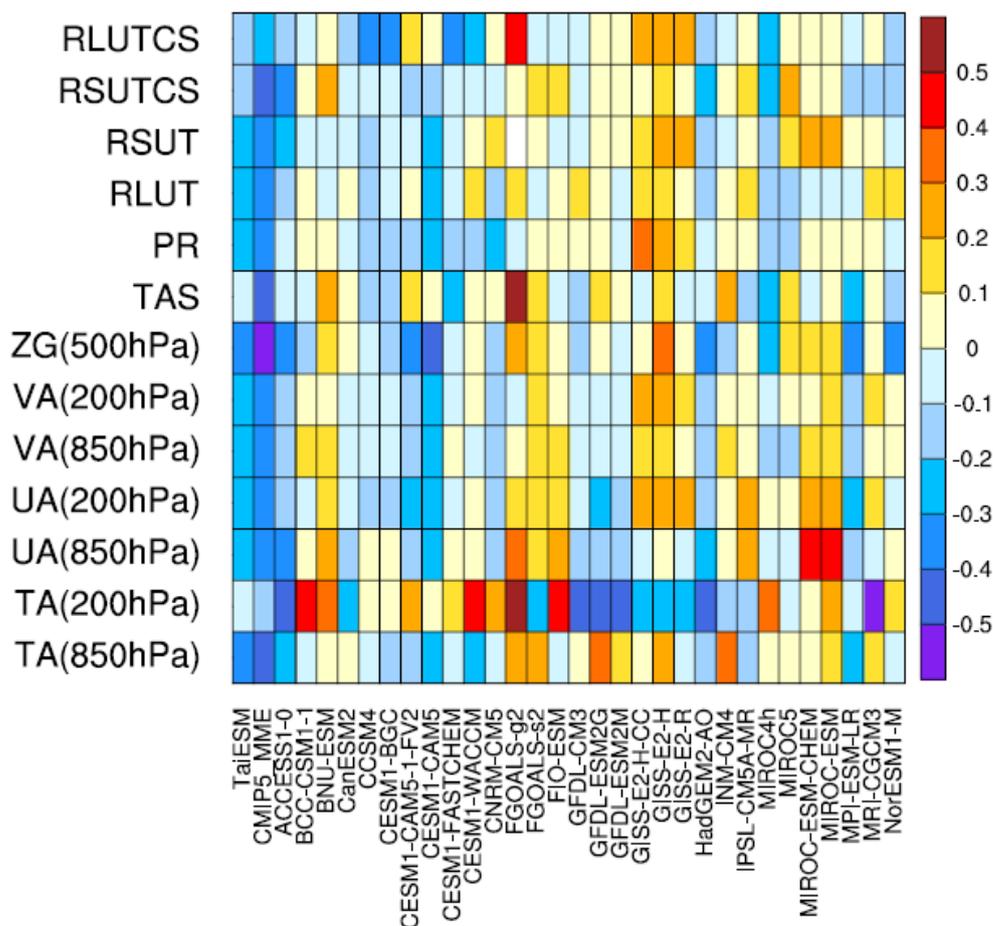


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